



OHIO
UNIVERSITY

Board of Trustees

Minutes

November 1, 2013

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ROLL CALL

Chair Sandra Anderson called the meeting to order at 10:03 a.m. Secretary Peter Mather called the roll.

Present: Chair Sandra Anderson, Trustees David Brightbill, David Wolfort, Janetta King, Kevin B. Lake, Janelle Simmons, Cary Cooper.

Also present were Student Trustees Amanda Roden and Keith Wilbur; National Trustee Peggy Viehweger; National Trustee J. Patrick Campbell; Alumni Association Representative William Hilyard; President Roderick McDavis; and Board Secretary Peter C. Mather

APPROVAL OF AGENDA

Chair Anderson asked for a motion to approve the meeting agenda. Trustee Brightbill moved to approve the agenda, seconded by Trustee King. With all in favor, the motion carried.

APPROVAL OF THE MINUTES OF AUGUST 29, 2013

Chair Anderson asked for a motion to approve the minutes from the August 29, 2013 meeting. Trustee Wolfort moved, seconded by Trustee Simmons, the motion carried.

REPORTS

Report from the Chair

Chair Anderson explained that she and Vice Chair David Brightbill attended the October OHIO Faculty Senate meeting, and she thanked the Chair of Faculty Senate, Elizabeth Sayrs, for a productive meeting. Chair Anderson also reported on the Board of Regents Trustees Conference in October that was attended by many of the OHIO Trustees. She also discussed several recent opportunities she had to interact with students, faculty, staff and alumni on campus in the preceding weeks. Chair Anderson also mentioned that the Trustees had their mandated ethics training on Thursday afternoon.

Report from the President

The President opened with a reminder of the University's strategic priorities, and delivered the President's Report. The full President's Report can be found in the meeting materials.

Program Spotlight: Career and Development Center

Vice President of Student Affairs, Ryan Lombardi introduced the Assistant Dean of Students for the Career and Leadership Development Center, Imants Jaunarajs. Mr. Jaunarajs introduced the staff and provided background on the Career and Leadership Development Center (CLDC), which is the result of a recent merger of Career Services and the Amanda J. Cunningham Leadership Center. The CLDC is designed to serve all undergraduate and graduate students, as well as alumni.

Assistant Dean Jaunarajs highlighted the career skills taught to students and the strategic approaches taken by the CLDC. Specifically, he mentioned that the Center is framing their work as career coaching rather than career counseling, signaling the active participation of student and alumni clients in their own success. He noted several campus-wide collaborative efforts, including work with the College of Arts and Sciences, University College, Scripps College of Communication, Russ College of Engineering, and the College of Business. Mr. Jaunarajs also highlighted outreach role by student leaders called ambassadors; these student leaders facilitate many of the workshops for their peers. He also referred to an assessment initiative designed to demonstrate the results of the CLDC's work.

The Vision for the CLDC includes engaging students during their college experience through the components of coursework, service opportunities, the development of a professional portfolio, and real-life opportunities. The combination of these activities will equip students to be successful in their careers.

Assistant Dean Jaunarajs introduced three current students (Melaina Lewis, Jonathan Norris and Kelly Zabonik) who are serving as student ambassadors. These students described their work and explained ways they have benefitted from the CLDC's services. Following the student presentations, Trustees engaged in a discussion with the student ambassadors and Assistant Dean Jaunarajs on topics that included the level of participation in career services and support to students for pursuing alternative career paths.

Chair Anderson thanked the CLDC for their presentation.

COMMITTEE REPORTS

Joint Resources & Academic Committee

Chair Anderson explained that the Joint Committee focused on three topics: the 6 year Capital Improvement Plan, Guaranteed Tuition Program, and the Report on Accreditation.

Six year Capital Improvement Plan (CIP)

Chair Anderson noted that every two years capital improvements are considered and prioritized, and are formulated as a six year capital plan. This six year plan is considered in the context of a broader 20 year vision. There are several considerations in forming the plan, including alignment with mission, vision and values of the University. Financial and strategic objectives, as well as feasibility, are also important considerations. The plan is developed to be forward looking and focuses on programmatic needs, reducing deferred maintenance backlog, and providing healthy and safe environments.

Vice President of Finance, Steve Golding presented an update on progress since the CIP was put in place in 2011, including renovation of the Schoonover Center, the initiation of the Housing Development Plan and the Dublin Campus. The current six year plan includes expenditures for projects totaling over \$970M. The plan includes a projection of sources for these projects and the staffing requirements for carrying out these projects successfully.

The biennial list of projects for funding by the state is scheduled to be submitted by VP Golding on the day of this meeting. Chair Anderson noted that the requests for state funds focus on deferred maintenance. Furthermore, she noted that the Joint Committee discussed debt management, and emphasized that paying off the debt assumed as part of the CIP will be a priority.

Chair Anderson highlighted a statement made by Provost Benoit that stressed the importance of maintaining and improving campus facilities to ensure that they are “relevant, inviting, and engaging” to the community.

Chair Anderson recognized Trustee Campbell who added that the improvements for campus require significant amounts of funding. He praised the “exceptional financial stewardship” that makes funding these projects possible.

Guaranteed Tuition Program

Chair Anderson reviewed a presentation by Executive Vice President and Provost Benoit, which included a highlight of the following goals for the Guaranteed Tuition Program.

- Transparent budget planning for students & parents
- Predictability of tuition revenues
- Financial Incentive for timely graduation
- Enhancing student recruitment

The Guaranteed Tuition Program will commence for the cohort beginning in the 2015 fall semester.

Items that are included in the Tuition Guarantee Program include the general fee, a non-resident surcharge, most course fees, other required fees, and room and board.

Contingencies that could affect a student's eligibility for the Tuition Guarantee, such as a student being called for active military duty, will be addressed in the plan. Chair Anderson explained that certain circumstances will not be included in the guaranteed tuition program, such as graduate programs and continuing undergraduate students. Chair Anderson also described the process and parameters for establishing cohort tuition rates. These are detailed in the committee report included in the appendix of this document.

The Tuition Guarantee Implementation Team has met regularly to identify and address the following issues: Technology Implementation, Policy Considerations, Exceptions and Enhancements, and Communications and Recruitment.

Report on Accreditation Process

Executive Vice President and Provost Benoit presented to the Joint Committee regarding the Accreditation process. The Higher Learning Commission of the North Central Association of Colleges and Schools guides OHIO's Academic Quality Improvement Program (AQIP). Chair Anderson noted that the Campus will host visits by members of the Higher Learning Commission in 2014-15 as part of the reaffirmation process.

One important reason for continuing with the AQIP updates is to make sure that all the Board members are up-to-date on the status of re-accreditation across campus when the Higher Learning Commission visits campus. She noted that some of the Board members will be selected for interviews by the accreditation team.

University Resources Committee

Trustee King summarized the previous day's meeting. The FY2013 Financial Statements, as verified by the external audit by Plante Moran, were reviewed by the Audit Committee. The audit indicated Ohio University is in a strong financial position, with an increase in net position (formerly "net assets") of 51 million dollars and investment returns of 11.85 percent.

A resolution aligning one off-cycle graduate program tuition with other off-campus graduate programs was retained on the Consent Agenda for the full Board meeting.

Vice President Golding reported to the Resources Committee that the previous Housing for Ohio letter of credit was replaced by Barclays; this significantly lowered the credit rate for the University, resulting in savings of \$200,000 per year.

Resolution: Six Year Capital Improvement Plan

Trustee King moved to approve the CIP resolution, seconded by Trustee Brightbill. A vote was taken, all approved and the resolution passed.

APPROVAL OF THE OHIO UNIVERSITY FY2015 – FY2020 SIX YEAR CAPITAL IMPROVEMENT PLAN

RESOLUTION 2013 -- 3366

WHEREAS, the University's Capital Planning Team has undertaken the update of the University's six year capital improvement plan within a 20 year plan context, and

WHEREAS, the priorities of the Deans and Vice Presidents have been considered, and their endorsement of the plan given, and

WHEREAS, the Facilities Planning Advisory Council made up of faculty, staff, and student representatives has reviewed and provided their endorsement of the plan, and

WHEREAS, the Capital Funding and Priorities Committee has approved and made recommendation to the President, and

WHEREAS, the FY2015 – FY 2020 Six Year Capital Improvement Plan has been approved by the President.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does hereby approve the attached FY2015 – FY 2020 Six Year Capital Improvement Plan.

Resolution: FY 2015 – FY 2016 Biennium Project Approval

Trustee King moved to approve the resolution, seconded by Trustee Brightbill. A vote was taken, all approved and the resolution passed.

**PRIORITY DEFERRED MAINTENANCE PROJECTS PROPOSED
FOR
FY2015-FY2016 STATE APPROPRIATIONS**

RESOLUTION 2013 -- 3367

WHEREAS, each biennium the Board of Regents in conjunction with a team appointed by the Governor solicit proposals from the Universities for state appropriation for physical improvements, and

WHEREAS, Ohio University as part of its capital planning strategy has designated state appropriations for projects that will aid in reducing the growth in deferred maintenance, and

WHEREAS, University Planning, Facilities, and Risk Management and Safety analyzed feedback from Deans and Vice Presidents and formulated a priority list of projects to reduce the growth of deferred maintenance, and

WHEREAS, that priority list has been reviewed by the Capital Planning Team, the Facilities Planning Advisory Committee, and endorsed by the Capital Funding and Priorities Committee of the University.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does hereby approve the list of deferred maintenance projects, in priority order, to be proposed to be funded from FY2015-FY2016 State Appropriations.

Trustee King stated that the following resolutions were moved forward for full Board consideration as part of the Consent Agenda:

- \$110 million for the Housing Development Plan Phase I construction.
- Lausche Heating plant replacement project, schematic design approval
- Deferred maintenance project to upgrade seven existing elevators at the cost of \$1.875 million

Trustee King stated VP Golding's brief mention of four annual reports from Finance and Administration: Sustainability, Insurance, Clery Data – Fire, and Clery Data – Police. VP Golding noted that these reports are included in the Board's meeting materials.

VP for Advancement, Bryan Benchoff, provided an update for the Capital Campaign noting campaign contributions totaled over \$431 million. VP Benchoff also shared the marketing materials for the Scholarship Incentive Program, which have already led to the establishment of eight new endowed scholarships.

Resolution: Declaring two land parcels as surplus property

Trustee King moved to approve the resolution, seconded by Trustee Brightbill. In the discussion that followed, Trustee Lake expressed discomfort with moving forward on the resolution before the completion of a real estate survey. He noted that the Board should receive information on the plans for real estate across campus before declaring any surplus property.

VP Golding indicated that a full real estate report was forthcoming and that the primary purpose of declaring surplus property now was to set the stage for a later sale of that property. He also stated that a sale of this property in the future would allow the University, with the proceeds of a sale, to purchase other parcels of interest.

Trustee Cooper asked for clarification of which parcels were to be declared surplus. VP Golding stated they are the parcels in the City of Athens where Bob Evans and the Baymont Inn are located. He also stated that the properties are not currently used for any University purpose other than as lease income.

Chair Anderson proceeded to take a vote on the resolution. The motion carried with Trustee Lake voting no.

**DECLARATION OF SURPLUS PROPERTY
(EAST STATE STREET)**

RESOLUTION 2013 -- 3368

WHEREAS, Ohio University, through its Board of Trustees holds title in fee simple to 20 Home Street, Athens, Ohio, otherwise known as the Baymont Hotel, and 357 East State Street, Athens, Ohio, otherwise known as The Bob Evans Restaurant, and

WHEREAS, certified appraisals have been obtained for both properties, and

WHEREAS, economic, market evaluation, and development considerations have altered Ohio University's plans, and the Ohio University Board of Trustees, entrusted with the management of these land parcels hereby declares 20 Home Street, Athens, Ohio and 357 East State Street, Athens, Ohio as surplus property, subject to being sold for commercial and/or other economic gain and repurposing.

NOW THEREFORE, BE IT RESOLVED, that the Ohio University Board of Trustees hereby declares 20 Home Street consisting of 3.259 acres and 357 East State Street consisting of 2.07 acres to be surplus property.

BE IT FURTHER RESOLVED, that the President or his designee is hereby authorized to negotiate, evaluate, or otherwise transact the disposition of both properties, consistent with the requirements of Ohio Law regarding the disposal, sale or other disposition of State of Ohio real property.

University Academics Committee

Trustee Wolfort reported on the meeting of the Academics Committee. Executive Dean Ken Johnson provided a report to the committee on the status of the Heritage College of Osteopathic Medicine's (HCOM) Academic Quality-Dashboard. Dean Johnson outlined HCOM's structure and its standing within the medical community, along with its growth projects and initiatives.

Trustee Wolfort identified key points from Dr. Johnson's report: HCOM's high rank for primary care and family medicine training; graduating students tend to stay in Ohio and work in underserved areas of the state; and the launch of programs in Central and Northeastern Ohio.

Trustee Wolfort touched on AQIP and indicated that the information provided by Chair Anderson in the Joint Committee Report covered the discussion in the Academics Committee.

Vice Provost Craig Cornell provided an Enrollment Update to the Academics Committee. The update indicated that Ohio University's enrollment increased while many other Ohio universities' enrollments decreased. VP Cornell stated that continued enrollment will become increasingly strategic as important considerations are given to housing capacity, student capacity within colleges, and ensuring academic excellence. As high school graduate class sizes decrease, the competition with other institutions will become increasingly fierce, which will require Ohio University to continue with aggressive recruitment efforts.

Trustee Wolfort noted that the following resolutions were being forwarded to the full Board as part of the Consent Agenda.

- **Reviews of Centers and Institutes**
 - Center for Intelligent Chemical Instrumentation
 - Center for Scientific Computing and Immersive Technology
 - Appalachian Rural Health Institute
 - WOUB Center for Public Media
 - George Hill Center for Counseling and Research
 - Scripps Survey Research Center – One-year extension
- Bachelor of Science in Energy Engineering Program
- Bachelor of Science in Technical Operations Management
- Master of Education in Cultural Studies in Education renamed to Master of Education in Critical Studies in Educational Foundations

Governance Committee

Trustee Lake presented the summary of the Governance Committee meeting and indicated that there was only one major item on their agenda. The committee reviewed a proposal regarding the Board meeting structure presented by Chair Anderson.

Chair Anderson stated that the proposal was a follow-up to the Board retreat in August and noted that the suggested changes are intended to assist the Board to focus on high-level strategic policy decisions; make sure there is enough time for such discussion; and provide the University community with information about board deliberations and decisions.

The proposed meeting structure was outlined in Chair Anderson's proposal, included in the appendix.

Audit Committee

Trustee Brightbill presented the summary of the Audit Committee meeting where discussion occurred on pension plan accounting by the Governmental Accounting Standards Board (GASB) and the Plante Moran FY13 Audit Update, and the Internal Audit Update.

According to the information from GASB, changes to pension accounting are on the horizon and will need to be implemented. As noted in the Resources Committee report, the final report from Plante Moran for the University's FY13 Audit spoke to the strong financial position of the University.

Resolution: To Accept the Plante Moran FY13 Financial Statement Audit Report

Trustee Brightbill moved to accept the Audit Report, seconded by Trustee King. With all in favor, the motion carried.

INTERNAL AUDIT

APPROVAL OF JUNE 30, 2013 FINANCIAL STATEMENT AUDITS

RESOLUTION 2013 -- 3369

WHEREAS the audit committee has reviewed the annual audit of the University conducted by Plante Moran and has recommended that it be accepted;

BE IT RESOLVED that the Ohio University Board of Trustees hereby accepts the Plante Moran audit of Ohio University for the year ending on June 30, 2013, including their opinions and reports.

Executive Committee

Chair Anderson stated that there were four major items discussed during the Executive Committee meeting. President McDavis reported on the formation of the Ridges Advisory Committee, comprised of both University and Athens community members and will meet later in November; a report on the Chair and Vice Chair's meeting with the Faculty Senate; President McDavis' recent appointment to the Higher Education Funding Commission; and a discussion of President McDavis' performance goals.

Chair Anderson clarified that the Board intends to include President and Mrs. McDavis' salaries in the compensation pool increase. Subsequent to this meeting, it was determined that Board will take a public vote on this at the January, 2014 meeting.

CONSENT AGENDA

Trustee Wolfort moved to accept all items on the Consent Agenda, seconded by Trustee Lake. A vote was taken, with all in favor, the motion carried.

Resolution, Off-Campus Graduate Tuition

**FISCAL YEAR 2013-2014
OFF-CAMPUS GRADUATE PROGRAMS and
REGIONAL CAMPUS GRADUATE PROGRAM
TUITION SCHEDULES**

RESOLUTION 2013 -- 3370

WHEREAS, the appropriate planning and consultations within the University were conducted to result in the FY 2014 fee recommendations approved by the Board of Trustees in the Spring 2013, and

WHEREAS, continuing graduate program planning within the University has resulted in the following recommendations:

- Conversion of the rate for the Masters in Educational Administration off-campus graduate program from the quarter rate of \$390 per hour to the standard semester rate of \$505 per hour effective Spring 2014, and
- Replacement of the regional campus graduate tuition table with a simple hourly rate consistent with the rate used in all off-campus graduate programs, effective after Spring semester 2014.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the proposed fee schedules (Attachment A), effective as noted above.

Resolution, Construction Project – Housing Phase I Construction

**APPROVAL OF PROJECT AND AUTHORIZATION TO DEVELOP
CONSTRUCTION DOCUMENTS, RECEIVE BIDS AND AWARD
CONSTRUCTION CONTRACTS FOR
HOUSING DEVELOPMENT PLAN PHASE I CONSTRUCTION**

RESOLUTION 2013 -- 3371

WHEREAS, a capital project has been planned, developed and funded as part of Ohio University's Housing Development Plan:

- Housing Development Plan Phase I Construction with a total project budget of \$110 million to be funded with \$100 million from University Debt Issuance and \$10 million from Residential Housing Reserves.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees authorizes the receipt of bids and the President or his designee to accept and award contracts within the total project budgets identified.

Resolution, Construction Project – Lausche Heating Plant Replacement

**APPROVAL OF PROJECT AND AUTHORIZATION TO AWARD
CONTRACTS FOR LAUSCHE HEATING PLANT REPLACEMENT
SCHEMATIC DESIGN**

RESOLUTION 2013 -- 3372

WHEREAS, the capital project need has been identified as follows:

- Lausche Heating Plant Replacement, Schematic Design with a total project budget of \$3.5 million to be funded from University Reserves which will be reimbursed from a future University Debt issuance.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees authorizes to commit and award contracts to undertake schematic design and the President or his designee to accept and award contracts within the total project budgets identified.

Resolution, Construction Project – Campus Elevator Upgrades

**APPROVAL OF PROJECT AND AUTHORIZATION TO DEVELOP
CONSTRUCTION DOCUMENTS, RECEIVE BIDS AND AWARD
CONSTRUCTION CONTRACTS FOR
FY 2014 CAMPUS ELEVATOR UPGRADES**

RESOLUTION 2013 -- 3373

WHEREAS, a capital project has been planned, developed and funded as follows:

- FY 2014 Campus Elevator Upgrades with a total project budget of \$1,875,000 to be funded by state appropriations.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees authorizes the receipt of bids and the President or his designee to accept and award contracts within the total project budget identified.

Resolution, Reviews of Centers and Institutes

REVIEW OF CENTERS AND INSTITUTES

RESOLUTION 2013 -- 3374

WHEREAS, the continued review of academic programs is essential to the maintenance of quality within an educational institution, and

WHEREAS, Ohio University has had for many years a rigorous program of internal review, and

WHEREAS, the reviews are conducted in accordance with the provisions of University Policy 01.015 Establishment and Review of Centers and Institutes.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University accepts the 2012-2013 Reviews of Centers and Institutes, which recommends the following six centers and institutes be continued:

- Center for Intelligent Chemical Instrumentation - Continued
- Center for Scientific Computing and Immersive Technology - Continued
- Appalachian Rural Health Institute- Continued
- WOUB Center for Public Media - Continued
- George Hill Center for Counseling and Research – Continued
- Scripps Survey Research Center – One-year extension

Resolution, Energy Engineering Program

**RUSS COLLEGE OF ENGINEERING AND TECHNOLOGY
DEPARTMENT OF MECHANICAL ENGINEERING
BACHELOR OF SCIENCE IN ENERGY ENGINEERING**

RESOLUTION 2013 -- 3375

WHEREAS, the Russ College of Engineering and Technology and the Department of Mechanical Engineering have proposed offering the Bachelor of Science degree in Energy Engineering, and

WHEREAS, the proposed new degree has the support of the Faculty and the Dean of the Russ College of Engineering and Technology, the University Curriculum Council, and the Executive Vice President and Provost, and

WHEREAS, The National Academies has identified energy issues as among the most significant facing humankind in this century. Increased worldwide demand, decreasing supplies of fossil fuels, and challenges with renewable energy sources have created geopolitical and economic concerns over energy that will not be easily solved. Such problems create the demand for engineers to provide technical solutions. As a result, faculty in the Russ College propose providing energy engineering education as an option for our students.

THEREFORE, BE IT RESOLVED, that the Board of Trustees of Ohio University hereby approves offering the Bachelor of Science degree in Energy Engineering by the Department of Mechanical Engineering.

Resolution, Technical Operations Management Program

**RUSS COLLEGE OF ENGINEERING AND TECHNOLOGY
DEPARTMENT OF ENGINEERING TECHNOLOGY AND MANAGEMENT
BACHELOR OF SCIENCE IN TECHNICAL OPERATIONS MANAGEMENT**

RESOLUTION 2013 -- 3376

WHEREAS, the Russ College of Engineering and Technology and the Department of Engineering Technology and Management have proposed offering the Bachelor of Science degree in Technical Operations Management, and

WHEREAS, the proposed new degree has the support of the Faculty and the Dean of the Russ College of Engineering and Technology, the University Curriculum Council, and the Executive Vice President and Provost, and

WHEREAS, there is a high demand for a bachelor degree completion program for students currently employed and holding a technical associates degree. Their career advancement is limited by their lack of a bachelor's degree and there are currently no other known programs in Ohio that duplicate this curriculum and mixed method delivery plan.

THEREFORE, BE IT RESOLVED, that the Board of Trustees of Ohio University hereby approves offering the Bachelor of Science degree in Technical Operations Management by the Department of Engineering Technology and Management.

Resolution, Masters of Education Cultural Studies Name Change

**PATTON COLLEGE OF EDUCATION
NAME CHANGE FOR THE
CULTURAL STUDIES MASTERS PROGRAM**

RESOLUTION 2013 -- 3377

WHEREAS, the faculty in the Cultural Studies Program of the Patton College of Education believe that the current name fails to cover the scope of the curriculum and research interests of the program; and

WHEREAS, the current program designation does not adequately recognize the focus of faculty and their professional activities; and

WHEREAS, the proposed name change would bring the program in line with similar competing programs around the country; and

WHEREAS, the faculty have thoroughly discussed the desirability of a change of name for the program, the dean of the Patton College of Education supports this recommendation, and it has been approved by the University Curriculum Committee.

NOW, THEREFORE BE IT RESOLVED, that the Master of Education in Cultural Studies in Education be renamed the Master of Education in Critical Studies in Educational Foundations.

UNFINISHED BUSINESS

None.

NEW BUSINESS

None.

COMMUNICATIONS, PETITIONS, AND MEMORIALS

None.

ANNOUNCEMENT OF NEXT STATED MEETING DATE

January 23-24, 2014 in Athens.

ADJOURNMENT AT 12:00 Noon.

APPENDIX



Joint Academics and Resources Committee Meeting - AM October 31, 2013 - 10:45 am

Chair Anderson called the meeting to order at 10:47.

Present: Chair Sandra Anderson, Trustees David Brightbill, David A Wolfort, Kevin B. Lake, Janetta King, Cary Cooper, and Janelle Simmons; National Trustees J. Patrick Campbell and Peggy Viehweger; Student Trustees Amanda Roden and Keith Wilbur; Alumni Representative Bill Hilyard; Faculty Representatives David Thomas and Ben Stewart; President Roderick J. McDavis; and Board Secretary Peter Mather.

The Trustees held a joint committee meeting on Thursday morning, addressing two topics: The Six Year Capital Improvement Plan FY2015-FY2020 and a Tuition Guarantee Update.

Capital Improvement Plan FY2015-FY2020

Vice President for Finance and Administration Steve Golding began the presentation by pointing out that the same capital plan was also presented to the Board in the June, 2013 meeting. He also reminded that Trustees that each capital project is brought before the Board several times: the six year capital plan is brought before the Board on a biennial basis; an annual CIP is brought for a decision by the Board each June; and individual projects that cost over \$500,000 are also brought before the Board at the time of the initiation of the project.

The plan was developed by the Capital Planning Team:

- **Stephen Golding**; Vice President for Finance & Admin, CFO & Treasurer
- **Deborah Shaffer**; Senior Associate Vice President (SAVP) for finance & Administration
- **Joseph Lalley**; Senior Associate Vice President (SAVP) for Information Technologies & Administrative Services
- **John Day**; Associate Dean of Academic Affairs, Associate Provost for Academic Budget
- **Chad Mitchell**; Director of Budget Planning and Analysis
- **Elizabeth Greene**; Director of Debt Management
- **Harry Wyatt**; Associate Vice President for Facilities
- **Shawna Bolin**; Director of University Planning

- **Dick Planisek**; Director of Facility Programming
- **Christine Sheets**; Assistant Vice President for Student Affairs
- **Donna Goss**; Director of Real Estate Management, Community Engagement and Economic Development

Mr. Golding began with an overview of the Capital Improvement Plan, including explaining that the plan is biennially updated, so this is an update of the previous plan that was approved by the Board of Trustees in 2011. There are several considerations in forming the plan, including alignment with mission, vision and values of the University. Financial and strategic objectives, as well as feasibility, are also important considerations. The plan is developed to be forward looking and focuses on programmatic needs, reducing deferred maintenance backlog, and providing healthy and safe environments.

Capital Improvement Plan (CIP) Progress Since 2011

Mr. Golding pointed out that the faculty, staff, students, and community have all seen signs of construction progress. Recent and current projects include:

- Schoonover Center Phase One
- House Development Plan Phase One Site Prep
- Dublin Extension Campus
- Deferred maintenance projects including
 - Roof replacements and repairs
 - HVAC upgrades
 - Demolition
 - Sidewalk and exterior stair replacements
- Emergency projects including
 - Lindley and Ridges 20 relocations
 - Summer chiller repairs
 - University and Park Place tunnel rebuilding
 - West Green electrical feed replacement

CIP Projects in Planning

Mr. Golding explained that planning efforts are informed by campus needs, strategic goals, work/learning/activity process, and integration into the campus community

- A highlight of just a few of OHIO's transformational planning projects:
 - Ridges Master Plan
 - Interdisciplinary Science Facility
 - Seigfred Hall Renovation
 - Medical Multipurpose Building
 - Alden Library Master Planning
 - Ellis Hall Upgrades

Mr. Golding noted that these projects are driven by a smart growth strategy, guided by the following principles: efficiency, economics, stewardship, partnerships and community.

Six Year CIP FY2015-FY2020

Mr. Golding pointed out that the six year CIP is also based on:

- Top priority programmatic needs
- Utilizes debt for major rehabilitation of existing buildings and heating plant replacement
- Utilizes anticipated state appropriations for deferred maintenance projects
- Utilizes swing space so we can vacate buildings
- Continues investment in safety projects
- Includes Information Technology plan
- Includes focused investments in classrooms

Mr. Golding presented a summary of the Capital Improvement Plan in the form of a chart that showed the outlay of funding sources and expenditures for financial years 2015 through 2020. He went on to show the expenses by category, including academic/research projects, regional campus, residential housing, auxiliary, academic support, and infrastructure renewal.

Trustee Campbell made the point that this significant capital plan is a result of the strength of the financials and the integrity of the process.

Managing the Capital Improvement Plan

Mr. Golding noted that plan is a yearlong process that begins with information gathering from all units. This includes a review of several metrics:

- Deferred Maintenance backlog and strategy
- State Appropriations project list
- Capital Plan age impacts
- Debt Impact
- Project activity and staffing

Deferred Maintenance Strategy

Mr. Golding noted the deferred maintenance backlog. He reminded the Trustees that the CIP includes a deferred maintenance strategy with the following components:

- Major rehabilitations funded with gifts and University debt
- Utilize State Appropriations to fund projects targeted at reducing deferred maintenance
- Focus deferred maintenance projects on buildings that are not scheduled to be rehabilitated in the six year plan or are near the end of the six year plan

State Capital Appropriations List

Mr. Golding showed the Trustees a list of the State Capital Appropriations proposals for 2015 – 16, both for Athens and for the regional campuses. He pointed out that the State Capital Appropriations List focuses on safety improvements and upgrades to utility infrastructure across campuses. He also noted that the proposal is due to the state on November 1st.

Chair Anderson pointed out that President McDavis has been appointed to chair the Higher Education Funding Commission, which will be responsible for developing a list of capital projects in universities and colleges around the state. President McDavis explained that this commission is charged with developing a master list of capital improvement projects to be delivered to Governor Kasich. The work of the commission will be completed in December, with a decision expected to come from the state coming before July 1.

Six Year CIP Age Impact

Mr. Golding showed a chart illustrating percentage of GSF in renovated age ranges by building type (Academic, Administration, Athletics, Auxiliary, Housing, and Inactive) in FY2014 and FY2020. He noted that the funds will be allocated according to institutional priorities and, in particular, those buildings that include high levels of student and faculty activity.

Pro-Forma Debt Service

Mr. Golding then discussed the plan of acquiring \$473M of additional debt through FY20, and showed a chart that projected debt service through 2048. Trustee Wolford asked about whether it would be possible to make projections about the SB6 ratio. Mr. Golding pointed out that uncertain variables present some challenges in making accurate projections. He went on to explain that there are tradeoffs between maintaining the financial fundamentals versus assuming debt for a host of investments. Mr. Golding noted that the chart shows a ceiling of 7.7 debt service to operations. A discussion ensued regarding the risk of taking on this level of debt service.

CIP Project Activity

- Half of the total budget includes activity is made up of projects GREATER THAN \$100,000 in budget. Half of the total number of projects are budgeted LESS THAN \$100,000. Mr. Golding pointed out that the higher budgeted items are more efficient due to economies of scale.

CIP Summary:

Mr. Golding quoted Executive Vice President and Provost Benoit to summarize the report: *“Our ability to provide a transformational experience for students requires intentional investment in our campus facilities. From classrooms and laboratories to residence halls and green spaces; we are choosing to remain relevant, inviting, and engaging.”*

Ohio Tuition Guarantee Implementation Update

The Capital Improvement presentation and discussion was followed by a presentation on the Ohio Tuition Guarantee Program. Executive Vice President and Provost Pam Benoit began by recognizing the work of the implementation team and, particular, Vice Provost for Enrollment Management, Craig Cornell.

EVPP Benoit reviewed the rationale for the program:

- Transparent budget planning for students & parents
- Predictability of tuition revenues
- Financial Incentive for timely graduation
- Enhancing student recruitment

Provost Benoit mentioned that the Parent’s Advisory Group, convened by the Vice President for Student Affairs, is a group of 12 to 15 parents who give feedback to the Provost regarding the program. Provost Benoit noted that the program is very popular among the Parent’s Advisory Group.

The Basic Concept

Provost Benoit reviewed the OHIO Tuition Guarantee model for new students, including:

- A constant tuition rate, as set by the Ohio University Board of Trustees, for four consecutive years (120 semester hour program) beginning with the term of a qualifying student’s initial enrollment on the Athens campus starting fall 2015.
- Four continuous years means 12 sequential terms, commencing with the semester of initial enrollment.
- Students who begin their initial enrollment in any summer term, will receive the current summer rate, but then will be included with the following fall guarantee rate for four consecutive years.
- The period will be extended for undergraduate degree programs approved by the University for completion in more than four years. The extension is limited to the minimum number of additional semester(s) to complete the

program as approved by the University. Provost pointed out that 78 programs take more than the standard hours for a four year program. Most of those only involve one additional course.

Common Questions

Provost Benoit developed a host of common questions, and provided the answers as follows:

Who is not eligible?

- The following will not be covered by the tuition guarantee:
 - Graduate level tuition, independent and distance learning tuition, eLearning tuition, regional campus tuition, and study abroad program fees will not be covered by the guarantee
 - Continuing in-state undergraduate students as of fall 2015 will pay the tuition and fees and other rates approved by the Board of Trustees
 - The Provost pointed out that the Board will be making two separate decisions about tuition rate each year, until all cohorts are part of the guaranteed tuition program

What is included in the Tuition Guarantee Program?

- Tuition & general fee
- Non-resident surcharge
- Most course fees*
- Required fees-
 - Orientation fees – effective for the fall 2015 class
 - SIS and network fee in addition to individual college technology fees – effective for the fall 2015
 - Graduation application fees – effective for the fall 2015 class upon graduation
- Room and Board – due to the multiple room and meal plans, and the fact that we have a 2-year only parietal rule, the guaranteed model will assure a schedule of costs that will not change for the student. We are currently modeling different scenarios now to determine the best option for students.

* *Unique programs may require additional fees*

What if the guarantee expires before a student graduates?

- If a student does not graduate within the four continuous years (120 semester hours), and is not enrolled in an approved program requiring more time to complete a Bachelor's degree, the student will be placed in the next cohort. Their new tuition rate will be the price that was in effect the year after the initial enrollment.

- This process (moving ahead one cohort at a time) will continue each subsequent (three term) year.

Are there special circumstances that can extend the guarantee?

- Bachelor degree programs that have been identified via the Academic Programs Report submitted to the HEI system as requiring greater than 120 hours to complete. Some examples are:
 - Social Work
 - Accounting
 - Music Education
 - Biological Sciences
- In addition, programs that may take more than 4 years to graduate as a result of required internships or co-op experiences will be identified and considered for extension
- Students called to active military duty, a student who withdraws for approved medical reasons, and students who can demonstrate that disabilities impede their progress toward degree completion may be eligible for an extension of their plan.
- A new committee will be created and charged with developing policy and procedures to consider requests for plan extensions and student appeals.

How does financial aid work in the Tuition Guarantee Program?

- The tuition guarantee plan is a fixed model before the application of all financial aid awards, gifts, grants, loans
- Financial aid holds value
- Commitment to increased financial aid with our new scholarship model starting fall 2014

How are the cohort rates set?

- One-time base budget adjustment in year one – no higher than the proposed 2% tuition cap compounded (5.88%)
- Going forward cohort increases no greater than CPI plus legislatively mandated tuition cap
- If tuition falls significantly below other state universities the institution may submit a request for a specific percentage for approval from the Chancellor
- Each year by June 30th the Board of Trustees will determine a new guaranteed cohort rate (tuition, fees, room, & board). The new rates may be published as tentative before June 30th, at the Bursar’s tuition and fee web-site.

What implementation issues must be considered?

- The Tuition Guarantee Implementation Team has been meeting regularly to identify issues related to:

- *Technology Implementation* – PeopleSoft, Housing systems and other inter-related programming needed to effectively identify and track students
- *Policy Considerations* – University policies that may need to be created or modified and appropriate committee structures necessary to implement fully and effectively
- *Exceptions and Enhancements* – The student populations and unique circumstances that will effect students both in and out of the guarantee
- *Communications and Recruitment* – The effective marketing and student recruitment activities necessary to best share the benefits of this precedent-setting model

Board members applauded the leadership and implementation team that were involved in the innovative ideas and the work that brought the tuition guarantee program to this point.

Chair Anderson adjourned the meeting at 12:33.



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**Joint Academics and Resources Committee Meeting - Lunch
October 31, 2013 – 12:30 pm**

Present: Chair Sandra Anderson, Trustees David Brightbill, David A Wolfort, Kevin B. Lake, Janetta King, Cary Cooper, and Janelle Simmons; National Trustees J. Patrick Campbell and Peggy Viehweger; Student Trustees Amanda Roden and Keith Wilbur; Alumni Representative Bill Hilyard; Faculty Representatives David Thomas and Ben Stewart; President Roderick J. McDavis; and Board Secretary Pete Mather.

President McDavis opened the meeting at 11:49am. President McDavis began by highlighting the importance of the accreditation process. He then introduced Executive Vice President and Provost Pam Benoit to provide an overview of Ohio University's accreditation process.

Provost Benoit pointed out that there are six accrediting associations; these are geographically based. Ohio University is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools (HLC). Provost Benoit pointed out that there are three pathways to accreditation. We are accredited through pathway called the Academic Quality Improvement Program (AQIP). We have opted for this process because it is an ongoing process of continuous improvement.

Ohio University has been continuously accredited since 1913 and has been part of the AQIP process since 2002. We are scheduled for reaffirmation through AQIP in AY15-16. (This is a 7 year cycle.) Provost Benoit pointed out that regional accreditation is voluntary. However, without being accredited, there would be serious consequences. Benefits of accreditation include qualification for federal student aid and grant funding, producing graduates who can matriculate to accredited graduate and professional schools, and promotion of a continuous quality improvement in teaching and learning.

The Five Criteria of Accreditation are Mission, Integrity, Teaching and Learning: Quality, Resources, and Support; Teaching and Learning: Evaluation and Improvement; and Resources, Planning, and Institutional Effectiveness.

Ohio University has prepared for reaffirmation by, among other strategies, appointing Michael Williford as Associate Provost for Institutional Accreditation, a new position; reviewing prior reports; convening a task force to support Dr. Williford's assembly of a Systems Portfolio for submission to HLC; and by increasing awareness and understanding about AQIP in preparation for the reviewer's site visit.

The process will include submitting a Systems Portfolio on November 1, 2013. The Higher Learning Commission will provide feedback in the form of a Systems Appraisal approximately 12 weeks after submission. Ohio University will develop an action plan to address feedback from HLC.

AQIP will conduct a quality checkup visit in AY2014-2015. Important components of the visit include assessment of organizational quality commitment and follow up on OHIO's action plan to address feedback provided by HLC in the systems appraisal.

The point was made that there are discipline-specific accreditation programs. These are different from the institutional accreditation, but the processes used and products of the discipline specific programs assist with the AQIP process.

Possible outcomes of the process include continuation of AQIP accreditation for seven years without issue, specific monitoring of quality issues, a finding of noncompliance, and/or movement from AQIP to a different pathway to accreditation.

The meeting was adjourned at 1:22pm



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**Resources Committee Meeting
October 31, 2013, 1:30 pm**

Committee Chair Janetta King called the meeting to order at 1:34 pm. Other board members present included Trustee Sandra J. Anderson, Trustee J. Patrick Campbell, Trustee Cary Cooper, Alumni Representative Bill Hilyard, Student Trustee Amanda Roden, Faculty Representative Ben Stuart, and President Roderick J. McDavis.

● **FY 2013 Financial Statement Highlights**

VP Golding introduced Deborah Shaffer, Senior Associate Vice President for Finance and Administration. SAVP Shaffer reported on the University's audited results for the fiscal year 2013, noting that the Audit Committee reviewed the draft financials via conference call prior to their October 15 issuance. The FY2013 results reflect positive financial information for the year including increases in net position of \$51 million, investment returns of 11.85%, and strong SB6 ratios. SAVP Shaffer noted that the phrase 'net position' replaces 'net assets' in the financial statements due to a change in GASB reporting guidance.

Ms. Shaffer explained some of the larger percentage line item changes in the Statements of Revenues, Expenses, and Changes in Net Position and the Statement of Net Position. The 8.3% increase in the tuition line represents enrollments on all campuses, tuition increases, but most significant is the increase in eLearning. eLearning growth is also reflected in increased costs for professional services in the Instruction and Departmental Research expense line item. Investment performance has been a key driver for the increases in net position each year.

All ratios in the SB6 calculation are strong. The viability ratio shows a slight decrease reflecting the impact of increased debt service.

● **Consent, Off-Campus Graduate Tuition**

VP Golding discussed the need to convert to a semester equivalent and standardize the fee for one off-cycle graduate program and replace the regional campus graduate tuition table with an hourly rate consistent with all off-campus graduate programs.

Trustee Anderson moved to retain this item on the Consent Agenda for the November 1 Board of Trustee meeting, Trustee Cooper provided a second and the committee unanimously approved the motion.

- **Housing for Ohio Letter of Credit Update**

VP Golding reviewed the history of the Housing for Ohio letter of credit and the cumbersome covenants imposed on the University by Wells Fargo. An RFP was issued to replace the existing letter of credit in hopes of securing favorable terms and eliminating the over burdensome covenants. Barclays offered a significantly lower rate without the covenants and a new letter of credit closed on October 15. The university will realize a savings of \$200,000 annually. VP Golding thanked Beth Greene, Director of Debt Management, for providing continuity on this process throughout her maternity leave and credited her with securing such favorable terms and costing.

- **Resolution, Six Year Capital Improvement Plan**

VP Golding referred the committee to the resolution to approve the CIP which was discussed in detail during this morning's Joint session and Trustee King asked if there were additional comments. No additional discussion occurred.

Trustee Anderson moved to recommend approval to the full board of the resolution to approve the Six Year Capital Improvement Plan. Trustee Cooper provided a second and the committee approved the motion unanimously.

- **Resolution, FY 2015 – FY 2016 Biennium Project Approval**

VP Golding reviewed the process for submission of the state appropriation list. Institutions have been encouraged by the state to submit 125% of their prior biennium appropriation award. The list shared with the joint session earlier today is in priority order and will be the basis for the final submission once the actual state funding figure is available. The Higher Education Capital Funding Commission will review the submission for the next two-year biennium and the six-year submission is due to the Board of Regents November 1.

Trustee Anderson moved to recommend approval to the full board of the resolution to approve the FY 2015 – FY 2106 Biennium Project list. Trustee Cooper provided a second and the committee approved the motion unanimously.

- **Consent, Construction Project-Housing Phase I Construction**

VP Golding introduced VP for Student Affairs, Ryan Lombardi and AVP for Facilities, Harry Wyatt, to discuss the progress on the Housing Development Plan (HDP) and the request for approval of the \$110 million Phase 1 Construction budget. VP Lombardi thanked the staffs from both Residential Housing and Facilities for their continued work on the Housing Plan.

AVP Wyatt discussed that this request for \$110 million will be funded by \$100 million in debt and \$10 million from Housing operating reserves. A variety of project design principles guided the development of this plan including those addressing history, sustainability, a sense of community, and the provision of exemplary student services. Maps reflecting 1) the layout of new housing facilities at the conclusion of the ten year, three phase HDP and 2) the impact of the Phase 1 Construction were shared.

VP Lombardi shared renderings of facilities proposed in the Phase 1 Construction. The facilities maintain the Georgian architecture, but incorporate new, more contemporary physical and visual features. An individual room / suite layout was shared. There will be 916 bed spaces in the buildings comprised mainly (78%) of double rooms. Design features have been incorporated into the suites based on lessons learned from the Adams Hall experience such as moving sinks back into the restroom areas and installing a door for the water closet. Floor plans have been developed which create community areas – corridors have rooms interspersed with common areas. The planned layouts work well for Learning Communities and the interior drywall construction, module layouts and common areas offer future flexibility and adaptability for future renovations.

Dean of Students, Jenny Hall-Jones and Executive Director of Residential Housing, Pete Trentacoste, are leading discussions with faculty and students on future Living and Learning Communities and the HDP Phase 1 construction plan includes two faculty/staff apartments. VP Lombardi advised that there are currently six faculty members living in residential housing, up 100% from the prior year. Two multipurpose rooms (~1,000 gsf each) have been incorporated into the HDP Phase 1 design to offer casual recreation and programmable space. A 19,000 gsf Learning Commons space is designed for academic and special project work and smart classrooms will also be incorporated into the commons for group work and instruction.

Phase 1 site work has been ongoing and the next phase of construction will commence immediately following board approval. Following the completion of the five buildings within Phase 1, several of the higher cost South Green buildings will be demolished.

Trustee Anderson moved to retain this item on the Consent Agenda for the November 1 Board of Trustee meeting, Trustee Cooper provided a second and the committee unanimously approved the motion.

- **Consent, Construction Project-Lausche Heating Plant Replacement**

VP Golding introduced SAVP for Information Technology and Administrative Services, Joe Lalley, for an update on the Lausche Replacement project. SAVP Lalley reminded the committee that the project was approved using the Design and Build model and that a bond issue is currently scheduled for early 2014. A Lausche plant project is necessary in order to meet impending EPA regulations, to replace the current plant which is past its useful life, and to meet the current and future energy needs of campus.

SAVP Lalley discussed the capacity for the new plant and the levels of steam, chilled water and electricity production required to meet the campus needs. Plans are also to increase reliability through design and cost effective redundancy. Board approval of the schematic design at this meeting will lead to a full project request in March, 2014, with completion planned for late in 2016.

Trustee Anderson moved to retain this item on the Consent Agenda for the November 1 Board of Trustee meeting, Trustee Cooper provided a second and the committee unanimously approved the motion.

- **Consent, Construction Project-Campus Elevator Upgrades**

AVP Wyatt briefly discussed this \$1.875 million deferred maintenance project that will upgrade seven existing elevators across campus using State Capital Appropriations funding.

Trustee Anderson moved to retain this item on the Consent Agenda for the November 1 Board of Trustee meeting, Trustee Cooper provided a second and the committee unanimously approved the motion.

- **Annual Reports to the Board of Trustees**

- Sustainability Report
- Insurance Report
- Clery Data Report – Fire
- Clery Data Report – Police

VP Golding briefly mentioned the four annual reports from the Finance and Administration area that are included with the Resources Committee materials and requested any questions be directed to his office. Trustee King remarked that the Sustainability Report's benchmark reporting was very comprehensive.

Regarding the annual Insurance Report, Trustee Anderson questioned the savings resulting from pooling of IUC school's insurance costs. AVP for Risk Management and Safety, Joe Adams, advised that the university has participated in the IUC-IC pool for 7 years and has enjoyed reduced premiums and many shared administration costs. Regarding coverage of student athletes, AVP Adams advised that registered student athletes are covered by NCAA coverage and additional coverage is secured by the university which covers team sports, those visiting campus, and also provides umbrella coverage.

- **Capital Campaign Update**

Vice President for Advancement, Bryan Benchoff, provided an update for the Capital Campaign noting campaign contributions totaling \$430.5 million toward the \$450 million goal as of October 31, 2013, with today's total at nearly \$431.2 million.

VP Benchoff shared the marketing materials for the Scholarship Incentive Program which was discussed with the board in June, 2013. The response has been immediate and the program is getting attention – as of today, eight new scholarships have been established. VP Benchoff stated that the entry level for an endowed scholarship is \$25,000 and that amount is appealing to many younger alumnae. It has proven to be a valuable fundraising tool and there are high hopes for the remainder of the campaign.

Resources Committee went into executive session at 2:54 p.m. to discuss a vendor bid and property issues; the Committee reconvened at 3:40 and reported that there was unanimous approval to move forward to the full board a resolution declaring two land parcels as surplus property.

Meeting adjourned at 3:45 p.m.



**Academics Committee Meeting
October 31, 2013 – 1:30 pm**

The meeting was called to order at 1:30 p.m. by Trustee Wolfort, committee chair.

Attendance:

David A. Wolfort, chair
Kevin B. Lake, trustee
Janelle Simmons, trustee
David Thomas, faculty representative
Peggy Viehweger, national trustee
Keith Wilbur, student trustee

Academic Quality-Dashboard: Heritage College of Osteopathic Medicine (HCOM)

Kenneth Johnson, DO, Executive Dean of the Heritage College of Osteopathic Medicine, outlined HCOM's structure, standing within the medical community, along with its' growth, projects, and initiatives.

- Received a \$105 million grant from the Osteopathic Heritage Foundation and developed partnerships with the Cleveland Clinic and OhioHealth
- Ranked 11th in the country for students entering primary care residencies
- Ranked 17th for Family Medicine training
- Received \$16 million in grant and contract revenue during fiscal year 2013
- HCOM Students
 - 60% stay in Ohio after graduation – 20% more than Ohio medical schools
 - Two to five times more likely to practice in areas of greatest need
 - Higher percentage of students go into primary care
- Accreditation
 - Performs very well when under review by both the undergraduate and graduate accrediting bodies.
- Strategic Initiatives
 - Ensure success of the Osteopathic Heritage Foundation grant
 - Launch Central Ohio Branch Campus – Dublin (operational July 2014), 50 students per year, some current faculty, especially those living in the area, will work at the facility, but additional faculty will be hired

- Launch Northeast Ohio Branch Campus – Cleveland (operational July 2015) 50 students per year, some current especially those living in the area, will work at the facility, but additional faculty will be hired
- Partner with OhioHealth to significantly improve healthcare in Southeastern Ohio
- Enhance Faculty and Employee Compensation Plans to become more competitive
- Continue evolution of the CORE training system – a nationally recognized consortium comprised of 26 hospitals and four other colleges of osteopathic medicine. (CORE: Centers for Osteopathic Research and Education)
- Need for Primary Care
 - 45,000 additional primary care physicians are likely to be needed in the near future
 - Approximately 64% of the counties in Ohio are currently underserved. With significant physician retirements upcoming, this number will increase

Question from the committee

With expansion into metropolitan areas, how will HCOM continue to focus on underserved populations and primary care?

Incentives such as scholarships and state funding are attached to primary care. Additional training available for serving underserved populations – both urban and rural. OUHCOM is looking at the way that students are trained. Physicians are now leaders of teams rather than being single providers. Training must include how to develop teams and be effective within a medical team construct. Additionally, HCOM's goal is to not only attract exemplary researchers and faculty, but also to attract “teams” to provide opportunities for this kind of training.

Academic Quality Initiatives

AQIP Update

Michael Williford, Associate Provost for Institutional Accreditation, provided a brief overview of Ohio University's accreditation through the North Central Association of Colleges and Schools' Higher Learning Commission under the Academic Quality Improvement Program model and specifically addressed two of the five criteria for accreditation:

- Teaching and Learning: Quality Resources and Support
- Teaching and Learning: Evaluation and Improvements

Issues with the AQIP accreditation process include: the fact that there are few comparable peer institutions. Most AQIP schools are not research universities and of

the few that are, most are in Ohio and therefore ineligible to provide reviewers. Therefore, most reviewers are often from community colleges or private schools. The AQIP process is becoming more time and work intensive than the “every ten year” process the university had before. The time commitment needed may increase as AQIP considers moving to reviews every four years.

Enrollment Update

Craig Cornell, Vice Provost for Enrollment Management, provided a short overview.

- Ohio University was at the top of enrollments in Ohio with a 4.25% increase.
 - Ohio public institution’s overall enrollments rose 0.17% with individual schools ranging from a 6.76% drop in enrollment to OU’s 4.25% increase.
- Ohio University had the largest growth in first time, full time students at 355 in Ohio.
 - The average enrollments at Ohio’s public institutions (first time, full time students) were essentially flat.
 - Other institutions’ enrollments ranged from being down 376 students to OHIO’s high.
- Ohio University had the second largest percentage growth in first time, full time enrollments at 9.1%.
 - Other Ohio institutions ranged from being down 19.2% to Cleveland State’s high of 13.4%.

Continued enrollment growth will be increasingly strategic based on housing capacity, student capacity within colleges/programs, ensuring academic excellence, etc.

Competition for students is fierce, especially with the continued decline in high school graduates in Ohio, so recruitment efforts must continue in kind. Other institutions will be ramping up their efforts to recruit students to their institutions.

Retention Report – Undergraduate First-Year Student Retention

With no questions forthcoming from the committee, this agenda item was not discussed.

US News and World Report Rankings

With no questions forthcoming from the committee, this agenda item was not discussed.

Consent agenda items moved forward to the full Board:

- **Reviews of Centers and Institutes**
 - Center for Intelligent Chemical Instrumentation – Continued
 - Center for Scientific Computing and Immersive Technology – Continued
 - Appalachian Rural Health Institute- Continued
 - WOUB Center for Public Media – Continued
 - George Hill Center for Counseling and Research – Continued
 - Scripps Survey Research Center – One-year extension

- Bachelor of Science in Energy Engineering Program
- Bachelor of Science in Technical Operations Management
- Master of Education in Cultural Studies in Education renamed to Master of Education in Critical Studies in Educational Foundations

The meeting was adjourned at 3:20 pm by Trustee Wolfort.



Governance Committee Meeting October 31, 2013 – 3:30 pm

Present were Chair Lake, Trustees Viehweger, Simmons, Wolfort, and Anderson, Student Trustee Roden, Secretary to the Board Pete Mather and General Counsel John Biancamano.

The Committee reviewed a proposal on Board meeting structure presented by Chair Anderson as a follow-up to the discussion at the Board retreat in August. She explained that the proposed changes in the meeting structure were intended to (a) assist the Board in focusing on high level strategic policy decisions, (b) ensure that there is sufficient time for Trustees to discuss the matters before them and (c) to provide information to the university community about Board deliberations and decisions. The meeting structure proposal included making it standard procedure to begin the meeting early on Thursday morning and work through the lunch hour on Thursday.

The Committee discussed the names of the various committees and agreed that the Joint Committee, or the Committee of the Whole, should remain on the Thursday morning agenda. There was general agreement that in the Friday meeting the committee chairs should summarize the discussions that occurred in the meetings the previous day without

reading the minutes into the record. If committee chairs require assistance in preparing an outline for their Friday morning report, they should discuss with the staff members who draft the committee minutes.

Dr. Mather encouraged Committee members to register for AGB conference on April 12–14, 2014 in Orlando.

The Committee adjourned at 4:15



Audit Committee Meeting
October 31, 2013 – 3:30 pm

Trustee David Brightbill, called the meeting to order at 3:44 p.m. Other committee members present were Trustee J. Patrick Campbell, Trustee Cary Cooper, Trustee William Hilyard, Trustee Janetta King, and Student Trustee Keith Wilbur. President Roderick McDavis was also present.

Governmental Accounting Standards Board (GASB) Statements 67/68 Pension Plan Accounting

Deborah Shaffer, Senior Associate Vice President for Finance and Administration, presented on GASB Statement No. 67 (GASB 67), Financial Reporting for Pension Plans, and GASB Statement No. 68 (GASB 68), Accounting and Financial Reporting for Pensions. These two new accounting standards will result in significant accounting and reporting changes for governmental employers that participate in cost-sharing, multi-employer defined benefit pension plans, such as the Ohio Public Employees Retirement System (OPERS) and the State Teachers Retirement System of Ohio (STRS). GASB 67 applies to the pension plans (STRS and OPERS) and is applicable for FY14. The objective of GASB 67 is to improve financial reporting by state and local governmental pension plans. GASB 68 applies to the governmental employer (the University) and is applicable for FY15. GASB 68 requires the University to record unfunded pension obligation of the pension plans on the financial statements which will have a material impact on the University financial statements and ratios. Discussion ensued regarding the impact of these pronouncements.

Plante Moran FY14 Audit Update

Robert Shenton and Keith Martinez of Plante Moran provided the Audit Committee with an update related to the external audit. Their presentation included:

- Required communications
- Summary of audit differences
- New accounting pronouncements

Plante Moran discussed their “unqualified opinion” on the FY13 financial statements, their responsibilities under Generally Accepted Auditing Standards (GAAS) and Generally Accepted Governmental Auditing Standards (GAGAS) related to internal controls and compliance, and described significant accounting policies and procedures.

There were three items listed in the Summary of Audit Differences: two known misstatements (University Medical Associates and Tech GROWTH Ohio Fund) and one adjustment related to the recording of Accounts Payable invoices due to timing differences.

Plante Moran discussed six new accounting pronouncements and the AICPA Clarity Standards that may impact Ohio University.

The Audit Committee moved the resolution to approve the June 30, 2013 Financial Statement Audits to the Ohio University Board of Trustees.

Internal Audit Update

Chief Audit Executive, Jeffrey Davis provided an update on the Internal Audit Office operations which included:

- Audit Status Update
- Ohio Ethics Hotline

Mr. Davis updated the Audit Committee on the completed audits for FY14 which included a report being issued for ICA Compliance and a draft report being issued for OIT-Information Security. In addition, Internal Audit (IA) is currently working on audits of the Voinovich School and Risk Management and Safety.

Mr. Davis presented on the Ethics Hotline system including a description of the system, its advantages and recent activity related to the hotline. In addition, Mr. Davis described the process for handling reports to the Ethics Hotline. Discussion ensued.

Trustee Campbell inquired about the reporting line with the ICA Compliance Office and the Office of Legal Affairs.

There was no unfinished business.

Meeting adjourned at 4:32 p.m.



**Executive Committee Meeting
November 1, 2013 – 7:30 am**

Present: Chair Sandra Anderson; Trustees David Brightbill, David Wolfort, Kevin B. Lake, Janetta King, Janelle Simmons, Cary Cooper; Student Trustees Amanda Roden and Keith Wilbur; National Trustees J. Patrick Campbell and Peggy Viehweger; Alumni Association Representative William Hilyard; President Roderick McDavis; and Board Secretary Peter C. Mather

Chair Anderson called the meeting to order at 7:35.

President McDavis gave an update on the State Share of Instruction. He noted that, at this point, Ohio University has been provided a 9% increase in SSI for FY2014. However, when the funding allocations were announced, concerns were raised by other institutions due to financial losses. Requests have been made of the Ohio Board of Regents' Finance Office to gain clarity on the distribution. President McDavis indicated that there could be a mid-year adjustment to the previously announced numbers.

President McDavis then discussed the FY2015 budget process. He noted that budget hearings would take place with administrative units before the end of the year and with colleges in January and February. He noted that RCM requires significant information sharing. The President indicated that the administration will bring plans regarding tuition for 2014 – 2015 to the March BOR meeting.

Update on Ridges Committee

President McDavis delivered a report on the recently formed Ridges Advisory Committee (RAC). This committee is chaired by Steve Golding. Two other working committees will provide information to the RAC. The RAC will then bring proposals to President McDavis. President McDavis will then bring recommendations to the Board. He noted that we will be looking at public-private partnerships and other forms of investment in the property.

In response to a question from Trustee Viehweger, President McDavis explained that the Ridges were given to Ohio University by the state in the 1980s. While there have been investments by the University over the ensuing years, there are financial challenges in continuing to fund the needs of the Ridges.

Higher Education Funding Commission

President McDavis spoke about his recent appointment by Governor Kasich to the Higher Education Funding Commission. November 1st, the day of this meeting, is the deadline for institutions to turn in their capital budget requests. The Commission will then turn over their proposals to the Governor by the end of November. Ohio University's proposal will focus on deferred maintenance needs.

Report on Visit to Faculty Senate

Chair Anderson reported that she and Trustee David Brightbill visited the faculty senate on October 14, 2013. During this visit they took questions from the faculty senators. Issues included concerns about tenure track faculty; issues of academic quality; faculty compensation; administrators' compensation; role and expense of intercollegiate athletics; online education; investment in facilities; and faculty morale. Chair Anderson pointed out that Faculty Senate Chair Elizabeth Sayrs provided excellent leadership in preparing the Chair and Vice Chair for the meeting and in moderating the discussion during the meeting.

Court Street Incident Update

President McDavis, Vice President Ryan Lombardi and General Counsel John Biancamano reported on an incident that occurred on Court Street during the 2013 Homecoming weekend. VP Lombardi provided the status of the findings from the incident. He also detailed the University's approach to educating students on issues of sexual misconduct. He noted that the education begins with orientation and continues through the duration of students' time on campus. He noted that recommendations have been made that the University and the Division of Student Affairs are considering as they move forward to create a healthier and safer environment.

A discussion ensued regarding the communication between the Athens Police Department and the University, and some of the challenges around the different jurisdictions.

At 8:40am, Secretary Mather called the roll for the Trustees to move into executive session pursuant to the provisions of R.C. 121.22.

At 9:38, Secretary Mather called the roll to exit executive session and Chair Anderson adjourned the meeting.

