



OHIO
UNIVERSITY

Board of Trustees

Ohio University
Pickerington Center

Agenda

September 6, 2012



OHIO
UNIVERSITY

BOARD ACTIVITIES FOR September 6, 2012
Ohio University Pickerington Center – Pickerington, Ohio

Activity & Committee Meeting Schedule

Thursday, September 6, 2012

- 10 a.m. Convene at Pickerington Center
12933 Stonecreek Drive, Building 1/Room 101/102
- Ongoing Hospitality Suite/Break Room, Building 1/Conference Room
- 10:30 a.m. University Resources Committee, Building 1/Room 101/102
- 10:30 a.m. University Academics Committee, Building 1/Room 010
- Noon Trustee Luncheon (Executive Committee), Building 1/Room 001
- 1:30 p.m. Governance Committee, Building 1/Room 101/102
- 1:30 p.m. Audit Committee, Building 1/Room 010
- 2:45 p.m. Break
- 3 p.m. Board Meeting, Building 1/Room 101/102
- 5:45 p.m. Bus will arrive at hotel to transport guests to dinner at Brio Tuscan Grille,
3993 Easton Station, Columbus Ohio
- 6 p.m. Bus will leave the hotel
- 6:30 p.m. Reception – Trustees, President, Association of Governing Boards (AGB)
Consultant Dr. Ellen Chaffee, Board Secretary, and Executive Staff
- 7 p.m. Dinner – Trustees, President, AGB Consultant, Board Secretary, and
Executive Staff

AGENDA
Board of Trustees' Meeting
3 p.m. - Thursday, September 6, 2012
The Pickerington Center
12933 Stonecreek Drive, Pickerington, Ohio
Building 1, Room 101/102

OPEN SESSION

Roll Call

Approval of Agenda

1. Minutes of Board of Trustees' Meeting of June 22, 2012

Comments from the Chair of the Board of Trustees

2. Report from the President

Committee Reports and Information Items and items apart from the Consent Agenda

- University Resources Committee
- University Academics Committee
- Governance Committee
- Audit Committee
- Executive Committee

Consent Agenda

Any trustee may request, in advance of action on the consent agenda, that any matter set out in this consent agenda be removed and placed on the regular agenda for discussion and action.

All matters listed within the Consent Agenda have been distributed to each member of the Ohio University Board of Trustees for reading and study, are considered to be routine, and will be enacted by one motion of the Board with no separate discussion.

Tab 7: Resolution, Construction Projects

Tab 10: Resolution, Center for Intervention in Schools

Tab 11: Resolution, Entrepreneurship Certificate

Unfinished Business

New Business

Communications, Petitions, and Memorials

Announcement of Next Stated Meeting Date

Adjournment

AGENDA
University Resources Committee
The Pickerington Center, Building 1, Room 101/102
10:30 a.m. – Thursday, September 6, 2012

3. Capital Campaign Update
4. Endowment Review
5. Climate Action Plan
6. Summer Campus Update
7. **Consent Agenda**, Construction Projects
8. **Resolution**, Zanesville Recreation Center
9. **Resolution**, Property Acquisition – Cambridge Classroom

- Unfinished Business

AGENDA
University Academics Committee
The Pickerington Center, Building 1, Room 010
10:30 a.m. – Thursday, September 6, 2012

10. **Consent Agenda**, Center for Intervention in Schools
11. **Consent Agenda**, Entrepreneurship Certificate
12. Community Standards Update
13. Academic Quality: Dashboard Review
14. Search Updates
15. Tobacco Free Campuses

- Unfinished Business

AGENDA
Governance Committee
The Pickerington Center, Building 1, Room 101/102
1:30 p.m. – Thursday, September 6, 2012

16. Discussion of Proposed Revisions to ByLaws
- Update on New Trustee Orientation

AGENDA
Audit Committee
The Pickerington Center, Building 1, Room 010
1:30 p.m. – Thursday, September 6, 2012

17. VP Research Compliance Presentation

- External Audit Update

18. FY2013 Internal Audit Update

EXECUTIVE SESSION

AGENDA
University Executive Committee
The Pickerington Center, Building 1, Room 001
Noon - Thursday, September 6, 2012

- Report on Meeting with Faculty Senate Executive Committee
- Unfinished Business

EXECUTIVE SESSION

Statement of Expectations for Members of the Board of Trustees of Ohio University

*Adopted by the Board of Trustees on April 24, 2009
Amended on June 22, 2012*

This Statement of Expectations is intended to provide guidelines and information to assist members of the Board of Trustees in fulfilling their roles and responsibilities in service to Ohio University and the citizens of the State of Ohio.

1. The Role of the Board

- a. The Board of Trustees is the governing body of Ohio University. It is a body politic and corporate under Ohio law and has the right to sue and be sued. The General Assembly has conferred upon the Board the authority to: adopt rules for the governance of the institution; hire and supervise the President, faculty and staff; oversee university finances; and control university property and facilities.
- b. The Board serves the citizens of the State of Ohio. It is responsible for ensuring that the university offers students an educational experience of the highest quality and produces research that provides economic and cultural benefits to the citizens of Ohio. It is also responsible for making efficient and effective use of state resources by working with the Governor, the Board of Regents and the other state universities through the University System of Ohio.
- c. The Board's primary concerns are strategic governance and accountability. It should adopt a strategic plan designed to ensure the long-term fulfillment of the university's teaching, research and service mission, monitor progress in achieving the plan's goals and update the plan as necessary. It should provide oversight to protect the university's fiscal integrity and make sure that the President, faculty and staff comply with all applicable laws and perform their responsibilities ethically and competently.
- d. The Board should adopt a procedure governing the creation and monitoring of corporate entities affiliated with the university.
- e. The Board should govern through the President and should refrain from becoming involved in day-to-day operations.
- f. The Board should recognize the important role that the principle of shared governance plays in institutions of higher education. It should seek input from faculty, staff and students and whenever possible incorporate their views into its decisions.

2. The Role of Individual Trustees

- a. Members of the Board of Trustees are stewards of the public trust. They have a fiduciary obligation to act in the best interests of the university and the State of Ohio. They must adhere to the highest ethical standards and perform their university duties without regard to any personal interests they may have. Trustees should understand and comply with state ethics laws and keep themselves informed of developments in these laws. They should avoid situations that may give rise to even the appearance of a conflict of interest and promptly disclose any conflicts of interest that may occur.
- b. Trustees should understand that they serve the institution as a whole and are not advocates for any particular unit or constituency of the university.
- c. Student Trustees have a unique responsibility to ensure that the views of students are heard in Board deliberations. They should also share with other students the Board's perspectives on University issues. In performing both of these functions, they should keep in mind the needs of all constituencies within the university.
- d. The Chair of the Ohio University Alumni Association Board of Directors is responsible for ensuring that the views of alumni are presented to the Board and for communicating to alumni the Board's perspectives on university issues.
- e. Service on the Board is a time consuming professional commitment. Trustees should attend all meetings of the Board and committees and should give notice to the Chair when they are unable to do so. Trustees should also make an effort to participate in conferences and other programs designed to educate and update Trustees and to attend commencements, convocations and other special events on campus.
- f. Trustees should be attentive during meetings and refrain from multitasking. They should treat the opinions of their colleagues on the Board as well as others participating in Board discussions with civility and respect and should be open to alternative points of view. They should respect and protect the confidentiality of matters discussed in executive sessions and should refrain from publicly or privately criticizing other Trustees or impugning their motives.
- g. Trustees should strive to make informed decisions based on an analysis of objective data. In their deliberations they should rely on the application of sound management principles and prudent business judgment. To ensure thorough consideration of Board decisions, they should review briefing materials and be prepared to actively participate in discussions.
- h. In order to make good decisions, Trustees need to engage in robust and thorough discussions of university issues in public meetings. Disagreements will occur and Trustees should seek productive ways to resolve them. Once a consensus is reached on an issue, all Trustees should respect the final decision of the Board.

- i. Trustees should keep themselves informed about issues and events at the local, state and national level that may affect the university and higher education in general.
- j. Trustees are encouraged to offer financial support to the university in accordance with their means.
- k. Trustees should understand and comply with the Ohio Public Records and Open Meetings Laws and should keep themselves informed of developments in these laws.

3. The Board's Relationship with the President

- a. The Board delegates responsibility for all aspects of institutional management to the President. The Board and individual Trustees should refrain from involvement in operational matters except as necessary to fulfill their fiduciary duties.
- b. The Board and the President should agree on clearly defined institutional goals and strategies for achieving them.
- c. The Board should hold the President accountable for achieving institutional goals. Evaluation of the President should be an ongoing process with the Board offering candid and constructive feedback as necessary. In accordance with Board policy, formal evaluations should be conducted on a regular basis.
- d. The President reports to the Board as a whole and not to individual Trustees. Trustees who have concerns about the President's performance should convey them to the Board Chair who will take appropriate action to address the concern. The Chair will report back to the Trustee who raised the concern in a timely manner.
- e. Individual Trustees should develop a comfortable working relationship with the President. They are encouraged to interact with the President one-on-one as needed to share information, concerns or advice but they should remember that when they do so they are not speaking for the entire Board.

4. The Board's Relationship with Internal Constituencies

- a. Trustees are encouraged to interact informally with administrators, faculty and students, bearing in mind that they do so as individual members of the Board. They should avoid any statements that would give rise to the perception that they speak for the entire Board.
- b. When interacting with faculty, staff and students, Trustees should not disclose matters deemed confidential by the Board in executive session, advocate for their personal position on university issues or criticize other members of the university community.

- c. Trustees should submit requests for information about institutional issues to the Board Secretary who will facilitate a response from the appropriate university official.
- d. Consistent with the principle of shared governance, the faculty, through the Faculty Senate, plays an active advisory role to the administration and the Board of Trustees on all academic matters, including but not limited to academic standards, research, admissions, curriculum and the granting of degrees. The Faculty Senate initiates policies relating to university-wide academic matters, the rights and responsibilities of faculty and faculty grievances. The Board should respect the role of the Senate in these areas and should also consider advice from the Senate on matters of general concern to the university community.
- e. The Board should encourage the President and administrators to involve individual faculty and students in the development of institutional goals and priorities. The active participation of faculty and students in these matters will give them a broader understanding of institutional governance and will enrich the Board's understanding of faculty and student views on university issues.

5. Relationships with External Entities

- a. The Board Chair is the only Trustee authorized to make public statements on behalf of the entire Board.
- b. When asked to comment on Board actions or deliberations, Trustees may defer to the Chair or the President. If Trustees choose to speak publicly on issues relating to the university or higher education in general they should make it clear that they are stating their personal views and are not expressing the formal position of the Board or the university.
- c. When individual Trustees communicate with federal, state or local officials on issues relating to higher education, they should take care not to create the perception that they speak for the Board or the university unless they have been authorized by the Chair or the Board to do so.
- d. When individual Trustees are presented with concerns about university operations, these matters should be communicated to the President and/or the Chair.
- e. While Trustees should seek information and ask questions of others, they should refrain from publicly criticizing the President or other members of the University Community. Criticisms or concerns that Trustees may have about the President or other members of the University Community should be conveyed to the Chair who will determine the appropriate method for the Board to address the issue.



The Ohio University Board of Trustees By-Laws as Amended

Revised April 24, 2011

Revised February 10, 2010

Revised January 23, 2009

Revised February 8, 2008

Revised July 14, 1989

Revised June 23, 1990

Revised September 20, 2002

Revised December 17, 2004

Article I. Corporate Authority and By-Laws

Section 1. Since by Federal and State law, there shall be and forever remain in the said university, a body politic and corporate, by the name and state of The President and Trustees of the Ohio University in the name and style of The Ohio University. The Ohio University Board of Trustees, hereinafter referred to as the Board, chooses to be governed by these By-Laws and the applicable provisions of Ohio law.

Section 2. The adoption of these By-Laws by the Board automatically nullifies all previous By-Laws.

Section 3. No By-Laws shall be enacted, amended, or repealed, except by a majority vote (5 votes) of the Board, and then only after thirty (30) days notice of a proposed change has been given to all members.

Section 4. The Board is composed of nine Trustees and two student Trustees, all appointed by the governor of the State of Ohio in accordance with Section 3337.01 of the Ohio Revised Code. The Board shall also include two national Trustees and the chair of the Ohio University Alumni Association Board of Directors or his or her designee. One national Trustee shall be appointed by the Board for a term of one year beginning on July 1, 2010. One national Trustee shall be appointed by the Board for a term of three years beginning on July 1, 2010. Thereafter, both national Trustees shall serve terms of three years.

Section 5. The nine Trustees appointed by the Governor will hold voting privileges. The two student trustees, the two national trustees and the chair of the Ohio University Alumni Association Board of Directors may not vote on Board matters but their opinions and advice will be actively solicited and welcomed in Board deliberations.

Article II. Officers of the Board

Section 1. Officers of the Board shall be as follows:

- (a) Chairperson
- (b) Vice-Chairperson
- (c) Secretary
- (d) Treasurer

Section 2. The Chairperson shall preside at all meetings of the Board, and unless otherwise directed by the Board, shall have the authority to appoint members of and to fill vacancies on all standing and special committees. He or she shall serve as Chairperson of the Executive Committee. Subject to these By-Laws, he or she shall fix the date and time of all regular, special, and emergency meetings, and perform such other duties as may be pertinent to the office of the Chairperson.

Section 3. The Vice-Chairperson, in the absence or incapacity of the Chairperson, shall assume the duties and obligations of the Chairperson.

Section 4. The Secretary shall keep minutes of all Board meetings and shall promptly distribute copies to all Board members. He or she shall be responsible for the orderly preservation of all records pertaining to Board business, and shall perform all other duties usual to the office or imposed by the Chairperson or by Board action.

Section 5. The Treasurer shall be responsible for the fiscal management of the University, including supporting budget preparation, the preparation of all officially required financial reports, investments, coordination of audits with auditors, including federal and state auditors, relationships with financial reporting agencies, and all other financial responsibilities generally or specifically assigned by the Board or the President.

Article III. Election of Officers

Section 1. The Chairperson, Vice-Chairperson, Secretary, and Treasurer shall be elected annually by the Board.

Section 2. The Chairperson and Vice-Chairperson shall each serve for one year and shall be eligible for re-election to their respective offices for a period up to three (3)

consecutive years. The Secretary and the Treasurer shall be eligible for annual election to these offices without a yearly limitation.

Article IV. The President and Presidential Duties

Section 1. On the basis of mutual good faith and any contractual relationship pointing to continuous service, the President of the University shall be elected from year to year, and shall be entitled at all times to one (1) year severance notice or one (1) year salary if terminated.

Section 2. The President shall attend all meetings of the Board and shall, in an advisory capacity, have a voice in its deliberations. He or she shall have the authority to initiate any subject at Board meetings.

Section 3. The President shall be responsible to the Board for the administration and discipline of the University.

Article V. Meetings

Section 1. Regular Meetings. The Board shall hold no fewer than five (5) regular meetings a year, with the date and time fixed in accordance with the provisions of Article II. Section 2.

Section 2. Special and Emergency Meetings. Special and emergency meetings may be held upon the call of the Chairperson or upon the written request of three (3) Board members to the Secretary.

Section 3. Notice of Meetings. The Secretary shall notify all Board members and the President at least five days in advance of all regular and special meetings and at least one day in advance of all emergency meetings. The policy designated "Notification Procedures for Meetings," which has been adopted by the Board pursuant to Revised Code Section 121.22, is hereby incorporated by reference into this section, and the Secretary shall carry out his or her responsibilities under that policy in accordance with its provision for all meetings.

Section 4. Attendance. It shall be the policy of the Board to require full attendance at all meetings of the Board and committees in accordance with Revised Code Section 3.17. Excuses for absence from meetings shall be communicated to the Secretary at least two (2) days before meetings. Persistent unreasonable absences in violation of Ohio law shall be cause, at the pleasure of the Chairperson, for reporting such delinquency to the appropriate authority of the State of Ohio.

Section 5. Quorum. For the purpose of doing business, a majority (5 voting Trustees) of the Board membership shall constitute a quorum; however, a vote of two-thirds (6 votes) of the voting Trustees shall be necessary to elect or remove a President; and a vote of a

majority (5 votes) of the voting Trustees shall be necessary to authorize the sale or lease of a University building or the planned demolition of a University building.

Section 6. Agenda. The Secretary shall consult with the chairs of the Standing Committees and then prepare a proposed agenda for each Regular Meeting. The proposed agenda shall be delivered to the President for his or her review and then to the Chairperson of the Board for final approval.

Article VI. Standing and Special Committees

Section 1. Standing Committees of the Board, consisting of no fewer than three (3) members each, shall be appointed annually or for longer terms by the Chairperson of the Board, and each Standing Committee shall consider and make recommendations for action by the Board on the various policy matters enumerated below as follows:

(a) University Academics. Responsibilities will include the academic plan; enrollment management; student life; intercollegiate athletics; diversity; research and technology transfer policies and activities; information technology; communications and marketing; academic appointments; promotion and tenure policies and procedures; academic program reviews; and awarding of degrees.

(b) University Resources. Responsibilities will include financial operations; business organization and practices; human resources; university advancement; relations with local, state, and federal legislative and administrative agencies; recommending of the schedule of tuition and fees; borrowing of funds; naming, location, planning, construction, and maintenance and renovation of the University's facilities and grounds; the purchase, sale and lease of lands and buildings; reviewing and monitoring of all investments including the endowment; contract oversight on public utilities and other large contracts; and recommending of investment policy, advising the Board on investments and appointment of investment advisors to assure compliance with Section 3345.05 ORC.

(c) Audit. Responsibilities will include the oversight of the internal audit functions, annual or other periodic audits of financial operations, the recommendation of the appointment of an external audit firm to the Board of Trustees, the receipts of the reports of the internal auditor and the external audit firm, and the university's accountability and compliance procedures.

(d) Governance. Responsibilities will include the recommendation of general governance policies and procedures, the nomination of Board officers and recommendation of candidates for future trustees and national trustees. At the last meeting in each fiscal year, the Committee shall review these Bylaws to determine whether any changes are appropriate and shall recommend any such changes to the Board of Trustees.

(e) Executive. Responsibilities will include consulting with the President on the appointment of executive officers and business not specifically assigned to another Standing or Special Committee.

Section 2. The Executive Committee shall be made up of the Chair and Vice Chair of the Board of Trustees and the Chairs of University Academics and University Resources Committees and have broad powers to act in all matters not deemed by the Chairperson of the Board and the President of the University as of importance to command the immediate attention of the entire Board. All actions of the Executive Committee shall be subject to approval by the Board, except those wherein the Board has delegated to the Executive Committee or the President full power to act for the Board.

Section 3. Special committees may be appointed by the Chairperson of the Board for the purpose as the Board may deem necessary.

Section 4. The Chairperson of the Board and the President or designee shall be ex-officio members of all Standing Committees and Special Committees; however, neither is eligible to serve as a voting member of a Standing or Special Committee, in his or her ex-officio capacity.

Article VII. Parliamentary Authority

Section 1. When not in conflict with any of the provisions of these By-Laws, the Robert's Rules of Order Newly Revised shall govern the proceedings of the Board.



OHIO
UNIVERSITY

Board of Trustees

O h i o U n i v e r s i t y

Minutes

June 22, 2012

**MINUTES OF THE MEETING OF
THE BOARD OF TRUSTEES OF OHIO UNIVERSITY**

June 22, 2012

**Walter Hall
Ohio University, Athens Campus**

**THE OHIO UNIVERSITY BOARD OF TRUSTEES
MINUTES OF MEETING**

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Unfinished Business

New Business

Communications, Petitions, and Memorials

Announcement of Next Stated Meeting Date

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- A President's Report
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- E Audit Committee
- F Executive Committee

CALL TO ORDER

This was the first meeting led by newly appointed Chairperson of the Board of Trustees, Gene T. Harris, who called the June meeting of the Ohio University Board of Trustees to order at 10:06 a.m.

Chair Harris requested that Thomas E. Davis, Secretary to the Ohio University Board of Trustees, call the roll.

ROLL CALL

Present—Chairperson Gene T. Harris, Vice-Chairperson Sandra J. Anderson, Trustees, David Brightbill, David A. Wolfort, Kevin B. Lake, Janetta King, N. Victor Goodman and Diana Hisey.

Also in attendance, were National Trustee Frank P. Krasovec, Alumni Representative Arlene Greenfield, Student Trustees Danielle Parker and Allison Arnold, President Roderick J. McDavis and Board Secretary Thomas E. Davis.

Not Present—National Trustee Henry Heilbrunn.

APPROVAL OF THE AGENDA

Chair Harris asked for approval of the agenda. Trustee Brightbill moved for the approval of the printed agenda and Trustee Lake seconded the motion. There was no discussion and the motion carried by unanimous vote.

APPROVAL OF THE MINUTES

Chair Harris asked for a motion to approve the minutes of the April 20, 2012 meeting of the Board of Trustees. Trustee Anderson moved to accept the minutes and Trustee Wolfort seconded the motion. There was no discussion and the motion carried by unanimous vote.

Report from the Chair of the Board of Trustees

Chair Harris welcomed those in attendance and thanked the faculty, staff, students, administrators, and President McDavis for their dedication which culminated in another successful commencement celebration. She commended those at Ohio University who have allowed the University to move towards its mission of becoming the nation's best transformative learning community.

Chair Harris acknowledged that this meeting marks a time of transition, in which several members of the Board of Trustees are ending their tenure. She recognized the service of outgoing National Trustee Krasovec, Student Trustee Parker, Alumni Representative Greenfield, and Board Secretary Davis. She thanked these individuals for sharing their time and talents with the University through their service to the Board of Trustees. Chair Harris

also welcomed Diana Hisey, an Ohio University alumna from the Cincinnati area and newly appointed Trustee. Additionally, she welcomed incoming Alumni Representative, Bill Hilyard, and incoming Student Trustee, Amanda Roden, both of whom were present in the audience. Chair Harris then asked for comments from other Trustees.

National Trustee Krasovec expressed his pleasure with his tenure as National Trustee and encouraged a continued commitment to maintain the wonderful tradition and excellence of Ohio University.

Student Trustee Parker expressed how impressed she is by the Ohio University Board of Trustees and noted her tenure as Student Trustee is the single most amazing experience of her college career. She continued by noting that she looks forward to seeing everyone in 20-30 years when she is sitting as a full member of the Board of Trustees.

Alumni Representative Greenfield stated that she valued her time with the Ohio University Board of Trustees and thanked the Board for welcoming her and leading the University.

Chair Harris reviewed the contributions of the Secretary to the Ohio University Board of Trustees, Dr. Thomas Davis, including his preparation and perspective. She acknowledged that his 26 years as a faculty member at Ohio University is marked by tremendous leadership to the University, the broader Ohio University community, and his profession (applause).

Trustee Anderson stated that these four individuals are exemplary professionals, serving the Board of Trustees and furthering the mission of Ohio University.

Student Trustee Arnold noted that outgoing Student Trustee Parker has been a great mentor and friend in preparing her for the position of Student Trustee and commended Trustee Parker's holistic approach to Trusteeship.

Chair Harris reviewed the Board of Trustee's work during the previous day including a presentation led by President McDavis and Vice-President of Finance and Administration, Mr. Stephen Golding, regarding the emerging strategies to build strong relationships between Ohio University and the City of Athens. The major point of focus was on quality of life issues for those living on campus and in the community. Recent initiatives included joint policing, working with the Mayor of Athens on major fests, early childhood development, and building shared infrastructure to enhance cost-saving. Chair Harris recalled President McDavis' inaugural address eight years ago, in which he pledged to ensure that Ohio University's resources were used in the region to make the region a better place. She noted that the presentation on the day before the meeting evidenced this point.

Chair Harris also commented on the Trustees' visit to the Alden Library, hosted by Scott Seaman, Dean of Ohio University Libraries. During the visit to Alden, they heard from the archivist, Bill Kimok, and learned that the Alden Collection is among the top 100 in the country with over three million documents.

Chair Harris concluded her remarks with a discussion about President McDavis' leadership. She noted that during the 2011-2012 academic year, the Board conducted a comprehensive presidential evaluation with the assistance of Dr. Terry MacTaggart, Consultant from the Association of Governing Boards. Dr. MacTaggart invited some 65 individuals to participate. The process included various stakeholders from campus and around the state of Ohio. The Trustees considered President McDavis' own self-assessment and Dr. MacTaggart's personal appraisal. Dr. MacTaggart suggested that presidents of public institutions have a very difficult task due to dwindling state funds and increasing expectations that call for substantial leadership skills; President McDavis ranked very high on many of these identified leadership characteristics. Chair Harris highlighted some aspects of President McDavis' evaluation by Dr. MacTaggart. President McDavis' leadership was exemplified by his ability to identify alternative resources, the success of the *Promise Lives* campaign, and the implementation of responsibility centered management. Chair Harris went on to say that President McDavis' leadership has increased the reach of Ohio University into new global, national, and local markets and enhanced the institution's reputation. She indicated that negotiations concerning the President's contract are currently ongoing.

National Trustee Krasovec noted that Ohio University has the strongest leadership team it has had since he began his service to the university in 1998 and attributed this to President McDavis' ability to lead and recruit a team that is committed to excellence.

Trustee Anderson described President McDavis as a "coach" and "team player." She also described the President as a captain of a complex organization with many moving parts. With President McDavis' leadership, she expressed confidence that Ohio University is moving in the right direction, despite numerous challenges.

Trustee King recognized the spirit in which President McDavis and the first lady's leadership transcends what is shown on paper.

Student Trustee Parker complimented President McDavis for his continued focus on students' perspectives and interests and thanked him for keeping his actions in line with the University's mission.

Chair Harris acknowledged that some accomplishments are not without controversy, but President McDavis' steady hand and leadership are guiding Ohio University in the right

direction. She ended her comments by thanking the Ohio University community (applause) and introduced President McDavis to offer his report.

Report of the President

President McDavis thanked everyone for their comments, faith, and confidence in him and the first lady. President McDavis gave a heartfelt expression of appreciation to the stellar team of faculty, students, and others that make Ohio University a great place.

Chair Harris noted that President McDavis' passion for the institution is one of the many reasons that Ohio University is privileged to have President McDavis as its President.

President McDavis then began his report by emphasizing a continued focus on Ohio University's vision: to be the nation's best transformative learning community. He also cited the Four Fundamentals and Supporting Strategic Priorities, which grew out of the strategic planning process, as the blueprint for meeting the university's goals. (See the Four Fundamentals and Supporting Strategic Priorities at <http://www.ohio.edu/president/news/upload/Presidents201112PerformanceObjectives.pdf>.) President McDavis expressed his plan to focus on strategic partnerships in achieving institutional goals. He pointed to specific activities, including the *Promise Lives* campaign, the Pilot Parental Leave program, and recent leadership changes as important changes and initiatives.

President McDavis thanked the Dean of the Ohio University Heritage College of Osteopathic Medicine (OU-HCOM), Dr. Jack Brose, and Sharon Zimmerman for their leadership in forging a new strategic partnership with the Cleveland Clinic. The affiliation agreement is designed to develop a Northeast Ohio Regional Extension Campus with the goal of reducing the shortage of primary care physicians throughout the state of Ohio. This agreement aims to grow a 35-year relationship with the Cleveland Clinic by committing \$7 million in philanthropic support. This includes \$5 million to Cleveland Clinic South Pointe Hospital from the Brentwood Foundation to support a new campus and \$2 million from the South Pointe Hospital. The OU-HCOM has raised \$128 million to date, exceeding the original goal of \$123 million. The total includes \$105 million from the Osteopathic Heritage Foundations, \$10 million from OHF Academic and Research Center, \$2.3 million from OHF Clinical Training and Assessment Center, \$7 million from Cleveland Clinic and Brentwood Foundation, and \$3.7 in other gifts and commitments. These contributions are an example of what Ohio University hopes to accomplish with Responsibility Centered Management throughout all of its units. Many Trustees were in attendance for the recent announcement of the strategic partnership and President McDavis conveyed his pride in the anticipated impact this agreement will have on Ohio for many years to come. He expressed his pleasure with the value the Cleveland Clinic adds to the Ohio University brand (applause).

President McDavis discussed the parental leave pilot project, which focuses on recruiting and retaining faculty. The goal of this program is to improve Ohio University as a work place. The President acknowledged the Parental Leave Task Force, which performed the work and developed recommendations. Beginning in the fall of 2012, benefits-eligible employees will have the opportunity to enroll in the parental leave pilot program with eligibility for leaves beginning in January 2013. The Health Benefits Surplus will fund the program, which was identified in consultation with leadership of the faculty senate. EVPP Benoit and VP Golding will appoint a university-wide implementation team to begin work during the 2012 fall semester. President McDavis noted that this program supports a family-friendly environment at Ohio University. He also noted the tremendous work required to ensure a smooth transition from quarters to semesters.

President McDavis announced the appointment of Ryan Lombardi as Interim Vice President for Student Affairs to fill the position vacated by Dr. Kent Smith. Mr. Lombardi is a staunch advocate for Ohio University's students and a person of great integrity who inspires and motivates students, administrators, and staff throughout the division of student affairs. Mr. Lombardi has developed strong working relationships with the City of Athens and plans to strengthen working relationships with deans and faculty on campus.

Points of pride

President McDavis reviewed several points of pride. Ohio University was recognized as a 2012 Top University for Professional Sales Education by the Sales Education Foundation. The President observed that the recognition for the Ralph and Luci Schey Sales Center at Ohio University is overdue; he further acknowledged the benefit of this Center to many Ohio University alumni including many of the current Trustees.

Ohio University sustainability efforts earned the University a spot on Princeton Review's *Guide to 322 Green Colleges*. With continued Board support, the sustainability efforts will support the capital improvement plans.

President McDavis announced the 29th Annual Leadership Gala hosted by the Division of Student Affairs. The event was held on May 23rd, 2012, and more than 40 students, faculty, staff members, and student organizations received awards.

President McDavis reported on the University's International Street Fair, which celebrated its 30th anniversary. He noted that this event is one of a kind and recognizes international students and further exemplifies the collaborative relationship with the City of Athens. This Street Fair is a symbol of the University's important global reach.

President McDavis announced that Dr. John Kopchick was named the 2012 Ohio University Distinguished Professor because of his prowess as a researcher, scientist, and faculty.

Finally, President McDavis announced that the Ohio University Class of 2012 included 5940 graduates, which was one of the largest ever. Ms. Nancy Cartwright delivered a wonderful commencement address; many Trustees were in attendance to celebrate the culmination of another year of hard work by so many.

University Spotlight

President McDavis invited Dr. Randy Leite, Dean of the College of Health Sciences and Professions, and his colleagues, to present on Ohio University's *Kids on Campus* program.

Dean Leite thanked the Board of Trustees and reviewed his comments to the Academics Committee from the previous day regarding the program's outreach and service to the underserved. The *Kids on Campus* program is one of the longest standing and most visible ways in which the College of Health Sciences and Professions satisfies its mission. Dean Leite introduced his colleagues to provide more information regarding the program.

Kevin Davis, Director of Community Engagement, stated that it was an honor to share with the Board of Trustees, and was pleased to highlight one of the significant projects coming from the College. He noted that under Dean Leite's leadership, community outreach is taken very seriously with the goal of extending the boundaries of the College to reach people who are underserved in the community. Mr. Davis identified the *Kids on Campus* program as a cornerstone in this effort. The program began in 1996 as a summer nutrition program and evolved into a wonderful year round after-school program. This program serves around 500 Athens' young people each year and is now coordinating with the Ohio Department of Education. Mr. Davis introduced the *Kids on Campus* program through a video (*followed by applause*). Mr. Davis expressed pride in the program that provides a positive influence to so many of the children it serves. Although this program has previously only been an Athens' program, an agreement was recently signed to expand the program to Southern Local and Perry counties.

Timarie Francis, *Kids on Campus* Director, reviewed the reasons why families choose to participate in the after school program. She explained that the enrichment activities provided by the program do not simply extend the school day, but provide rich learning opportunities. She reviewed several tracks offered to the *Kids on Campus* participants including recreation, cyber safety, Lego robotics, seed to plate, literacy, swimming safety and lessons, and sustainability. The *Kids on Campus* program is now offered in 4 out of 5 Athens County school districts. Of the 180 first to eleventh graders this summer, 75% are economically at-risk, which illustrates the need for the summer program.

Shelly Lowery-Rowan, a parent of two *Kids on Campus* participants, discussed her experience with the program. She noted that she can see the benefit of the program as a

local resident of Athens and employee of Ohio University. She informed the Board that both of her children participated in *Kids on Campus* and she is very thankful for the opportunity the program provides to help kids become acquainted with the University. She identified two important outcomes for her children: 1) exposure to a college campus, making future college enrollment increasingly possible; and 2) experiencing fun and engaging education.

Trustee Goodman recalled his prior tenure with the Board of Trustees in 1996 when this program was beginning and his joy to see the evolution of this program into what it is today.

Mr. Davis reported there is around 100 full- and part-time staff working with the program.

Trustee Harris thanked Dean Leite and the staff for providing kids with the exposure to college and educational experiences. She noted that the College of Health Sciences and Professions' requirement for their students to have interactions with persons who are underprivileged is an example of how the university can use its resources to serve the community.

Trustee Anderson questioned the presenters about the capacity for the program and its ability to meet area needs. In response, Ms. Francis reported that some transportation barriers exist due to funding that prevented several interested students from attending. There is currently a wait-list of 30 for the summer program as well as wait lists for all after school programs.

Trustee Anderson asked about the presence of these programs on regional campuses.

Mr. Kevin Davis reported there are no regional campus programs currently, but efforts are being made to expand the program. However, these efforts are challenged due to limited resources.

Trustee Brightbill echoed the previous comments and highlighted the tremendous need to increase access to colleges by impressing the idea that college is here for everyone.

Dean Leite then introduced another set of guests, the children and staff of the *Kids on Campus* program. The guests entered the room, shook hands and distributed thank you cards to the Trustees.

Mr. Kevin Davis introduced them as the future Bobcats class of 2025 (applause).

Chair Harris thanked Dean Leite and everyone involved with the *Kids on Campus* program.

President McDavis ended his report with another thank you to everyone concluding their tenure with the Board of Trustees.

Chair Harris then introduced Trustee Wolfort with the Resources Committee report.

University Resources Committee Report

Trustee Wolfort provided the Board with an overview of the work of the University Resources Committee meeting from the previous day, including a long report and several resolutions that required a vote.

During the Resource Committee meeting, Vice President for Finance and Administration, Stephen Golding, provided an update on the Service Alignment project. Mr. Scott Hess and Mr. Bill Jenkins from the Huron Consulting Group were introduced to discuss Phase II of the RCM implementation. They also discussed recommendations for optimizing RCM OHIO. Huron identified 51 recommendations across the three functional areas. Mr. Hess and Mr. Jenkins noted that a May 30 retreat was conducted for applicable service areas to lay out the timeline for sequencing and implementing priority recommendations. They pointed out that the development of metrics to measure performance is a critical next step in the implementation. Many of the recommendations center on IT and the R12 Oracle implementation. Identification of resources needed in each of the service areas is occurring at this time, with significant progress expected within the next month. A Project Management function is being put in place in order to monitor execution of the implementation.

There are ongoing discussions of college capacity and resource needs. A 'Chief Administrative Officer' position description is being developed to serve high-level strategic planning and administrative needs in the colleges. Colleges will be expected to maximize potential revenue generation through opportunities such as professional programs, online programs, tuition and financial aid strategies, and differential tuition. In an RCM environment, Deans will need to conduct business analyses to capitalize on opportunities, understand capacity and margin issues, and understand how best to apply resources toward revenue generating opportunities. The right managerial reports and modeling will provide tools for strategic decisions based on indicators such as program growth or decline as well as income generation. The modeling will also account for curricular realities that are vital to maintaining a healthy and diverse academic environment.

Chad Mitchell, Interim Budget Director, and John Day, Vice Provost for Academic Budget and Planning, presented on the FY 2013 budget development. The presenters indicated that a balanced budget was in line with the University's strategic plan and avoided further budget cuts to programs. The budget reflected a tuition increase, attainment of the strategic enrollment plan goals including a small Athens campus enrollment increase, and additional subsidy projection tied to enrollments. Expenditure increases include a 2.5%

raise pool, healthcare and utilities inflationary increases, and increases in financial aid to offset the tuition increases. Strategic investments of \$12.8 million are included supporting \$6.3 million for Effective Total Compensation and \$2.5 million for Effective Enrollment Planning. Requested total budget authority approval of \$786.3 million represents an increase of approximately \$35 million over the prior year budget.

One significant budget change, occurring at this time, due in large part to the RCM implementation, is the move of eLearning, approximately \$35 million, from Regional Campuses to Athens campus. Regional campuses as a whole are showing a slight enrollment decline and each campus' budgeted costs have been adjusted to reflect those enrollment shifts. Our state peers are reporting 'flat' growth on their regional campuses. Our regional campuses could be experiencing more attrition due to our eLearning/online program growth – especially in the RN to BSN program. Total university enrollments are growing, but the proportional enrollment among Athens campus, regional campuses and online courses is changing.

The 3.5% tuition increase approved at the April meeting will be applied to the General Fund budget. General Fee expenditure increases will be offset through a change in the realization factor applied to that revenue source. A few additional student fees, which were still being vetted at the time of the April meeting, are included in two resolutions for approval at this time.

The committee recommended moving three resolutions forward for full Board approval.

The first resolution presented was the FY 2013 Operating Budget. Trustee Wolfort reviewed the resolution and moved for its approval. The motion was seconded by Trustee Anderson. There was no discussion and the motion carried by unanimous vote.

**FISCAL YEAR 2012-2013
OPERATING BUDGET**

RESOLUTION 2012 -- 3279

WHEREAS, the appropriate planning and consultation has been completed within the University, resulting in recommendations for budget priorities, and

WHEREAS, the University has developed a balanced budget consistent with the provisions of the state budget;

NOW THEREFORE, BE IT RESOLVED that the Fiscal Year 2012-2013 budgets of the expected resources and expenditures for the Athens Campus, Auxiliary Operations, Regional Campuses, and the Heritage College of Osteopathic Medicine as presented are hereby approved subject to the following conditions:

1. The Vice President of Finance and Administration, in conjunction with the Executive Vice President and Provost and with approval of the President, is authorized to make adjustments in instructional and general operating expense allocations during the fiscal year to ensure the total does not exceed available unrestricted resources;
2. Expenditures for restricted and designated funds shall be limited to the resources generated.

BE IT FURTHER RESOLVED, that the Board of Trustees authorizes the President, with the approval of the Chair of the Board, to make adjustments to the budget as presented to reflect any changes in state appropriations.

The second resolution related to the eLearning/Off-campus Graduate Programs. Trustee Wolfort presented the resolution and moved for its approval. The motion was seconded by Trustee Brightbill. There was no discussion and the motion carried by unanimous vote.

**FISCAL YEAR 2012-2013
eLEARNING / OFF-CAMPUS GRADUATE PROGRAMS
INSTRUCTIONAL FEE, GENERAL FEE AND NON-RESIDENT SURCHARGE**

RESOLUTION 2012 -- 3280

WHEREAS, the Ohio University Fiscal Year 2012-2013 Operating Budget contains fixed and mandated cost increases, and

WHEREAS, the Amended Substitute House Bill 153 allows the combined instructional and general fees for undergraduate students to increase by 3.5%, and

WHEREAS, the planning within eLearning results in recommendations to collapse the rates for the Bachelor Completion program and the RN to BSN into a single rate for eCampus Undergraduate Programs to reflect the way these are billed in Peoplesoft under semesters and the quarterly rate was converted to a neutral semester rate to reflect the changes in the number of hours in the RN to BSN program, and

WHEREAS, the planning within eLearning results in a recommendation to increase the program fees by \$3 per hour for the Masters of Health Administration that will no longer receive the general fee as part of their revenue distribution, and

WHEREAS, the planning within eLearning results in a recommendation to create a new online version of the Masters in Information and Telecommunication Systems with a program fee of \$117 per hour, and

WHEREAS, the off-campus graduate programs using the current table for regional graduate programs are now run through Athens and need to be converted to an hourly rate like the other off-campus graduate programs run under eLearning resulting in the addition of lines for the Master of Communication Studies, Master of Social Science, and Public Administration programs with rates set to maintain neutrality after adjusting for the shift in the \$3 general fee, and

WHEREAS, the off-campus graduate programs in the College of Education similarly need to move off the old regional graduate program table but the college wishes to synchronize the rates for these programs with the Athens graduate rate since these degrees are offered in both formats and having two rates for the same program causes confusion resulting in a recommendation to set the rate for new cohorts entering these programs at the same instructional fee as Athens graduate programs with the exception of the Masters in Educational Administration which is kept at a lower rate since it is offered only off-campus.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the proposed fee schedule (Attachment H), effective Fall Semester 2012, unless otherwise noted.

The third resolution presented was regarding Student Course, Technology, and Miscellaneous Fees. Trustee Wolfort reviewed the resolution and moved for its approval. The motion was seconded by Trustee Anderson. There was no discussion and the motion carried by unanimous vote.

**FISCAL YEAR 2012-2013
STUDENT COURSE, TECHNOLOGY, AND
MISCELLANEOUS FEES**

RESOLUTION 2012 -- 3281

WHEREAS, the Ohio University 2012-2013 Operating Budget contains fixed and mandated cost increases, and

WHEREAS, the University is converting from quarters to semesters to support the *Strategic Plan for Higher Education* as part of the University System of Ohio, all fees have been neutrally converted and any requested increases have been applied after the conversion, and

WHEREAS, the planning and consultation within the University regarding student course and miscellaneous fees have been accomplished, resulting in recommendations of fee increases, and

WHEREAS, the fee schedules for New or Increase Semester Broad Based Fees and Semester Student Course Fees are consistent with Amended Substitute House Bill 153, and

WHEREAS, several additional fees are recommended for approval, in addition to those approved by the Board at the April, 2012 meeting, including an increase the Patton College of Education Technology fee to \$80 per semester for undergraduate and graduate students and several Student Course Fees for the Art program.

NOW THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the attached additional fees included on Attachment H, effective Fall Semester 2012.

BE IT FURTHER RESOLVED that the Board of Trustees authorizes the President or his designee to make non-substantive adjustments to the fee schedules, including but not limited to changes in course and fee identification numbers.

Trustee Wolfort reviewed the FY 2012 Sources and Uses Year-end Projections given to the committee by Julie Allison, Controller. Ms. Allison shared that the April projection for FY 2012 year-end reflects \$95 million in estimated net revenue. Projected FY 2012 revenues are forecast to be less than FY 2011 by \$27 million. This revenue reduction is partly attributable to revenues in FY 2011 resulting from Federal Stimulus funds as well as revenue from the Somavert monetization. Tuition and fee growth over prior year reflects eLearning enrollments and fee increases. Investment income is projected to be much more modest than prior years.

Expenditures for FY 2012 are also forecast to be lower than FY 2013 actuals by \$15 million. These lower expenditures are mainly due to lower salary and benefits costs reflecting the lag in filling vacancies resulting from the early retirement incentive programs. Professional services also show a second year of increase in line with the growth of contracted instructional programs.

Projected net revenue from Unrestricted Operations is estimated to be \$52.3 million. Auxiliary, College of Medicine, and Regional Campuses net revenues from operations are used for investments back into those operations. The Athens campus revenue of \$25 million is mainly attributed to the designated programs. \$15 million is attributed to eLearning programs. Trustee Wolfort noted that members of the Board questioned the

planning surrounding large favorable year-end variances as compared to the approved budgets. VP Golding listed several reasons for unbudgeted results:

- Better retention of students favorably impacts Housing results and better performance in the sales of meal plans favorably impacts the Culinary program
- Budgeting less than '100%' of expected revenue in an effort to be conservative; in addition, \$0 of investment income is budgeted in response to the crisis in 2008-2009
- The FY 2012 anomaly in salaries results from the success of the early retirement programs and the lag in hiring
- eLearning's explosive growth; efforts are ongoing to fully understand these changes, and projections of future revenue are approached conservatively'

It is difficult to project where future anomalies might occur as the reporting systems have not been optimal. Mr. Golding expressed belief that RCM will bring more clarity to the budget resulting in better budgeting and less volatile budget-to-actual results. There has been a unique story in each of the past three years that has led to that year's positive year-end results.

Trustee Wolfort then introduced another resolution regarding the Capital Improvement Plan by reviewing the discussion during the committee meeting. Harry Wyatt, Associate Vice President Facilities, reported on the projects that are currently ongoing: Scripps and the demolition of the old Baker Center, Chilled Water to support Scripps, Galbreath Chapel rehabilitation and safety projects across campus. Mr. Wyatt shared a sampling of the many space needs to accommodate academic program requirements. He indicated that there is a lack of 'move-in' ready space for expansion. The academic needs coupled with the other challenges to our facilities program such as lapsed and shrinking state capital appropriations, growing deferred maintenance, and the need for a \$100 million heating plant replacement have informed the 6 year plan approved by the Board in November, 2011 and this FY 2013 Capital Improvement Plan request. Trustee Wolfort moved for the resolution's approval. Trustee Brightbill seconded the motion and discussion ensued. Trustee Goodman prefaced his comments by recognizing that he had not been a member of the Board at the time of the November, 2011 meeting and, therefore, was not present for the Board's discussions over the past year leading up to this resolution. He reviewed his discussions with VP Golding and AVP Wyatt over the previous days, which raised his concern about the current plan for debt issuance and building expansion at a time when current facilities are in drastic need of rehab and deferred maintenance. Although he indicated his intent to vote in favor of the resolution, he would do so with some reluctance, and would hope to revisit the debt issuance conversation.

Chair Harris noted her appreciation for Trustee Goodman's comments. She noted that a lot of work has been accomplished over the past year to 18-months in order to establish set

the groundwork for this plan, which attempts to balance the need for growth to meet students' needs and maintenance of the existing facilities.

Trustee Wolfort also referenced the significant work that has gone into the planning and reviewing of several options. He noted the need to transform the university and the need for the facilities to remain vibrant and competitive.

Trustee Goodman agreed with the need to be transformative and continued to note that at some point the University will have to repay this debt issuance and the need for alternative plans is apparent.

The discussion ended and Chair Harris called for a vote. The motion carried unanimously.

**APPROVAL OF THE OHIO UNIVERSITY
FY 2013 CAPITAL IMPROVEMENT PLAN**

RESOLUTION 2012 -- 3282

WHEREAS, the University has undertaken the development of a FY 2013 Capital Improvement Plan, and

WHEREAS, this FY 2013 Capital Improvement Plan has been developed in the context of the Board of Trustees approved FY 2013 - FY 2018 Six Year Capital Improvement Plan and embodies the concepts incorporated within it, and

WHEREAS, the priorities of the Deans and Vice Presidents have been considered, and their endorsement of the plan given, and

WHEREAS, the Facilities Planning Advisory Council made up of faculty, staff and student representatives has reviewed and provided their endorsement of the plan, and

WHEREAS, the Capital Funding and Priorities Committee has approved and made recommendation to the President, and

WHEREAS, the FY 2013 Capital Improvement Plan has been approved by the President;

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does hereby approve the FY 2013 Capital Improvement Plan.

Trustee Wolfort introduced the resolution on Performance Contracting. Mr. Wyatt reminded the members of the University Resources Committee of the previous conversations regarding performance contracting and the process employed to arrive at the successful vendor partner, Constellation New Energy. Mr. Wyatt reviewed a partial list of projects, totaling approximately \$28 million, with paybacks averaging 15 years. These projects complement the Heating Plant renovation, which will achieve its own energy savings due to more efficient steam usage. Project funding is to come from the Ohio University bond issues and Ohio Air Quality Bonds – 50/50 funding proportion is planned. The entire debt will be on the university's balance sheet with debt service funding coming

from energy savings. Trustee Wolfort moved the resolution forward. Trustee Brightbill seconded the motion. There was no discussion and the motion carried by unanimous vote.

**APPROVAL OF PROJECT AND AUTHORIZATION TO AWARD CONTRACT FOR
FY 2013 ENERGY SAVINGS PERFORMANCE CONTRACT**

RESOLUTION 2012 -- 3283

WHEREAS, Ohio University developed a House Bill 251 Implementation Plan that incorporated an energy savings performance contract initiative, and

WHEREAS, Ohio University incorporated an energy savings performance contract initiative in its FY 2013 – FY 2018 Six Year Capital Plan, and

WHEREAS, the Board of Trustees approved the FY 2013 – FY 2018 Six Year Capital Plan in November, 2011, and

WHEREAS, the University conducted a competitive energy savings performance contractor selection process as prescribed by House Bill 7, and

WHEREAS, Constellation NewEnergy was selected via this process as contractor for the FY2012 Energy Savings Performance Contract, and

WHEREAS, Constellation NewEnergy has conducted an extensive analysis of cost and savings and has identified a total project cost of \$28,321,170 with a payback less than 15 years, and

WHEREAS, Constellation NewEnergy has identified energy and operational savings for each energy conservation measure which they will guarantee, and

WHEREAS, the University in conjunction with The Ohio Air Quality Commission is prepared to fund the capital cost of the project through bond issuance.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees authorizes the President or his designee to award a contract to Constellation NewEnergy within the total project budget identified.

Trustee Wolfort then provided an OU-HCOM update that was delivered to the committee by Donna Goss, Director of Community Engagement and Real Estate Management. She reported that the Economic Development agreement with the City of Dublin was signed last week and will result in a closing on the 90 acre development site on Friday, June 29. The closing on the adjacent 14.8 acre Dublin site for the Columbus campus is also scheduled for the same day. Jack Brose, Dean of the OU Heritage College of Osteopathic Medicine (HCOM), shared information regarding the central Ohio campus and the effort to hire the Associate Dean for that campus. Dean Brose reported increased applications for the fall 2012 class. He also reported on the Cleveland Campus announcement from the preceding week and thanked the Board members who were able to attend the festivities.

Trustee Wolfort introduced a resolution regarding Mineral Rights. He reviewed the discussion from the preceding day's committee meeting. This included the following: 1) a report that no campuses have come forward with a lease plan;, 2) the state commission has

yet to be formed under the legislation and the rules have not been promulgated; and 3) and the university continues to work on the inventory of 600+ properties and their categorization.

The Mineral Rights resolution provides the President with authority to approve classifications and submit them to the commission if the commission is formed prior to the next Board meeting. As the university awaits direction from the state and the formation of the commission, the internal committee working on the project recommends that baseline data on the properties be gathered and that the committee continue to establish recommendations for lease options and guidance regarding the sale of mineral rights on campus. Trustee Wolfort moved this resolution forward and it was seconded by Trustee Anderson. There was no discussion and the motion carried by unanimous vote.

**OHIO UNIVERSITY PARCEL CLASSIFICATIONS FOR OIL AND GAS LEASES
RESOLUTION 2012 - 3284**

WHEREAS, the development of Marcellus and Utica shale offers the State of Ohio opportunities for oil and gas production, and economic development, and

WHEREAS, House Bill No. 133 creates the Oil and Gas Leasing Commission and mandates that within 270 days after its effective date, the Commission shall establish rules that will address the issues of leasing all publicly held lands in the State of Ohio, and

WHEREAS, the law allows that before the Commission is formed and operational, the Board of Trustees of a State University has the authority to enter into leases of property for oil and gas production under their jurisdiction, and

WHEREAS, House Bill No. 133 requires each university to take inventory of all lands it owns or controls and classify each parcel based on its suitability for oil and gas exploration and development (R.C. 1509.72 (A), and

WHEREAS, Ohio University has established a committee charged with the inventory and classification of each parcel under the jurisdiction of Ohio University within the State of Ohio, and

WHEREAS, the Committee has thus far identified, with the assistance of outside counsel and expert title examiners, over 600 parcels of land to be classified according to the requirements of House Bill 133 and R.C. 1509.70 et.seq.

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of Ohio University does hereby authorize the President or his designee to accept, review and approve the classifications conducted by the committee on or before June 30, 2012, in accordance with the requirements of House Bill 133 and the Ohio Revised Code relevant sections.

BE IT FURTHER RESOLVED, that the Board of Trustees of Ohio University does hereby authorize the President or his designee to review, negotiate, modify, edit and recommend any lease/s he or his designee find appropriate and in the best interests of Ohio University, subject to the final approval of this Board and any other final approval as required by law.

Trustee Wolfort brought the recommendation of the committee forward to keep the three items on the consent agenda including construction projects, the Zanesville Recreation Center, and the Retirement Plans.

Finally, Trustee Wolfort reviewed the Capital Campaign Update provided by Vice President for Advancement, Bryan Benchhoff. Mr. Benchhoff delivered an update from the

Capital Campaign. He indicated that the materials distributed with the agenda did not include the Cleveland Clinic announcement and related gifts from the preceding week, which pushes campaign contributions to \$399.4 million. Dashboard results for the individual colleges and units show their total campaign successes to date. Mr. Benchoff displayed charts showing sources of gifts, area of gift giving, and the size and number of commitments.

A 'Thank You' video was shared that will be used with scholarship donors. Four donor videos, tailored to specific audiences, have been produced so far to be used in fundraising efforts. VP Benchoff provided a review of the campaign roll-out events which have occurred so far and those upcoming. Jim Harris from the Alumni Association shared Social Media tools, including Facebook, Twitter, LinkedIn, and YouTube used by Advancement. Mr. Harris' presentation included usage statistics, revealing high levels of usage compared with peer institutions. Fun examples of recent posts were shared as well as the strategy for gaining more coverage and alumni penetration. Mr. Harris noted that these connections translate into campaign opportunities.

Trustee Wolfort concluded his comments by thanking the outgoing Trustees and Secretary Davis for their time and service, fulfilling the Trustees' commitment to Ohio University's students.

Chair Harris thanked everyone for their comments.

President McDavis acknowledged the work of Mr. Bryan Benchoff and his team. (Applause).

University Academics Committee Report

Trustee Brightbill provided the Board with an overview of the work of the University Academics Committee meeting yesterday. He acknowledged that fulfilling the academic mission through the effective use of resources is at the heart of the Board's work.

Trustee Brightbill reviewed the report from Dr. Randy Leite, Dean of the College of Health Sciences and Professions, regarding academic quality. He noted the college's mission, vision, and some of the key thematic directions that have been established for the college. Dean Leite reminded the Academics Committee of the major reorganization that took place two years ago. The college's mission resulting from the reorganization centered on serving communities, providing access to a wide spectrum of students, educating students in high demand fields, and strengthening clinical and research profile. Dean Leite reviewed several points of pride including a 28% on-campus enrollment growth over last two years, online enrollment growth from 300 to nearly 4,000 students, expansion of grant and contract activity, improvement in student retention rates, progress toward its Promise Lives Campaign Goal, and meaningful connections with communities of need. Dean Leite

reported that the college as a whole does not fall under an accrediting agency, but specific academic programs are accredited by ten different agencies.

Dean Leite reviewed various parts of the college's dashboard. He emphasized the college's impressive enrollment growth and the steps that college was taking to strategically manage growth. He discussed ACT scores indicating that, while the college's scores were lower than the University average, this was due in large part to undecided students. He pointed to the college's retention and graduation rates, which are both higher than the University average. Dean Leite indicated that the college's ability to retain and graduate students were indicators of the quality of the teaching and advising in the college. Trustee Brightbill acknowledged the aim of the college and the University as a whole to close the gap between those who are not predicted to graduate, but still do.

Dean Leite also covered the student passage rate in programs that require a licensure exam. He pointed out that in AY 2010-2011, five out of the eight programs had 100% passage rates.

Pam Benoit, Executive Vice President and Provost, updated the committee on various executive level searches. She reported the successful conclusion of the Vice President for Student Affairs search with the hiring of Ryan Lombardi, the hiring of Deb Gearhart as Vice Provost for E-Learning and Strategic Partnership, and indicated that there were pending offers for the Dean of the Heritage College of Osteopathic Medicine, the Associate Provost for Faculty and Academic Planning, and the Vice Provost for Diversity and Inclusion. Provost Benoit also stated that the searches for deans of the Scripps College of Communication and the College of Fine Arts, to be completed in Fall Semester, were underway.

EVPP Benoit provided a presentation on three areas on the dashboard: tuition discounting, Group I faculty numbers, and Group I faculty retention.

Provost Benoit explained how tuition discounts are calculated. She noted that the current tuition discount rate for Athens undergraduate students is 18.9%. While good comparative information is not readily available in this area, Provost Benoit noted that a study conducted on discount rates in 2007-2008 indicated that the median was 15.6% and the mean was 17.6% at four-year public institutions.

Provost Benoit went on to stress the importance of understanding tuition discounting in the context of "sticker price." Ohio University's sticker price for tuition and fees is \$9,936. On average, students with demonstrated need received \$8,247 in financial assistance (scholarships, grants, loans, etc.). Therefore the out-of-pocket cost for OU undergraduates with demonstrated need last year was \$1,689. Provost Benoit also provided comparative data illustrating that, in the case of four year public universities in Ohio, Ohio University

had the highest percentage of undergraduate students demonstrating need who were awarded a need-based scholarship or grant (92%).

Addressing the topic of numbers of Group I faculty, Provost Benoit informed the Board that Group I tenured or tenure-track faculty members make up 80% of the faculty ranks at Ohio University. This compares favorably to other four-year institutions in Ohio, which tend to have lower percentages of Group I faculty.

Provost Benoit also discussed the different types of faculty classifications that existed at Ohio University and provided some information about trends in higher education that indicated a growing reliance on non-tenure track faculty and graduate students as instructors in university classrooms. While emphasizing the necessity of maintaining a strong Group I (tenured or tenure track) core, she described ways in which other types of faculty, working in conjunction with tenured/tenure-track faculty, could help an institution maintain academic quality.

Provost Benoit concluded her review of dashboard items by discussing Group I faculty retention, which currently stands at 92%. She discussed why this percentage is important in understanding faculty satisfaction and reviewed reasons why Group I faculty choose to leave. She noted that not all departures are equal, particularly at the assistant professor rank, when the reasons for leaving are often related to denial of tenure.

Trustee Brightbill reviewed that all consent agenda items would be left on the consent agenda and concluded his report.

There were no questions/comments and Chair Harris introduced Trustee King for the University Governance committee report.

University Governance Committee Report

Trustee King gave an overview of the University Governance Committee report from the preceding day. She acknowledged various transitions within the Board and with the University moving from quarters to semesters. She noted the three consent agenda items would be left on the consent agenda.

The first included an amendment to the Faculty Senate Constitution. The Faculty Senate has proposed an amendment to their constitution that adjusts the Senate schedule to conform to the new semester calendar. There was no objection to the inclusion of this item on the Consent Agenda.

The second included the appointment of National Trustee. There was no objection to the inclusion on the Consent Agenda of a resolution appointing Patrick Campbell to serve as a National Trustee.

The third included amendments to the Statement of Expectations for Members of the Board of Trustees, which was discussed by Mr. John Biancamano. Trustee King thanked Alumni Representative Greenfield for her contribution to these changes. There was no objection to the inclusion of this item on the Consent Agenda.

Alumni Representative Greenfield introduced Bill Hilyard, who will become chair of the Ohio University Alumni Association in July. In this capacity, he will also serve as the Association's representative on the Board of Trustees. Student Trustee Arnold announced that Amanda Roden has been appointed by Governor Kasich to serve as the new student Trustee.

Trustee King concluded her report and Chair Harris introduced Trustee Lake for the University Audit Committee report.

University Audit Committee Report

Trustee Lake provided an overview of the work from the University Audit committee yesterday. The committee first received a report on NCAA Compliance from Tricia Turley Brandenburg, Senior Associate Athletic Director of Compliance & Student Services. Ms. Turley Brandenburg presented on Ohio University's process related NCAA Compliance. Discussion ensued.

Secondly, the committee received an Internal Audit Update and FY13 Audit Plan from Jeffrey Davis, Chief Audit Executive. Mr. Davis presented an update on the internal audit activities since the April committee meeting, including the FY12 audit plan completion and unit staffing changes. In addition, Mr. Davis discussed the FY13 audit plan which consists of four assurance audits, two information technology audits, and one compliance audit, as well as nine follow-up audits. Mr. Davis discussed the risk assessment process used to prepare the annual audit plan. The committee members discussed the audit plan.

Trustee Lake concluded his report and there were no questions or comments.

Executive Committee

Chair Harris reviewed two consent agenda items from the Executive Committee. The first was the election of the President (President McDavis), followed by the election of the Treasurer (Vice President Golding). The election of a Secretary was added to the agenda and the related resolution was provided to the Board. Chair Harris moved forward the nomination of Dr. Peter Mather to fill the Secretary position that is being vacated by Dr. Tom Davis. The motion was seconded by Trustee King. There were no comments and the motion carried by unanimous vote.

ELECTION OF SECRETARY

RESOLUTION 2012-3285

RESOLVED that Peter Mather be elected Secretary for the Board of Trustees beginning July 1, 2012 through June 30, 2013.

Consent Agenda

Chair Harris presented the Consent Agenda items to the Trustees for action. It is important to note that any Trustee may request, in advance of action on the consent agenda, that any matter set out in this consent agenda be removed and placed on the regular agenda for discussion and action. The resolution listing all consent agenda items had previously been distributed to the Trustees. Trustee Anderson moved for the adoption of the consent agenda, which was seconded by Trustee Wolfert. There was no discussion and the motion carried by unanimous vote.

**APPROVAL OF PROJECT AND AUTHORIZATION TO DEVELOP CONSTRUCTION DOCUMENTS,
RECEIVE BIDS AND AWARD CONSTRUCTION CONTRACTS FOR
FY 2013 NELSON DINING HALL RENOVATION,
SOUTH GREEN PARKING REPLACEMENTS,
WOLFE/ULLOM DEMOLITION,
BROMLEY HALL ACADEMIC RELOCATIONS,
LINDLEY RELOCATIONS**

RESOLUTION 2012-3286

WHEREAS, five capital projects have been planned, developed and funded as follows:

- FY 2013 Nelson Dining Hall Renovation with a total project budget of \$6.5 million to be funded from Culinary Services reserves, and
- South Green Parking Replacements with a total project budget of \$1.1 million to be funded from Residential Housing reserves, and
- Wolfe/Ullom Demolition with a total project budget of \$800,000 to be funded from Residential Housing reserves, and
- Bromley Hall Academic Relocations with a total project budget of \$2.5 million to be funded from the issuance of University debt and, possibly, the creation of a University internal loan to be utilized as bridge financing, and
- Lindley Relocations with a total project budget up to \$4.4 million to be funded from the issuance of University debt and, possibly, the creation of a University internal loan to be utilized as bridge financing.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees authorizes the receipt of bids and the President or his designee to accept and award construction contracts within the total project budgets identified.

**MUSKINGUM RECREATION CENTER LEASE
RESOLUTION 2012 - 3287**

WHEREAS, Ohio University owns a 1.69 acre site located on the Ohio University Zanesville campus titled in the name of the Board of Trustees of Ohio University and recorded in Muskingum County Deed Record 538-799; and

WHEREAS, this site is adjacent to land owned by Zane State College, a technical college and political subdivision of the State of Ohio; and

WHEREAS, the 1.69 acre site has been identified as an optimal location for a Community Recreational Center which will house an Indoor Aquatic/Recreation Center built entirely without University funds; and

WHEREAS, the construction of the facility will be undertaken by and managed by the Muskingum Recreation Center, (MRC), a non-profit corporation that has been created by Muskingum County community leaders, the Muskingum County Community Foundation (MCCF), and donors to the project; and

WHEREAS, the MRC has hired consultants and arranged for funding and financing of the entire project without any direct financial contribution from Ohio University, making it a separate community project and program which requires Ohio University to enter into a long term ground lease of the 1.69 acre site, which shall contain certain guarantees, the particulars of such a lease to be determined; and

WHEREAS, the Ohio University Board of Trustees has endorsed the planning and promise of this project in the past and continues to find that the development of the MRC will be a significant benefit to the Muskingum community and region; and

WHEREAS, as a demonstration of support and endorsement of this community project, Ohio University has agreed to share in 50% of a \$3,000,000 loan guaranty or not more than \$1,500,000 which would be drawn upon should the MCCF default on its obligations to its lender.

NOW THEREFORE BE IT RESOLVED, that the Ohio University Board of Trustees hereby declares the 1.69 acre site to be surplus property in accordance with Section 123.77 of the Ohio Revised Code.

BE IT FURTHER RESOLVED, that in accordance with Ohio law, this Board hereby authorizes the leasing of this property, for up to 40 years of an initial term and a renewal term of not more than 40 years to the MRC under the terms and conditions of an appropriate lease to be negotiated by the President or his designee subject to the approval of the Department of Administrative Services and other State of Ohio regulatory agencies.

BE IT FURTHER RESOLVED, that the lease shall include terms and conditions that allow for not more than a \$1,500,000 guaranty of an MCCF loan and any other terms and conditions appropriate for a development lease under Ohio Revised Code Section 123.77.

BE IT FURTHER RESOLVED, that the President or his designee is hereby authorized to negotiate a provision in the lease requiring that, should the \$1,500,000 loan guaranty be drawn upon the university will be afforded with an ownership stake in the facility commensurate with its contribution.

**SUPPLEMENTAL QUALIFIED RETIREMENT PLAN
SUPPLEMENTAL 415(M) RETIREMENT PLAN**

RESOLUTION 2012 - 3288

WHEREAS, the Internal Revenue Code of 1986, as amended (the "Code"), imposes certain limits on contributions that may be made to various qualified retirement plans, including the State Teachers Retirement System ("STRS"), the Ohio Public Employees Retirement System ("OPERS"), the Alternative Retirement Plan, and 403(b) plans (collectively the "Retirement Plans"); and

WHEREAS, the Code also permits Ohio University (the “University”), as a governmental employer, to adopt a Supplemental Qualified Retirement Plan (the “SQRP”) that will permit the University’s Board of Trustees (the “Board”) or any person delegated by the Board to make discretionary retirement contributions on behalf of designated University employees; and

WHEREAS, the Code also permits the University, as a governmental employer, to adopt a Supplemental 415(m) Retirement Plan (the "415(m) Plan") that will provide for contributions that otherwise would have been made to the ARP or SQRP had the applicable limits under the Code not been in effect; and

WHEREAS, the Board believes it is in the best interest of the University to adopt an SQRP and a 415(m) Plan;

NOW, THEREFORE, the Board of Trustees does resolve as follows:

1. That the University hereby adopts the Ohio University Supplemental Qualified Retirement Plan in substantially the form attached hereto and as may be amended with the advice of counsel;
2. That the University hereby adopts the Ohio University Supplemental 415(m) Retirement Plan in substantially the form attached hereto and as may be amended with the advice of counsel;
3. That in accordance with Resolution No. 2011-3245 and the subsequent Presidential Directive on Signature Delegation, the appropriate officers of the University are hereby authorized and empowered to make any changes to the SQRP and 415(m) Plan as may be required to ensure compliance with the provisions of the Code, the Ohio Revised Code, and any related rules and regulations now in effect or as hereafter amended;
4. That the appropriate officers of the University are directed to take such action as may be necessary, appropriate, or advisable to implement this Resolution and administer the SQRP and 415(m) Plan without further ratification or action by this Board.

**FACULTY AND ADMINISTRATIVE
EMERITUS/EMERITA AWARDS
ADDENDUM TO AWARDEES APPROVED APRIL 20, 2012
RESOLUTION 2012-3289**

WHEREAS, the following individuals have rendered dedicated and outstanding service to Ohio University, and

WHEREAS, their colleagues and supervisors have recommended action to recognize their service,

THEREFORE, BE IT RESOLVED that emeritus/emerita status be awarded the individuals below upon their retirement from Ohio University.

First Name	Middle Name	Last Name	Campus	Yrs. Serv.	Emeritus/Emerita Title
Patrick	Scott	Washburn	Athens	28	Professor Emeritus of Journalism, Scripps College of Communication
Najee'	E.	Muhammad	Athens	14	Associate Professor Emeritus of Educational Studies, Patton College of Education

**Appointment to Regional Coordinating Councils
RESOLUTION 2012-3290**

BE IT RESOLVED BY the Board of Trustees of Ohio University that the following persons be appointed to membership on the Coordinating Council at the Regional Campuses of Ohio University – Lancaster and Zanesville.

Ohio University – Lancaster

Angela Krile

For a three-year term beginning July 1, 2012, and ending at the close of business June 30, 2015, vice Suellen Goldsberry, whose term expired.

Robert Walker

Reappointment of a second three-year term beginning July 1, 2012, and ending at the close of business June 30, 2015.

Ohio University – Zanesville

Tom Lyall

Reappointment of a second three-year term beginning July 1, 2012, and ending at the close of business June 30, 2015.

Judy Rebic

Reappointment of a second three-year term beginning July 1, 2012, and ending at the close of business June 30, 2015.

**Walter C. Clay and Christina Stevens Clay Conference Room
RESOLUTION 2012-3291**

WHEREAS, Mary Ellen Clay appreciated the role of education and health care in improving the quality of life, and

WHEREAS, Mary Ellen Clay desired to improve the quality of life in her home region by establishing a scholarship with Ohio University for nursing majors from Harrison, Tuscarawas, Guernsey, and Belmont Counties in Ohio, and

WHEREAS, Mary Ellen Clay desired to honor her parents through this generous gift.

NOW, THEREFORE, BE IT RESOLVED that the School of Nursing conference room, Grover Center E363, on the Ohio University Athens Campus shall be named the Walter C. Clay and Christina Stevens Clay Conference Room, in honor of Mary Ellen Clay's parents.

Patricia and Michael McTeague Reading Room

RESOLUTION 2012-3292

WHEREAS, Michael McTeague, MA 1967, is deeply appreciative of the education received at Ohio University and his career as Associate Dean Eastern Campus, and

WHEREAS, Mr. McTeague desires to honor his late wife, Patricia McTeague, BSED 1965, MED 1982, who was employed for many years in the Ohio University Eastern Campus Library, and

WHEREAS, Mr. McTeague has generously funded the renovation of the Ohio University Eastern Campus reading room, which will provide quiet space for students to study, read, and work individually or on small group projects,

NOW, THEREFORE, BE IT RESOLVED that the reading room on the Ohio University Eastern Campus shall be named the Patricia and Michael McTeague Reading Room.

WHEREAS, the Board has reviewed the Statement of Expectations and desires to amend it in order to ensure that it continues to address issues relevant to the responsibilities of the members of this Board;

NOW THEREFORE, BE IT RESOLVED, that the Ohio University Board of Trustees does hereby adopted the Statement of Expectations for Members of the Board of Trustees of Ohio University as amended and attached hereto.

**INTERNAL AUDIT
ANNUAL AUDIT PLAN**

RESOLUTION 2012-3297

WHEREAS, the Board of Trustees of Ohio University has established an independent, objective assurance and appraisal activity to evaluate and improve effectiveness of risk management and internal management controls, and

WHEREAS, the Board of Trustees has approved an Ohio University Internal Office Charter requiring Board of Trustees authorization of an annual audit plan initiated to evaluate internal management controls, and

WHEREAS, the Chief Audit Executive charged with initiating audits pursuant to the plan proposes an annual audit plan for authorization by the University Trustees, and

WHEREAS, the proposed plan will be conducted during the period of July 2012 through June 2013, and

WHEREAS, time for unplanned investigations is separately allotted in the audit plan. Further revisions to the plan will be administratively reviewed and approved by the President and the Chair of the Audit Committee, and

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does authorize the proposed audit plan.

ELECTION OF PRESIDENT

RESOLUTION 2012-3298

RESOLVED that Roderick J. McDavis be elected President of Ohio University for the year beginning July 1, 2012, and ending June 30, 2013.

ELECTION OF TREASURER

RESOLUTION 2012-3299

RESOLVED that Stephen Golding be elected Treasurer of Ohio University for the period beginning July 1, 2012, and ending June 30, 2013.

UNFINISHED BUSINESS

None

NEW BUSINESS

None

COMMUNICATIONS, PETITIONS, AND MEMORIALS

President McDavis read certificates of appreciation to outgoing Board members: Alumni Representative Greenfield, Student Trustee Parker, National Trustee Krasovec, and Secretary Davis.

ANNOUNCEMENT OF NEXT STATED MEETING DATE

Sept. 6-7, 2012

ADJOURNMENT

Chairperson Harris adjourned the meeting at 12:15 pm

CERTIFICATION OF SECRETARY

Notice of this meeting and its conduct were in accordance with Board Resolution 1975-240, which was adopted on November 5, 1975, in accordance with Section 121.22(F) of the Ohio Revised Code and of the State Administration Procedures Act.

Gene T. Harris
Chairperson

Thomas E. Davis
Secretary

President's Report

presented to
Ohio University Board of Trustees
September 6, 2012

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Overview

- **Top Strategic Priorities**

- **Exemplary Student Support Services :: Improving High-Risk Behaviors**
- **Complete Capital Campaign**

- **Legislative Update**

- **OBOR's Technology Transfer and Commercialization Task Force Draft Report**

- **Leadership Changes**

- **Points of Pride**

- **Program Spotlight**

- **Ohio University's Pickerington Center**



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Vision Ohio::Top Strategic Priorities

Nation's Best Transformative Learning Community

1. Inspired teaching and research
2. Innovative academic programs
3. Exemplary student support services
4. Integrative co-curricular activities

Effective Total Compensation

Short- & Long-Term Enrollment Goals

Improve Financial Strength

Complete Capital Campaign

FOUR FUNDAMENTALS

1. Inspired teaching and research dedicated to students' academic success and focused on the connections between student learning and the advancement of knowledge and creative activity.
2. Innovative academic programs that draw on the best traditions and practices in liberal arts, professional, and interdisciplinary education.
3. Exemplary student support services committed to helping students fulfill their academic promise.
4. Integrative co-curricular activities that foster a diverse environment of respect and inclusivity and facilitate students' development as citizens and leaders.

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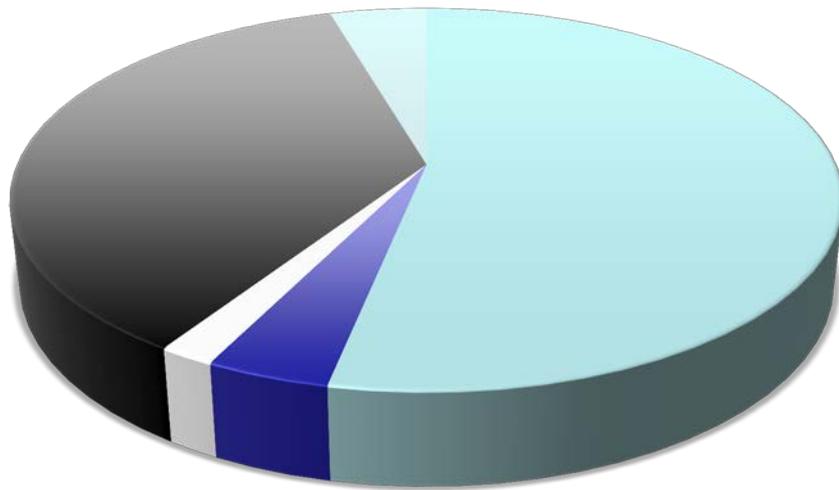
Strategic Priority :: Improving High-Risk Behaviors

- OHIO joined the National College Health Improvement Project (NCHIP) learning collaborative session with 31 other member institutions including Dartmouth, Brown, Purdue, and Yale
- Session focused on improving student health by reducing harms associated with high-risk drinking
- OHIO representatives presented our success in helping students make safer choices around alcohol
- The collaborative is a beneficial involvement for our University community and our students

Strategic Priority :: Complete Capital Campaign

Complete
Capital
Campaign

Total Attainment: \$406,217



- Alumni: \$216,078
- Other Individuals: \$16,687
- Corporations: \$7,536
- Foundations: \$147,719
- Other Organizations: \$18,198

** In thousands*

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Strategic Priority :: Complete Capital Campaign

Complete
Capital
Campaign

- The Division of University Advancement secured \$55.86 million in new gifts and pledges during the fiscal year that ended June 30, 2012

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Strategic Priority :: Complete Capital Campaign

Complete
Capital
Campaign

Advancement Travel:

**New York, New York
Chatham, Massachusetts
Cleveland, Ohio
San Diego, California
Charlotte, North Carolina
State College, Pennsylvania**

Presidential advancement travel from June 22, 2012 through September 5, 2012

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Legislative Update:: Technology Transfer & Commercialization Task Force Report

Report Goal: Ohio must establish a firm foundation of ongoing-technology-intensive development, and develop a knowledge-based workforce to create an increasingly competitive global economy

Legislative Update:: Proposed Implementation Summary

Legislative
Update

REMOVE BARRIERS



BUILD REGIONAL ECOSYSTEMS



PROMOTE GREATER REGIONAL COLLABORATION



CREATE AN ENTREPRENEURIAL ENVIRONMENT

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Legislative Update:: Proposed Implementation Summary

Legislative
Update

RESEARCH COOPERATIONS



GENERATE CAPITAL RESOURCES



PLAN FOR FUTURE JOB SKILL TRAINING



DEFINE METRICS

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Leadership Changes:: Recent Appointments

- Jack Brose, Vice Provost for Health Affairs
- Robert Frank, Dean, College of Arts and Sciences
- Deborah Gearhart, Vice Provost for E-Learning
- Kenneth Johnson, Dean, Heritage College of Osteopathic Medicine
- Jennifer Kirksey, Chief of Staff
- Ming Li, Interim Executive Director, Center for International Studies
- Madeleine Scott, Interim Dean, College of Fine Arts

Points of Pride

- **Pair of Ohio University physiologists, Fritz Hagerman and Jason White, assists U.S. Olympic rowers**



Photographer: Jason White

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Points of Pride



- **Southern Campus nursing professor, Nicole Pennington, named Regional Higher Education Outstanding Professor**

Photographer: Ohio University Southern Campus

Points of Pride



twitter 

facebook

- **Scripps Study shows more judges and courts in the United States report using social media like Facebook and Twitter**

Points of Pride

Take a closer look at Bobcat Student Orientation

- 3,600 first-year students, 300 transfer students, and their families participated in the annual orientation from July 12 through Aug. 3 on the Ohio University Athens campus



Photographer: Elizabeth Held



Points of Pride



- **OHIO partners with UNICEF on Communication for Development Workshop**

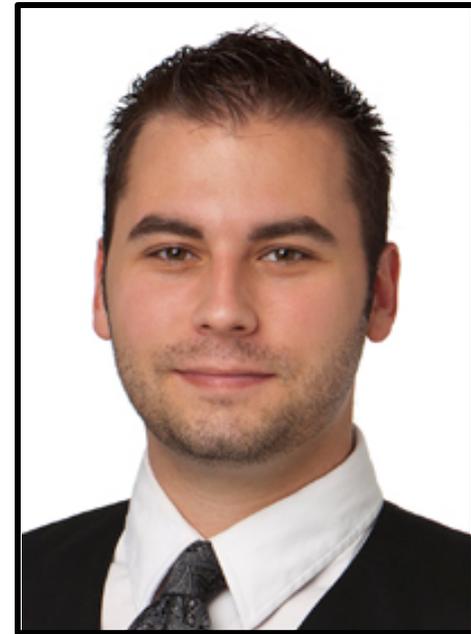
Points of Pride

- **Ohio University to receive \$29 million for energy efficiency, conservation project**



Points of Pride

- **Ohio University alumnus and current Professional MBA student Jeff Dennis was honored in the *Columbus Business First's* 2012 class of the top "Forty Under 40"**



Nation's Best
Transformative
Learning
Community

Points of Pride

- **139 first-year students welcomed into the Heritage College of Osteopathic Medicine**



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Nation's Best
Transformative
Learning
Community

Points of Pride

- **Fifty Ohio University alumni and friends from around the nation convened in Athens July 19-22 for the 2012 Alumni College and Golden Weekend**



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University Spotlight :: Ohio University Pickerington Center

Dr. James Smith
Dean, Lancaster Campus | Pickerington Center

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CONGRATULATIONS!



Dr. David L. Bronson and The Honorable Yvette McGee Brown
The 2012 Phillips Medal of Public Service Recipients

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President's Report

presented to
Ohio University Board of Trustees
September 6, 2012

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UNIVERSITY BOARD OF TRUSTEES

September 6-7, 2012



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The Promise Lives Campaign for Ohio University

CAMPAIGN PROGRESS



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Campaign Dashboard



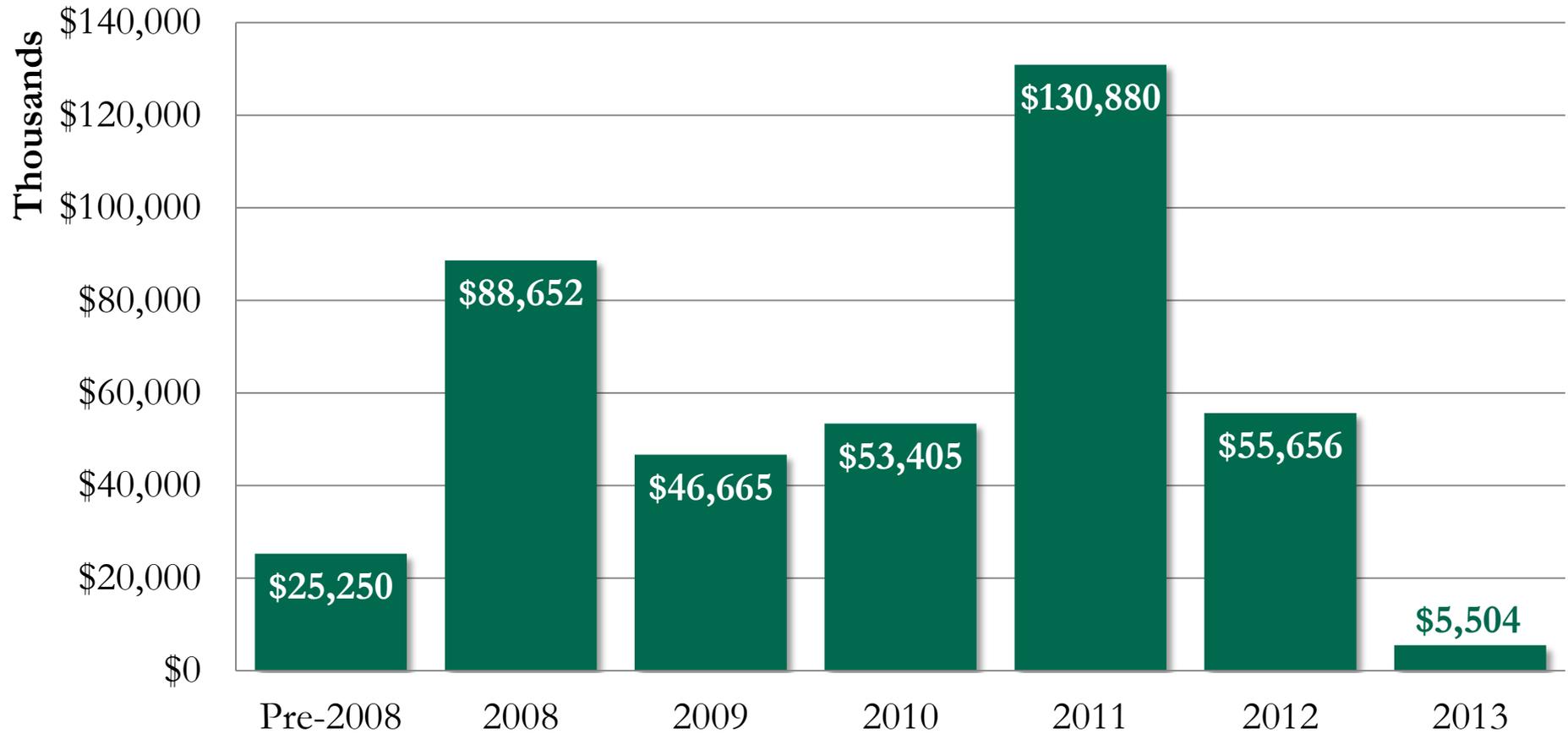
	Fiscal 2013	Campaign
Total Attainment*	\$5,504	\$406,217
Active Proposals*	\$13,472	N/A
Planned Proposals*	\$23,815	\$54,575
Visits	208	8,074
Planned Giving*	\$32,612	\$184,601
New Prospects	16	296*

* In thousands



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Campaign Attainment By Fiscal Year

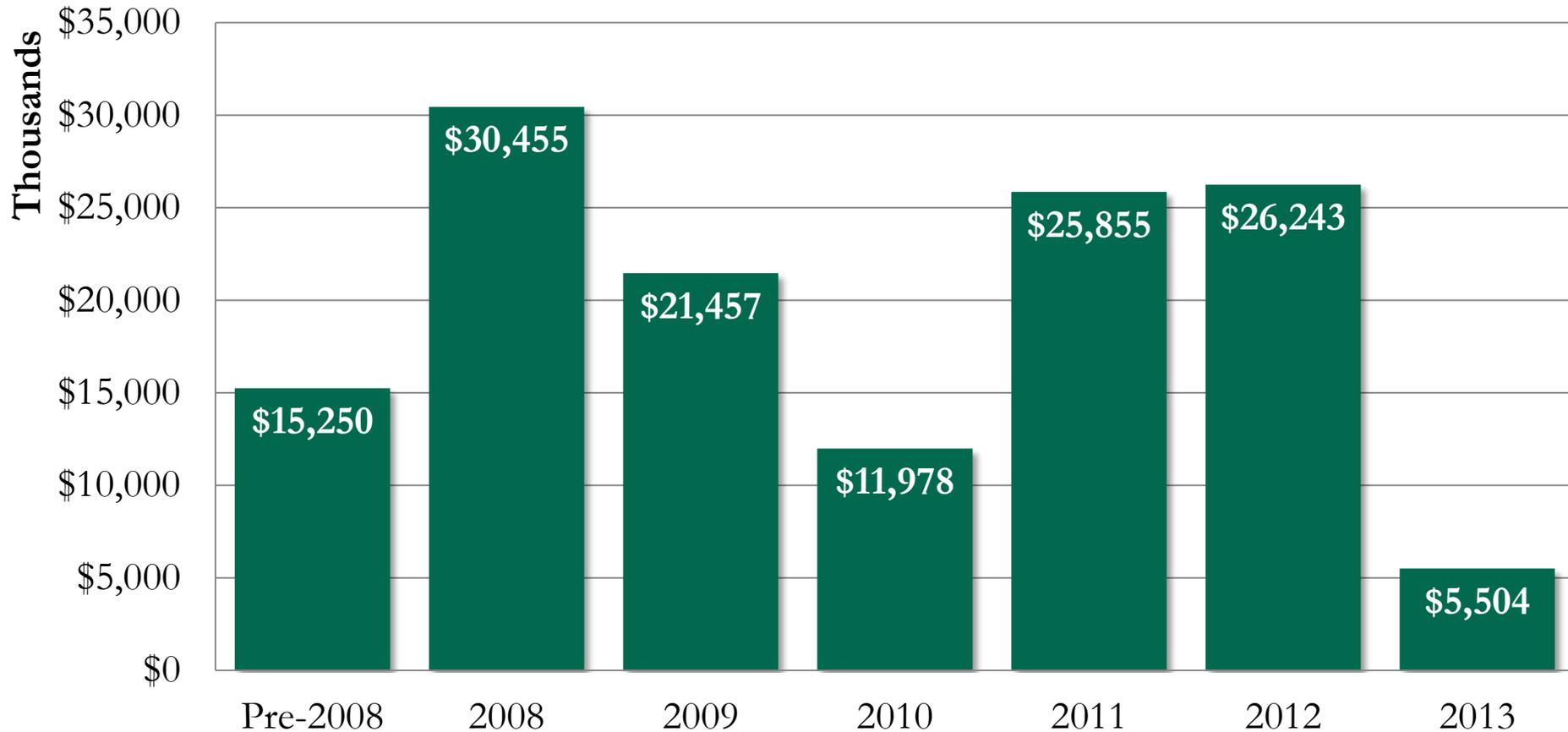


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Campaign Attainment By Fiscal Year

Excluding Three Transformative Gifts

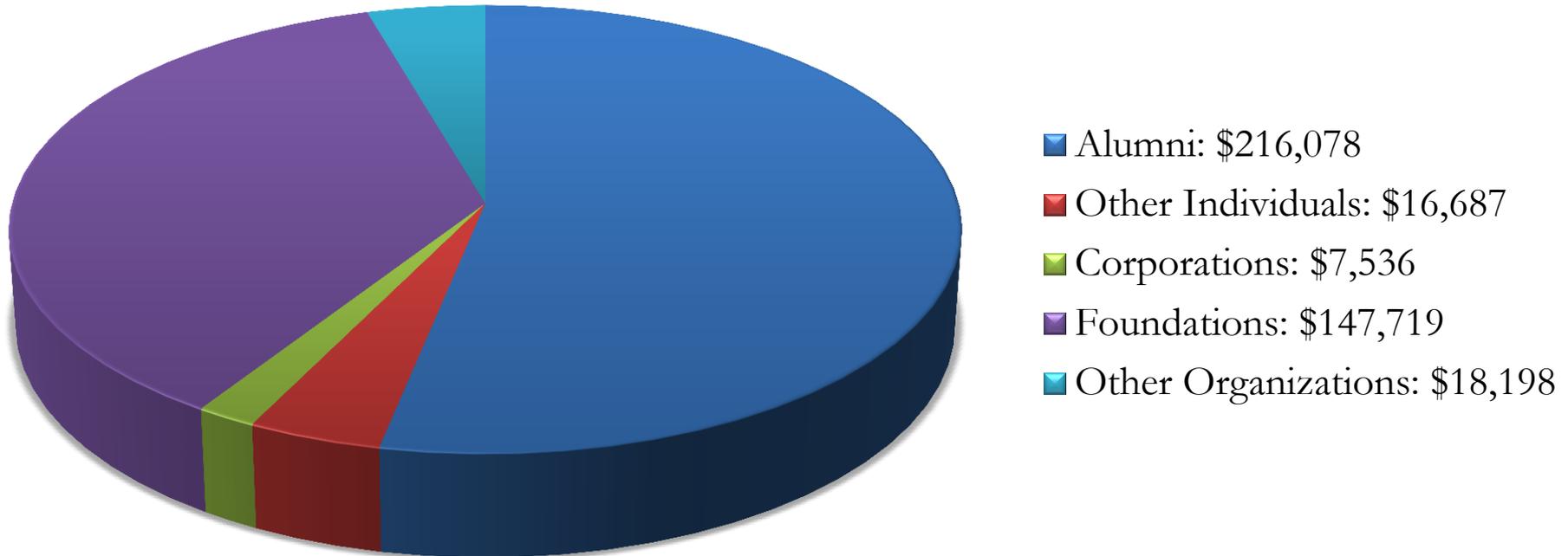


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Campaign Attainment By Constituent Type



Total Attainment: \$406,217

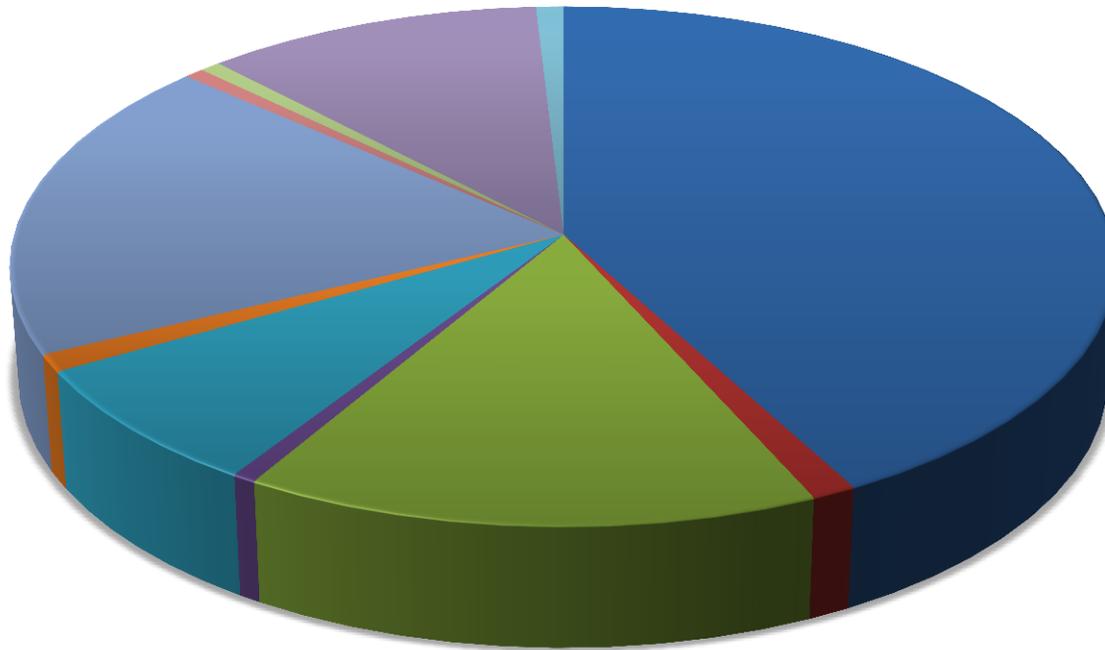


** In thousands*

Campaign Attainment By Gift Restriction



Total Attainment: \$406,217



- Academic Divisions: \$171,294
- Athletics: \$4,789
- Faculty and Staff: \$61,238
- Library: \$2,556
- Other Restricted: \$30,762
- Physical Plant: \$4,310
- Property: \$72,075
- Public Service: \$2,619
- Research: \$2,796
- Student Aid: \$45,069
- Unrestricted: \$3,679

** In thousands*

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The Promise Lives Campaign for Ohio University

MOVING FORWARD



OHIO
UNIVERSITY

Planned Proposals



- **\$24 million** planned for Fiscal Year 2013.
- **\$55 million** planned for the remainder of the Campaign.

Events Calendar



- Events being recorded and tracked in the alumni/donor database
 - Central Advancement events
 - Alumni Association events
 - Unit events
 - Leadership travel
 - Major holidays
- Output allows for filtering by type of event, location, purpose, and other important factors
- Approximately 100 events currently loaded

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The Promise Lives Campaign for Ohio University

SCHOLARSHIP ENDOWMENT



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UNIVERSITY

Scholarship Endowment



\$4.82 million in privately funded scholarships

- **949** fully endowed scholarships
 - **\$106.6 million** market value *as of 4/30/12*
 - **\$3.05 million** 2012 spending allocation
- **95** scholarships not yet fully endowed

Scholarship Endowment



- 2012 award amounts from endowed scholarships
 - Awards of less than \$1,000: 475
 - Awards of \$1,000 - \$2,499: 252
 - Awards of \$2,500 - \$4,999: 98
 - Awards of \$5,000 - \$9,999: 62
 - Awards of \$10,000 - \$24,999: 43
 - Awards of \$25,000: 19



Interoffice Communication

Date: August 15, 2012

To: The President and Board of Trustees

From: Stephen T. Golding, Vice President for Finance and Administration, CFO and Treasurer

Re: ENDOWMENT UPDATE

Pursuant to resolution 2002-1851, the University's Board of Trustees entrusted oversight of the investments comprising the University's and Foundation's endowments in addition to a portion of the University's working capital to the Investment Sub-Committee of The Ohio University Foundation Board. At the upcoming September Board meeting, to keep the Resources Committee apprised of the stewardship of those assets and the resources generated from them, a presentation covering the following will be provided:

- fiduciary oversight,
- asset composition and allocation,
- investment performance, and
- spending appropriation

If you have questions on this matter, please do not hesitate to contact me at 740-593-2556.

Endowment Update

Tab



Endowment Update

- Oversight
- Assets
- Performance
- Spending Appropriation



Oversight – Foundation Board Investment Sub-Committee Members

- Reports to OUF Board Finance Committee
- Members:
 - John Wolfzorn – Chair
 - Chuck Beck – Vice-Chair
 - Emmett Boyle
 - Patrick Campbell
 - Steve Golding
 - Ray Schilderlink
 - Jan Scites



Oversight – Foundation Board Investment Sub-Committee Major Responsibilities

- Ensure appropriateness of investment policy and make changes accordingly
- Appoint and oversee the managing fiduciary
- Establish custody accounts to hold assets
- Review the fund's asset allocation and make changes accordingly
- Delegate specific administrative responsibilities dealing with the investment and reinvestment of the fund's assets
- Monitor compliance with the investment policy
- Review performance



Oversight – Hirtle Callaghan

- Reports to the Investment Sub-Committee
- Relationship began in July 2009
- Chief Investment Officer model
- Assets under management: \$10 billion
- Number of clients: 415



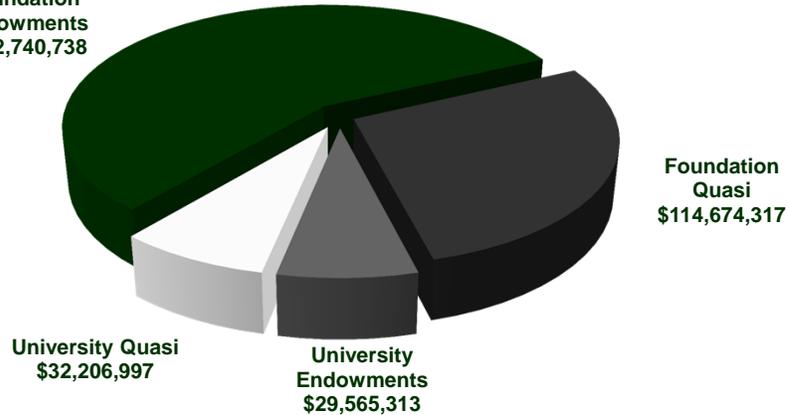
Oversight – Hirtle Callaghan Responsibilities

- Invest funds within the guidelines and asset allocation ranges per the investment policy
- Evaluate the asset allocation of the fund and recommend changes as appropriate
- Allocate contributions and other cash flows to investment managers
- Take all actions with respect to investment managers
- Set investment guidelines for investment managers and monitor their compliance



Assets (at June 30, 2012) Endowments & Quasi-Endowments

Foundation
Endowments
\$232,740,738



Assets – Allocation

<u>Asset Class</u>	<u>% of total</u>
Cash	3.0%
Fixed Income	14.0%
US Equity	27.0%
Global Equity (ex US)	29.0%
Absolute Return	13.0%
Real Assets	5.0%
Private Equity	9.0%

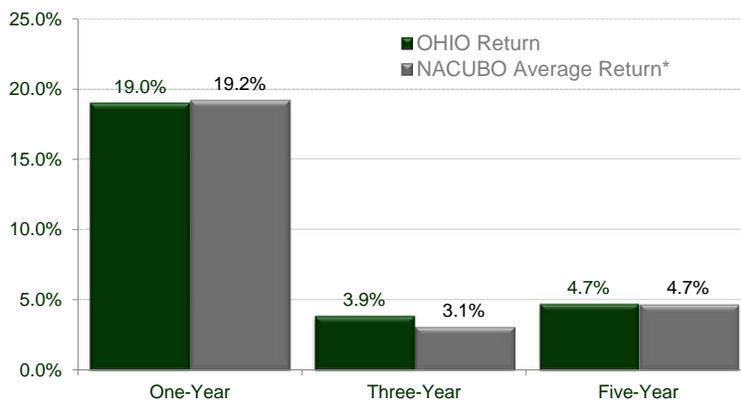


Performance (FYTD as of June 30, 2012)

<u>Asset Class</u>	<u>Return</u>	<u>Benchmark</u>
Cash	0.01%	0.06%
Fixed Income	6.12%	7.87%
US Equity	4.07%	3.84%
Global Equity (ex US)	- 8.69%	- 14.15%
Absolute Return	- 2.51%	- 4.45%
Real Assets	-12.11%	- 13.42%
Private Equity	4.67%	6.44%
TOTAL FUND	- 0.18%	- 0.57%



Performance (as of June 30, 2011)



Represents the equal-weighted average nominal rate of return, as reported in the National Association of College and University Business Officers (NACUBO) - Commonfund Study of Endowments (previously known as the NACUBO Endowment Study).



Spending Appropriation

- Calculation

- 4.0% spending appropriation
- Average market value – 36-month
- Underwater – 1.0% spending appropriation

- Resources

- FY2013 – \$10.4 million
- FY2012 – \$9.8 million
- FY2011 – \$5.4 million
- FY2010 – \$6.5 million



Interoffice Communication

Date: August 15, 2012

To: The President and Board of Trustees

From: Stephen T. Golding, Vice President for Finance and Administration, CFO and Treasurer

Re: CLIMATE ACTION PLAN – DRAFT

The Climate Action Plan (CAP) is a requirement of the American College and University Presidents Climate Commitment (ACUPCC) which the President signed in 2007. The Presidential Advisory Council for Sustainability Planning, formed in 2009, began development of the CAP following the completion of the institutional Sustainability Plan which was presented to the Board of Trustees in April, 2011.

The attached DRAFT CAP is the by-product of a significant amount of committee work across the campus - faculty, staff and students. At the September Resources Committee meeting members of the Climate Action Plan Committee will present their progress and a roadmap to move the institution toward carbon neutrality by 2075.

The committee seeks comments from the trustees which will be incorporated with comments from other university and community stakeholders and then integrated into a final plan to be submitted to the President for his review and ultimate adoption - similar to the Sustainability Plan.

Please contact me if you have questions.

**CLIMATE ACTION PLAN DRAFT
EXECUTIVE SUMMARY
AUGUST, 2012**

Background and Rationale

In an effort to offer structural support to the institution's commitment to sustainability, President Roderick McDavis formed the Presidential Advisory Council for Sustainability Planning in 2009. The formation of this council was in response to his leadership as a 2007 signatory of the American College and University Presidents' Climate Commitment (ACUPCC), which declares that Ohio University will be a climate neutral campus by the year 2075.

Following its original charter, the Council worked to develop an institutional Sustainability Plan that embraced many aspects of carbon neutrality. That plan was presented to the Board of Trustees in April of 2011 for input, and the document was formally approved by President McDavis last summer. Shortly thereafter, the Council began working toward developing the Ohio University Climate Action Plan to more fully honor its commitment to the ACUPCC.

Since that time the campus has been engaged in developing the Climate Action Plan, and will present the draft plan at the September Board meeting. A copy of the draft plan follows for your review and input. The Climate Action Plan is also available online at: http://issuu.com/SustainableOU/docs/ou_cap_draft/1. Since this is a roadmap for major steps toward achieving carbon neutrality in the years to come, we want to make sure that all issues are adequately addressed.

Process

Ohio University will decrease its greenhouse gas emissions while increasing environmentally preferable behaviors in institutional policies and procedures, eventually reaching carbon neutrality in the latter half of this century. Climate change mitigation will be accomplished through the conservation and efficient use of natural resources as well as through the replacement of carbon-intensive energy sources with more efficient alternatives.

In an effort to assist the Office of Sustainability and the Ecology and Energy Conservation Committee with their efforts in reporting the achievement of this goal, the Presidential Advisory Council for Sustainability Planning formed seven sub-councils and asked them each to provide benchmarks and implementation recommendations. These sub-councils included: Energy and Operations, Waste Reduction and Recycling, Transportation, Education and Outreach, Construction and Design, Land and Resource Management, and Behavior Change and Implementation Management.

Each of the seven sub-councils identified a unique set of benchmarks and potential strategies for achieving this singular goal of carbon neutrality by the year 2075. While a majority of these sub-councils were formed due to their direct impact on the institution's annual greenhouse gas emissions report, two were formed as a proactive approach to capturing potential opportunities for mitigation throughout the implementation of this plan. Having specific sections of a climate action plan devoted to Land and Resource Management and to Behavior Change and

Implementation Management are one of the things that sets apart Ohio University's Climate Action Plan from others that have been developed.

The Presidential Advisory Council for Sustainability Planning was careful to take a proactive approach to the development of this plan through their careful selection of sub-council topics and membership. The council was fortunate to find a dedicated host of students, faculty, staff and community members who were able to offer intelligent responses to the challenges placed before the institution. Additionally, the council engaged in a variety of investigative measures to offer consideration to institutional growth projections. This step was crucial to the process in understanding measures and strategies for carbon reduction during times of anticipated growth and change.

Implementation Timeline

The Ohio University Climate Action Plan has one ultimate goal of carbon neutrality by 2075 as directed by our position as a signatory of the American College and University Presidents' Climate Commitment (ACUPCC). The plan offers a variety of quantifiable goals broken down into four timelines. Each timeline is offered as a phase so as to align with existing commitments and institutional objectives:

Phase One: A well-defined goal dedicated to offering immediate responses to the President's interest in drastic reductions in our dependency on fossil fuels. This first phase aligns with the completion of the FY2013- FY2018 Capital Improvement Plan approved by the Board of Trustees in November of 2011. The Energy Savings Performance Contract Project approved by the Board in June will contribute greatly to reducing campus energy intensity by 20% below 2004 levels. The plan to replace the Lausche Heating Plant presented to the Board in January has such significant greenhouse gas reduction impact that it is specifically listed as a climate action plan benchmark.

Phase Two: A soft goal of 25% reduction in greenhouse gas emissions is established for Fall 2032 as this date marks the completion of the institution's 20-year capital plan context.

Phase Three: A hard goal, established through the text of the ACUPCC, of an 80% overall reduction in institutional greenhouse gas emissions is established for Fall 2050.

Phase Four: The ultimate goal of the Climate Action Plan is to reach carbon neutrality by Fall 2075. Upon successful completion of this goal, Phase Four will conclude and the plan will be complete. The metrics and strategies provided in Phase Four of the plan are vague and incomplete as the technologies, triumphs and obstacles that will be present at the institution during this time are currently unknown.

The University's Ecology and Energy Conservation Committee (EECC), in partnership with the Office of Sustainability, will work to implement this plan and offer annual reports.

EECC, which serves as the monitoring agent of the Climate Action Plan will critically review these metrics and goals throughout implementation and make amendments as necessary.

Wide input was received in the development of this plan, and there has been considerable outreach for comment. These review processes are clearly outlined within the plan document this is attached for your review and comment.



Ohio University Climate Action Plan

August 2012

Prepared by: Presidential Advisory Council for Sustainability Planning

DRAFT

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Background and Rationale

In an effort to offer structural support to the institution's commitment to sustainability, President Roderick McDavis formed the Presidential Advisory Council for Sustainability Planning (PACSP) in 2009. The formation of this Council was in response to his leadership as a 2007 signatory of the American College and University Presidents' Climate Commitment (ACUPCC), which declares that Ohio University will be a carbon neutral campus by the year 2075.

Following its original charter, PACSP worked to develop an institutional Sustainability Plan that embraced many aspects of carbon neutrality. That document was formally approved in Summer 2011 and implementation began immediately.

All institutions that formally commit to be an ACUPCC signatory are required, by the terms of the agreement, to develop a "Climate Action Plan" if they wish to remain in good standing. Therefore, once the Sustainability Plan was approved, PACSP began working toward developing the Ohio University Climate Action Plan to more fully honor its commitment to the ACUPCC.

It should be noted that, upon the final approval of the Climate Action Plan, PACSP will dissolve and the Ecology and Energy Conservation Committee (EECC), a University standing committee, will assume the role of monitoring agent of this plan. A similar process was followed for the implementation of the Sustainability Plan. As such, EECC was involved in this planning process and will be offered support and guidance in their efforts from the Office of Sustainability.

Process

Ohio University will decrease its Greenhouse Gas Emissions (GHGE) while increasing environmentally preferable behaviors in institutional policies and procedures, eventually reaching carbon neutrality in the later half of this century. Climate change mitigation will be accomplished through the conservation and efficient use of natural resources as well as through the replacement of carbon-intensive energy sources with more efficient alternatives.

In an effort to assist the Office of Sustainability and the Ecology and Energy Conservation Committee with their efforts in reporting the achievement of this goal, the Presidential Advisory Council for Sustainability Planning (PACSP) formed seven Sub-Councils and asked them each to provide benchmarks and implementation recommendations. These Sub-Councils included: Energy and Operations; Construction and Design; Land and Resource Management; Waste Reduction and Recycling; Transportation; Education and Outreach; and, Behavior Change and Implementation Management.

Each of the seven Sub-Councils established for the development of this Climate Action Plan was able to identify a unique set of benchmarks and potential strategies for achieving this singular goal of carbon neutrality by the year 2075. While a majority of these sub-councils were formed due to their direct impact on the institution's annual Greenhouse Gas Emissions report, others were formed as a proactive approach to capturing potential opportunities for mitigation throughout the implementation of this plan. Most Sub-Councils were able to identify areas where existing data is lacking and, therefore, where baseline data needs to be gathered prior to successful implementation. Sub-Councils without existing data or a seemingly direct relationship to GHGE offer supplemental documents that are intended to offer a roadmap for implementation.

PACSP was careful to take a proactive approach to the development of this plan through their selection of Sub-Council topics and membership. PACSP was fortunate to find a dedicated host of students, faculty, staff and community members who were able to offer intelligent responses to the challenges placed before the institution. Additionally, PACSP engaged in a variety of investigative measures to offer consideration to institutional growth projections. This step in the process was crucial as it allowed PACSP to understand added measures and strategies for carbon reduction during times of anticipated growth and change.

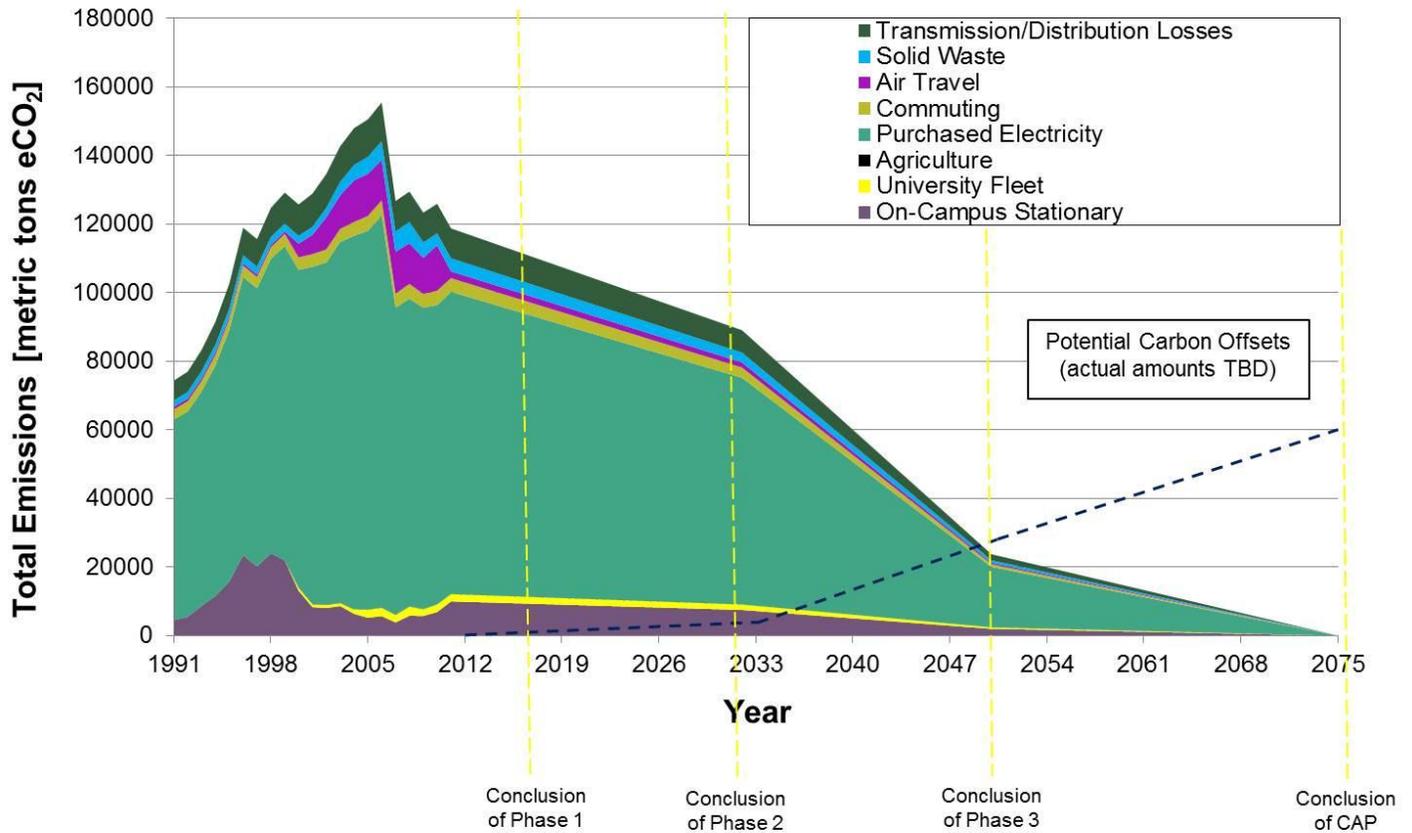
Boundary Statement

The Ohio University Climate Action Plan is a metrics-based document that focuses almost entirely on the metrics reported in the institution's annual Greenhouse Gas Inventory (using the Clean Air Cool Planet Campus Carbon Calculator (CACP-CCC)). Two exceptions were included in this definition of boundaries: the establishment of an implementation and education plan and the potential development of offset opportunities through land and resource management. Such boundaries were deemed necessary in the proper development of a balanced and effective Climate Action Plan.

It is PACSP's prediction and hope that future versions of the nationally recognized carbon calculators will account for important data regarding land and resources and, therefore, OU chose to expand the definition of CAP boundaries to account for planning and implementation of these efforts. While we recognize that there may be aspects of sustainability excluded from this document, we are confident that such elements are appropriately addressed by the Sustainability Plan, the Office of Sustainability, the Ecology and Energy Conservation Committee or other invested groups across OU's campuses.

Projection Data:

OU Greenhouse Gas Reduction Projections



Notes:

PACSP recognizes that the institution will be unable to rely solely on consumption reductions in its effort to achieve carbon neutrality. Therefore, the investment in renewable energy, increase in natural landscape opportunities and the purchase of carbon offsets will be instrumental in our accomplishments. The neutrality imagery offered in the above graph is achieved through both reductions and offsets that are reflected in the projection numbers calculated by each sub-council.

It is anticipated that this graph will be updated annually as more projection information becomes available.

Implementation Timeline

The Ohio University Climate Action Plan (OU-CAP) has one ultimate goal of carbon neutrality by 2075 as directed by our position as a signatory of the American College and University Presidents Climate Commitment (ACUPCC). This goal is also reflected as Item One of the Ohio University Sustainability Plan (OUSP).

The OU-CAP offers a variety of quantifiable goals broken down into four timelines. Each timeline is offered as a Phase so as to align with existing commitments and institutional objectives.

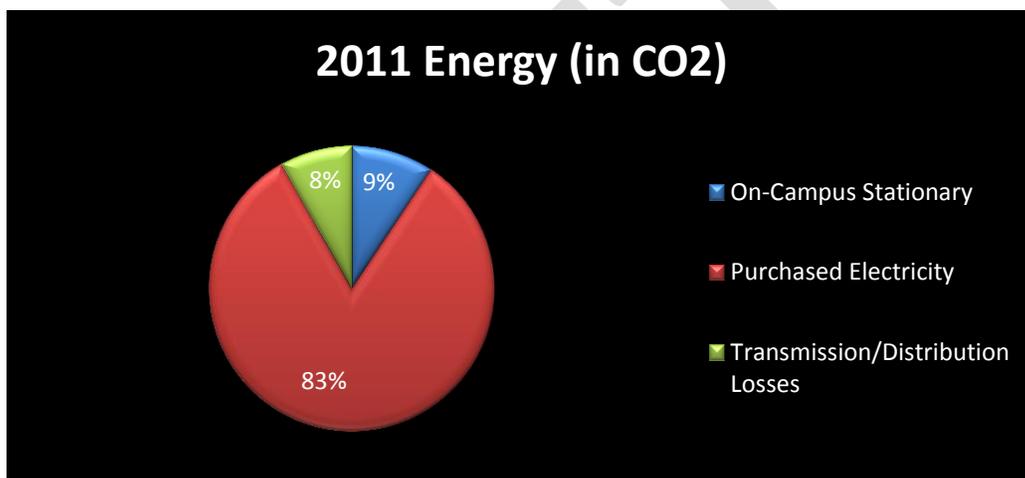
- **Phase One:** The OU-CAP is dedicated to offering immediate responses to the President's interest in drastic reductions in our dependency on fossil fuels. Therefore, the first phase of deadlines aligns with the completion of the Capital Improvement Plan, which is a 6 year commitment approved by the Board of Trustees in November of 2011 (to begin implementation in 2012). Phase One of the OU-CAP is scheduled to begin in Fall 2012 and conclude Fall 2018.
- **Phase Two:** A soft goal of 25% reduction in greenhouse gas emissions has been put in place for Fall 2032 as this date marks the completion of the institution's 20-year Capital Plan.
- **Phase Three:** A hard goal, established through the text of the ACUPCC, of an 80% overall reduction in institutional greenhouse gas emissions has been established for Fall 2050.
- **Phase Four:** The ultimate goal of the OU-CAP is to reach carbon neutrality by Fall 2075. Upon successful completion of this goal, Phase Four will conclude and the OU-CAP will dissolve. The metrics and strategies provided in Phase Four of OU-CAP are vague and incomplete as the technologies, triumphs and obstacles that will be present at the institution during this time are currently unknown.

The Ecology and Energy Conservation Committee (EECC), in partnership with the Office of Sustainability, will work to implement this plan, offer annual reports and make amendments as needed. It is the recommendation of PACSP that EECC, who serves as the monitoring agent of the OU-CAP, critically review these metrics and goals throughout implementation and make amendments as necessary. It is especially important that, during Phase Three implementation at the latest, EECC works to establish firmer recommended strategies for achieving true carbon neutrality. The formal amendment process that is currently in place for the implementation of the Sustainability Plan shall be applied to the implementation process of the OU-CAP.

Energy & Operations

Ohio University's Greenhouse Gas Report shows that Purchased Electricity accounts for a majority of the institution's emissions. As such, it was necessary for the Energy and Operations Sub-Council to offer tangible goals and recommended implementation strategies to assist with the reduction and offsetting of institutional GHGE.

Significant effort needs to be made in the collection of baseline data in regards to Energy and Operations. Since a majority of the institutional GHG reporting currently excludes regional campuses, it is imperative that the institution establish baseline data for all regional campuses which will, in turn, allow us to develop stronger goals regarding energy intensity and peak goals for each of our regional campuses. The university would benefit from a Space Management Audit in an effort to characterize building use to better understand each building's unique energy consumption needs. Additionally, as the university works to sub-meter each of its buildings, an effort must be made to establish baseline performance data of these individual buildings so as to continually assess and improve upon its performance.



Energy and Operations Benchmarks

Phase 1:

- 2014: Reduce building energy intensity by 20% below 2012* levels
- 2016: Lower peak to 23 MW (on Athens campus)
- 2016: Replace Lausche heating plant with lower emissions replacement
- 2018: Increase renewable energy generation to 20% of all campus use (*defined as 2020 in Sustainability Plan*)

Phase 2:

- 2030: Reduce building energy intensity by 40% below 2012* levels
- 2030: Reduce institutional greenhouse gas emissions 25% below 2012* levels
- 2032: Increase renewable energy generation to 30% of all campus use (*defined as 2040 in Sustainability Plan*)

Phase 3:

- 2050: Reduce institutional greenhouse gas emissions 50% below 2012* levels
- 2050: Increase renewable energy generation to 40% of all campus use

Phase 4:

- 2075: 0 emissions from energy and operations practices on all campuses

Reporting Responsibility: Director of Energy Management, Facilities Management

**Note: All baseline years have been selected as 2012 despite contradictory language in the Sustainability Plan. Such a decision allows all areas of the CAP to align more completely and will provide a consistent reporting baseline.*

Energy & Operations, Recommended Implementation Strategies

- Institute temperature mandates and controls:
 - o Evaluate opportunities for electricity and heating/cooling reductions associated with holidays and breaks.
 - o Evaluate opportunities for hot water temperature minimums and thresholds in:
 - academic buildings
 - residential buildings
 - culinary services
- Allow only the purchase of *energy efficient* appliances and controls.
- Replace inefficient systems with energy efficient alternatives through Performance Contracting efforts.
- Maintenance will be hierarchical in which predictive maintenance preferred over preventative, preventative preferred over reactive, and reactive preferred over emergency maintenance. Continual maintenance of buildings encourages energy efficiency and economic sustainability of the appliances and buildings themselves.
- Sub-meter campus facilities (at all campuses) of facilities over 15,000 gsf.
- Institute 'energy tap fee' on all construction/renovations projects over \$2 million directed to renewable energy fund.
- Establish exterior lighting practices to ensure lighting is not wasteful but still honors institutional commitments to safety.
- Continually audit and assess interior and exterior lighting options and actively seek high efficient alternatives in times of upgrades.
- Favor Group I over Group II power sources whenever possible. Group I and Group II are defined as:
 - o Group I power sources can include carbon-free sources such as solar power, wind power, hydropower as well as fuel cells and sustainable biomass.
 - o Group II power sources would include low-carbon emitting sources such as biomass facilities and trash-to-energy facilities.
- Audit chilled water system and seek higher efficient upgrades to existing system.
- Ohio University's Lausche Heating Plant will be replaced with a low emissions alternative:
 - o The institution will switch from coal to natural gas as a pilot program in FY12.
 - Encourage university to seek disclosure from gas supplier regarding tracking of percentage of distribution and sourcing.
 - o March 2015: Retrofit the plant and be able to be 100% natural gas with no coal.
 - o June 2017: Co-generation plant to be added and operational.
 - o Continually assess opportunities to improve efficiency of heating plant
 - o Continually assess opportunities for transitioning to renewable resources to supply heat and energy.
 - o Continually assess cost of renewable energy and other offset opportunities to ensure best value and financial sustainability in the institution's efforts to achieving neutrality.
- Institute a "green pay day" for facilities where, monthly, the staff walks or bikes to assignment as opposed to on-campus travel in state vehicles.
- Allow the revenue from conservation strategies to be directed toward a fund to reduce the cost of environmentally friendly transportation.

Land & Resource Management

It was with great excitement that the Land and Resource Management Sub-Council was included in the development of this plan. While current GHGE reporting does not pointedly remark on the institution's approach to such practices, it has become increasingly obvious that the political, social and structural climate of Ohio University and its surrounding communities is asking us to become a proactive leader on the topic. As mineral rights leasing and responsible landscaping management practices were being brought to the forefront of many conversations, PACSP saw a great opportunity to begin considering these efforts as a necessary strategy for achieving carbon neutrality.

Such a tactic, while exciting, also offered some significant obstacles. Current GHGE reporting does not require a great deal of data to be collected in regards to land and resource use. Therefore, a lack of baseline data offered some initial struggles in the development of benchmarks and implementation strategies. It is necessary that the institution first approach this topic by establishing baseline GHGE of existing land management practices and existing carbon stocks. Additionally, it is necessary to create an inventory of existing green space on all OU campuses so as to positively track opportunities for natural carbon capture.

Land and Resource Management, Benchmarks

Phase 1:

- 2013 (through 2075): Record 0 net emissions from fossil fuel extraction on OU property (ongoing)
- 2018: Increase carbon storage by 5% from 2012 levels
- 2018: Reduce GHGE from land management practices and equipment by 25% of 2012 levels

Phase 2:

- 2032: Reduce GHGE from land management practices and equipment by 50% of 2012 levels
- 2032: Increase carbon storage by 15% from 2012 levels

Phase 3:

- 2050: Reduce GHGE from land management practices and equipment by 75% of 2012 levels
- 2050: Increase carbon storage by 30% from 2012 levels

Phase 4:

- 2075: 0 emissions from land and resource management practices on all campuses

Reporting Responsibility: Grounds Services, Director of Real Estate and Community Relations

Land & Resource Management, Recommended Implementation Strategies

- Include offsetting requirements in any leasing activity generated by the university requiring companies extracting resources from OU property to mitigate or offset all emissions associated with use of the total amount of resource extracted.
- Use a carbon calculator to calculate the carbon stocks of lands identified by OU GIS mapping team as wooded, field, agricultural and landscaped. If needed, use GIS to overlay National Land Cover Data (NLCD) with identified properties to more specifically identify forest type and resulting carbon storage. This will provide more accuracy because different forest types have different carbon values.
- Establish Land Development Standards to be incorporated with design standards for all buildings. This document is to provide specific options for offsetting changes in land use.
- Identify areas of land that can transition from low carbon storage (i.e. landscaped property) to higher carbon storage (i.e. forest or increased shade canopy).
- Identify strategy for increasing total shade canopy on campuses.
- Form a Land Management committee or class to include staff from Grounds Services.
 - o Utilize this group to develop institutional Land Development and Maintenance Standards which are specific standards that identify formulas and strategies for changes in land use and ways to minimize carbon emissions from maintenance.
 - For example, for every acre of field to be paved with asphalt, X trees need to be planted. For every acre of field to be paved with permeable pavers, X trees need to be planted.
 - o Implement permanent signage and utilize other communications to inform stakeholders of land management changes and the reasoning for such changes.
- Reduce the amount of maintenance required for landscaping campuses:
 - o Increase perennial and native plantings
 - o Increase areas of “no-mow”
 - o Establish riparian plantings
- Identify land that can transition from low carbon storage (such as landscaping) to higher storage (such as forest).
- Run entire fleet of land maintenance vehicles and tools on renewable energy by 2075.
- Ensure permanence of forested/reforested areas.

Waste Reduction & Recycling

In 2011, emissions from waste represented 3.2% of total institutional emissions. While this is a small percentage of total emissions, this number only represents emissions from landfills, and does not take into account front-end production emissions. While Ohio University is only responsible for reporting emissions resulting from waste disposal, it stands to reason that reducing or eliminating this small sector of emissions will achieve the broader goals of the Climate Action Plan. The Waste Reduction and Recycling Sub-Council has outlined three major goals for meeting the requirements of the CAP: reducing waste through a Zero Waste Resolution; creating mechanisms for accurate waste measurement to meet the goals of the CAP; and, increasing the capacity for campus recycling and reuse.

There is much potential for greatly reducing, if not eliminating, emissions caused by solid waste at Ohio University. The strategies to reaching this goal include: improving measurement and reporting; increasing diversion capacity; reforming purchasing procedures; and, implementing behavior change programming. Though waste-based emissions make up a small fraction of total institutional emissions, the reduction of these emissions is relatively straightforward and achievable in the short-term. Additionally, promoting campus recycling and waste reduction can help to instill a “gateway habit” in students, faculty and staff, which can become widespread and lead to other, more involved, practices of sustainability.

In order to successfully implement the goals established by this Sub-Council, it is essential to first capture additional baseline data. It is necessary that the university first assemble waste data currently collected from each of its regional campuses and then establish mechanisms for measuring and reporting all waste that enters and leaves the university. This will allow all reporting mechanisms to become more robust and comprehensive. In order to maintain a focus on waste reduction efforts, it is also necessary to establish an annual schedule for conducting university wide waste audits at multiple locations that will allow us to document composition of waste stream, involve students and create training and research opportunities

Waste Reduction and Recycling, Benchmarks

Phase 1:

- 2016: Consume 5% less per person, per year
- 2016: Increase recycling rates 80% by weight of all recyclable solid waste
- 2018: Decrease construction waste going to landfill by 25%
- 2018: 100% of to-go products offered in dining halls made of compostable materials
- 2018: Compost all compostable university waste

Phase 2:

- 2032: Decrease construction waste going to landfills by 50% of 2012 levels

Phase 3:

- 2050: Decrease construction waste going to landfills by 75% of 2012 levels
- 2050: Decrease waste going to landfill by 75% of 2012 levels

Phase 4:

- 2075: Achieve 0 emissions from waste management practices on all campuses

Reporting Responsibility: Office of Refuse and Recycling, Moving and Surplus, Design and Construction

Waste Reduction & Recycling, Recommended Implementation Strategies

- Establish a new RFP for streamlined waste and recycling practices at Ohio University:
 - o Require strict waste and recycling data collection as part of new contract.
- Enter into an institutional Zero Waste Resolution to be implemented at all campuses.
- Create stricter policies for to-go food in the dining halls (Portion sizes/Container material).
- Increase food donations to local service organizations.
- Establish strict procedures for monitoring, reporting and documenting construction waste:
 - o Include this data requirement into contracts.
- Require that the automatic settings on all printers are set to print double-sided.
- Encourage waste-to-energy or waste-to-resource recovery research and projects.
- Centralize purchasing to minimize duplication and promote resource sharing.
- Include sustainability standard/waste reduction policy in RFPs:
 - o Extended producer responsibilities (take backs, end-of-life practices).
- Co-locate trash and recycling bins across campus to encourage recycling.
- Implement campus wide hazardous waste inventory system to facilitate reuse of laboratory chemicals.
- Implement notification system for local service organizations regarding availability of surplus items and excess compost through Moving and Surplus.
- Purchase carbon offsets to become a zero-emissions institution.
- Establish measurement and reporting mechanisms in all waste and/or recycling contracts.
- Explore software upgrades to assist with the measurement of all waste streams and institutional carbon footprint.
- Establish budget and capital investment for recycling and refuse.
- Explore collaboration with City of Athens/other district partners that may transition the Ohio University waste stream into a profit stream, thereby reducing costs of waste management for Ohio University.
- Implement Behavior Change programming to assist with the above strategies.

Transportation

It is important to note that, currently, all of Ohio University's campus locations are rural in nature and, thus, lend themselves to limited access to public transit, safe pedestrian routes and convenient bike-path or car sharing options. As such, personal and business travel make up a significantly large portion of the institution's annual GHG report.

One of the many obstacles faced by the Transportation Sub-Council included a lack of access to baseline data. Therefore, it is the recommendation of the Sub-Council that the institution work to track the baseline data of miles traveled by students, faculty and staff by accessing 2012 parking pass data. Additional tracking of fleet and air travel currently exists, but may be incomplete. Additionally, it is necessary to develop pedestrian use data for FY13 and gather ridership information for both community transit options and Campus Area Transit Services for the same year. This will allow the institution to more adequately track changes in vehicular and pedestrian travel on and around our campuses.

Transportation, Benchmarks

Phase 1:

2016: Encourage use of "LEFE" vehicles on campus: 60% of all student, faculty, and staff vehicles registered with a parking pass will be classified as LEFE

2018: Increase pedestrian traffic and bicycle usage by 100% above 2013 levels

2018: Encourage use of "non-Single Occupancy Vehicles: 85% of students/20% of employees will use a non-Single Occupancy Vehicle as their primary method of transportation

2018: Reduce total (student, faculty, staff) commuter emissions by 15% below 2013 levels by introducing alternate transportation options and incentive programs

2018: Reduce air travel by 10% below 2012 GHG emission levels.

Phase 2:

2032: 90% of all student, faculty, and staff vehicles registered with a parking pass will be classified as LEFE

2032: 90% of students/40% of employees will use of multiple Occupancy Vehicle as their primary method of transportation

2032: Reduce total (student, faculty, staff) commuter emissions by 50% below 2013 levels by introducing alternate transportation options and incentive programs

2032: Reduce GHG emissions impact from business travel by 40% below 2012 levels

2032: Continue to increase overall fleet fuel economy by 5% per year

2032: All OU vehicles to meet or exceed current federal fuel efficiency standards

Phase 3:

2050: Reduce total fleet and departmental car emissions by 60% below 2012 levels by continuing new procedures and incentives programs

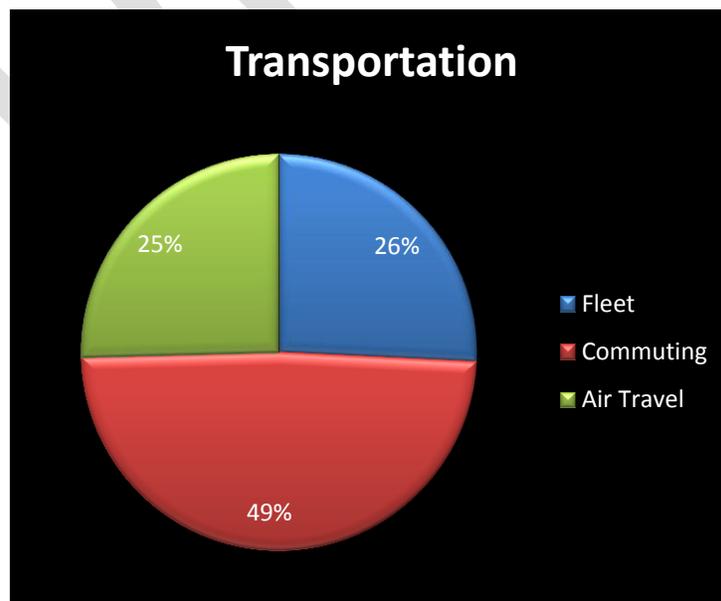
Phase 4:

2075: 0 net emissions from institutional transportation practices on all campuses

Reporting Responsibility: Transportation and Parking Services

Transportation, Recommended Implementation Strategies

- Create incentive programs for use of vehicles that meet LEFE requirements. Incentive programs can include fuel discounts, low interest loans for vehicle purchase or parking incentives
- Construct strategically located weatherized bicycle parking areas near centralized academic buildings.
- Create a bicycle co-op system.
- Increase the size of weatherized bicycle parking areas for areas where bike traffic is documented as increasing.
- Develop strategies to encourage walking for on-campus and off-campus students, faculty, and staff.
- Improve bus routes and schedules by expanding ridership numbers by 200% over 2012 levels.
- Institute a carpool pledge program and create preferred parking options for rideshare vehicles.
- Strategy in the near to medium term to limit/price parking supply.
- Institute an integrated university-city bus system that honors a fare-free or universal OU-affiliate bus pass.
- Cooperatively work with city for municipal parking pass.
- All newly acquired OU vehicles to meet or exceed 2011 CAFE standards.
- Increase fuel economy of campus fleet by 5% per year in Phase One.
- Institute campus wide anti-idling program.
- Improve gas efficiency of fleet & departmental cars by 10% through zero emissions vehicle purchase for new vehicles & partial-zero emission vehicles for older vehicles.
- Institute new procedures limiting use of state cars on campus.
- Institute a Green Day program that reduces campus vehicle usage by 1 day/week.
- Decrease mileage reimbursement amount.
- Develop an alternative fuel depot option at Ohio University.
- Establish High-Occupancy Vehicle (HOV) parking for faculty/staff designated lots.
- Eliminate or significantly reduce on-campus parking privileges for residential students and, instead, provide remote parking with shuttle service as an option.
 - o Include OUPD, Student Affairs, Environmental Health and Safety and any other relevant safety personnel in these discussions.
- Consider feasibility of establishing a parking fee structure that incentivizes reduction of parking days per week.
- Incentivize expansion and usage of regional bus systems.
- Encourage less commuting miles by developing programs/incentives for University faculty and staff to live within a five-mile radius of campus.
- Expand coordinated mass transit system within five-mile radius of campus.
- Support community/region-wide efforts aimed at developing sustainable transportation infrastructure.



Education & Outreach

Education and Outreach was established in an effort to develop potential opportunities for raising awareness of OU efforts toward carbon neutrality. Such awareness is integral as part of a broader culture of sustainability at the university and within the larger community both in the present and long term activities of the Athens and regional campuses. Benchmarks identified here are intended to expand and promote university efforts on this front.

Education and Outreach, Benchmarks

Phase 1

2015: Establish and implement assessment of baseline awareness and knowledge of carbon neutrality issues for the university community as a whole

2015: Establish and implement assessment of baseline awareness and knowledge of carbon neutrality issues for incoming students. This assessment is to be repeated *annually*

2015 and ongoing: Expand and implement new university-wide programming and curriculum efforts aimed at increasing greenhouse gas emissions awareness

2018: Repeat university-wide assessment of awareness and knowledge of carbon neutrality issues

Phases 2 and 3

2028: Reassess awareness and knowledge of carbon neutrality issues again and repeating at 5-year intervals throughout the implementation of the climate action plan with the goal of achieving and maintaining a 90% “passing rate” on such assessments for the university community, ultimately reflecting awareness of climate neutrality efforts

Reporting Responsibility: Sustainable OU Leaders program

Education & Outreach, Recommended Implementation Strategies

- Increase the resources from the Ohio University general fund for the Office of Sustainability to enable broader staffing, additional programming, staff development opportunities and acquisition of educational materials and instruments.
- Develop an appropriate “climate awareness inventory” consisting of a scale for the assessment of general literacy and awareness of climate issues among faculty, staff and students
- Develop a “commit towards climate neutrality” pledge offered to all students, faculty and staff for signature:
 - o This would grow through each phase of the implementation of the Climate Action Plan with special benchmark totals being acknowledged (1,000 signatures, 5,000 signatures, 10,000 signatures, etc.).
- Establish an education program for climate change awareness that is incorporated into pre-college and the first-year experience. Elements of this should be multi-pronged and could include the following:
 - o Develop an interactive website providing information regarding climate change and sustainability issues. An online quiz component of the website could assess climate change awareness.
 - o Implement climate change foci and themes into first year experience coursework for students.
 - o Establish and regularly offer climate-change learning communities.
- Establish a broader education program for climate change that cuts across the entire curriculum. Elements of this should be multi-pronged and include the following:
 - o Increase course offerings pertaining to climate change and sustainability.
 - o Increase faculty and course development funds pertaining specifically for climate change issues.
 - o Integrate climate change into readings for a regularly offered “common experience” program for the university and greater community to participate in.
 - o Establish a climate change scholars program that provides students with opportunities for conducting research or completing internships in the areas of sustainability and climate change.
 - o Establish a climate change professorship award of a modest amount to recognize the efforts of an outstanding faculty member promoting awareness of and working on the issue.
 - o Establish a climate change stewardship award of a modest amount to recognize the efforts of outstanding administrators, employees, and staff promoting awareness of and working on the issue.
- Establish broader programming for climate change education that seeks to raise awareness of the issue beyond the classroom and into the components of daily life of the university community:
 - o Develop an educational video promoting climate change awareness and connecting it to issues of local concern to the OU and Athens communities.
 - o Further develop the online interactive energy dashboard by increasing sub-metering of buildings campus-wide and augmenting marketing of this existing technology.
 - o Establish an ongoing public awareness campaign regarding climate change that includes programming and tools for public education in the administrative buildings, dining halls, residential housing, library, student union and athletic events.
- Increase resources for climate action and sustainability awareness education with the implementation of a “green fee” subsidy of \$1 per enrolled student semester hour.
 - o Funds would be additional revenue distributed to and managed by the Ohio University Office of Sustainability for use in “green programming” initiatives, including those noted above (with particular preference given to Earth Month and special activities).
 - o A portion of its total is to be allocated for redistribution back to the campus community through a small grant or revolving loan program. Awards could be used for faculty implementing climate awareness education programming in the classroom or in the field, student organizations wishing to implement special projects or sponsor initiatives on campus or for staff and various units on campus to carry out climate awareness projects in individual departments.
 - o Also, consider a “green fee” that provides the option for Ohio University faculty/staff contributions.

Construction & Design

While the institution's annual GHGE report does not currently track the direct impacts of construction and design, it was decided that the long-term, indirect impacts of the decisions made during the design and construction phase were extraordinary and, therefore, consideration of these impacts must be included in the Climate Action Plan. Ultimately, while specific annual benchmarks were determined unnecessary at this time, the group was able to develop a recommended [Green Building Standards document](#)* to assist in achieving climate neutrality by the year 2075.

Construction and Design, Benchmark

2075: 0 net emissions from Construction and Design.

- All new construction and renovations to implement carbon neutral practices.

**Supporting document URL:*

<http://issuu.com/sustainableou/docs/constructiondesignplan?mode=window&backgroundColor=%23222222>

Reporting Responsibilities: Construction and Design, Institutional Planning/Space Management, Facilities Management, Recycling and Refuse.

Behavior Change & Implementation Management

During the first year of implementation of the Sustainability Plan, PACSP determined that a number of implementation and behavior change efforts would have been best addressed during the planning phase of that first document. As such, the Behavior Change and Implementation Management Sub-Council of the Climate Action Plan was formed to develop a plan for simultaneously carrying out the many benchmarks and strategies of both the Sustainability Plan and the Climate Action Plan. Similar to the outcome of the Construction and Design Sub-Council, the Behavior Change and Implementation Management Sub-Council established a singular target and offered a [supporting document](#)*.

Behavior Change & Implementation Management, Target:

Ohio University will establish a Sustainable OU Leaders (SOUL) pilot program* to assist in the institution-wide education, implementation and reporting processes associated with the various sustainability-related commitments of the institution.

- Upon the first annual report of the Climate Action Plan, SOUL must provide an updated program implementation file to include accomplishments, goals, objectives and future implementation plans.

**Supporting document URL:*

http://issuu.com/sustainableou/docs/bci_pilotproposal_april2012?mode=window&backgroundColor=%23222222

Reporting Responsibilities: Sustainable OU Leaders program, Office of Sustainability.

Vetting Process

The development of the Climate Action Plan was a collaborative effort among nearly 70 students, faculty, staff and community members. Though, it was decided that campus- and community-wide feedback was desired prior to the final submission of the Climate Action Plan. In an effort to offer full transparency, the Presidential Advisory Council for Sustainability Planning (PACSP) asked for feedback at various stages of the document's development. The draft plan was published online and comments were encouraged.

Feedback was sought on this document throughout a variety of stages of this process:

Graduate Student Senate Presentation, April 2012

Faculty Senate Presentation, April 2012

Undergraduate Student Senate, April 2012

Classified Senate Presentation, May 2012

Administrative Senate Presentation, May 2012

Online, April-June 2012

VIP Vetting, July-August 2012

Board of Trustees, (*anticipated*) September 2012

In addition to the above, the following communication outlets were used to garner feedback:

- A story requesting feedback appeared in *The Post* on April 30, 2012
- A press release was submitted to University Communications and Marketing on May 1, 2012
- A story requesting feedback appeared in *Compass* on May 3, 2012
- A story requesting feedback appeared in *The Athens Messenger* on May 4, 2012
- A story requesting feedback appeared in *The Athens News* on May 6, 2012
- Numerous announcements were made on the Office of Sustainability Facebook and Twitter pages
- An announcement was made on the Ohio University Facebook page
- The feedback request was highlighted in *Routes*, the Office of Sustainability's online publication
- The draft plan was available on the Presidential Advisory Council for Sustainability Planning Web site (www.ohio.edu/pacsp) and the Office of Sustainability Web site (www.ohio.edu/sustainability)
- Announcements were made through the Green Network Listserv and in *Routes* e-magazine
- A message was sent to all regional campus Deans' Offices for distribution to their campuses
- Email invitations were sent to over 300 individuals involved in the VIP Vetting process on July 11, 2012 and August 1, 2012.

Throughout the vetting process, written feedback was emailed to sustainability@ohio.edu.

Those who wished to submit feedback anonymously were encouraged to complete an online comment form.

Acknowledgements

Council Chairs

Ben Stuart
Russ College of Engineering (July 2009-Present)

Annie Laurie Cadmus
Director of Sustainability (July 2011-Present)

Council Members

Jessica Bilecki (Student Representative)
Geoff Buckley (Associate Professor of Geology)
Jill Carlson (Student Representative)
Sujit Chemburkar (Executive Director of Baker University Center & Event Services)
Elaine Goetz (Student Representative)
Dustin Kilgour (Associate Director, Event Services)
Eden Kinkaid (Student Representative)
Ed Newman (Recycling and Refuse Manager, retired)
Wendy Parker (Associate Professor of Philosophy)
Adam Riehl (Director of Facilities, Southern Campus)
Steve Scanlan (Associate Professor of Sociology & Anthropology)
Harry Wyatt (Assistant Vice President of Finance and Administration)

Student Liaisons

Dylan Drugan (graduate liaison)
Katie Lasco (undergraduate liaison)
Alex Slaymaker (undergraduate liaison)

Sub-Councils

Behavior Change and Implementation Management

Co-Chairperson: Annie Laurie Cadmus (Director of Sustainability)
Co-Chairperson: Elaine Goetz (Graduate Student Representative, Sustainability Council Member)
Chris Blackburn (Associate Director of Greek Life and Leadership)
Laura Brown (Assistant Professor and Basic Course Director)
Nancy Manring (Associate Professor, Political Sciences)
Kevin Smith (Assistant Director for Leadership and Community Services)
Dan Vorisek (Director, Outdoor Pursuits)

Construction & Design

Chairperson: Alex Slaymaker (undergraduate student representative, Sustainability Council Liaison)
Geoff Buckley (Associate Professor of Geology)
Lynnette Clouse, LEED AP (Project Manager)
Byung-Cheol Kim (Civil Engineering Faculty)
Isaac Placke (Student Representative)
Richard Shultz (Director, Implementation)
Andy Szolosi (Recreation and Sport Pedagogy Faculty)
Eric Steinberg (Civil Engineering Faculty)
Marty Tuck (Dean, Chillicothe Campus) (remote)
Henry Woods (Campus Recycling Coordinator)

Education and Outreach

Chairperson: Dylan Drugan (Graduate Student Representative, Sustainability Council Liaison)
Liz Emley (Undergraduate Student Representative)
Rich Greenlee (Dean at Eastern/Zanesville) (remote)
Natalie Kruse (Assistant Professor, Environmental Studies)
Jennifer Maskiell (Assistant Director, Residential Housing)
Kristin Mazzeo (Child Development Center Staff)
Loraine McCosker (Environmental Sciences Faculty/Program Coordinator)
Steve Scanlan (Associate Professor of Sociology & Anthropology)

Energy & Operations

Chairperson: Jill Carlson (Student representative, Sustainability Council Member)
Shawna Bolin (University Space Management)
Kevin Crist (Faculty, Chemical and Biomolecular Engineering)
Ana L. Rosado Feger (Faculty, Operations Management)
Mike Gebeke (Executive Director, Facilities Management)
Laura Nowicki (Director, Procurement Services)
Andy Stone (City of Athens, Director of Engineering and Public Works)
Tim Strissel (Director, Energy Management)
Austin Way (Student representative)

Land and Resource Management

Chairperson: Jessica Bilecki (Graduate Student Representative, Sustainability Council Member)
Susan Calhoun (Landscape Coordinator)
Megan Chapman (Graduate Student Representative)
Bob Eichenberg (Athens County Planner)
Donna Goss (Director, Engagement and Real Estate Management)
Paul Logue (Athens City Planner)
Steve Mack (Director, Facilities Management)
Elizabeth Migliore (Graduate Student Representative)
Dick Planisek (Director, Facility Planning and Space Management)
Adam Riehl (Director of Facilities Management, Southern Campus)
Mariah Thrush (Undergraduate Student Representative)

Transportation

Chairperson: Katie Lasco (undergraduate student representative, Sustainability Council Liaison)
Eric Cornwell (Athens Bike Co-Op)
Sandra Doty (Physics Faculty, Lancaster Campus) *remote*
Lori Gromen (Transfer Student Admissions Coordinator)
Christine Knisely (Athens City Council Member)
Michael Lachman (Transportation Services Manager, Athens Transit)
Paul Logue (Athens City Planner)
Deb McAvoy (Civil Engineering Faculty)
Marty Paulins (Director, Transportation and Parking Services)
Harold Perkins (Assistant Professor, Geography)
Dave Simon (Geographic Information Systems Manager)
Ben Stuart (Associate Professor of Civil Engineering)

Waste Reduction & Recycling

Chairperson: Eden Kinkaid (Student representative, Sustainability Council Member)
Kylie Johnson (graduate student representative)
Steve Mack (Director, Facilities Management)
Sarah Minkin (Student representative)
Ed Newman (Manager, Recycling & Refuse)
Kyle O'Keefe (Zero Waste Project Coordinator, Rural Action)

Special Thanks

Ecology and Energy Conservation Committee

The Ecology and Energy Conservation Committee serves as the monitoring agent to the Climate Action Plan.

2011-2012 Membership:

Chairperson: Steve Scanlan (Assistant Professor of Sociology & Anthropology)

Rachel Ackerman (Student Representative)

Annie Laurie Cadmus (Director of Sustainability)

Ana Rosado Feger (Assistant Professor of Operations Management)

Joshua Felker (Student Representative)

Cliff Hamilton (Hazardous Materials Coordinator)

Kyle Kingma (Graduate Student Representative)

Paul Logue (Athens City Planner)

Scott Miller (Director of Energy & Environmental Programs, Voinovich School of Leadership & Public Affairs)

Terri Nelson (Manager of Southeast Ohio Library Deposit)

Jill Rosser (English Faculty)

Hogan Sherrow (Associate Professor of Sociology and Anthropology)

Henry Woods (Recycling and Refuse Coordinator)

Harry Wyatt (Assistant Vice President of Finance and Administration)

Office of Sustainability Staff and Volunteers

The planning, organization, vetting and publication of this document could not have been completed without the great care and dedication of all Office of Sustainability staff, interns and volunteers, past and present.

Special thanks are given to the 2011-2012 staff and interns:

Jessica Bilecki (Graduate Assistant for Marketing and Outreach)

Elaine Goetz (Graduate Assistant for Research and Reporting)

Katie Lasco (Development Intern)

Mary Leciejewski (Graduate Assistant for Education and Special Programs)

Kyla McConnell (Communications Intern)

Penny Morgan (Graduate Assistant for Web Production)

Neal Patten (Communications Intern)

Michelle Schechter (Communications Intern)

Alex Snyder (Graduate Assistant for Technical and Social Media Management)

Jaymie Tighe (Communications Intern)

Anga Welo (Advocacy Intern)

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For additional information about the
Climate Action Plan, please visit:
www.ohio.edu/pacsp

Climate Action Plan

History, Process and Highlights

Tab



1

Climate Action Plan History

- OU became a signatory to the American College and University Presidents' Climate Commitment (ACUPCC) in 2007.
 - That document declares that OU will become carbon neutral by 2075.
- In response, the Presidential Advisory Council for Sustainability Planning (PACSP) was formed in 2009.
- The Sustainability Plan was adopted in June 2011 and implementation began immediately.
- PACSP's remaining responsibility was the development of the Climate Action Plan.

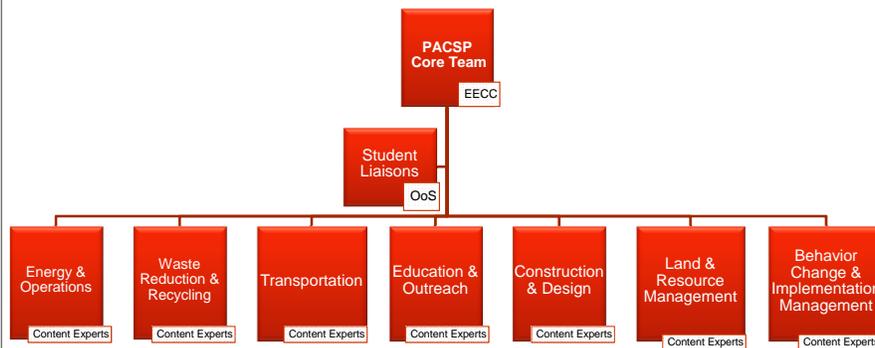


2

Climate Action Plan Planning Process

- Sustainability Planning process was used as a model for the Climate Action Planning process.
- Over 75 individuals were personally invited to participate in the planning process.
- A Kick-Off meeting was held in January 2012.
- Participants engaged with one of seven Sub-Councils:

Climate Action Plan Planning Team

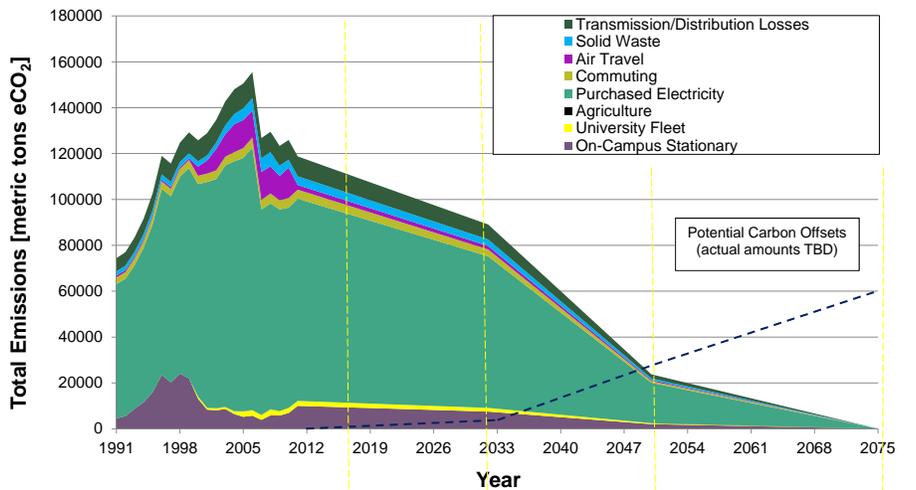


Climate Action Plan Planning Process

- In April 2012, each Sub-Council submitted the following:
 - Goals/benchmarks for achieving carbon neutrality.
 - *Recommended* strategies for implementation
- PACSP engaged in several rounds of vetting:
 - Public (electronic communication)
 - VIP (over 300 faculty/staff/administrators were invited)
 - Board of Trustees



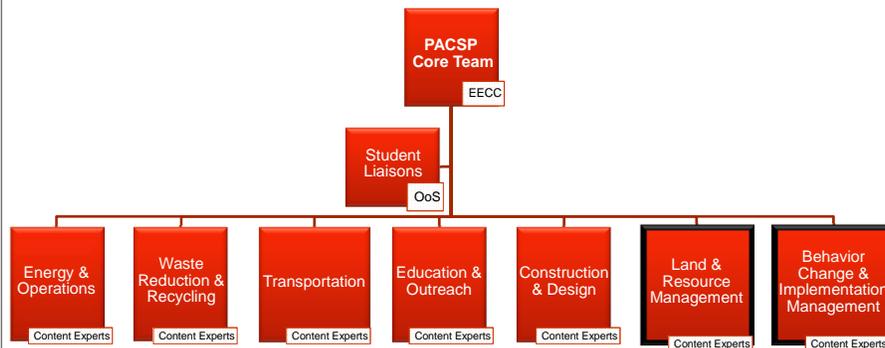
OU Greenhouse Gas Reduction Projections



Climate Action Plan Plan Highlights

- The plan takes a 4-phase approach.
- Incorporates elements of other institutional commitments:
 - Sustainability Plan, Capital Plan, Master Plan, ACUPCC
- Encourages strengthened community partnerships.
 - Examples: Integrated transportation & Zero Waste initiative
- Positions OU as a leader in sustainability within higher education.
 - Unique Sub-Councils and implementation plans

Climate Action Plan Sub-Council Highlights



- Black border: Indicates innovative Sub-Council, unique to OU



Interoffice Communication

Date: August 15, 2012

To: The President and Board of Trustees

From: Stephen T. Golding, Vice President for Finance and Administration, CFO and Treasurer

Re: SUMMER CAMPUS UPDATES

A SHORTER TIME FRAME FOR THE ROUTINE

The Facilities staff and other departments have come to count on the summer period to accomplish work and have less disruptive impact on our students. Ohio University's quarter system had a summer period of about 14 weeks. The staff has come to refer to summer 2012 as "the short summer" because the transition from quarters to semesters created a summer period of only 12 weeks. The new summer semester system has a longer summer period of 16 weeks but this is offset by a considerable decrease in downtime in December.

Bed Turns

There is a great deal of activity each summer in what the staff calls "bed turns." Students move out of the residence halls, and it's the opportunity to provide individual room deep cleaning. Repairs are made to each room; areas are selected for a full repainting; furniture is inspected, repaired and reset. Beds are "bunked" or "debunked" based on student preference. Restrooms and common areas in particular get deep cleaning as access is much less restricted in the summer. There is however, continual residence hall activity during the summer so each available bed may be "turned" more than once. The facilities staff delivered 7498 full bed turns. In addition, facilities staff provided over 2000 "quick turns" in selected residence halls for summer school, student orientation, interim housing, conferences, and workshops. "Move In" is scheduled to start August 23, and despite the "short" summer, we are ready.

Preventative Maintenance

In the past few years, Facilities Management has created a stronger focus on preventative maintenance. Preventative Maintenance is performing routine service on equipment focused on maintaining and extending its useful life. This typically involves lubrication, belt changes, and filter changes, but can and does lead to extensive repair when this activity leads to the discovery of failed equipment that has gone unrecognized. This summer the facilities staff has made considerable progress repairing equipment as well as providing the preventative maintenance that will lead to that equipment running more efficiently.

Grounds

Of course it goes without saying that summer is the time for mowing grass and we have approximate 350 acres of grass to mow on the Athens campus. The grounds department also has spent many hours tending to our smaller trees and watering them through the recent period of drought. This is done typically in the form of installing "gator bags" on the trees which supplies a slow drip of water over time. Each of these bags needs to be refilled periodically. Grounds work this summer has been anything but routine with one storm following another and all the work associated with downed and otherwise damaged trees.

RESPONSE TO STORMS

This region and our campus experienced two damaging storms for which we are still recovering.

June 29 ‘Derecho’ Weather Phenomenon

Thunderstorms hit from the Chicago area through the upper Midwest and in the mid-Atlantic throughout the evening of June 29 and into the morning of June 30. As many as 4 million consumers from Indiana to Virginia lost power as a result of a derecho weather phenomenon, defined as a widespread, long-lived, straight-line windstorm that is associated with a fast-moving band of severe thunderstorms. Temperatures were in the upper 90’s to near 100 in many of these areas in the following days. In Athens County, 90% of the population, including the University, was without power for varying periods of time. The City of Athens water supply came within four hours of having no capability of pumping water. Many streets were blocked with debris. O’Bleness Hospital was running on emergency generator power, and there were very limited food facilities in operation.

Ohio University’s Critical Incident Response Team was activated immediately and was in constant communication with the City of Athens and Athens County Emergency Management personnel. Ohio University’s emergency generation capability was able to keep all critical areas with limited emergency power including the University’s fueling station which also serves Athens City including fire and police vehicles. AEP was able to restore power to the University after approximately 18 hours. The University had numerous trees down, suffered roof leaks, and lost the fencing at the baseball field. The buildings weathered the storm well; the main costs were associated with the resulting power outage and maintaining emergency power. Damages are estimated in excess of \$400,000.

Once the campus power was restored, the university’s focus switched to aiding the community with many areas still without power. The University made generators available to the city’s water treatment plant and to lift stations and made electricians available to aid in installation. The University provided a generator and installation for the Athens County water system and similar aid to the Village of Amesville. The University provided staging areas for debris, aided in general media releases, and operated a cooling station on campus for city and county residents. As we shared with the Board at the last Resource Committee meeting, the University operates and coordinates continuously with the City of Athens. This storm was a showcase of how that relationship and continual collaboration with our community partners works to advantage of everyone. Ohio University staff worked round the clock during this extended emergency serving both the University and community while they clearly had concerns at home.

July 18 Windstorm

On July 18, a storm of less than an hour impacted the University. Yet more trees were downed and some roadways were blocked for a short period of time. The most significant damage involved the roof to the elevator penthouse on Bromley Hall blowing off, flying over West Union Street and landing on the old heating plant building. Damages from this later storm are estimated in excess of \$150,000.

CAPITAL PROJECTS PROGRESS

High Visibility Area Grounds Project

The tree care associated with the storms has delayed progress on the High Visibility Grounds Project. There has been considerable trimming to the tree canopy on College Green to restore the view to Cutler Hall. Had that work not been done, the tree damage from the storms on College Green would have been much greater. We have necessarily had to divert our tree contractors to other areas on campus. More work will be done on the tree canopy this fall. The trimming is being done in stages to lessen the stress on the trees. Work to improve the grassed

areas on College Green this spring led to a better looking lawn this summer despite the period of drought. Mulched rings around the major trees on College Green have been established.

Uptown Area

Two projects approved last Board meeting that both relate to vacating Lindley Hall have proceeded and are on schedule for completion before October heating season: the renovation of 31 South Court Street (the former Woolworth's Building) and renovation of the former kitchen and dining area in Bromley Hall to house the ROTC and Aeronautics Departments.

There is remarkable synergy with the 31 South Court Street project which among other functions will house the School of Film and Ohio University's Athena Theatre just across the street. Film viewing areas within the Athena Theatre have been upgraded to serve as classroom space during the day, and film viewing areas during the evenings and weekends. The activity generated by both of these projects will positively enhance Court Street in general.

Phase One of the Scripps College of Communication renovation of the former Baker Center building is very much underway with the building reduced to barebones envelope and structure to begin the rebuilding process.

Infrastructure

Considerable progress was made on the Chilled Water Expansion Project that will provide chilled water to the Scripps building and others. Spring 2013, the project will conclude with the construction of a concrete lighted walkway connecting Baker University Center with University Terrace and the academic buildings there. There has also been significant safety project progress with replacement of exterior stairs, ramps, and sidewalks in various sections of campus.

This summer two important Ohio Department of Natural Resources grant funded projects were completed: expansion of the In-vessel composting facility at the Ridges and a separate solar area project at our heating plant. These projects incorporated over \$1M in grant funding.

Residential Housing and Culinary Services

There are several residence hall deferred maintenance projects nearing completion this summer. The largest project of this category is the repair/replacement of the clay tile roof on Jefferson Hall. Renovation has continued on Nelson Dining Hall with the first phase of work completing and the second phase of work beginning. The demolition of the Wolf /Ullom apartments is underway to make way for new housing construction. Another phase of the Central Food Facility renovation has been completed.

Critical Coordination

Construction is a sign of progress, and we find ourselves reminding each other of that as it creates disruption to normal activities. There have been times when there were lane closures in place for the Scripps College of Communication renovation of the former Baker Center on College Street and just a block over, a lane closure on Court Street for the renovation of the former Woolworths Building, both University projects. At the same time the City of Athens had street repair in progress on another portion of Court Street. Street and sidewalk closures are necessary to the construction process. The city works a remarkable balance in trying to accommodate the needs of construction contractors, while maintaining the on-going needs of the Athens businesses and residents in arranging these. This is another example of the positive day to day interaction with the City of Athens that is so necessary.

Summer Campus Updates

Tab



Summer Campus Updates

- A Shorter Time Frame For The Standard Summer Routine
- Response To Storms
- Capital Projects Progress



Summer Campus Updates A Shorter Time Frame For The Routine

- A summer of 12 weeks rather than 14 weeks
- Residence Halls
 - 7498 routine residence hall bed turns
 - 2000 bed turns for summer school, orientation, conferences and workshops
- Significant Preventative Maintenance accomplishments
- Grounds
 - 350 acres to mow
 - Work to save smaller trees during drought
 - Much storm damage to larger trees



Summer Campus Updates Response to Storms

- June 29 derecho weather phenomenon
 - Damaging winds
 - Lengthy power outage and emergency generation use
 - Significant community impact
 - Significant collaboration
 - Significant tree damage
- July 18 windstorm
 - Damaging wind
 - Tree and roof damage



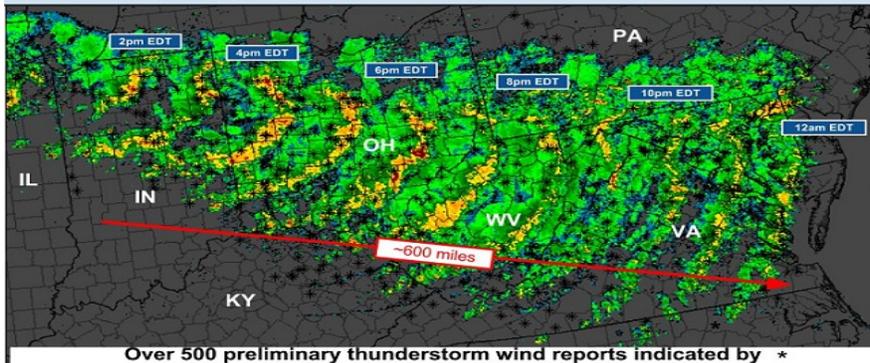
Summer Campus Updates Response to Storms June 29 Derecho

- Damaging windstorms from the Chicago area through Upper Midwest and into the Mid-Atlantic throughout the evening of June 29th and morning of June 30th
- 4 million customers from Indiana to Virginia lost power
- Temperatures in the upper 90's to near 100 in the following days



Summer Campus Updates Response to Storms

June 29, 2012 Midwest to East Coast Derecho
Radar Imagery Composite Summary 18-04 UTC
~600 miles in 10 hours / Average Speed ~60 mph



Summer Campus Updates Response to Storms June 29 Derecho

- Athens County
 - Power outage for 90% of Athens County including campus and the surrounding counties
 - Water districts with very limited capability of pumping water
- City of Athens
 - Water supply low; within 4 hours of having no capability of pumping water
 - Limited lift station capability – only with generator support
 - Streets closed with debris
 - Hospital running on generator power
 - Limited food facilities operating



Summer Campus Updates Response to Storms June 29 Derecho

- Swift response by University's Critical Incident Response Team
- Constant communication with City of Athens and Athens County Emergency Management personnel
- Athens Campus power was restored within 18 hours; the university's focus switched to helping the community



Summer Campus Updates

Response to Storms

June 29 Derecho – AEP Staging at Athens Fairgrounds



Summer Campus Updates

Response to Storms

June 29 Derecho

- **OU assisted City of Athens by providing:**
 - Generator for water treatment plant and electricians to install
 - Generators for lift stations and electricians to install
 - Staging areas for debris
 - Public Information Officer for assistance with media releases
 - Cooling station on campus for City and County residents
- **Other assistance provided in Athens County:**
 - Generator/electricians to LeAx Water District
 - Generator/electricians to Village of Amesville to maintain their water system
 - Staging areas for debris



Summer Campus Updates Response to Storms June 29 Derecho

- OU sustained damages in excess of \$400,000 including costs to maintain emergency power
- University operates and coordinates with community behind the scenes everyday
- Storm was opportunity to showcase how continual collaboration with community partners works to everyone's advantage



Summer Campus Updates Response to Storms June 29 Derecho – Geology Truck Behind ARC



Summer Campus Updates

Response to Storms

June 29 Derecho – Baseball Outfield Fence



Summer Campus Updates

Response to Storms

July 18 Windstorm

- Windstorm of less than one hour
- Oxbow Trail blocked with downed trees
- Elevator Penthouse roof of Bromley Residence Hall blew over West Union Street
- OU sustained damages in excess of \$150,000



Summer Campus Updates
Response to Storms
July 18 Windstorm – Tree Damage at Aquatic Center



Summer Campus Updates
Response to Storms
July 18 Windstorm – Tree Damage at Emeriti Park



Summer Campus Updates
Response to Storms
July 18 Windstorm – Tree Damage at Stocker



Summer Campus Updates
Response to Storms – July 18 Windstorm
Bromley Elevator Penthouse Roof on Top of the Old Heating Plant



Summer Campus Updates Capital Projects Progress

- High Visibility Areas Grounds Project
 - Some delay because of storms
 - College Green
 - Grass improvement
 - Phased tree canopy improvement
 - Mulched rings around significant trees



Summer Campus Updates Capital Projects Progress Cutler Cupola Closer



Summer Campus Updates

Capital Projects Progress

College Green Tree Mulching



Summer Campus Updates

Capital Projects Progress

Uptown Area

- Court Street
 - 31 S. Court Street lease/renovation
(Former Woolworth's Building)
 - Athena Theater Classroom Renovation
- Bromley Residence Hall
 - Academic relocations - Aeronautics and ROTC
 - Residence Hall refurbishment
- Scripps College of Communication Renovation
of former Baker Center building



Summer Campus Updates

Capital Projects Progress

31 South Court



Summer Campus Updates

Capital Projects Progress

Scripps College of Communication



Summer Campus Updates

Capital Projects Progress

Scripps College of Communication



Summer Campus Updates

Capital Projects Progress

Infrastructure

- Chilled Water Expansion
- Safety Projects
 - Alden Steps
 - RTV Ramp
 - Seigfred Steps
 - Elimination of 15 Passenger Vans
- Performance Contract Energy Conservation Projects underway.



Summer Campus Updates

Capital Projects Progress

Chilled Water Expansion



Summer Campus Updates

Capital Projects Progress

Chilled Water Expansion



Summer Campus Updates

Capital Projects Progress

RTV Ramp



Summer Campus Updates

Capital Projects Progress

Seigfred Steps



Summer Campus Updates

Capital Projects Progress

Seigfred Steps



Summer Campus Updates

Capital Projects Progress

Infrastructure

- Grant Funded Ohio Department of Natural Resources Projects
 - Solar Array at Heating Plant
 - Compost Facility Expansion with Solar Array



Summer Campus Updates

Capital Projects Progress
Solar Array, Heating Plant



Summer Campus Updates

Capital Projects Progress
Compost Facility Expansion



Summer Campus Updates

Capital Projects Progress

Compost Facility Expansion



Summer Campus Updates

Capital Projects Progress

Compost Facility Expansion



Summer Campus Updates Capital Projects Progress Housing and Culinary Services

- **Nelson Commons**
 - First Phase Complete
 - Second Phase Beginning
- **Demolition of Wolfe/Ullom Apartments**
- **Design Work beginning on First Phase of housing construction**
- **Minor Capital Housing Improvements**
 - South Green walkway repair
 - South Green Front Four patio repair
 - Jefferson Hall roof repair/replacement, East Green



Summer Campus Updates Capital Projects Progress Nelson Commons



Summer Campus Updates

Capital Projects Progress

Nelson Commons



Summer Campus Updates

Capital Projects Progress

Nelson Commons



Summer Campus Updates

Capital Projects Progress

Nelson Commons Concept Venue



Summer Campus Updates

Capital Projects Progress

Nelson Commons Dining



Summer Campus Updates

Capital Projects Progress

Wolfe Ullom Demolition



Summer Campus Updates

Capital Projects Progress

Jefferson Hall Roof Repair/Replacement



Summer Campus Update Capital Projects Progress

- Coordination with City of Athens has been critical
- Start of the Six Year Capital Improvement Plan with much work ahead



**APPROVAL OF PROJECT AND AUTHORIZATION TO DEVELOP
CONSTRUCTION DOCUMENTS, RECEIVE BIDS AND AWARD
CONSTRUCTION CONTRACTS FOR
KANTNER ROOF REPLACEMENT AND
CLIPPINGER CHILLED WATER BUILDING DISTRIBUTION**

RESOLUTION 2012-

WHEREAS, two capital projects have been planned, developed, and funded as follows:

- Kantner Roof Replacement with a total project budget of \$800,000 to be funded from University reserves, and
- Clippinger Chilled Water Building Distribution with a total project budget of \$1,500,000 to be funded \$1,100,000 from state appropriations and \$400,000 from University reserves.

NOW THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees authorizes the receipt of bids and the President or his designee to accept and award construction contracts within the total project budgets identified.



OHIO
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Date: August 15, 2012

To: The President and Board of Trustees

From: Stephen T. Golding, Vice President for Finance and Administration, CFO and Treasurer

Re: **CONSTRUCTION PROJECT APPROVAL REQUEST**

- **Kantner Roof Replacement**
- **Clippinger Chilled Water Building Distribution**

The following construction projects in excess of \$500,000 in total project cost are presented to the Board for project approval. A resolution is provided that addresses these projects.

Kantner Roof Replacement:

Kantner Hall is approximately 41,000 gross square feet in size and was built in 1950. Kantner Hall houses the Theatre Department including a 267 seat traditional performance venue, Baker Auditorium. The roof replacement has been identified as an urgent safety issue, and the funding was identified late last fiscal year. The roof was replaced on a small section of the building last year at cost of \$65,000. This project will replace all remaining sections of the roof and will involve replacing approximately 14,000 square feet of existing flat roofing with new fully adhered white synthetic rubber roofing (EPDM) in keeping with the University's energy standards. The most visible work will be repair/replacement of 6000 square feet of original clay tile roofing at the front of the building. It is important to note that approximately 90% of the existing clay field tile will be reused over new underlayment with new clay tile trim elements. Gutters, downspouts and flashing will be replaced as well. The project budget is \$800,000 and will be fully funded by University reserves.

Clippinger Chilled Water Building Distribution:

Clippinger Hall is an 188,000 gross square foot building housing classrooms and teaching and research laboratories that primarily serve Geography, Geological Sciences, Chemistry, Biochemistry, Physics and Astronomy. The building was originally constructed in 1967. A series of phased systems modifications have been planned for the building. The first phase which provided a mechanical system concept strategy, set up a central exhaust system, and tied the teaching lab hoods into that central exhaust system was completed in 2009. The second phase was completed last year and provided chilled water piping to the building from a district system on the south side of campus. This project will provide the piping distribution within the building to operate the building on district chilled water in the spring of 2013. District chilled water brings reliability and energy savings. The 45 year old stand-alone chiller will be decommissioned. The project budget is \$1.5 million with \$1.1 million in funding from state appropriations and \$0.4 million from University reserves.

Subsequent phases of work in Clippinger are foreseen to upgrade the supply side of the air conditioning system and controls, upgrade the electrical system, and provide new fume hoods for research laboratories and connect them to the new exhaust system. Modifications within the Clippinger building are becoming increasingly difficult to accomplish without disruption to teaching and research. As identified in the University's capital plan, the construction of an additional research building is being explored. The ability to off load systems intensive research into a new building would allow upgrades to Clippinger to be implemented more easily.

A resolution is included to facilitate approval. Please let me know if you have questions.

Construction Projects

Consent Agenda

Tab



Construction Projects Agenda

- Kantner Roof Replacement
- Clippinger Chilled Water Building Distribution



Construction Projects Kantner Roof Replacement

- Built in 1950, many original roof components
- 25% sloped roof, 75% flat roof
 - 90% reuse of original clay tile for visible roof
 - Using energy conscious, fully-adhered white synthetic rubber material on the flat roofing
- Building houses Theatre Department and Baker Auditorium
- Budget: \$ 800,000
- Fund source: University Reserves



Construction Projects Clippinger Chilled Water Distribution

- 1960's Teaching and Research Lab Building
- Phased systems modifications and upgrades have been completed over the last few years.
- This project will make district chilled water operational in the building for Spring of 2013.
- Replaces 45 year old stand-alone chiller achieving increased reliability and energy savings
- Budget: \$1.5 million
- Fund source: \$1.1 million in State Appropriations
\$0.4 million in University Reserves



**LEASE OF REAL PROPERTY
OHIO UNIVERSITY ZANESVILLE CAMPUS
2012 --**

WHEREAS, the Ohio University Board of Trustees has in Resolution No. 2012-3287 declared approximately 1.69 acres of land situated on the Ohio University Zanesville Campus as surplus, said property described and recorded in the Muskingum County Deed Record 538-799; and,

WHEREAS, Ohio University has entered into negotiations with entities, financial institutions and non-profit organizations including the Muskingum Recreation Center, PNC Bank, the Zanesville Canal and Manufacturing Company and the Zanesville Foundation for the purpose of collaborating on a community and university project that will construct the Muskingum Recreational Center, (“the Facility”), intended to benefit the Muskingum County Community, Zanesville Community and University Community; and,

WHEREAS, this Board supports and endorses both the economic and social benefits of such a Facility; and,

WHEREAS, Ohio University has agreed to enter into a lease of the above described property for the Facility, which this Board has reviewed;

NOW THEREFORE, BE IT RESOLVED, that the President of Ohio University or his designee are hereby authorized to enter into and execute a lease of the land so situated and described herein with the Muskingum Community Center, a 501 (c) 3 corporation for the purpose of constructing, managing and maintaining a building for providing health and wellness facilities, including fitness programs and swimming programs that will benefit the population of Muskingum County, Zanesville and the University communities.

BE IT FURTHER RESOLVED, that the Board hereby authorizes the President or his designee to execute the appropriate and necessary documents, and commit the approved and reasonable resources of Ohio University as they determine necessary to complete and manage the project.



OHIO
UNIVERSITY

Date: August 15, 2012
To: The President and Board of Trustees
From: Stephen T. Golding, Vice President for Finance and Administration, CFO and Treasurer
Re: **ZANESVILLE RECREATION CENTER**

Since the Board's approval of a \$1.5 million loan guaranty in support of the Muskingum Recreation Center project (MRC) at its June meeting, staff within Legal Affairs and Finance & Administration have been working with Regional Higher Education to finalize a ground lease for the project which has been included in the materials along with a resolution for Board approval. Efforts to finalize an operating agreement and agreements amongst a number of entities are ongoing.

The MRC development effort involves several organizations from the Zanesville and Muskingum County communities including the University, the Muskingum County Community Foundation (MCCF), the Zanesville Canal and Manufacturing Company Trust, the Muskingum Family Y, Genesis Healthcare System, and Zane State University. Each of these entities have committed to making various contributions to the MRC in an effort to ensure its success.

As reviewed during the Board's June meeting, the University is designating a 1.69 acre site at its Zanesville campus as well as a pledge of \$1.5 million in the event that the MRC defaults on an approximately \$4.0 million loan for the construction of the improvements. The project, at an estimated total cost of approximately \$12.0 million, will be funded by approximately \$5.0 million in charitable pledges made to the MCCF, new market tax credits, and funds from MRC's operation of the facility. Construction management will be provided by the Corna Kokosing Construction Company through the use of a guaranteed maximum price ("GMP") contract. In the event that there are cost overruns not covered by the GMP, the University expects to assume the additional cost related to the project.

In the event that the MRC's operation of the facility does not provide sufficient revenue to cover expenses including debt service, the University will be afforded the opportunity to assume ownership of the facility at a point in advance of the expected reversion date assumed in the lease. A strategy to reduce expenses has also been developed in the event that revenues do not meet forecast.

In an effort to move forward with this project, the University is requesting that the Board approve the resolution for the lease of real property and provide authorization to execute documents and commit the approved and reasonable resources of Ohio University as deemed necessary to complete and manage the project.

OU Zanesville Muskingum Recreation Center (OUZ-MRC)

UPDATE

Tab



OU Zanesville Muskingum Recreation Center (OUZ-MRC)

■ Prior Actions

- April 2011 – Approved \$1.5M guarantee of collateral for part of the loan for the \$12M project
- November 2011 – Approved resolution to support further investigation of the development of a recreation center including the structure of ground lease and guaranty of aforementioned loan



OU Zanesville Muskingum Recreation Center (OUZ-MRC)

■ Terms of Agreement

- New Market Tax Credits (NMTC), acquired through PNC Bank, will provide a 30% discount to the cost of the project by forgiving a portion of the loan. To take advantage of the NMTC, PNC request the following guarantees from Ohio University:
 - Construction completion
 - Environmental
 - Non-conforming use



OU Zanesville Muskingum Recreation Center (OUZ-MRC)

■ Terms of Agreement (cont.)

- Prevailing wage project
- Flexibility:
 - OU may take ownership in the event of MRC financial difficulties
 - OU may take over the building anytime after 7 years
 - OU may take ownership at 29 years or 58 years



OU Zanesville Muskingum Recreation Center (OUZ-MRC)

- Seeking Approval of:
 - Resolution for Lease of Real Property and authorization to execute documents and commit the approved and reasonable resources of Ohio University as deemed necessary to complete and manage the project



**RESOLUTION TO APPROVE THE NEGOTIATION AND
ACQUISITION OF REAL PROPERTY TO SUPPORT THE OHIO
UNIVERSITY ZANESVILLE AND EASTERN CAMPUSES**

RESOLUTION 2012-

WHEREAS, Ohio University Zanesville and Ohio University Eastern seek to expand academic offerings in the areas of applied management, social work, and general education, and serve the needs of non-traditional, working students, and

WHEREAS, pursuant to Ohio Revised Code, 3337.10, 3337.11, 3337.13, 3345.11 and other relevant statutory provisions, the Ohio University Board of Trustees is authorized to do all things necessary for the continuous and successful operation of the Regional campuses, and

WHEREAS, Ohio University has assembled a representative team of university leaders and staff which has performed a review of sites in the Cambridge area, and

WHEREAS, the team, through their site visits and parallel due diligence reviews of sites and preferred criteria, identified a preferred site and would like to proceed with completion of the negotiation and acquisition.

NOW THEREFORE, BE IT RESOLVED, that the Ohio University Board of Trustees does hereby authorize the President or his designee to proceed with the further negotiation, acquisition and transaction of property located at 7077 Glenn Highway, Cambridge Ohio, for the maximum purchase price of \$800,000 as the preferred site for the establishment of classroom and academic activity for the Ohio University Zanesville and Ohio University Eastern campuses.

BE IT FURTHER RESOLVED, that the President or his designee shall have the authority and power to negotiate, exchange, mortgage, buy, sell, deal with all real and any personal or tangible personal property associated with the preferred site, to direct and manage the financing, assets, claims, taxes, rents, to accept conveyance, record and transact funds for the completion of the purchase and closing and final disposition of the real and personal property attributed to the preferred site.



OHIO
UNIVERSITY

Interoffice Communication

Date: August 15, 2012

To: The President and Board of Trustees

From: Stephen T. Golding, Vice President for Finance and Administration, CFO and Treasurer

Re: **APPROVE THE NEGOTIATION AND ACQUISITION OF REAL PROPERTY TO SUPPORT THE OHIO UNIVERSITY ZANESVILLE AND EASTERN CAMPUSES**

History of OUZ in Cambridge

Ohio University has a long history of offering courses in the Cambridge area in service to the Guernsey County citizenry. Cambridge is a city of approximately 12,000 located at the junction of two interstates, I-70 and I-77. Guernsey County has a population of approximately 41,000 and is one of the service regions assigned by the Regents to Ohio University Zanesville (OUZ).

Until the mid-1990's, OUZ offered two complete baccalaureate degrees in Cambridge: Social Work and Computer Science. The Computer Science degree offering ceased to sustain itself when NCR closed its very large Cambridge plant, the employees of whom were consistent enrollees in the program. The Athens campus Social Work Department terminated the offering of the Social Work degree in Cambridge prior to 1997. Thereafter, OUZ continued to offer selected evening courses to non-traditional students in the old downtown high school until 2003.

Since 2004, the University has rented space from Zane State College (ZSC) at the Willet Pratt Training Center in Cambridge, off I-70. This space comprises one interactive TV classroom that holds a maximum of 20 students. Three to five classes per quarter have been offered there, enrolling approximately 30 to 60 students.

Mission

OUZ and its sister institution, Ohio University Eastern (OUE), have discussed the acquisition of a site in Cambridge that offers more space and higher visibility. The proposal includes:

- Increasing offerings to high school students through the PSEOP;
- Presenting the "First Year of Ohio University in Cambridge," a full slate of general education and introductory courses which satisfy Tier II requirements and allow any student to relocate to Athens, to OUZ, or to OUE for their second and ensuing years;
- Continuing to meet the needs of non-traditional, working students;
- Focusing on offering the entire Bachelors of Science in Applied Management (BSAM) degree in cohorts of 20 students each;
- Maintaining the prospect of graduate course/workshop offerings in Cambridge (e.g., as with the MSW offerings we've historically facilitated).

This core mission would be met through live on-site as well as OULN instruction, and, potentially, through dedicated online course offerings (as currently practiced with the Pickerington Center).

Proposed Site

After studying several locations in Cambridge (and one in Byesville, a bedroom community to Cambridge), a permanent, stand-alone site has been identified. Located at 7077 Glenn Highway, directly accessible from I-70 Exit #176 and lying adjacent to the State Highway Patrol barracks and the Pritchard-Laughlin Civic Center, this facility offers 7461 square feet of office space currently divided for lease into six suites ranging in size from 1939 sq. ft. to 419 sq. ft. These suites as they presently exist require minimal renovation and could easily accommodate four classrooms (or three classrooms and one computer lab) and office space for staff. It has been confirmed that they could also be easily renovated to create larger classrooms by removing non-load-bearing walls as needed.

As parking capacity is extremely limited, a pending letter or agreement has been drafted with the neighboring Civic Center to allow students to utilize up to fifty parking spaces in the adjacent lot.

Enrollment Projections

One manner of arriving at a useful enrollment projection is to assume that since Guernsey County is almost exactly half of the population of Muskingum County and, based on Fall, 2011 enrollments, Muskingum County accounted for 46% of the total OUZ enrollment of 2106 (i.e., 1,091 full-time, 1,015 part-time students), Guernsey County could account for 23%, or 484 students. Conservatively, however, we know that of these, many Guernsey County students would still come to the Zanesville campus to pursue programs that would not be offered at the Cambridge site (e.g., Nursing or Education, for example). And consideration must be given that these would not be all new students, but would also include existing students who attend academic programs at the Cambridge site. However, it would not be unrealistic to believe, even in considering these variables, that the site could eventually reach an enrollment figure of 300 or more students. Of the thirteen Ohio counties represented in the OUZ student body, Guernsey is the second largest, behind only Muskingum County.

Revenue Projections

We estimate that initially we will offer 20 courses per semester and conservatively we believe we can enroll 8 to 12 students per class. Enrollment with an average of 8 students per class X 20 courses = 160 students per semester and 320 students per academic year (headcount). This would equate to 64 FTE. Under this scenario, we estimate total tuition income to be approximately \$161,216 plus subsidy of \$96,399 for a total income of \$257,615. This would result in a profit of \$175,296 before capital.

Enrollment with an average of 12 students per class X 20 courses = 240 students per semester and 480 students per academic year (headcount). This would equate to 96 FTE per year. Under this scenario, we estimate total tuition income to be approximately \$241,824 plus subsidy of \$147,957 for total income of \$389,781. This would result in a profit of \$307,462 before capital.

There are two other possibilities that need to be included here, but are difficult to project with specific numbers. Many predict that the population of Guernsey County will boom in the coming years due to the recent and expected continued growth in oil and gas well production in the area. This could be a very strategic move at an important time in the development of Guernsey County. Secondly, the social work program that will soon be approved for regional campuses could be another potentially strong growth opportunity for the Cambridge site as this degree was very successful when the social work department supported it in the past.

OU Zanesville/OU Eastern Proposed Acquisition Resolution

Tab



OU Zanesville/OU Eastern Proposed Acquisition

■ Description:

- Located approximately one mile north of I-70 and Glenn Highway (US 22/US 40) interchange in Cambridge Township, Guernsey County
- Commercially zoned, two-story brick office building consisting of approximately 7,461 gross square feet
- Brick garage/storage building consisting of approximately 539 square feet
- Site contains 0.682 acres, 20 on-site parking spaces
- Public water, sewer, gas and electricity



OU Zanesville/OU Eastern Proposed Acquisition

- Resolution seeking approval to acquire property located at 7077 Glenn Highway, Cambridge, Ohio for a not to exceed purchase price of \$800,000.



**VICE PRESIDENT FOR RESEARCH AND CREATIVE
ACTIVITY
CENTER FOR INTERVENTION RESEARCH IN SCHOOLS
RESOLUTION 2012 -**

WHEREAS, faculty in the Department of Psychology have established a unique and successful program in the area of school mental health, and

WHEREAS, the establishment of the Center for Intervention Research in Schools will build visibility both internally and externally for significant work that is being done in an area of social significance, and

WHEREAS, the proposed center has the support of the Dean of the College of Arts and Sciences, the Vice President for Research and Creative Activity, and the Executive Vice President and Provost,

THEREFORE, BE IT RESOLVED, that the Board of Trustees of Ohio University hereby approves the establishment of the Center for Intervention Research in Schools.



OHIO
UNIVERSITY

Research Division and Graduate College

Research & Technology Ctr 120
Athens, OH 45701

T: 740.593.0370
F: 740.593.0380
shieldj1@ohio.edu

August 14, 2012

Executive Vice President & Provost Pam Benoit
Cutler Hall
Ohio University

Dear Provost Benoit:

I am pleased to provide my endorsement for the creation of the Center for Intervention Research in Schools (CIRS). The focus of CIRS grows out of the successful research and training programs of Professor Steven Evans and Associate Professor Julie Owens in the Department of Psychology. Their efforts address important issues in school mental health, thereby contributing to solutions to pressing societal needs while also providing unique educational and research opportunities for Ohio University students.

The creation of a center will be an important means for building visibility both internally and externally for these activities. The center will also foster collaboration among investigators at Ohio University and with external partners. The creation of the center is timely, with the arrival this fall of two new faculty members who work in areas relevant to CIRS.

Institutional support for the expanded scope of activities planned for CIRS will be provided by the standard redistribution of F&A funds for Trustee-approved centers and institutes. While the transition to Responsibility Centered Management may lead to some changes in this funding model, the center's efforts and goals are in accord with the priorities of the College of Arts & Sciences and Dean Frank has expressed his strong support for the center. I therefore have no reservations in supporting this proposal and recommend its approval by the Board of Trustees.

Sincerely,

Joseph C. Shields
Vice President for Research & Creative Activity
Dean of the Graduate College



OHIO
UNIVERSITY

College of Arts and Sciences
Office of the Dean
Wilson Hall, College Green
Athens OH 45701-2979

9 August 2012

Dr. Joseph Shields
Vice President for Research
Research and Technology Center
Ohio University

Dear Joe:

We have reviewed the proposal for the establishment of the Center for Intervention Research in Schools (CIRS) prepared by Professors Steven Owens and Julie Owens of the Department of Psychology. We endorse its establishment.

The CIRS will bring together faculty researchers and scientists in a unique focus on school mental health issues. The proposal outlines the need and value for the center and provides a basic framework for its operation.

The faculty proposers have requested continuing support for space within the Department of Psychology and at offices located in Columbus. The center is poised for dissemination of its intervention methods for children and adolescents with mental health impairment and will require assistance for business development. We recognize that current implementation of RCM budgeting may have an impact on required support and pledge to work with CIRS to maintain its operation.

The College of Arts and Sciences will benefit from the establishment of the center structure in fostering cooperation and shared resources. The center should strengthen and enhance the ability to increase external research funding. Additionally, we anticipate the center will enhance the stature and visibility of research and training which should improve student and staff recruitment and retention.

The CIRS has the potential to become a model and one that can highlight Ohio University in terms of its student-centered mission and regional outreach programs.

Sincerely,

Robert Frank
Dean

cc: Steven Evans, Professor, Department of Psychology
Julie Owens, Associate Professor of Psychology

Prospectus: Center for Intervention Research in Schools (CIRS)

A statement of need specifying the particular role to be established.

Our goal is to establish a nationally-renowned, trustee-supported research and training Center (Center for Intervention Research in Schools (CIRS); www.oucirs.org) in the clinical child psychology program. Currently, there are less than half a dozen Centers focusing on research and training in school mental health in the United States. We are aware of only two that are located in clinical child psychology programs (UCLA and University of Miami). Neither has the research productivity of our Center. Because our focus on school mental health is unique within clinical child psychology programs across the state and nation, we create a distinctive opportunity for prospective faculty and students. Based on our current levels of productivity in relation to others in this field across the country, we believe we have the potential to establish the premier scientist-practitioner training program for students interested in this area.

Our specific area of focus within CIRS is the science and practice of school-based interventions tailored for children and adolescents with attention deficit hyperactivity disorder (ADHD) and other emotional, behavioral, and academic difficulties. Youth with these types of problems experience significant impairment in multiple domains of daily functioning, including family and social relationships, academic and work productivity, emotion regulation, and daily self-care (e.g., organization, driving). Further, ADHD is a chronic condition that impacts affected persons and their families in unique ways during each stage of development (e.g., early childhood, adolescence, early adulthood). Given the vast array of problems associated with ADHD, as well as the unique issues and needs at each phase of development, developing innovative and effective school- and family-based treatments for this population requires a collaborative investigative team comprised of scientists with unique areas of expertise. The goal of the Center is to capitalize on the synergy of multiple faculty to establish, promote, and sustain innovative and fundable lines of research that cannot be achieved by individual faculty members working in independent laboratories.

Our potential to achieve this goal is reflected by our current research productivity, as highlighted below.

- Research Funding
 - According to LEO, research funding of the CIRS faculty members represents well over half of the psychology department's current external research funding at OHIO (over \$1,000,000 this year). Between June and September, 2011, the CIRS faculty members submitted seven collaborative national grants. One was funded, starting March 1, 2012. A second has been awarded and funding will begin July 1, 2012. Two others received strong priority scores and will be resubmitted in 2012. Multiple grant proposals continue to be submitted annually.

- Research Mentoring
 - The faculty in the CIRS are currently mentoring 11 graduate students and 3 more are beginning in the fall. The majority of the current students have at least one publication, chapters in-press, articles under review, and all have multiple conference presentations. Several of our students have received internal grant awards at the university level.
 - Across the last 8 years, on average, at least one of the clinical child students has been externally funded. In 2011-2012, 6 of 11 students were funded by federal research grants. Next year, we anticipate that 9 of 14 will be funded.
- National and State Reputation in School Mental Health
 - Faculty in the CIRS have a consistently strong publication record and have achieved national and international recognition for their work. Examples of this include: (a) role as Editor-in-Chief of the primary journal in the area; (b) published and edited books on the topic; (c) invitations to write commentaries for special issues and forewords for books; (d) invitations to serve as a consultant on research grants by faculty at other universities; (e) invitations to lead and participate in national panels on the topic from NIMH, IES and other scientific federal funding agencies; (f) requests to review grants for NIMH; (g) national awards received for the school based treatment programs that we have developed.

A description of the manner in which the Center or institute will effectively meet this need in ways existing units cannot.

Once the CIRS is designated as an official Center, it will be listed among the other prestigious centers and institutes on the University website. Thus, it will be more recognizable among media outlets and within the Ohio System of Universities. Having an officially-sanctioned center (a) facilitates recruitment of top faculty, staff and student applicants, (b) maximizes retention of valuable staff between grant awards, (c) expands the national and international visibility of faculty work, and (d) provides the opportunity for product branding and efficient marketing in various arenas (e.g., participant recruitment, clinical service dissemination). Specific examples of these benefits are described below.

Student Recruitment: A recent example highlights the benefits that the Center could provide with regard to student recruitment. During the 2011 graduate application process, one of our first year graduate students was actively recruited by 10 top-tier graduate programs. We successfully recruited him to OHIO because we were able to offer a higher grant-funded stipend, travel support, and seed money for his own research, and were able to secure a staff position in the Center for his partner. Had we simply relied on the resources of the department, we would not have been successful recruiting him.

Staff Recruitment and Retention: Similarly, In order for us to retain highly qualified staff, it is important to have bridge funding to support them in between funded awards. This bridge funding assists us during the recruitment phase, as this offers a level of security that other positions may not. In addition, it allows us to retain these staff members once they are hired. For example, we currently have 9 staff members with doctoral or master's degrees who are highly trained and not easily replaced.

Successfully navigating the challenges of both of the above-described issues is possible through collaborative grant funding. Individual faculty members have not been able to do this consistently from student to student or year to year, and thus have lost highly competitive students in the past as a result. Establishing the Center gives us greater financial flexibility and stability in this regard. In spite of having many grants under review at almost all times during the year, there are some times when one grant ends before another begins. By retaining staff, we increase our efficiencies on all grant-funded projects and productivity on scholarly outputs.

Faculty Recruitment and Retention: The department of psychology provides numerous supports for faculty members to develop grant-funded programs of research, including a reasonable teaching load expectation that is based upon research productivity, reasonable course buy-out rates; annual travel funds for presenting research at conferences; honorarium for collaborators to provide a colloquium for the department and consult with early stage investigators; contributions to research challenge funds; and both formal and informal faculty mentoring programs. These supplements are unique and facilitate faculty recruitment and retention. However, we believe that the establishment of the Center will offer even more benefits. First, incoming faculty members would join a team of investigators who have established grant track records related to their area of expertise. This offers a synergistic opportunity to write collaborative grants and to obtain formal grants mentorship. The presence of a strong investigative team also enhances the likelihood of grants being awarded, as such an environment facilitates strong grant review scores in the categories of environmental resources and investigative team. Second, pooled Center funds allow for efficiencies in the way of shared staff, shared space, and shared materials and technologies (e.g., websites, database management systems). Third, the above two contribute to innovation and efficiency in scholarly productivity, as the unique contributions of each faculty member's expertise facilitates and expands that of the others.

The establishment of the Center would also facilitate university-community relationships. All faculty members in the Center engage in community-based participatory research that offers benefits to youth, families, teachers, and schools in Southeast and Central Ohio. Having an established Center with fiscally sustainable staff allows us to expand the reach of all of our service-related programs (e.g., Challenging Horizons Program (CHP), Youth Experiencing Success in School (YESS) Program). For example, the Challenging Horizons Program was recently added the National Registry of Evidenced Based Practices and Programs. This designation fosters the dissemination of this program both locally and nationally. Thus, Center staff would augment our ability to disseminate the program through trainings and consultations to those who wish to implement the model, without detracting from the research agenda of Center faculty.

The unique value of the program to the University.

The Ohio Board of Regents has identified OHIO as a Center of Excellence in Health and Wellness. Because the work within CIRS is focused on improving the lives of youth with social, emotional, and

behavioral problems, establishing CIRS as a trustee-approved Center aligns well with OHIO's goal of development in this area. CIRS would offer unique value to the University in the following ways

- Offer a new home for collaborative, interdisciplinary research at OHIO. For example, Center faculty members currently have a grant proposal under review that would fund a research project involving faculty from Psychology, Engineering, and Communication Sciences and Disorders. The goal of this study is to use the innovative technologies of a high fidelity driving simulator and integrated eye-tracking system to identify the causal link between micro-level driving behaviors associated with inattention and impulse control and poor driving outcomes.
- Offer a home for collaborative research with other universities. Currently, Drs. Evans and Owens have either multi-site grant awards or multi-site grants under review with 12 universities. The infrastructure provided by a Center would enhance our capacity for cross-university collaboration and would allow Center faculty to be in the lead role in these collaborations.
- Enhance scholarly productivity through collaborative grants, publications, and presentations
- Increase grant funding. Drs. Evans and Owens each individually demonstrated success in securing grants prior to Dr. Evans arrival at OHIO. However, since Drs. Evans and Owens have begun collaborating, their rates of grants submissions and awards have increased.
- Attract more prospective students, faculty and staff to the University
- Increase the visibility and reputation of OHIO
- Enhance training experiences for undergraduate and graduate students
 - Using the reputation of our Center and network of colleagues of the Center, we host leaders in the field as they provide colloquia to the department and university and offer consultation to us and our students. This provides graduate and undergraduate students access to leaders in the field to a degree unmatched at many universities.
 - The Center would provide infrastructure support and financial resources to both undergraduate and graduate students to enhance their independent research activities (e.g., honor's theses, dissertations). Students use our data management systems and relationships with community recruitment sources (e.g., schools) to improve their projects. All students are expected to pursue their own funding within OHIO and/or external sources; however, we enhance these resources with Center funds. Finally, some students add measures to large federally funded projects resulting in large data sets that students at their level typically cannot obtain. All of these supports help students complete research and publish studies that enhance their training and career opportunities.
 - The Center would provide travel support for students to attend national and international conferences and facilitate the development of professional networks for our students.
 - The Center would expand our ability to offer opportunities for active service learning for undergraduate and graduate students via our community based research projects,
- Enhance flexibility of financial support for graduate students in psychology. For example, in the coming year, CIRS will fund 9 out of 14 graduate students with federal grants. This support allows the Department's graduate student funds to be used by other faculty in the department.
- Enhance university-community partnerships through the high quality services we offer to youth and families, and the continuing education opportunities we offer to local education professionals, both of which foster good will among community members.

Identification of personnel and departments to be involved initially and a projection for such involvement over a five year period.

Current faculty within CIRS:

- Steven Evans, Professor of Psychology (Clinical), Co-Director
- Julie Owens, Associate Professor of Psychology (Clinical), Co-Director
- Brian Wymbs, Assistant Professor of Psychology (Clinical) – Arrival 2012
- Fran Wymbs, Assistant Professor of Psychology (Clinical) – Arrival 2012

Current staff funded by the CIRS:

- Judy Harrison, Ph.D.
- Allison Cloth, Ph.D.
- Brandon Schultz, Ed.D.
- Mateja Holter, M.S.W.
- Terah Schamberg, M.A.
- Maureen Madden, M.A.
- Danielle Dulaney, M.Ed.
- Rashea Hamilton, M.A.
- Jennifer Cooper, M.A.
- Alyssa Poskarbiewicz, B.A.
- Lisa Smith, B.S.
- Chelsea Dillon, B.A.
- Kamal Kimball, B.A.
- Kelli Lupas, B.A.
- and 25 OHIO and OSU undergraduate students in paid positions

Current collaborators include:

- Ronaldo Vigo, Assistant Professor of Psychology (Cognitive Psychology)
- Peggy Zoccola, Assistant Professor of Psychology (Health Psychology)
- Deborah McAvoy, Associate Professor of Engineering
- Brooke Hallowell, Professor of Communication Science and Disorders
- Faculty in the CIRS currently are PI or Co-PI on federal multi-site grants with colleagues from Lehigh University, University of South Carolina, University of Missouri, University of Kansas, University of Houston, University of Kentucky, University of Cincinnati, Virginia Commonwealth University, and Florida International University. Other research grants are in the planning stages with faculty from these universities as well as the University of British Columbia, Columbia University and SRI International.

The table below demonstrates the growth of the Center since its inception in 2009. We anticipate continued growth in all areas.

Year	Faculty	Graduate Students	Professional Staff
2009-2010	2	5	3
2010-2011	2	8	5
2011-2012	2	11	14
2012-2013	4	14	pending

Projected personnel over a five year period include one additional tenure track faculty member; a faculty-to-student ratio that mirrors our current status; expansion of Center staff, including additional clinical research scientists, post-doctoral fellows, project managers and project-related staff; and expansion of collaborations within OHIO and with other universities.

Estimated fiscal resources and sources of funding for a five year period.

The Center is currently funded by one grant from the National Institute of Mental Health (NIMH) and three from the Institute of Education Sciences (IES; research arm of the Federal Department of Education). Current direct funding from federal grants total over one million dollars per year. Our goal is to maintain or increase our current level of funding. The department of psychology currently provides investigators with office space, telephone equipment, and statistical software, as well as some travel funding. In addition, the Office of the Vice President for Research provides the investigators with research space in Columbus Ohio. As of July 1 there will be 7 full-time staff and 6 part-time staff working in the Columbus office. This additional space is critical to the investigators’ ability to achieve funding agency’s goals with regard to recruiting participants of diverse ethnicity and socio-economic status. The table below represents the fiscal resources and sources of funding over a five year period.

Current Grants			
Titles	Agency	Dates	Award
Center for Adolescent Research in Schools	Institute of Education Sciences	2008-2013	\$10,950,000
Multisite Study of School Based Treatment Approaches for ADHD Adolescents	National Institute of Mental Health	2009-2014	\$3,575,000
Development of Strategies to Increase Teacher Integrity in a Daily Report Card Intervention for Children with at risk for ADHD.	Institute of Education Sciences	2012-2015	\$1,610,243
Mediators of Social & Academic Achievement in Youth with ADHD	Institute of Education Sciences	2012-2015	\$1,750,000
Pending Grants			
Title	Agency	Dates	Award
Concept Learning in Children and Adolescents: An Interdisciplinary Approach	National Science Foundation	2012-2016	Pending
Ohio University Motor Vehicle Injury Control Research Center	Centers Disease Control	2012-2015	Pending
To Be Submitted in 2012			
Title	Agency	Dates	Award
Promoting Family and School Success for Students with ADHD	Institute of Education Sciences	4 years	Resubmission
Multi-site study of School-Based Treatment Approaches for Adolescents with ADHD	Institute of Education Sciences	4 years	Resubmitted
Identifying Predictors of Poor Driving Outcomes in Young Adults with ADHD	National Institute of Child Health and Human Development	3 years	Resubmission
Enhancing Teacher's Classroom Management Skills Through Experiential Professional Development	Institute of Education Sciences	3 years	New Submission
Outcomes Associated with Interventions and Accommodations	Institute of Education Sciences	4 years	New Submission

Space and equipment needs and a description of how they will be met.

Current equipment needs: Current equipment needs are being satisfied through funds provided by research grants, and will continue to do so.

Current space needs: In 2008, the department of psychology opened a new, state-of-the-art research facility in the Porter Hall Annex that includes 12,395 square feet of space for 24 labs all equipped with materials tailored to the individual faculty member's research program. In addition to her office space, Dr. Owens has a 3-room laboratory suite in the new research facility, equipped with computers, telephone equipment, and statistical software. Dr. Evans has 6 rooms of laboratory space in Porter Hall,

equipped with computers, telephone equipment, and statistical software. This space arrangement is currently meeting our needs and when additional space has been requested (e.g., during periods of high study eligibility evaluations), the department chair has offered additional space in the Porter Annex. However, given our current acceptance rate and submission rate, as well as our growth in faculty, staff and students, our space needs are likely to increase. Thus, we anticipate the need for additional space in both Athens and Columbus in the future.

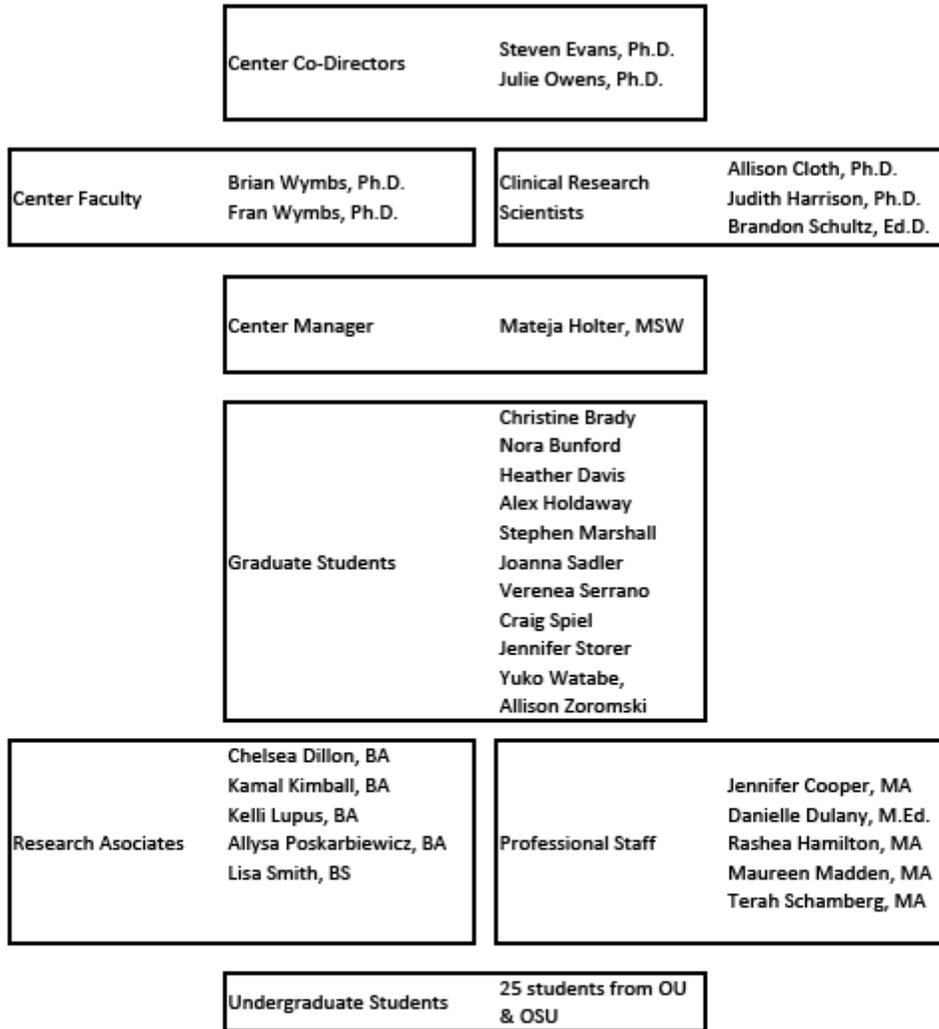
Requests:

- As we move to becoming a trustee-supported Center, we request that all CIRS faculty occupy laboratory space on the same floor in the Porter Annex. Adjacent space will be conducive to both staffing and graduate student needs, and will enhance economical (e.g., shared telephones) and organizational (e.g., data management) efficiencies. We anticipate that we will continue to coordinate with the department chair to secure ongoing space needs, especially for incoming graduate students.
- As we move to becoming a trustee-supported Center, we request continued financial support for the research office in Columbus, including rent, utilities, and furniture upgrades.
- Some of the interventions that we have developed are ready for dissemination. Thus, we request consultation and funding related to small business development for these products.

Description of administrative control and lines of authority for the Center.

Leadership and authority will originate from the co-directors: Dr. Steven Evans and Dr. Julie Owens. The Center Co-Directors will report to the department chair, Dr. Bruce Carlson, and will work collaboratively in supervising the Center Manager, whose salary is supported by blending funds across multiple grant awards. The Center Co-Directors, all other Center faculty members, and the Center Manager comprise the steering committee for the Center. All Clinical Research Scientists are grant supported and report to the PI on the grants that fund them. The Clinical Research Scientists supervise some graduate assistants and some research assistants related to specific projects. The Center Co-Directors and faculty supervise all graduate assistants and selected undergraduate students. The Center Manager directly supervises undergraduate students, though some graduate students will also have a supervisory role for some undergraduate students related to specific projects to which they are assigned. The figure below provides a visual representation of reporting, although some lines are flexible depending on the PI for the grants awarded.

Center for intervention Research in Schools (CIRS) Reporting Lines



**COLLEGE OF BUSINESS
MANAGEMENT DEPARTMENT
ENTREPRENEURSHIP CERTIFICATE**

RESOLUTION 2012 -

WHEREAS, the Management Department has proposed offering an undergraduate Entrepreneurship Certificate, and

WHEREAS, the proposed certificate has the support of the Faculty and Dean of the College of Business, the University Curriculum Council, and the Executive Vice President and Provost, and

WHEREAS, this program reaches beyond the College of Business, providing undergraduate students from various disciplines an opportunity to become more familiar with the field of Entrepreneurship,

THEREFORE, BE IT RESOLVED, that the Board of Trustees of Ohio University hereby approves offering the Entrepreneurship Certificate by the Management Department in the College of Business.

Entrepreneurship Certificate

(five courses; two semester minimum; Non-BBA students)

Overall program goal: To expose non-BBA students to entrepreneurship and to cultivate an 'entrepreneurial mindset' within these students. A primary focus of the program would be to allow the student to tailor the program to their individual program of study.

1. MGT 3550 (Creativity and Innovation Management) (pre-req: Junior or Senior)

This course focuses on enhancing the individual creativity of the student and helps promote 'outside the box' thinking. This course will be taught by management faculty.

2. MGT 3700 (Introduction to Entrepreneurship) (pre-req or co-req: MGT 3550)

This course covers the fundamentals of entrepreneurship and provides a broad overview of the key elements of the business plan. Topics include the history of entrepreneurship, market analysis/research, financial analysis, new venture planning, human resource management, the acquisition of financing, venture growth, etc. This course will be taught by management faculty.

3. MGT 3730 (Entrepreneurial Business Consulting) (pre-req: MGT 3700 or ACCT 3770)

This is the applied component of the certificate program. This course provides a customized program for students and would focus on entrepreneurial consulting. A subset of students could focus on the development of a 'real' business plan, if desired. The primary goal of this course would be to engage the student in true applied learning. Management faculty will teach this course (typically the Center's Director of Student Engagement).

4. and 5. Electives

The student will take two approved electives. The Director of Academic Programs will maintain a list of approved electives. The electives list will include courses from inside and outside the College of Business. Courses already included on the approved list (from the College of Business) are:

1. MGT 3300: Human Resource Management
2. MGT 3400: Organizational Behavior
3. MGT 3650: International Market Assessment and Entry
4. MGT 3710: Business Plan Design
5. BUSL 3780: Legal Aspects of Entrepreneurship
6. FIN 4520: Entrepreneurial Finance
7. MKT XXXX: Entrepreneurial Sales

Additional courses as approved by the Director of Academic Programs, including relevant courses from the student's major area of study.



OHIO
UNIVERSITY

Date: August 15, 2012

To: President Roderick J. McDavis
Members of the Board of Trustees

From: Interim Vice President for Student Affairs Ryan Lombardi

Re: Community Standards Update

I have attached for your information a four-year comparison of yearly conduct cases and a four-year comparison of offenses. I look forward to reviewing this information with you at the September 2012 board meeting and presenting information about how the data seems to be trending as well as factors that may be influencing these trends.

I will be happy to answer any questions you may have about these documents prior to or during the meeting.

Attachments

xc: Secretary to the Board of Trustees Pete Mather

Office of Community Standards

Comparison of Conduct Cases*

	2011-12		2010-11		2009-10		2008-09	
Code A	569	28.7%	740	33.0%	597	32.2%	566	32.9%
Code B	1,012	51.1%	1,136	50.6%	991	53.4%	932	54.1%
Code A&B	352	17.8%	368	16.4%	267	14.4%	224	13.0%
Charges to be assigned	47	2.4%						
Total	1,980	100.0%	2,244	100.0%	1,855	100.0%	1,722	100.0%

Cases Involving Alcohol and Drugs

Alcohol	1,189	60.1%	1,460	65.1%	1,098	59.2%	1,032	59.9%
Other Drugs	284	14.3%	274	12.2%	203	10.9%	179	10.4%

Case Disposition

Reprimand	86	4.3%	94	4.2%	88	4.7%	90	5.2%
Probation	1,209	61.1%	1,561	69.6%	1,256	67.7%	1,102	64.0%
Suspension	74	3.7%	103	4.6%	125	6.7%	103	6.0%
Expulsion	3	0.2%	4	0.2%	1	0.1%	7	0.4%
Charges Dismissed	411	20.8%	383	17.1%	297	16.0%	345	20.0%
Cases Still Open	197	9.9%	99	4.4%	88	4.7%	75	4.4%
Total	1,980	100.0%	2,244	100.0%	1,855	100.0%	1,722	100.0%

*94 of the open cases from 11-12 are Holds, where students have indicated they are not returning.

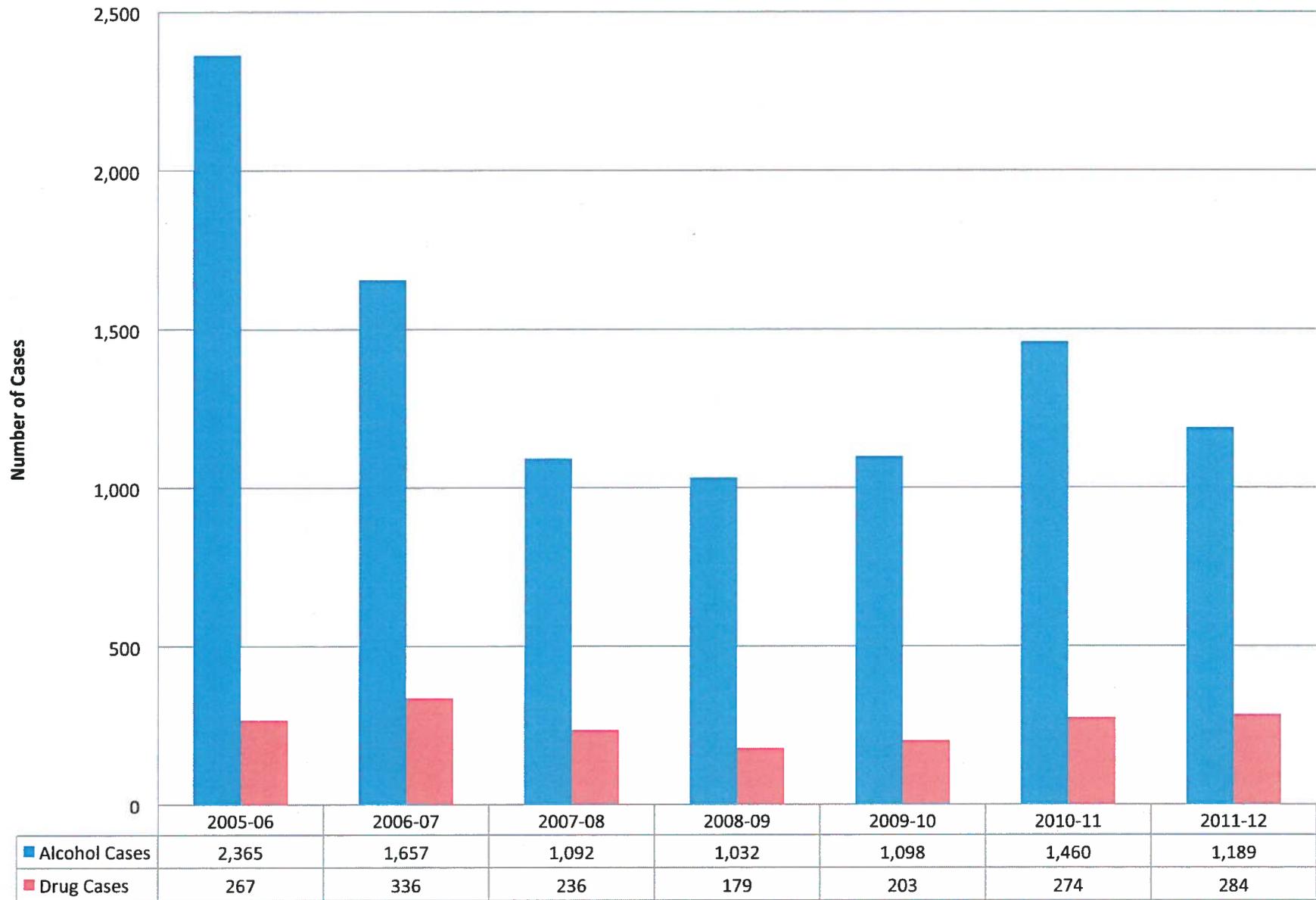
Case Resolved by

Procedural Interview	1,581	79.8%	1,976	88.1%	1,484	80.0%	1,380	80.1%
Administrative Hearing	137	6.9%	133	5.9%	162	8.7%	152	8.8%
University Hearing Board	65	3.3%	36	1.6%	121	6.5%	115	6.7%
Cases Still Open	197	10.0%	99	4.4%	88	4.7%	75	4.4%
Total	1,980	100.0%	2,244	100.0%	1,855	100.0%	1,722	100.0%

Appeal Boards 103 5.2% 82 3.7% 100 5.4% 99 5.7%

Vice Presidential Appeal 30 1.5% 27 3.7% 32 1.7% 39 2.3%

Alcohol & Drug Cases by Year



Office of Community Standards

Comparison of Offenses*

This summary reflects the number of times particular violations of the student code of conduct appeared on formal complaints for the academic year 2011-12 as compared to the academic years, 10-11, 09-10, and 08-09.

CODE A Offenses	<u>2011-12</u>	<u>2010-11</u>	<u>2009-10</u>	<u>2008-09</u>
A-1 Academic Misconduct	63	71	45	42
A-2 Dishonesty	60	43	54	62
A-3 Mental or Bodily Harm to Self	321	463	289	280
A-4 Mental or Bodily Harm to Others	174	202	138	159
A-5 Discrimination	0	2	1	0
A-6 Disruption/Obstruction	2	0	2	2
A-7 Civil Disturbance	3	0	16	5
A-8 False Report of Emergency	2	1	2	0
A-9 Destruction of Property	53	80	54	50
A-10 Theft or Possession of Stolen Property or Service	49	60	77	41
A-11 Trespassing	30	25	45	31
A-12 Possession of Dangerous Weapons or Materials	9	13	10	5
A-13 Manufacture, Distribution, Sale, Offer for Sale, Possession, or Misuse of Drugs or Narcotics	9	6	4	10
A-14 Violation of Criminal Law	109	100	95	35
A-15 Misuse or Abuse of Computers or Computer Network	2	2	4	1
A-16 Misuse of Safety Equipment	40	34	14	40
A-17 Aiding or Abetting	4	8	6	4
A-18 Violation of Disciplinary Probation	274	371	240	226

CODE B Offenses

B-1 Unauthorized Use of Property or Services	1	11	2	17
B-2 Disturbing the Peace	73	125	145	88
B-3 Failure to Comply	124	145	136	120
B-4 Unauthorized Use of University Keys	1	2	0	0
B-5 Misuse of Identification	0	0	3	4
B-6 Possession or Use of Marijuana	278	271	199	169
B-7 Unauthorized Use of Alcoholic Beverages	821	965	751	725
B-8 Violations of Rules Regarding Residence Halls and Dining Facilities	248	181	208	161
B-9 Aiding and Abetting	0	0	0	6



Updated August 2012

First-Year Retention

80.1%

Percent of new freshman who return for a second year

Past Performance

2006	2007	2008	2009	2010
78.4%	80.4%	81.5%	81.4%	80.1%

Average for Ohio Four-year Public Institutions

71.6%	72.2%	74.2%	73.8%	72.1%
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Six-Year Graduation Rates

65%

Percentage of new freshman graduating at Ohio University within six years

Past Performance

2000	2001	2002	2003	2004
71%	71%	70%	69%	65%

Average for Ohio Four-year Public Institutions

49%	48%	49%	48%	46%
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Degrees Granted

5,318

Number of undergraduate degrees awarded

Past Performance

2006/07	2007/08	2008/09	2009/10	2010/11
4,522	4,631	4,538	4,666	5,318

Average for Ohio Four-year Public Institutions

3,251	3,269	3,301	3,364	3,584
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ACT Composite

23.6

Mean ACT score for new freshman

Past Performance

2007	2008	2009	2010	2011
23.6	23.7	23.8	24	23.6

Average for Students in Ohio

21.6	21.7	21.7	21.8	21.8
------	------	------	------	------

Student-Faculty Interaction Rating

40.1 & 50.1

National Survey of Student Engagement ratings of interaction with faculty

Past Performance (freshman)

2002	2004	2005	2008	2011
33.8	33.9	35.5	39.7	40.1

Past Performance (senior)

49.0	44.1	48.7	49.1	50.1
------	------	------	------	------

Student-Faculty Ratio

19:1

Undergraduate and graduate FTE to FTE Faculty

Past Performance (freshman)

2008-09	2009-10	2010-11
20	19	19

Average for Ohio Four-year Public Institutions

19	18.0	18
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Group I Faculty

80%

Percentage of full-time tenured and tenure-track faculty as a percentage of full-time faculty

Past Performance

2007	2008	2009	2010	2011
85%	81%	81%	82%	80%

Average for Ohio Four-year Public Institutions

79.0%	NA	77%	NA	79.0%
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Research & Creative Activity Expo

610 Students

Participation in research and creative activity expo

Past Performance (number of students)

2007	2008	2009	2010	2011
368	410	495	465	610

Grants and Contracts

\$43.7 million

Amount of sponsored funding received in the form of grants and contracts

Past Performance (in \$ millions)

2006/07	2007/08	2008/09	2009/10	2010/11
\$35.1	\$31.8	\$32.5	\$35.8	\$43.7

Tuition Discount

19.2%

The extent to which the University provides scholarship allowances to defray the cost of tuition and fees

Past Performance

2006/07	2007/08	2008/09	2009/10	2010/11
15.4%	17.6%	17.3%	18.9%	19.2%

Undergraduate Headcount

21,655

Athens headcount enrollment, fall term, includes ...

Past Performance

2007	2008	2009	2010	2011
17,383	17,564	18,582	20,996	21,655

Average for Ohio Four-year Public Institutions

15,562	15,858	16,483	17,173	17,914
--------	--------	--------	--------	--------

Average Faculty Compensation

\$85.1 to \$131.7 (in thousands)

Mean Group I faculty salary and benefits for 2010/2011

	Professor	Associate	Assistant
OU Average	\$ 133.8	\$ 102.8	\$ 90.0
Ohio Average	\$ 132.2	\$ 100.7	\$ 86.9

Group I Faculty Retention

92%

Percentage of Group I Faculty Continuing their ...

Past Performance

2006/07	2007/08	2008/09	2009/10	2010/11
91%	92%	96%	94%	92%

Gifts (Pledges)

\$24.9 million

Amount of cash and pledges received within the year

Past Performance (in \$ millions)

2006/07	2007/08	2008/09	2009/10	2010/11
\$18.1	\$89.8	\$25.7	\$21.4	\$24.9

Cash Receipts

Senate Bill 6 Composite

4.2

Composite Score based on viability, net income and primary reserve ratios

2006/07	2007/08	2008/09	2009/10	2010/11
3.2	3.5	3.2	3.9	4.2

Average for Ohio Four-year Public Institutions

3.8	3.4	3.1	3.8	4.0
-----	-----	-----	-----	-----

Primary Reserve Ratio

42.3%

Expendable net assets as a percentage of total annual expenditures

Past Performance

2006/07	2007/08	2008/09	2009/10	2010/11
23.9%	25.6%	20.6%	27.7%	42.3%

Average for Ohio Four-year Public Institutions

36.8%	34.8%	29.4%	34.0%	42.6%
-------	-------	-------	-------	-------

Debt Burden Ratio

3.4%

One-year change in net assets as a percentage of total annual revenue

Past Performance

2006/07	2007/08	2008/09	2009/10	2010/11
4.6%	5.5%	4.7%	4.0%	3.4%

KPMG recommends a debt burden ratio of between 5.0% and 6.0%

Investment Performance

19.0%

Investment return on the University's diversified pool which includes its endowments and a portion of working capital

Past Performance

2006/07	2007/08	2008/09	2009/10	2010/11
16.90%	-3.90%	-19.6%	17.70%	19.00%

NACUBO Endowment Study Average

Deferred Maintenance Backlog

\$71

Dollar amount per square foot that our physical plant requires in order to eliminate deferred maintenance

Past Performance (per square foot)

2005/06	2006/07	2007/08	2008/09	2009/10
\$61	\$61	\$66	\$69	\$71

Sightlines recommends a backlog of between \$40 and \$50 per square foot

Dashboard Key:

Connections to 4x4 Strategic Plan

	Four Fundamentals
	Enrollment
	Total Compensation
	Capital Campaign
	Financial Strength

Ohio University Dashboard Review

September, 2012

Academics Committee

Ohio University Board of Trustees

Monitoring Academic Changes in the Dashboard

	Current	5 year average	Ohio Public Average
Retention	80.1%	80.3%	72.1%
Graduation	65%	70.4%	46%
Degrees Granted	5,318	4,647	3,584
ACT Scores	23.6	23.7	21.8
Student-Faculty Ratio	19:1	19.3:1	18:1
Group 1 Faculty	80%	83%	79%
Undergraduate Headcount	21,665	18,310	17,914
Faculty Retention	92%	93.2%	---
Faculty Salaries	Full \$133,800 Assoc \$102,800 Asst \$90,000	Full \$99,936 Assoc \$74,093 Asst \$61,860	Full \$132,291 Assoc \$100,727 Asst \$86,964
Tuition Discount	19.2%	17.12%	---

Assessing the Dashboard: Accomplishing the Purpose

- Does the dashboard provide an “at a glance” view of the current state of the institution?
- Does the dashboard monitor performance toward strategic priorities?
- Does the dashboard provide data on key performance indicators needed to fulfill the fiduciary responsibilities of trustees?

Assessing the Dashboard: Data Analysis

- Does the dashboard present current information?
- Does the dashboard present quantitative data?
- Does the dashboard present comparative information?
- Does the dashboard present data across multiple years?
- Are there interrelationships among the indicators on the dashboard?

Other Dashboard Indicators: Inputs

- Undergraduate Headcount
 - Applications
 - Admissions
 - Admission (special populations)
 - Admission Scores
 - Acceptances
 - Non-resident acceptances
 - Transfers

Other Dashboard Indicators: Throughputs

- First-year retention-Percent of new freshman who return for a second year
 - Sophomore Attrition
 - Junior Attrition
 - Senior Attrition
 - Transfers Out (All Classes)

http://www.ohio.edu/instres/retention/grad_reten.html (summary table)

<http://www.ohio.edu/instres/retention/CSRDE.html> (broken down by gender and race/ethnicity)

http://www.ohio.edu/instres/student/stud_right.html (graduation and transfer out rates)

Other Dashboard Indicators: Outputs

- Six Year Graduation Rate
 - Time to Degree
 - Degree awarded in 4 years
 - Degree awarded in 5 years
 - Freshmen on track to degree awarded in 4 years
 - Sophomore on track to degree awarded in 4 years
 - Junior on track to degree awarded in 4 years
 - Senior on track to degree awarded in 4 years

<http://www.ohio.edu/instres/student/gradrates.html> (total campus and breakdowns for colleges and majors)

Search Updates

Executive Vice President and Provost
Board of Trustees, September 2012

Dean Searches

- Dean of the College of Arts and Sciences
 - Dr. Robert Frank

- Dean of the Heritage College of Osteopathic Medicine
 - Dr. Kenneth Johnson

Upcoming Dean Searches

- Scripps College of Communication
- College of Fine Arts
- Regional Higher Education

Other Searches

- Vice Provost for E-Learning and Strategic Partnerships
 - Dr. Deborah Gearhart
- Interim Executive Director of the Center for International Studies
 - Dr. Ming Li



OHIO
UNIVERSITY

Office of the Provost

Cutler Hall
Athens OH 45701-2979

T: 740.593.2600
F: 740.593.9591

August 15, 2012

To: Ohio University Board of Trustees

From: Pam Benoit, Executive Vice President and Provost

Re: Ohio Board of Regents' Resolution on Promoting Tobacco Free Ohio Campuses

On July 23, 2012 the Ohio Board of Regents passed the attached resolution concerning the promotion of tobacco free Ohio campuses. The resolution and a chart describing the approaches that the University of Toledo and Miami University have taken in pursuit of tobacco free campuses are provided for your information.

OHIO BOARD OF REGENTS' RESOLUTION

PROMOTING TOBACCO FREE OHIO CAMPUSES

WHEREAS, according to the American Cancer Society, in 2012 there are estimated to be 10,270 new cases of Lung Cancer in Ohio, with 7,530 cases resulting in death; and

WHEREAS, according to the American Cancer Society, in 2012 there are estimated to be 40,250 new cases of lip, oral cavity, and pharynx cancers nationwide, with 7,850 cases resulting in death; and

WHEREAS, the total annual public and private health care expenditures caused by smoking is \$96 billion, the annual health care expenditures solely from secondhand smoke exposure is \$4.98 billion, and the health care costs are as much as 40% higher for smokers than non-smokers; and

WHEREAS, smoking in Ohio costs \$1.4 billion in coverage by the state Medicaid program, a burden from government expenditures amounting to \$612 per household, and \$4.85 billion in productivity losses; and

WHEREAS, tobacco is increasingly becoming popular with Ohio's students, with over 10% of people going into college having used it regularly and 27% of the state's 18-24 year olds being smokers; and

WHEREAS, second hand smoke is classified as a known human carcinogen and results in a combined heart disease and lung cancer death toll of approximately 49,000 people in the United States each year; and

WHEREAS, a recent study has shown that tobacco free campuses have significantly curbed the smoking habits of the students, faculty, and staff to make campuses healthier environments; and

WHEREAS, the members of the Ohio Board of Regents have concerns about the well documented negative health impact that tobacco has on one's self and on the overall health and wellbeing of students attending Ohio's public institutions of higher education; and

WHEREAS, the members of the Ohio Board of Regents, with the support of the Chancellor, advocate for the establishment of tobacco free college and

university campuses at all of Ohio's public institutions of higher education, to include: 14 four-year state universities, 24 branch and regional campuses, 23 two-year community colleges and technical colleges, Northeast Ohio Medical University, as well as Ohio's Adult Workforce Education (AWE) and Adult Basic and Literacy Education (ABLE) programs; and

WHEREAS, currently there are at least seven public college and university campuses in Ohio which have already enacted policies and resolutions to protect the health of students, faculty, staff and guests by disallowing the sale of tobacco and tobacco on campus; and

NOW BE IT RESOLVED, upon the recommendation of the Ohio Board of Regents, and with the support of the Chancellor, that each Board of Trustees of the University System of Ohio shall consider implementing its own policy to establish its campus as tobacco -free; and

BE IT FURTHER RESOLVED, that after implementation of the policy, the Presidents and Boards of Trustees of the University System of Ohio schools shall champion and uphold foresaid policy.

James M. Tuschman, Chair _____
Date

James F. Patterson, Vice Chair _____
Date Vinod K. Gupta _____
Date

Walter A. Reiling, Jr., Secretary _____
Date Virginia M. Lindseth _____
Date

Patricia A. Ackerman _____
Date Lana Z. Moresky _____
Date

Timothy M. Burke _____
Date

Tobacco Free Ohio Public Campuses

	University of Toledo	Miami University
Tobacco Products Included	Including but not limited to cigarettes, Cigars, chewing Tobacco, snus (chewing tobacco in a porous pouch), and pipes	Including but not limited to cigarettes, cigars, pipes
Areas Included	Academic and Administrative buildings, University vehicles, Athletic and recreational facilities, Student union, Greek chapter houses, Residence halls, all campuses	Buildings owned or controlled including shelters, indoor and outdoor athletic facilities, indoor and outdoor theatres, bridges, walkways, sidewalks, residence halls, university owned vehicles or equipment
Areas Excluded	Designated areas near athletic games and major campus events and in personal vehicles	Designated exterior areas surrounding lodges or hotels and in personal automobiles
Enforcement	Signs; Hotline; Advise violators of policy	Subject to University disciplinary action, prosecution for violation of Ohio's smoking ban, and may be denied access to campus
Cessation Programs	No-cost cessation program provided	Services provided at no-cost or reduced cost

The Ohio University Board of Trustees By-Laws as Amended

Revised April 24, 2011

Revised February 10, 2010

Revised January 23, 2009

Revised February 8, 2008

Revised July 14, 1989

Revised June 23, 1990

Revised September 20, 2002

Revised December 17, 2004

Article I. Corporate Authority and By-Laws

Section 1. ~~The Board of Trustees of the Ohio University, a body corporate and politic, hereby adopts these Bylaws for the purpose of governing its activities in accordance with Chapter 3337 of the Ohio Revised Code. Since by Federal and State law, there shall be and forever remain in the said university, a body politic and corporate, by the name and state of The President and Trustees of the Ohio University in the name and style of The Ohio University. The Ohio University Board of Trustees, hereinafter referred to as the Board, chooses to be governed by these By Laws and the applicable provisions of Ohio law.~~

~~Section 2. The adoption of these By Laws by the Board automatically nullifies all previous By-Laws.~~

Section 23. No By-Laws shall be enacted, amended, or repealed, except by a vote of a majority of the members ~~vote~~ (5 votes) of the Board authorized to vote, and then only after thirty (30) days notice of a proposed change has been given to all members.

Section 34. The Board ~~is shall be composed comprised~~ of nine Trustees and two student Trustees, all appointed by the governor of the State of Ohio in accordance with Section 3337.01 of the Ohio Revised Code. The Board shall also include two national Trustees and the chair of the Ohio University Alumni Association Board of Directors or his or her designee. One national Trustee shall be appointed by the Board for a term of one year beginning on July 1, 2010. One national Trustee shall be appointed by the Board for a term of three years beginning on July 1, 2010. Thereafter, both national Trustees shall serve terms of three years.

Section 45. The nine Trustees appointed by the Governor ~~will shall~~ hold voting privileges. The two student trustees, the two national trustees and the chair of the Ohio University Alumni Association Board of Directors may not vote on Board matters but their opinions and advice will be actively solicited and welcomed in Board deliberations.

Article II. Officers of the Board

Section 1. Officers of the Board shall be as follows:

- (a) Chairperson
- (b) Vice-Chairperson
- (c) Secretary
- (d) Treasurer

Section 2. The Chairperson shall preside at all meetings of the Board, and unless otherwise directed by the Board, shall have the authority to appoint members of and to fill vacancies on all standing and special committees. He or she shall serve as Chairperson of the Executive Committee. Subject to these By-Laws, he or she shall fix the date and time of all regular, special, and emergency meetings, and perform such other duties as may be pertinent to the office of the Chairperson.

Section 3. The Vice-Chairperson, in the absence or incapacity of the Chairperson, shall assume the duties and obligations of the Chairperson.

Section 4. The Secretary shall keep minutes of all Board meetings and shall promptly distribute copies to all Board members. He or she shall be responsible for the orderly preservation of all records pertaining to Board business, and shall perform all other duties ~~usual-customary~~ to the office or ~~imposed-assigned~~ by the Chairperson or by Board action.

Section 5. The Treasurer shall be responsible for the fiscal management of the University, including supporting budget preparation, the preparation of all officially required financial reports, ~~management of~~ investments, coordination of audits with auditors, including federal and state auditors, ~~overseeing~~ relationships with financial reporting agencies, and all other financial responsibilities generally or specifically assigned by the Board or the President.

Article III. Election of Officers

Section 1. The Chairperson, Vice-Chairperson, Secretary, and Treasurer shall be elected annually by the Board.

Section 2. The Chairperson and Vice-Chairperson shall each serve for one year and shall be eligible for re-election to their respective offices for a period up to three (3) consecutive years. The Secretary and the Treasurer shall be eligible for annual election to these offices without a yearly limitation.

Article IV. The President and Presidential Duties

Section 1. On the basis of mutual good faith and any contractual relationship pointing to continuous service, the President of the University shall be elected from year to year, and shall be entitled at all times to one (1) year severance notice or one (1) year salary if terminated.

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Section 2. The President shall attend all meetings of the Board and shall, in an advisory capacity, have a voice in its deliberations. He or she shall have the authority to initiate any subject at Board meetings.

Section 3. The President shall be responsible to the Board for the administration and discipline of the University.

Article V. Meetings

Section 1. Regular Meetings. The Board shall hold no fewer than five (5) regular meetings a year, with the date and time fixed in accordance with the provisions of Article II. Section 2.

Section 2. Special and Emergency Meetings. Special and emergency meetings may be held upon the call of the Chairperson or upon the written request of three (3) Board members to the Secretary.

Section 3. Notice of Meetings. The Secretary shall notify all Board members and the President at least five days in advance of all regular and special meetings and at least one day in advance of all emergency meetings. The policy designated "Notification Procedures for Meetings," which has been adopted by the Board pursuant to Revised Code Section 121.22, is hereby incorporated by reference into this section, and the Secretary shall carry out his or her responsibilities under that policy in accordance with its provision for all meetings.

Section 4. Attendance. It shall be the policy of the Board to require full attendance at all meetings of the Board and committees in accordance with Revised Code Section 3.17. Excuses for absence from meetings shall be communicated to the Secretary at least two (2) days before meetings. Persistent unreasonable absences in violation of Ohio law shall be cause, at the pleasure of the Chairperson, for reporting such delinquency to the appropriate authority of the State of Ohio.

Section 5. Quorum. ~~For the purpose of doing business, a~~ majority (5 voting Trustees) of the Board membership shall constitute a quorum for the conduct of the ordinary business of the Board. ~~;~~ ~~however, a~~ vote of two-thirds (6 votes) of the ~~voting~~ Trustees authorized to vote shall be necessary to elect or remove a President; and a vote of a majority (5 votes) of the ~~voting~~ Trustees authorized to vote shall be necessary to authorize the sale or lease of a University building or the planned demolition of a University building.

Section 6. Agenda. The Secretary shall consult with the chairs of the Standing Committees and then prepare a proposed agenda for each Regular Meeting. The proposed agenda shall be

delivered to the President for his or her review and then to the Chairperson of the Board for final approval.

Article VI. Standing and Special Committees

Section 1. Standing Committees of the Board, consisting of no fewer than three (3) members each, shall be appointed annually or for longer terms by the Chairperson of the Board, and each Standing Committee shall consider and make recommendations for action by the Board on the various policy matters enumerated below as follows:

(a) University Academics. Responsibilities will include the academic plan; enrollment management; student life; intercollegiate athletics; diversity; research and technology transfer policies and activities; information technology; communications and marketing; academic appointments; promotion and tenure policies and procedures; academic program reviews; and awarding of degrees.

(b) University Resources. Responsibilities will include financial operations; business organization and practices; human resources; university advancement; relations with local, state, and federal legislative and administrative agencies; recommending of the schedule of tuition and fees; borrowing of funds; naming, location, planning, construction, and maintenance and renovation of ~~the University's~~ facilities and grounds; the purchase, sale and lease of lands and buildings; reviewing and monitoring of all investments including the endowment; contract oversight on public utilities and other large contracts; and recommending of investment policy, advising the Board on investments and appointment of investment advisors to assure compliance with [Revised Code](#) Section 3345.05 ~~ORC~~.

(c) Audit. Responsibilities will include the oversight of the internal audit functions, annual or other periodic audits of financial operations, the recommendation of the appointment of an external audit firm to the Board of Trustees, the receipt of the reports of the internal auditor and the external audit firm, and the university's ~~its~~ accountability and compliance procedures.

(d) Governance. Responsibilities will include the recommendation of general governance policies and procedures, the nomination of Board officers and recommendation of candidates for future trustees and national trustees. At the last meeting in each fiscal year, the Committee shall review these Bylaws to determine whether any changes are appropriate and shall recommend any such changes to the Board of Trustees.

(e) Executive. Responsibilities will include consulting with the President on the appointment of executive officers and business not specifically assigned to another Standing or Special Committee.

Section 2. The Executive Committee shall be made up of the Chair and Vice Chair of the Board of Trustees and the Chairs of University Academics and University Resources Committees and have broad powers to act in all matters not deemed by the Chairperson of the Board and the President of the University as of importance to command the immediate attention of the entire Board. All actions of the Executive Committee shall be subject to approval by the Board, except

those wherein the Board has delegated to the Executive Committee or the President full power to act for the Board.

Section 3. Special committees may be appointed by the Chairperson of the Board ~~for the purpose~~ as the Board may deem necessary.

Section 4. The Chairperson ~~of~~ the Board and the President ~~or designee~~ shall be ex-officio non-voting members of all Standing Committees and Special Committees; ~~however, neither is eligible to serve as a voting member of a Standing or Special Committee, in his or her ex-officio capacity.~~

Article VII. Parliamentary Authority

Section 1. When not in conflict with any of the provisions of these By-Laws, the Robert's ~~Agenda's~~ Rules of Order Newly Revised shall govern the proceedings of the Board.



OHIO UNIVERSITY

Internal Audit

1 Ohio University
WUSOC 275
Athens OH 45701.2979

Date: August 15, 2012

To: The President and Board of Trustees

From: Chief Audit Executive Jeff Davis, CPA, CIA, CISA, CFE

Subject: Research Compliance Presentation

In the upcoming Audit Committee meeting Jo Ellen Sherow, Director of the Office of Research Compliance, will present on research compliance activities. An overview of the research compliance process will be addressed.

We will be pleased to answer any questions you might have prior to or during the meeting.

Ohio University Research Compliance

Board of Trustees Audit Committee
September 6, 2012

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Areas of Coverage

- Human Subject Protection
 - Institutional Review Board (IRB)
- Animal Subject Protection
 - Institutional Animal Care and Use Committee (IACUC)

Areas of Coverage

- Conflict of Interest
 - Significant Financial Interest (SFI)
 - Financial Conflict of Interest (FCOI)
 - Research Conflict of Interest (RCOI)
- Responsible Conduct of Research (RCR)
 - Training/verification
- Research Misconduct Investigations

Office of Research Compliance



Institutional Management



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Maintaining Compliance

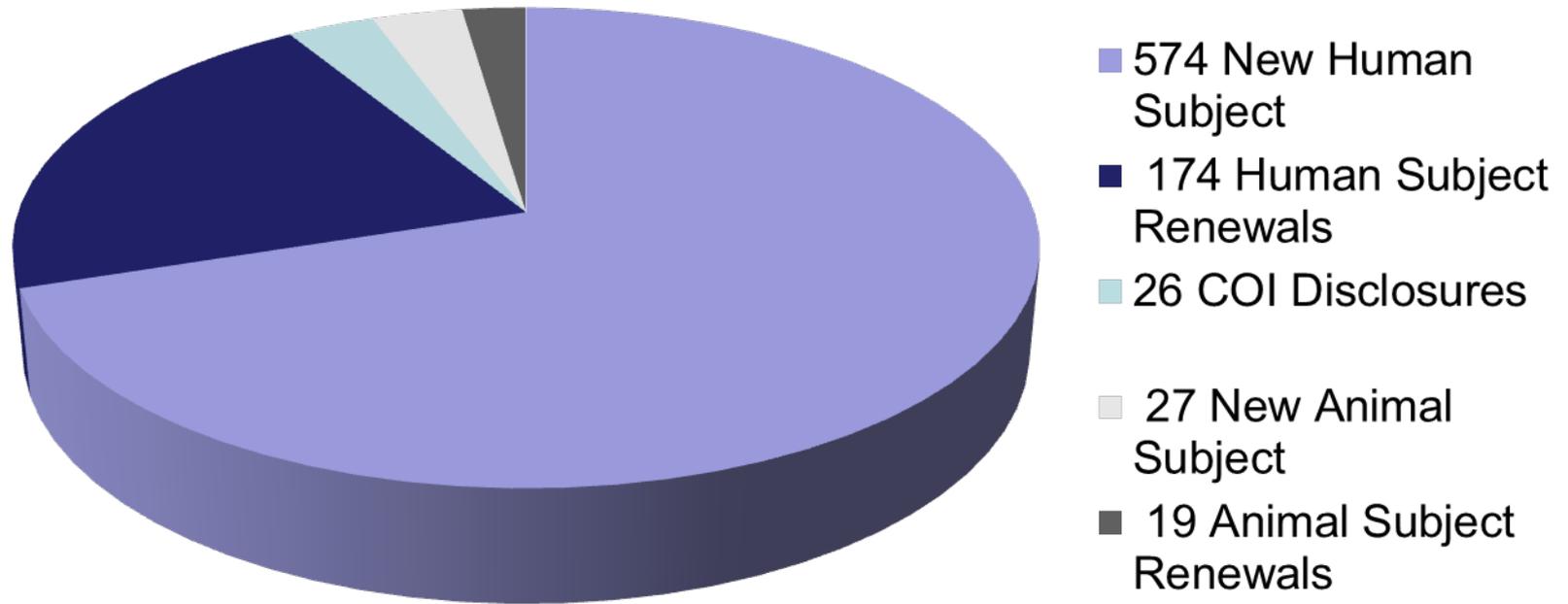
Policies, Regulations and
Guidance

Risk Assessment

Education

Enforcement

2011 Compliance Protocols



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Compliance Systems (Humans)

- Review Committees (IRB's)
 - Review and approval of all proposed human subject use
- Protocol Audits
- Federal Reporting

Compliance Systems (Animals)

- Review Committee (IACUC)
 - Review and approve all proposed animal projects prior to use
 - Twice per year inspections of animal areas and records
 - Accreditation (AAALAC, int.)
- USDA inspections of facilities/records twice per year
- Federal Reporting

Compliance Systems (COI)

- Disclosures on all outside funding proposals
 - Route through chair and dean
- Review committee

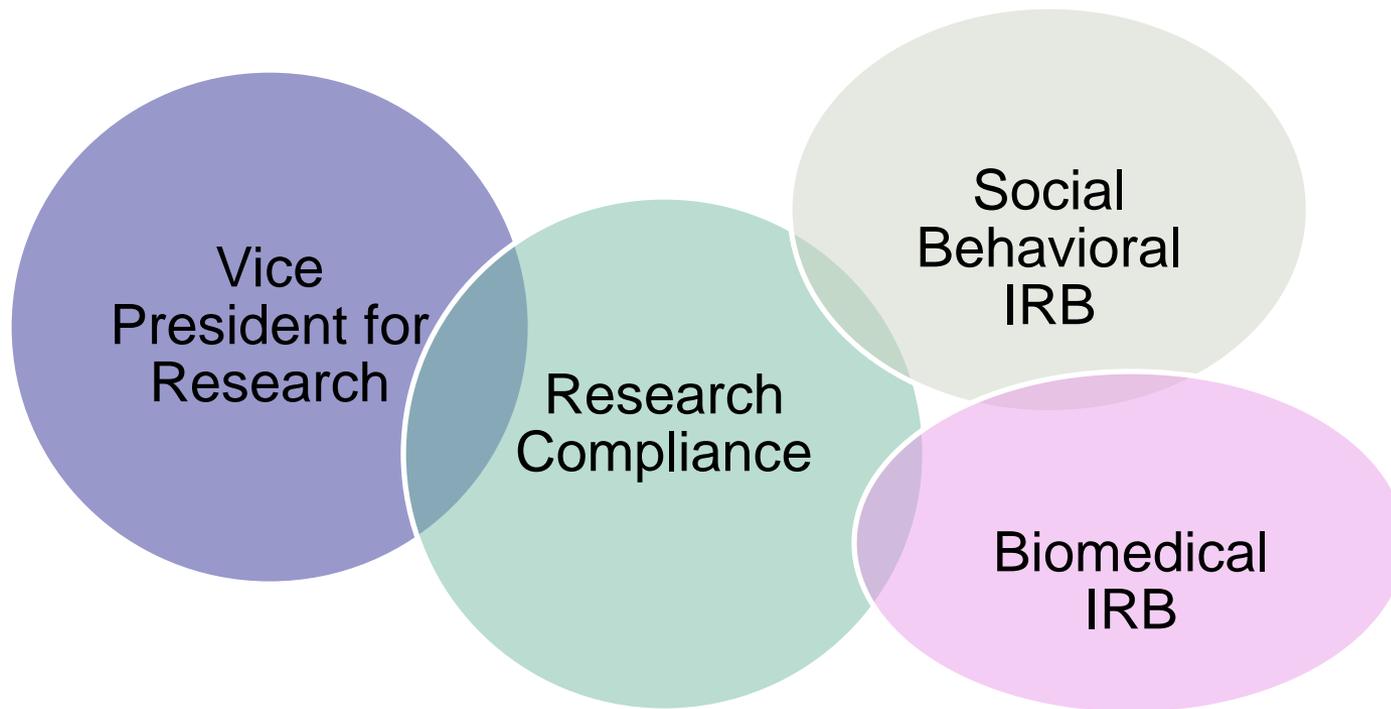
Committee Membership

- IRB and IACUC Membership
 - Community (unaffiliated with Ohio University)
 - Faculty Expertise
 - Environmental Health and Safety
 - Reference Librarian
- COI Membership
 - Tech Transfer, Sponsored Programs, Compliance, Legal, Vice President for Research, expertise as required

Human Subjects

- Applicable Regulations
 - 45 CFR 46 (protection of human subjects)
 - 45 CFR 160 & 164 (HIPAA)
 - 42 CFR 50 (conflict of Interest)
 - 21 CFR 50 (FDA protection of human subjects)
 - 21 CFR 56 (FDA IRB regulations)
- Ethical Guidance
 - Belmont report, Declaration of Helsinki

Human Subject Oversight



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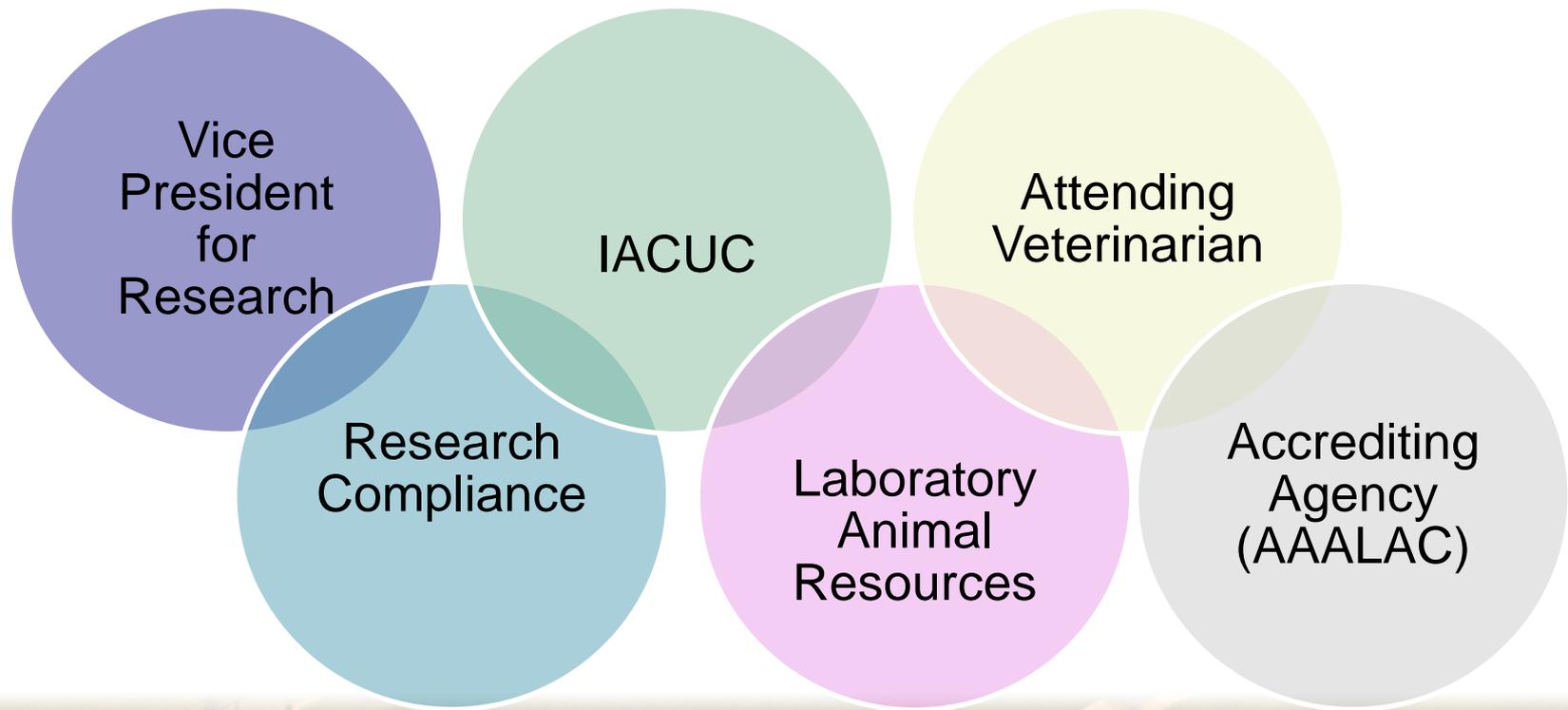


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Animal Subjects

- Applicable Regulations
 - Public Law 99-158 (health research extension act, animals in research)
 - 9 CFR 1.A. Parts 1-3 (animal welfare act)
- Guidance
 - AVMA Guidelines on Euthanasia
 - US Government Principles
 - Guide for the Care and Use of Animals

Animal Subject Oversight



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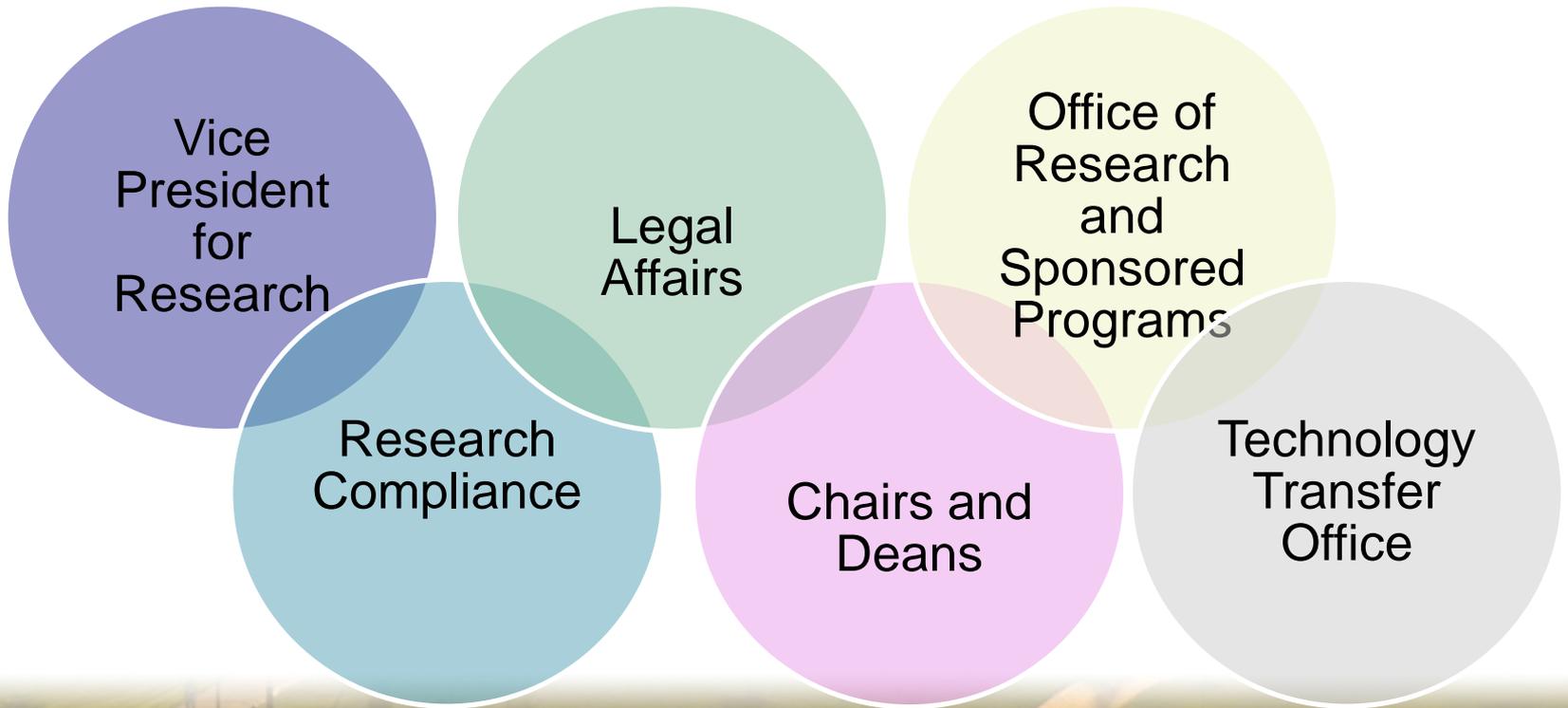


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Research Conflict of Interest

- Applicable Regulations
 - 42 CFR Part 50, Subpart F (Responsibility of Applicants for Promoting Objectivity in Research)
 - 45 CFR Part 94 (Responsible Prospective Contractors)
 - NSF Chapter V, part 510 (Conflict of Interest)

Conflict of Interest Oversight



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COI Changes for 2012

- Changes required by revision to federal code implemented August 24th, 2012
- Ohio University Policy 19.058 revised August 2012

Challenges

- Changing Regulations
- Resources
 - Staffing
 - Automation of Systems
- Faculty participation on review committees



OHIO UNIVERSITY

Internal Audit

1 Ohio University
WUSOC 275
Athens OH 45701.2979

Date: August 15, 2012

To: The President and Board of Trustees

From: Chief Audit Executive Jeff Davis, CPA, CIA, CISA, CFE

Subject: Internal Audit Update

Internal Audit will present an update of progress in completing the FY13 work plan at the September 6, 2012 Audit Committee Meeting. Audit plan completion, audit schedule, audit plan objectives, audit process and the Audit Committee Charter will be addressed.

I will be pleased to answer any questions you might have prior to or during the meeting.

Audit Committee

Trustee Kevin Lake
Audit Committee Chair
September 6, 2012

Chief Audit Executive Report

Jeffrey Davis, CPA, CIA, CFE, CISA

Agenda

- Audit Plan Completion
- FY13 Audit Schedule
- Audit Plan Objectives
- Audit Areas
- Audit Process
- Audit Committee Charter

Audit Plan Completion

Audit	Auditor	Status	Report Date
Airport	Ennis	Completed	7/06/12
Legal Affairs	Ries	Completed	7/13/12
OIT – Lifecycle Management	Ries/Ennis	Completed	7/27/12 Draft
Chapter 7 Compliance Testing	All	Completed	N/A
ICA Compliance	Ries/Ennis	Started	
OIT Applications – On Base	Tong	Started	
Communications and Marketing	Ennis	Started	
University Libraries	Ries/Tong	Not Started	
Graduate College	Ennis	Not Started	
Undergraduate Admissions	Ries	Not Started	
OIT Applications - SIS	Tong	Not Started	

Audit Plan Completion (cont'd)

Follow-Up Audit	Auditor	Status	Report Date
President's Office	Ries	Not Started	
Printing Resources	Ennis	Not Started	
Provost's Office	Davis	Not Started	
Airport	Ennis	Not Started	
Legal Affairs	Ries	Not Started	
OIT Lifecycle Management	Tong	Not Started	
Human Resources	Davis	Not Started	
Payroll and Tax Compliance	Ries	Not Started	
Procurement Services/Accounts Payable	Ries/Ennis	Not Started	

FY13 Audit Schedule



Internal Audit Plan Objectives

- Address significant financial, operational and compliance risks
- Assist others to identify, evaluate and mitigate risks
- Promote stronger internal controls
- Provide an independent, objective assessment of management activities to determine if resources are managed effectively

High Level View of Key Audit Areas

Financial

- Cash Handling
- Segregation of Duties
- Payroll/Compensation
- Account Reconciliations
- Contracts
- Billings
- Travel and Entertainment
- Pcards
- Procurement
- Capital Assets and Inventory

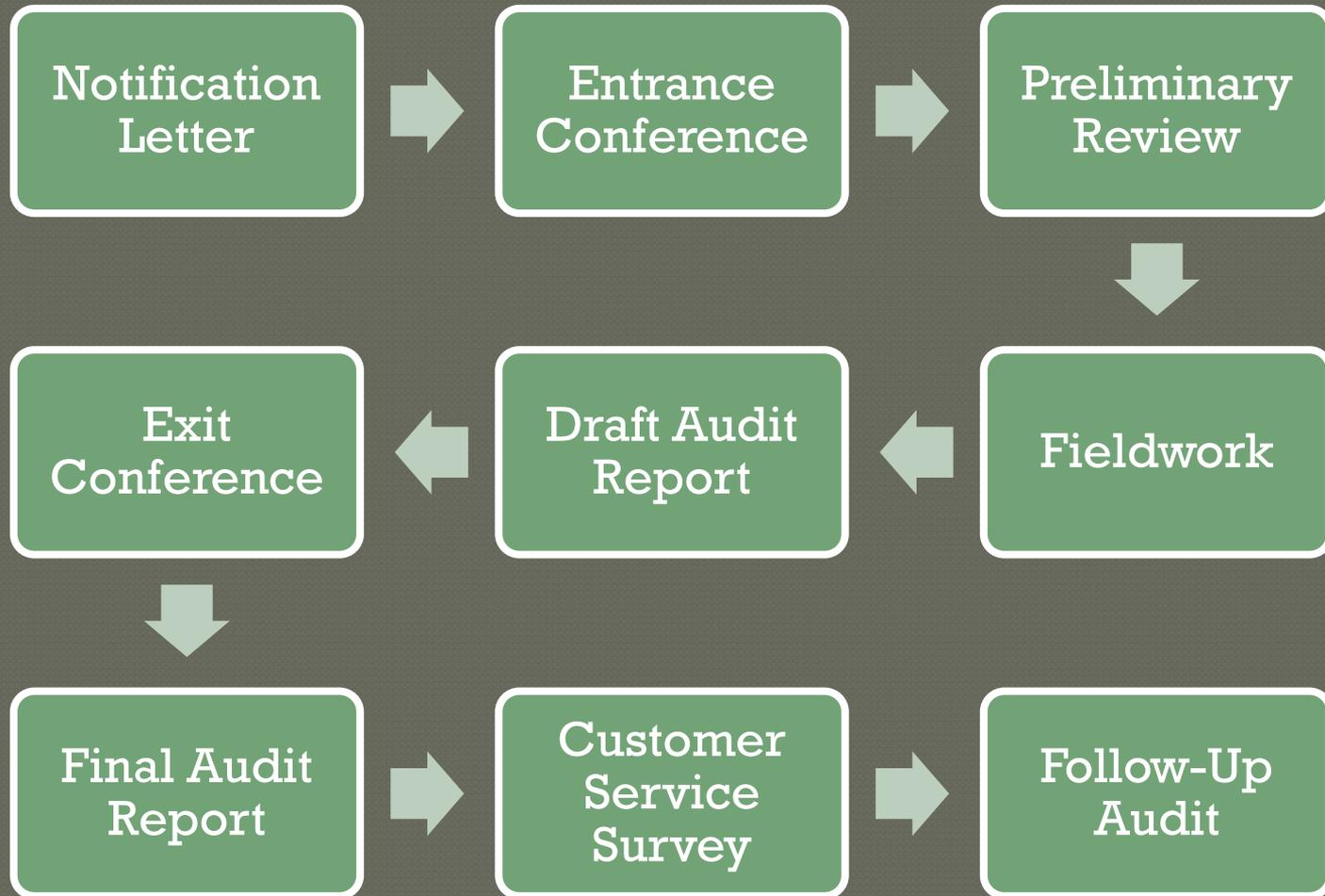
IT

- Sensitive Data
- Backups
- Inventory Control
- Access
- Change Management
- Segregation of Duties
- Business Continuity
- Disaster Recovery
- Acquisition/Disposal
- Security

Compliance

- University Policy
- Tax Issues
- NCAA Guidelines
- Privacy – HIPAA/PCI
- Grants/Contracts
- Effort Reporting
- Conflict of Interest
- Leave/FMLA
- Human Resources
- State Compliance

Audit Process



Audit Committee Charter

- Resolution 2009 – 3100 (Internal Audit Charter) was approved by the Ohio University Board of Trustees January 2009
- This Revised Charter was approved February 2011

Summary

- **Audit Committee will oversee**
 - External auditor recommendation process
 - External audits of financial operations
 - Internal audit function
 - Effectiveness of the University's internal control and risk management system and its compliance monitoring process
 - Whistleblower reporting mechanism

Committee Composition

- Three members
 - Nominated by Board Chairperson
 - Includes one Committee Chair
- Should include one member with expertise in
 - Understanding of financial statements, internal controls and audit committee functions

Responsibilities

External Audit

- Meet with external auditors to review audit scope, approach and coordination with Internal Audit
- Recommend appointment of external auditor to the full board as authorized by Auditor of State
- Review engagement letter
- Review process to select University's external auditor

Responsibilities

External Audit (cont'd)

- Evaluate external auditor annually
- Review draft audited financial statements, including disagreements between management and the external auditors
- Review other external audit work
- Meet privately (at least annually) with the external auditor

Responsibilities Internal Audit

- Review and authorize Internal Audit Charter
- Review decisions regarding the Internal Audit budget
- Review all decisions regarding the Chief Audit Executive (CAE)
 - Evaluation
 - Compensation
 - Appointment
 - Removal

Responsibilities

Internal Audit (cont'd)

- Assess impediments to Internal Audit function
- Review of risk assessment
- Approve audit plan
- Review annually
 - Work plan performance
 - Activity
 - Budget
 - Staffing

Responsibilities

Internal Audit (cont'd)

- Receive audit reports
- Receive management presentations for corrective action for follow-up reports with an internal auditor opinion rating of “Needs Improvement” or “Inadequate”
- Meet regularly with the CAE to discuss issues deemed appropriate

Responsibilities Internal Controls

- Through review of external and internal audit reports and communications by management
 - Evaluate “control culture”
 - Evaluate overall effectiveness of controls
- Review implementation of significant audit recommendations

Responsibilities Compliance

- Obtain updates regarding compliance matters and their impact on financial statements and policy
- Anonymous receipt of complaints
 - Ensure there are effective procedures
 - Review a summary of complaints annually

Conclusion

- Questions?