

Board of Trustees

Ohio University

Minutes

June 26, 2009

MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES OF OHIO UNIVERSITY

June 26, 2009

Margaret M. Walter Hall, Governance Room Ohio University, Athens Campus

THE OHIO UNIVERSITY BOARD OF TRUSTEES MINUTES OF June 26, 2009 MEETING

TABLE OF CONTENTS

Communications, Petitions and Memorials	. 79
Reports	
 Report from the Chair Report of the President Report of the Executive Vice President and Provost 	. 80
University Resources Committee	
• Fiscal Year 2009-2010 Operating Budget, Resolution 2009 - 3120	. 81
University Academics Committee	
Ohio University/University System of Ohio Centers of Excellence, Resolution 2009 - 3121	. 82
Department of Industrial and Systems Engineering Master of Engineering Management, Resolution 2009 - 3122	. 83
 Acceptance of Major and Degree Program Review, Department of Chemical and Biomolecular Engineering, Resolution 2009 – 3123 Appointment to Regional Coordinating Council, Lancaster Campus, Resolution 2009 - 3124 	
Governance Committee	. 01
 Approval of Standing Committee for Nominations to the Board of Trustees for Student Trustee Members, Resolution 2009 - 3125 The Ohio University Amended By-Laws, Resolution 2009 - 3126 	
Audit Committee	
Internal Audit Annual Audit Plan, Resolution 2009 - 3127	. 87
Executive Committee	
 Election of Treasurer, Resolution 2009 - 3128. Election of Secretary, Resolution 2009 - 3129. Election of President, Resolution 2009 - 3130. 	. 88

Appendices

- A President's Report
- B Executive Vice President and Provost's Report
- C University Resources Committee
- D University Academics Committee
- E Governance Committee
- F Audit Committee
- G Executive Committee

Chairman C. Robert Kidder called the meeting to order at 10:02 a.m.

ROLL CALL

Eight Trustees were present – Chairman C. Robert Kidder, David Brightbill, Sandra J. Anderson, Gene T. Harris, Yvette McGee Brown, M. Marnette Perry, Larry L. Schey, and David Wolfort.

Student Trustees Chauncey Jackson and Kyle Triplett were present as were National Trustees Frank P. Krasovec and Charles R. Stuckey.

Also attending were President Roderick J. McDavis, Board Secretary Thomas E. Davis, and Dennis Minichello, Chair, National Alumni Board of Directors.

APPROVAL OF THE MINUTES OF THE BOARD MEETING of April 24, 2009

(Previously distributed)

Chair Kidder asked for a motion to approve minutes of the Board of Trustees meeting of April 24, 2009. Trustee Anderson motioned to approve the minutes, second by Trustee Harris. All voted in favor.

COMMUNICATIONS, PETITIONS, AND MEMORIALS

There were no communications, petitions, or memorials.

ANNOUNCEMENTS

Trustee Yvette McGee Brown was introduced as the new Trustee, and Kyle Triplett was introduced as the new Student Trustee. Both were appointed by Governor Strickland and received a warm welcome.

Report from the Chair

Chair Kidder announced new Board Committee Chairs for the 2009-10 academic year as follows: Executive Committee, C. Robert Kidder; University Resources, M. Marnette Perry; University Academics, Gene T. Harris; Audit, David A. Wolfort; Governance, Sandra J. Anderson. He discussed his participation with the Faculty

Senate at their June 8, 2009 meeting and referenced the Board Retreat scheduled to occur on August 21, 2009 in Columbus.

Report of the President

President McDavis provided a brief update on the State budget outlook for higher education and shared Ohio University's budget recommendations for FY2010. He shared outcome measures for the five of the six Vision OHIO goals. He outlined many of the institutional awards, honors, and accomplishments realized during the 2008-09 year. He concluded his report that four major initiatives are well on their way: Quarters to Semesters conversion, academic restructuring, University System of Ohio Centers of Excellence, and the Student Information System. A copy of President McDavis' complete report may be found in Appendix A of these minutes.

Report of the Executive Vice President and Provost

Executive Vice President and Provost Krendl gave her final report to the Board. Her report focused on the following areas: Vision Ohio, made manifest; areas of responsibilities and challenges faced by the Executive Vice President and Provost; faculty initiatives and workload; graduate education and creative activity; student success; and enrollment. A copy of Dr. Krendl's complete report may be found in Appendix B of these minutes.

COMMITTEE REPORTS, ACTIONS, AND INFORMATION ITEMS

University Resources Committee

Committee Chair Schey reported on discussions from the Committee meeting held on Thursday afternoon. The Committee received an update regarding University Advancement, a presentation on the Enterprise Risk Management Program, interim financials for the period ended April 2009, and the Treasurer's Report for the period ended April 2009. A copy of minutes of that meeting may be found in Appendix C of these minutes along with supporting documentation for the resolution.

Committee Chair Schey recommended approval of the FY2009-10 operating budget. Trustee Harris made a motion for approval that was seconded by Trustee Perry. All voted approval after discussion.

FISCAL YEAR 2009-2010 OPERATING BUDGET RESOLUTION 2009 - 3120

WHEREAS, appropriate planning and consultation has been completed within the University, resulting in recommendations for budget priorities, and

WHEREAS, the University has developed a balanced budget within the provisions of Amended Substitute House Bill 1 as introduced by the Governor, and

WHEREAS, the General Assembly has not adopted the final appropriations act for the FY 2010-2011 biennium at the time of presentation of the University's current funds budget for FY 2010 to the Board of Trustees,

NOW THEREFORE, BE IT RESOLVED that the Fiscal Year 2009-2010 budgets of expected resources and expenditures for the Athens Campus General Program, Athens General Fee, University Outreach and Regional Campuses, and the College of Osteopathic Medicine as presented are hereby approved subject to the following provisions:

- 1. The Vice President of Finance and Administration, in conjunction with the Executive Vice President and Provost and with approval of the President, is authorized to make adjustments in instructional and general operating expense allocations during the fiscal year to ensure the total does not exceed available unrestricted resources.
- 2. Expenditures for restricted and designated funds shall be limited to the resources generated.

BE IT FURTHER RESOLVED that the Board of Trustees authorizes the President, with the approval of the Chairman of the Board, to make adjustments to the budget as presented to reflect final appropriations authorized in Amended Substitute House Bill 1 by the General Assembly or any changes to this act by the Governor during fiscal year 2010.

University Academic Committee

Committee Chair Perry reported on discussions from the Committee meeting held on Thursday afternoon and thanked Trustee Harris for serving as Chair in her absence. The Committee received an update on academic restructuring, an update on year one of Vision Ohio, current data from Student Judiciaries, a report on promotion and tenure decisions, and a progress update from the Office of Information Technology. A copy of minutes of that meeting may be found in Appendix D of these minutes along with supporting documentation for the resolutions.

Committee Chair Perry recommended approval of four resolutions. Trustee Harris made a motion for approval of the Ohio University/University System of Ohio Centers of Excellence resolution. The motion was seconded by Trustee Anderson. All voted approval after discussion.

OHIO UNIVERSITY UNIVERSITY SYSTEM OF OHIO CENTERS OF EXCELLENCE

RESOLUTION 2009 - 3121

WHEREAS the University System of Ohio asked all public institutions to identify nationally and internationally-recognized Centers of Excellence with measureable goals to evaluate each, and

WHEREAS Ohio University has identified three candidates for University System of Ohio Centers of Excellence: the Scripps College of Communication; Advancing Health & Wellness Through Translational Biosciences, Educational Innovation, and Rural Health Services; and Energy and the Environment that meet the criteria established by the Ohio Board of Regents for the University System of Ohio, and

WHEREAS the Ohio Board of Regents requires formal endorsement by Boards of Trustees, and

WHEREAS the Centers of Excellence report has been reviewed by the deans, by the Executive Vice President and Provost, the President, and initially by Chancellor Fingerhut on June 3, 2009,

NOW, THEREFORE BE IT RESOLVED that the Board of Trustees of Ohio University formally endorse the proposed Ohio University/University System of Ohio Centers of Excellence prepared by Ohio University for submission to the Ohio Board of Regents.

Trustee Harris made a motion for approval of the Department of Industrial and Systems Engineering Master of Engineering Management resolution. Trustee McGee Brown seconded the motion. All voted approval after discussion.

DEPARTMENT OF INDUSTRIAL AND SYSTEMS ENGINEERING MASTER OF ENGINEERING MANAGEMENT

RESOLUTION 2009 - 3122

WHEREAS, the Department of Industrial and Systems Engineering has proposed the creation of a Masters degree in Engineering Management (MEM), and

WHEREAS, the development of the degree has the support of the Dean and Faculty of the Russ College of Engineering and Technology, the Graduate Council and the University Curriculum Council, and

WHEREAS, the degree will build upon a student's existing Bachelors of Science degree and experience to produce an effective engineering manager, and

WHEREAS, Engineering Management has become an established field of engineering which combines technical aspects of engineering with management skills, and

WHEREAS, the target students for pursuing the degree will be practicing engineers (and other technical positions) who seek to build their career, while remaining in their technical field,

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University approves offering the Master of Engineering Management degree in the Department of Industrial and Systems Engineering.

Trustee Brightbill made a motion for resolution acceptance of the Major and Degree Program Review for the Department of Chemical and Biomolecular Engineering. Trustee Schey seconded the motion. All voted approval after discussion.

MAJOR AND DEGREE PROGRAM REVIEWS RESOLUTION 2009 - 3123

WHEREAS, the continuous review of academic programs is essential to the maintenance of quality within an educational institution, and

WHEREAS, Ohio University has had for many years a rigorous program of internal review, and

WHEREAS, Section 67 of Am. Sub. H.B. 694 requires the college and university Board of Trustees "shall during the 1981-83 biennium initiate on-going processes for the review and evaluation of all programs of instruction presently conducted by the institutions for which they are responsible"

THEREFORE, BE IT RESOLVED, that the Board of Trustees of Ohio University accepts the review for the following:

Department of Chemical and Biomolecular Engineering

Trustee Harris made a motion to approve the Appointment to Regional Coordinating Councils. Trustee McGee Brown seconded the motion. All voted approval after discussion.

Appointment to Regional Coordinating Councils

RESOLUTION 2009 - 3124

BE IT RESOLVED BY the Board of Trustees of Ohio University that the following persons be appointed to membership on the Coordinating Council at the Regional Campus of Ohio University - Lancaster.

Ohio University - Lancaster

Tim Hansley For a two-year term beginning July 1, 2009, and ending

at the close of business June 30, 2011, vice Robert

Theide, who resigned.

Denise D. Callihan Reappointment of a second three-year term beginning July 1, 2009, and ending at the close of business June 30, 2012.

Governance Committee

Acting Committee Chair Anderson reported on discussions from the Committee meeting held on Thursday afternoon in Dewire's absence. The Committee discussed the Standing Committee for nominations to the Board for student trustee members and amendments to the Board's By-Laws. They also discussed the Governance Committee's role in the August Board Retreat. A copy of minutes of that meeting may be found in Appendix E of these minutes along with supporting documentation for the resolutions.

Acting Committee Chair Anderson recommended approval of two resolutions. She made a motion for approval of the Standing Committee for Nominations to the Board of Trustees for Student Trustee Members. The motion was seconded by Trustee Brightbill. All voted approval after discussion.

APPROVAL OF STANDING COMMITTEE FOR NOMINATIONS TO THE BOARD OF TRUSTEES FOR STUDENT TRUSTEE MEMBERS

RESOLUTION 2009 - 3125

WHEREAS, the Ohio University Board of Trustees adopted Resolution 1988-0987, Students to Board of Trustees Nomination Procedure, under the provisions of Chapter 3337.01 of the Ohio Revised Code, and

WHEREAS, members of the Student Senate suggested revisions to the responsibilities and composition of the Nomination Committee, and

WHEREAS, members of the Student Senate passed Student Senate Resolution 0809-59, Section 30.09 "Standing Committee on the Board of Trustees Student Member Nominee Selection Process" during their May 13, 2009 meeting.

NOW, THEREFORE, BE IT RESOLVED, that the Ohio University Board of Trustees does hereby approve revisions to the Standing Committee for

Nominations to the Board of Trustees for Student Trustee Members as outlined in Student Senate Resolution 0809-59, Section 30.09.

Acting Committee Chair Anderson made a motion for approval of The Ohio University Amended By-Laws. The motion was seconded by Trustee Perry. All voted approval after discussion.

The Ohio University Amended By-Laws

Resolution 2009 - 3126

WHEREAS, The Ohio University Board of Trustees has the authority to amend their By-Laws, and

WHEREAS, the proposed amendments to the By-Laws have been submitted to the individual members with thirty (30) days notice in accordance with Article I Section 3 of the current By-Laws.

NOW, THEREFORE, BE IT RESOLVED that The Ohio University Board of Trustees hereby adopts the Amended By-Laws effective immediately, the 26th day of June 2009.

Audit Committee

Committee Chair Kidder reported on discussions from the Committee meeting held on Thursday afternoon. The Committee received an update from Plante Moran on Information Technology. Committee Chair Kidder made a motion to approve the Internal Audit Annual Audit Plan. Trustee Perry seconded the motion. All voted approval after discussion. A copy of minutes of that meeting may be found in Appendix F of these minutes along with supporting documentation for the resolutions.

INTERNAL AUDIT ANNUAL AUDIT PLAN

RESOLUTION 2009 - 3127

WHEREAS, the Board of Trustees of Ohio University has established an independent, objective assurance and appraisal activity to evaluate and improve effectiveness of risk management and internal management controls, and

WHEREAS, the Board of Trustees has approved an Ohio University Internal Office Charter requiring Board of Trustees authorization of an annual audit plan initiated to evaluate internal management controls, and

WHEREAS, the Chief Audit Executive charged with initiating audits pursuant to the plan proposes an annual audit plan for authorization by the University Trustees, and

WHEREAS, the proposed plan will be conducted during the period of July 2009 through June 2010, and

WHEREAS, time for unplanned investigations is separately allotted in the audit plan. Further revisions to the plan will be administratively reviewed and approved by the President and the Chair of the Audit Committee, and

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does authorize the proposed audit plan.

Executive Committee

Chair Kidder reported on discussions from the Committee meeting held on earlier on Friday morning. A copy of minutes of that meeting may be found in Appendix G of these minutes. He recommended approval of three officer appointments. He made motions to appoint the Treasurer, Secretary, and President. Trustee Perry seconded the motions. All voted in favor.

ELECTION OF TREASURER

RESOLUTION 2009 - 3128

RESOLVED that William R. Decatur be elected Treasurer of Ohio University for the period beginning July 1, 2009, and ending June 30, 2010.

ELECTION OF SECRETARY

RESOLUTION 2009 - 3129

RESOLVED that Thomas E. Davis be elected Secretary for the Board of Trustees beginning July 1, 2009 through June 30, 2010.

ELECTION OF PRESIDENT

RESOLUTION 2009 - 3130

RESOLVED that Roderick J. McDavis be elected President of Ohio University for the year beginning July 1, 2009, and ending June 30, 2010.

UNFINISHED AND NEW BUSINESS

Chair Kidder presented Executive Vice President and Provost Krendl with a citation for her service to Ohio University. She received a standing ovation for her service to the institution.

ANNOUNCEMENT OF NEXT STATED MEETING

The next meeting of the Board of Trustees will be on October 2, 2009 on the Ohio University Athens Campus.

ADJOURNMENT

Chair Kidder adjourned the meeting at 11:28 a.m.

CERTIFICATION OF SECRETARY

Notice of this meeting and its co	nduct was in accordance with Resolution 1975 -
240 of the Board, which resolution	on was adopted on November 5, 1975, in
accordance with Section 121.22(F) of the Ohio Revised Code and of the State
Administration Procedures Act.	
C. Robert Kidder	Thomas E. Davis
Chairman	Secretary

PRESIDENT'S REPORT

OHIO UNIVERSITY BOARD OF TRUSTEES

JUNE 26, 2009

State Budget Update

- In early June, the Ohio Senate passed its version of the state budget bill
- The Ohio Senate bill differed significantly from the Ohio House version, but it kept higher education a high priority
- Ohio House rejected the Senate's version and a Conference Committee was named to negotiate a compromise bill for Governor Strickland's approval
- We anticipate that the legislature will make a recommendation for the biennium budget to Governor Strickland by June 30, 2009

FY2010 Budget Recommendations

- \$2.3 million for Vision OHIO initiatives, including support for Arts for Ohio, the colleges of Health and Human Services and Arts and Sciences new four-year nursing degree program, Learning Communities, Allen Student Help Center, and Supplemental Instruction
- \$5.2 million in scholarships and financial aid for students and \$500,000 increase for the Voinovich School for Leadership and Public Affairs
- \$181,000 for faculty promotions and \$203,000 for summer session instruction

FY2010 Budget Recommendations (continued)

- \$4.1 million to support the Network Infrastructure and Student Information System initiatives
 - Investments supported by \$1.8 million in projected revenue from recently approved student fee and \$2.3 million from general program allocations
- Allocation of \$30.4 million in General Fee revenues, including one-time support of \$700,000 made available for reallocation and \$873,000 to the base budget of Intercollegiate Athletics

Recruit and Retain Talented and Diverse People

Objective	Strategy	Outcome*	
Objective	Strategy	Starting Point	Year 1 Outcome
Create and implement a strategic Human Resources Plan	Increase faculty salaries to the next quartile	Quartile 3 (8 th out of 11 peer institutions)	Quartile 3 (7 th out of 11 peer institutions)
	Align classified and administrative staff salaries with the market	Compensation Plans need modernization	Search for a compensation director and senior analyst currently underway

^{*}Outcomes to be reviewed every two years to determine if adjustment/revision is needed

Recruit and Retain (continued)

		Outcome*		
Objective	Strategy	Starting Point	Year 1 Outcome	
Create and implement strategic enrollment management plan	Improve quality of first- time enrollees	ACT scores 23.6; Acceptance rate 82%	ACT scores increased to 23.7; Acceptance rate decreased to 77.8% (EE)	
ριαπ	Improve access, affordability, and efficiency by increasing diversity by 2%	Diversity of in- state students, 10% of freshman class; Out-of-state 11% of freshman class; Transfer students 2%; International 2% of freshman class	Diversity of in-state increased to 12% Out-of-state to 12% (UG) Transfer students to 3% (UG) International students remained even (UG)	

^{*}Outcomes to be reviewed every two years to determine if adjustment/revision is needed

Strengthen Undergraduate Education

		Outcome*	
Objective	Strategy	Starting Point	Year 1 Outcome
Improve retention and engagemen	Expand Learning Communities by 6%	49% of freshman class	56% of freshman class (EE)
t of first- year students	Set retention/ graduation rates	Retention rate: 78% Graduation rate: Top three standing for sixyear graduation rate	Retention rate increased to 80% (EE); Top three graduation rate standing in the state maintained

^{*} Outcomes to be reviewed every two years to determine if adjustment/revision is needed

Strengthen Undergraduate (continued)

		Ou	tcome*
Objective	Strategy	Starting Point	Year 1 Outcome
Invest in targeted under-graduate programs	Indentify undergraduate programs for future investment	Design Undergraduate Centers of Excellence Review	Undergraduate Centers of Excellence Review postponed due to move to semesters (UG)

^{*} Outcomes to be reviewed every two years to determine if adjustment/revision is needed

Enhance Graduate Education and Research

		%emostuO		
Objective	Strategy	Starting Point	Year 1 Outcome	
Increase strategic investments in targeted graduate and professional programs	Identify graduate programs for future investment	Review underway	Graduate Centers of Excellence Review completed	
Strengthen research and creative activity in targeted areas	Improve research competitiveness	Federally financed research spending at 48%	(Information available August 2009)	

^{*} Outcomes to be reviewed every two years to determine if adjustment/revision is needed

Fortify and Align Infrastructure with Academic Mission

		Ou	tcome*
Objective	Strategy	Starting Point	Year 1 Outcome
Provide dependable and secure network and systems	Improve IT infrastructure	6.5 years	Average increased to 7.3 years for core networking hardware that has been replaced
infrastructure		Storage Environment 4+	Storage environment reduced to 4
		Server Environment, 14 system environments	Server environment reduced to 9
	Provide appropriate backup and disaster recovery on critical services	0% of critical systems on scheduled disaster recovery program	10% of critical systems on scheduled disaster recovery program (UG)

^{*} Outcomes to be reviewed every two years to determine if adjustment/revision is needed

Fortify and Align Infrastructure (continued)

		Outcome*	
Objective	Strategy	Starting Point	Year 1 Outcome
Increase annual philanthropic support and develop major campaign	Working with academic leaders, establish fundraising goals	\$14.4M annual gifts and commitments	\$23.135M annual gifts and commitments (EE)
Increase efficiency, quality, and accountability of academic support units	Academic support units adopt continuous improvement and customerfocused style	Establish Academic Support Unit Review Process	Review process underway for first cohort of academic support units
and academic units	Participate in the Voluntary System of Accountability	Join VSA	Voluntary System of Accountability data reported through "College Portrait" on USO web site

^{*} Outcomes to be reviewed every two years to determine if adjustment/revision is needed

Fortify and Align Infrastructure (continued)

Objective	Strategy	Outcome*		
		Starting Point	Year 1 Outcome	
Establish a stronger financial, legal, and compliance infrastructure	Improve the balance sheet and overall financial strength	SB6 Composite Score 3.2; Primary Reserve ratio.239	SB6 Composite Score increased to 3.5 (UG); Primary Reserve ratio increased to .256 (EE)	
	Improve financial controls and risk management environments	Findings addressed at widely variable times or appear as repeats in next audit; Control climate survey developed and administered	Internal Audit findings formally addressed within one year of report; Strategic implementation plan developed for improving control climate	

^{*} Outcomes to be reviewed every two years to determine if adjustment/revision is needed

Enhance Prominence Within Ohio, Nation, and World

		Outc	ome*
Objective	Strategy	Starting Point	Year 1 Outcome
Clearly communicate distinctive identity to stakeholders and use strategic	Undertake academic marketing campaign	Develop and implement academic marketing campaign	Campaign implemented and post-campaign assessment completed
to position the university	Develop strategic community relations and government affairs	Determine number of substantive meetings with public officials; Review new USO plan	Researching/pre paring baseline information; Education efforts on how we can contribute to USO outcomes completed

^{*} Outcomes to be reviewed every two years to determine if adjustment/revision is needed

EE - Exceeds Expectations UG – Under Goal

First, Best, Only

- One of 11 only forensic chemistry programs accredited by the American Academy of Forensic Science
- The National Academy of Engineering and Ohio University awarded the 2009 Fritz J. and Dolores H. Russ Prize to Elmer L. Gaden Jr., the father of biochemical engineering for pioneering research that enabled large scale manufacture of antibiotics, such as penicillin
- The National Journalism Awards, sponsored by the Scripps Howard Foundation, presented the 2009 Ursula and Gilbert Farfel Prize to Rob Barry, Jack Dolan and Matthew Haggman of The Miami Herald for their series "Borrowers Betrayed," an investigation of the Florida mortgage crisis that led to changes in state laws, policies and personnel
- One of two Udall Scholars in Ohio is an Ohio University student

First, Best, Only (continued)

- The Ralph and Luci Schey Sales Centre wins 2009 National Collegiate Sales Competition
- College of Business ranked 47th by Business Week, making it a Top 50 business school
- A Media Arts and Studies class retained exclusive rights to the film version of Russell Banks' collection of short stories "Trailerpark" after HBO backed out of the project; Students shot the full feature-length film this year; premier was June 7, 2009
- The Interlink Alliance was formed with nine universities and colleges including Ohio University and eight historically black colleges and universities

First, Best, Only (continued)

- In fiscal year 2008, reported highest royalty income to date \$5.9 million
- Forbes magazine recently ranked Ohio University fourth in the country for the amount of license revenue generated in relation to our research funding
- The Technology Transfer Office more than doubled its number of patent applications, from 15 in fiscal year 2007 to 32 in fiscal year 2008
- The Innovation Center contributed \$15 million in labor income and 386 jobs to the region in 2007
- US News & World Report ranks the Department of History among the top 20 state university programs
- Ohio University is one of 10 colleges to receive funding to broaden students' perceptions of Islam with the awarding of a two-year grant from the Social Science Research Council



REPORT OF THE EXECUTIVE VICE PRESIDENT AND PROVOST

Board of Trustees, June 26, 2009

MADE MANIFEST « The Vision Ohio Academic Plan

Oh, the East may sing the praises of
Old Eli Yale, Johhny Harvard, too;
Roger Williams in a fit of despair
Founded old Brown U.
Princeton came by way of Nassau,
So did Dartmouth, too;
But Ohio's up and doing
When old father Thomas Ewing
Gave three cheers for old O. U.

With sincere thanks to the Faculty, Staff, and Students of Ohio University, 1996-2009



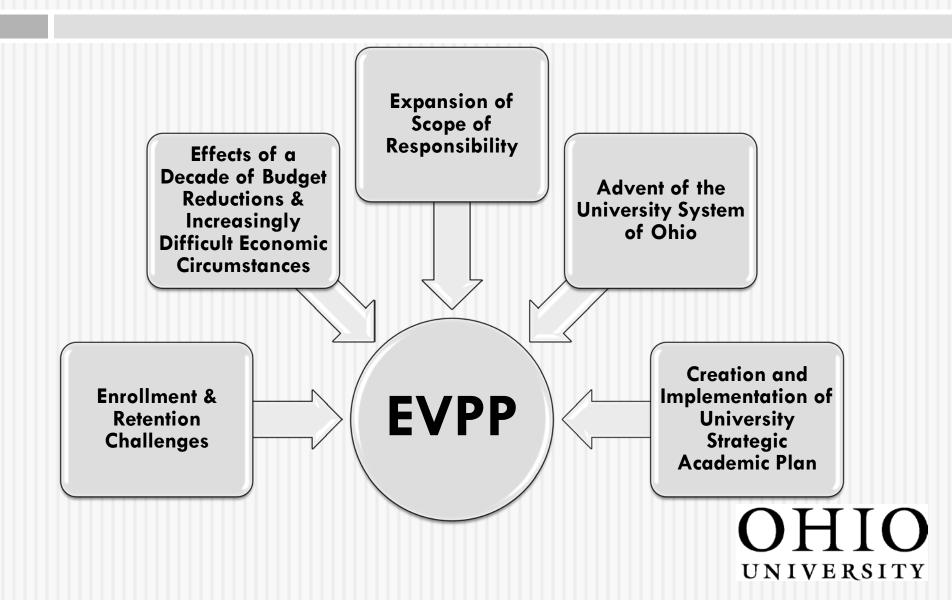
The **Vision Ohio Academic Plan** started as a public proclamation and through a process of wide consultation became an inventory of what we value as a university. It has provided focus and the tools needed to make good decisions about the academic future of the institution. The results of those decisions are evident in the ways in which Vision Ohio has influenced the experiences of students, faculty, and staff over the past five years.



HAS BEEN MADE MANIFEST



Responsibilities Undertaken and Challenges Faced by EVPP



CREATION & IMPLEMENTATION OF A STRATEGIC ACADEMIC PLAN

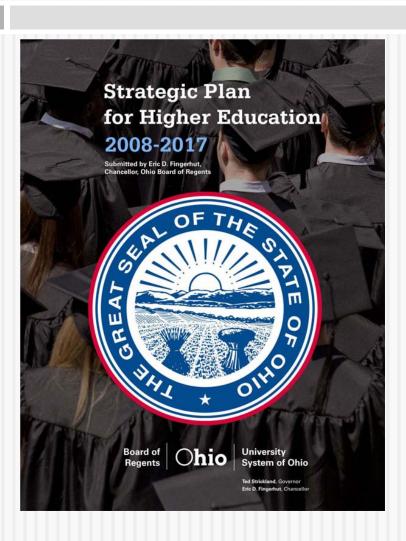
- Presidential Task Force on the Future of Ohio University
- Vision Ohio Strategic Plan
- Vision Ohio Strategic Priority Selection
- Five Year Vision Ohio Implementation Plan



Shift in Reporting Lines: Between 2004-2007, 19 Units Added to EVPP [designated by *]

Provost Office, 2003	Provost Office, 2004	Executive Vice President and Provost Office, 2007
10 Colleges	10 Colleges	10 Colleges + * Graduate College = 11 Colleges
University Libraries	University Libraries	*5 Regional Campuses
Ombudsman	Ombudsman	University Libraries
Center for International Studies	Center for International Studies	Ombudsman
Graduate Studies	Graduate Studies	Center for International Studies
International Student and Faculty Services	International Student and Faculty Services	International Student and Faculty Services
	*Admissions	Admissions, Registrar, Financial Aid, *Enrollment Management
	*Registrar	*Institutional Equity
	*Financial Aid	*Vice President for Research and Creative Activity
		*Four Diversity Offices
OHIO		*Voinovich School
UNIVERSITY		*Center for Teaching and Learning
		*University Outreach

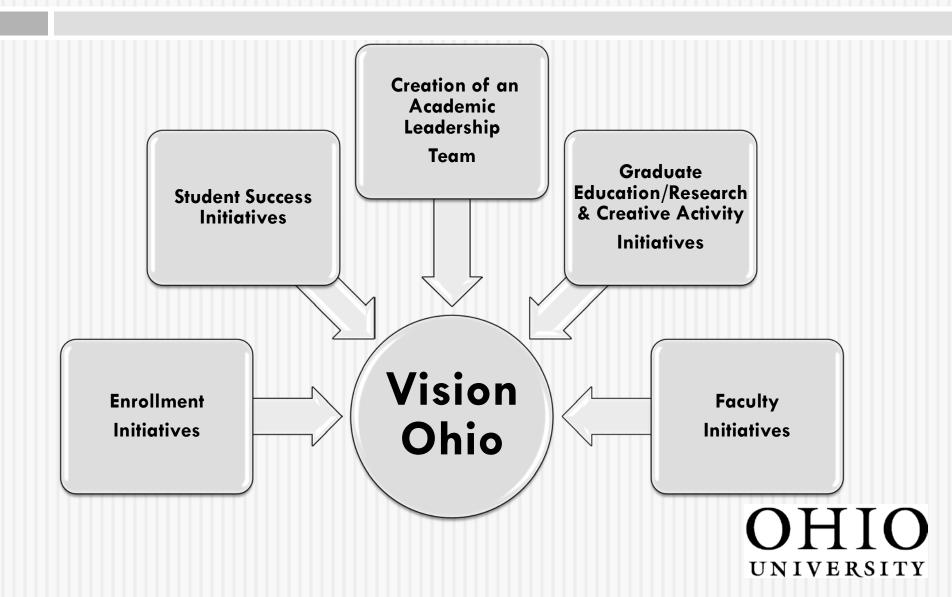
University System of Ohio



- Mission differentiation
- Outcomes based budgeting
- Move to Semesters
- Focus on affordability
- •New initiatives such as Centers of Excellence, Choose Ohio First Scholarship Program, and Ohio Research Scholars Program



Areas of Major Emphasis for EVPP



OHIO UNIVERSITY.

INAUGURATIONS.

Wednesday, Sept. 18, 1839-2 o'clock, P. M.

MUSIC.

TRAVER.

Address by James Hoge D. D., to the President Elect, informing him of his election.

Administration of the Oath of office, by the Hon. N. C. READ.

Address to President McGUPPEY, by R. G. WILSON D. D. late President, and delivery of the charter and keys.

MUSIC.

Inaugural Address of President McGuppey

MUSIC.

Inaugural Address of Professor Merrick.

MUSIC.

Inaugural Address of Professor Ballantine.

MUSIC.

PRAYER.

BENEDICTION.

ALUMNI-PROCEEDINGS.

WEDNESDAY EVENING, 7 O'CLOCK, P. M.

PRAYER.

MUSIC.

Address of the Hon. Thomas Ewing, to former StuJents of the Ohio University.

MUSIC.

MEETING OF THE ALUMNI.

Assembling an Academic Leadership Team



Leadership Hires Made 2004-2009

•	
Deans/Vice Presidents	EVPP Units
Ben Ogles, Arts & Sciences	Craig Cornell, Vice Provost for Enrollment Management
Greg Shepherd, Scripps College of Communication	Brian Bridges, Vice Provost for Diversity, Access, & Equity
Chuck McWeeny, College of Fine Arts	Dan Weiner, Executive Director, International Studies
Renee Middleton, College of Education	David Garcia, Director, Admissions
Scott Seaman, University Libraries	Susanne Dietzel, Director, Women's Center
Hugh Sherman, College of Business	Laurie Hatch, Director, Center for Teaching and Learning
Dan Evans, Executive Dean, Regional Campuses	Merle Graybill, University Ombudsman
Rich Greenlee, Eastern Campus	Krista McCallum Beatty, Director, International Student Services
Rathindra Bose, Vice President for Research & Creative Activity and Dean of the Graduate College	OHIC
Jeremy Webster, Honors Tutorial College	UNIVERSITY





OHIO UNIVERSITY.

PRESIDENTS.

	PARSIDENTS.
ACCESSUS.	EXITUS.
1822.	Rev. James Irvine, A.M
1824.	*Rev. Robert G. Wilson, D.D
1839.	Rev. WILLIAM H. McGuffey, D.D., LL.D 1843
1848.	Rev. Alfred Ryors, D.D
1852.	Rev. Solomon Howard, D.D
	PROFESSORS OF ANCIENT LANGUAGES.
1818.	*Joseph Dana, A. M
1819.	Rev. John B. Whittlesey, A.M1821
1822.	*Joseph Dana, A. M
1836.	*Daniel Read, A. M
1838.	*Rev. Elisha Ballentine, A.M., Greek 1840
1840.	Rev. John M. Stephenson, A.M., Greek 1842
1838.	*Daniel Read, A.M., Latin and Polit. Econ 1843
1840.	Rev. Wells Andrews, A.M
1842.	James Irwin Kuhn, A.M., Greek
1844.	Rev. Aaron Williams, D.D
1848.	*Rev. Addison Ballard, A.M., Latin 1852
1853.	*Rev. E. E. Bragdon, A.M 1854
1854.	*Rev. CLINTON W. SEARS, A.M1855
1855.	Rev. John M. Leavitt, A.M
	PROFESSORS OF MATHEMATICS.
1821.	Rev. James Irwin, A.M., President
1824.	*Rev. Jacob Lindley, A.M
1827.	WILLIAM WALL, A.M
1836.	Rev. Alfred Ryors, A.M
OH	- n

Faculty Initiatives



Faculty Salary Initiative, \$2.4 Million Invested Over Two Years

STATE OF OHIO	Sala	ry Rank	Compensation Rank				
Rank	2007	2008	2007	2008			
Professor	6 th	3 rd Û	5 th	4 th			
Associate Professor	3 rd	2 nd	3 rd	3 rd			
Assistant Professor	5 th	5 th	4 th	3 rd 仓			
All Ranks Combined	3 rd	3 rd	4 th	4 th			
PRESIDENTIAL PEERS	2007	2008	2007	2008			
Professor	1 1 th	1 1 th	1 O th	1 O th			
Associate Professor	10 th	1 O th	9 th	7 th			
Assistant Professor	1 O th	1 O th	10 th	9 th			
All Ranks Combined	10 th	8 th	8 th	7 th			

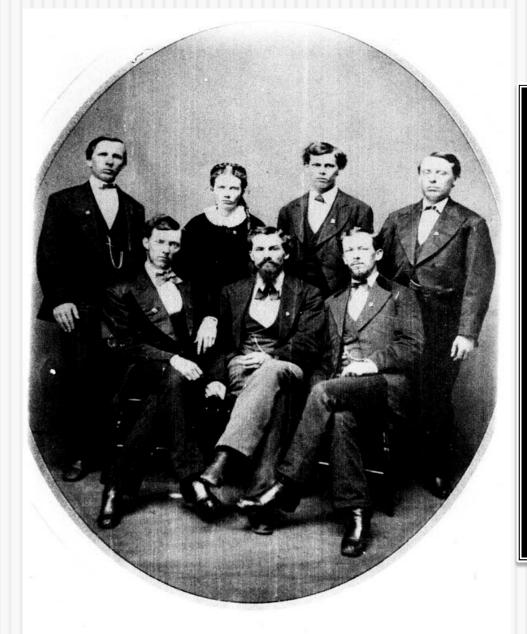
^{*}Auburn University, Auburn, AL; Clemson University, Clemson, SC; Indiana University – Bloomington; University of Connecticut, Storrs; University of Delaware, Newark; University of Missouri – Columbia; University of New Hampshire, Durham; University of North Carolina – Chapel Hill; University of Tennessee, Knoxville; Washington State University, Pullman.

Faculty Workload Policy

In Fall 2009 all Colleges will have a Workload Policy

Each workload policy will reflect the unique missions of the colleges but must also provide for flexible workload options and demonstrate a commitment to fairly compensate faculty regardless of whether their workload is traditional or flexible in nature.

The workload policies will help deans and the Executive Vice President and Provost to balance the need to meet the instructional mission of each unit with the need to structure faculty time so as to allow for productivity in the realm of research and creative activity. The policies also will assist in the process of annual merit reviews and will facilitate strategic hiring of faculty within and across units.



Graduate Education

Research & Creative Activity



Graduate Education Research & Creative Activity

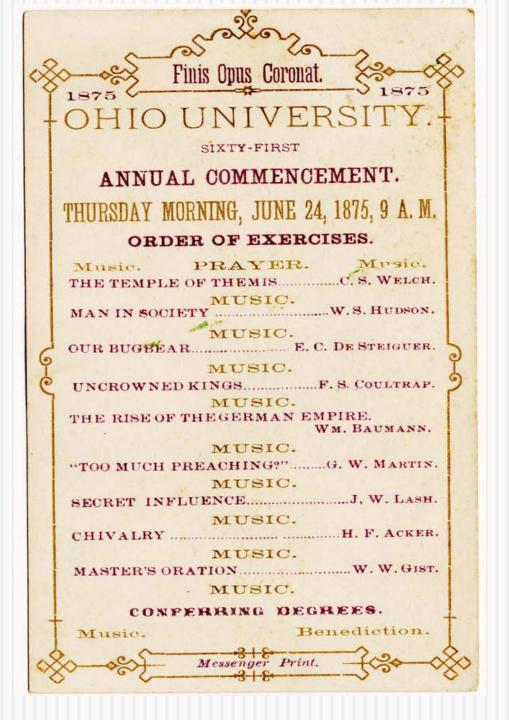
- Establishment of the Graduate College
- Completion of the Task Force on Centers of Excellence in Graduate and Professional Education
- Graduate Education and Research Board (GERB)

Provided support for Creative Writing, Nanoscale & Quantum Phenomena Institute, Strategic Leadership Master's Degree Program, Master of Arts in Organizational Communication

Revised Facilities and Administrative Cost Recovery
 Distribution Plan

A new formula has been put into place that will distribute recovered Facilities and Administrative monies from extramurally sponsored research in a way that will better support start-up costs, equipment maintenance, and the ability to provide timely investments in promising research initiatives.





Student Success: Excellence And Access

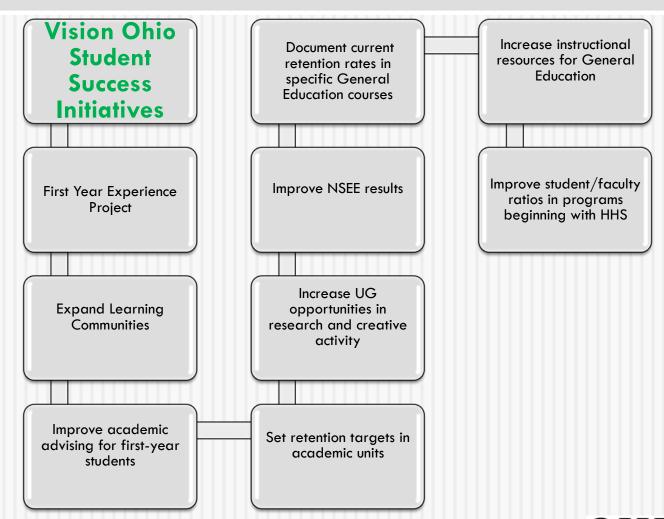


Student Success Initiatives

First-year retention from 2007 to 2008 increased 2%.

Currently on track to see another 1% increase for 2008-2009.

Third in the nation in predicted graduation rate

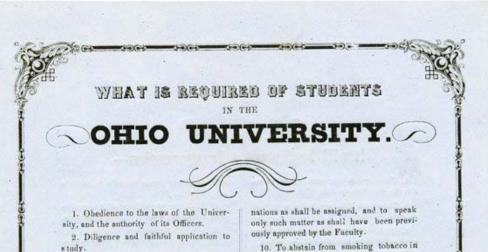




Success in Nationally Competitive Awards

5 Year Nationally Competitive Award Totals	2003-2004	2004-2005	2005-2006	2006-2007	2007-2008
Number of students submitting applications	52	68	85	98	102
Number of award applications submitted	64	85	105	121	135
Number of awards received	23	37	45	50	73





3. Regular and punctual attendance on

4. To be in their respective rooms during

the hours of study, and after 9 o'clock at

night, unless leave of absence shall have

5. To retire to rest at or before 10 o'clock

at night, and in no case, except in sickness or special permission, to have lights burning in rooms after 10 o'clock at night.

 To keep their rooms neatly and in good order; and to avoid sweeping into the Halls,

or throwing from the windows ashes, water,

7. To occupy rooms in the College Build-

ings, unless by permission of the President; and not to remove from the particular rooms

assigned them, except by the same permis-

8. To attend College Examinations.
9. To take such part in College Exami-

all College duties.

been obtained.

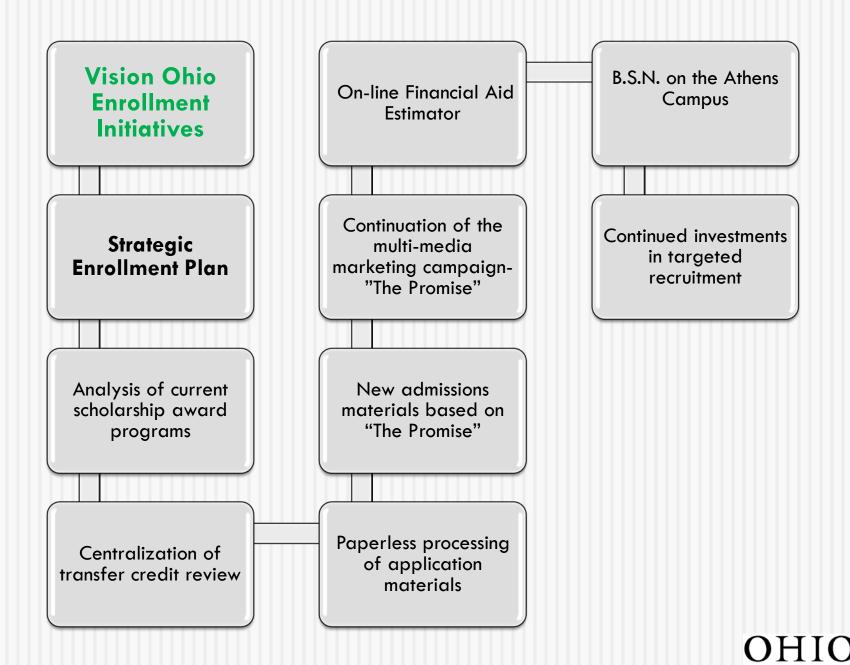
or filth of any kind.

- To abstain from smoking tobacco in the College Buildings.
- 11. To respect the Sabbath and religious institutions.
- 12. To attend reverently the worship of the Chapel, and public worship twice on the Sabbath, including the Lecture in the Chapel on Sabbath afternoon.
- 13. To avoid profanity, obscenity, and volgarity.
- To observe polite and decorous deportment toward each other, and toward all other persons.
- 15. To observe cleanliness, both as it respects person and dress.
- 16. To give testimony when called upon by the Faculty, concerning irregularities and transgression of College order.
- . 17. To be responsible for damage done to their respective rooms, and for general damage done where the perpetrator cannot be discovered.



Enrollment

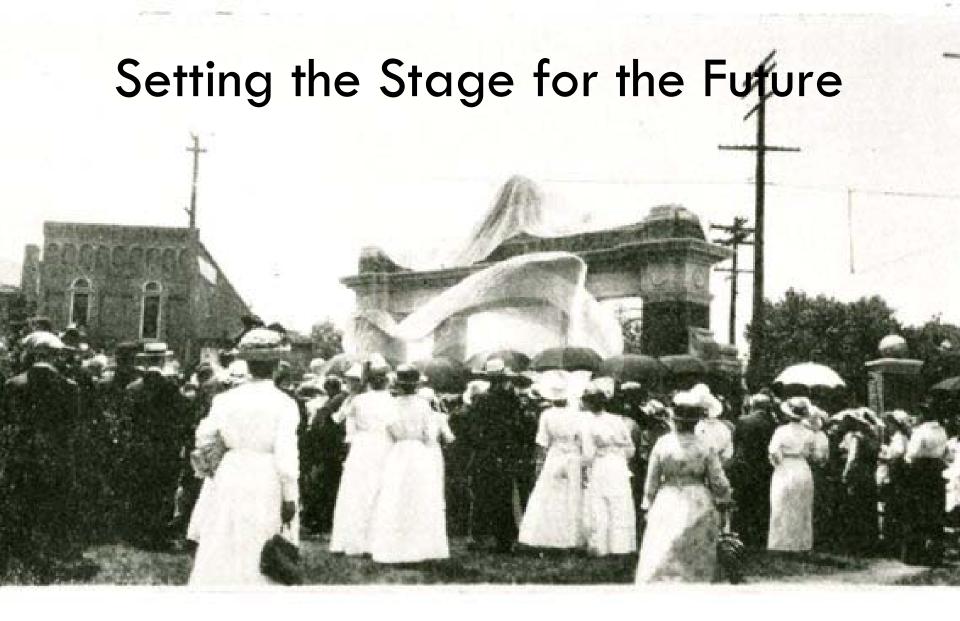




UNIVERSITY

	Topic	Data as of 6/4/09	Observations
↑	Applications	On June 4, we had 14,106 applications. New B.S.N degree: 402 applications (236 admits)	 We are up 148 applications from last year. Largest number of applications received in the history of the university. Non-resident applications are up 10 from last year's numbers (2,441).
↑	Quality of Freshman Class	Average high school GPA for applicants is 3.27, compared to 3.26 at this time last year. Average ACT is currently 23.37 compared to 23.22 at this time last year.	
↑	Diversity of Freshman Class	Multicultural applications are up from last year by 270 (about 13%). International applications are up from last year by 188 (about 51%).	
↑	Transfer Students	Applications are up by 262 (about 29%) from last year.	 Increase is due primarily to new dual admission arrangements with community colleges.
↑	Status of Admits	Admits are running ahead of last year by 5%.	







Well On Their Way

Quarters to Semesters

Academic Restructuring

USO Centers of Excellence

Student Information System





Resources Committee Meeting Ohio University, Athens Campus Walter Hall Room 235 June 25, 2009, 1:30 pm

Larry L. Schey, Chair of the Resources Committee, called the meeting to order at 1:30 pm. Other committee member present included Trustee Charles R. Stuckey, Jr., Trustee David Wolfort, Trustee Sandra J. Anderson, Trustee Robert C. Kidder, and Faculty Representative David Thomas.

Committee member Trustee Gene T. Harris was not in attendance.

Resolution FY 2010 Budget Approval

Senior Vice President William R. Decatur presented the FY 2010 Current Funds budget which the Board will be asked to approve during the full board meeting. Vision Ohio priorities and the Governor's version of the state budget have driven the balanced budget that is being presented. The tuition freeze for resident undergraduate students and the 4% increase in State Share of Instruction (SSI) are in place per the Governor's budget and no salary increases for faculty and staff that are not covered by bargaining unit contracts. Enrollments have been held flat in the budget due to the economic and state budget uncertainty.

Revenue increase in the Athens Campus budget totals \$8.6 million, \$8 million of which is related to designated programs to which the revenues will be credited. The growth in revenue to offset increasing costs for the campus is the \$.6 million balance. The state revenue lines of SSI, tuition replacement, and Success Challenge have been collapsed into one line by the state for the next biennium. Federal Stimulus funding is a significant portion of SSI – 19% in FY 2010.

Trustee Anderson asked about the likelihood of an interim state budget as reported in today's news. There has not been an interim budget since 1991 – generally, an interim budget results in an across-the-board budget reduction of funding from the state in order to begin the new fiscal year. That situation could result in a short-term cash flow problem until a final budget is approved. SVP Decatur remarked that the current and continuing dismal economic situation for the state is of great concern as we look toward a final state budget and the impact on the university.

SVP Decatur detailed \$18 million in additional investments in support of the 6 key goals of Vision Ohio and other continuing commitments that are achieved with this budget. Trustee Kidder asked whether there was any specific reason that the state chose to trim funding to the Voinovich School. President McDavis remarked that programs supported by specific line items in the state budget, such as the Voinovich School and medical subsidies, were hit harder in lieu

of cutting the core funding for higher education - SSI. This response by the state was in line with the IUCs listing SSI as the priority for higher education in the state budget.

In order to achieve the \$18 million in additional investments planning units participated in reduction targets totaling \$13.5 million, equating to 0-5% reductions in academic units and 7-10% reductions in academic support units. Other reallocations were achieved through efficiency initiatives, increases in overhead charges to supported units, and a student fee implemented for the Network Infrastructure and SIS Project.

Trustee Kidder asked for an explanation of the mismatch in revenues and expenditures in Intercollegiate Athletics (ICA) and whether we are funding the proper priority. President McDavis shared the relative ranking of 4th for Athletics among priorities of the student General Fee committee and the 2008 charge to the executive staff to bring athletics into balance. President McDavis believes that increasing the external funding support for athletics is the way to ultimately right the budget issues for ICA. Athletics has an aggressive plan to grow revenues which is not built into the current budget. If that \$500,000 increase in revenues is not realized then an increase in General Fees would be the probable solution. Trustee Kidder posed the question, how much is the athletics program worth given the amount of funding it takes to sustain the program. Faculty Representative David Thomas questioned the use of base funding to support ICA and whether the \$873,000 to support operations should instead be considered one-time-only until we can visit the discussion again next fiscal year, even if the funding is coming from General Fee revenues.

Trustee Kidder asked about the current formula changes to SSI. SVP Decatur explained that the formula changes now reward on outcome measures of graduation rather than only enrollment statistics.

SVP Decatur shared the Detail of Budgeted Revenue and Expenditures as the best visual for the overall budget for which approval is sought. Trustee Kidder asked why 'Administrative and Support Services' is showing such a significant increase. Part of the increase is due to Vision Ohio spending and reclasses due to the change in transfer treatment. A chart to reflect the changes will be provided to committee members tomorrow.

The committee recommended moving the FY 2010 Budget to the full Board for approval.

• Report from University Advancement

Vice President for Advancement Howard Lipman presented the Advancement report through May 31, 2009. Fundraising has experienced a large drop off since January, 2009.

The Case Statement for the Capital Campaign has been developed with the assistance of many constituencies. How to fund the campaign is the next step in the evolution of the plan and VP Lipman and SVP Decatur will be working together on the development of the budget to be shared with the Foundation and this Board. VP Lipman is working with Communications and Marketing on the campaign theme and branding.

Resources Committee June 25, 2009

Volunteer efforts are gaining momentum and the Advancement unit is positioning to provide support for the campaign efforts of trustees, staff, and volunteers. Following the securing of funding, the campaign will take action on the wealth and prospect survey, identification of a campaign goal, and the public roll-out for the campaign.

• Enterprise Risk Management Presentation

Assistant Vice President for Safety and Risk Management, David Hopka, provided the Board with a presentation on the university's plans for a comprehensive approach to Enterprise Risk Management. ERM creates a climate for the proper handling of risk and can control volatility. Mr. Hopka expressed that support for ERM from the President and senior management has been exceptional and now making risk management a part of the daily work at the university. Next steps include development of the ERM team and guidelines.

• Interim Financial Reports – April, 2009

Board materials provide the standard report

• Treasurer's Report – April, 2009

Board materials provide the standard report. Associate Vice President Mike Angelini shared an update on the debt issuance for the Network Infrastructure and SIS Project - \$28.3 million issuance at 3.56% fixed rate, tax exempt bonds. A new investment consultant, Hirtle Callaghan from Philadelphia, has been hired to handle the endowment investment portfolio. They will be formally retained at the July Foundation meeting.

AVP Angelini provided a review of the April, 2009, Treasurer's Report included with the board materials

Meeting adjourned at 4:00 pm.



Date: June 8, 2009

To: The President and Board of Trustees

From: William R. Decatur, Vice President for Finance and Administration and Treasurer

Re: FY 2010 Operating Budget

A report will be given at the June 25, 2009 meeting of the Resources Committee to present the FY 2010 operating budget for approval. Based upon the work of the Budget Planning Council (BPC) and University leadership, the FY 2010 operating budget has been finalized for your review and approval. We are pleased to present a balanced operating budget that prioritizes the core academic mission of the University and maintains support for the University's Vision Ohio Implementation Plan.

The presentation of the University's FY 2010 operating budget will include:

- Athens Campus General Fund
- College of Medicine
- University Outreach & Regional Campuses
- Auxiliary Programs

Attached to this memorandum is the Board Resolution to Approve the FY10 Operating Budget, followed by a narrative "Budget Highlights," (Attachment A) which provides details regarding the planning assumptions, the budgeting environment and the budgets for each of the areas noted above. Supplemental tables (Attachments B through G) which display the operating budgets are also included with this mailing. The attachments are organized as follows:

Board Resolution: Operating Budget

Attachment A: Budget Highlights Narrative

Attachment B: Total University Summary of Budgeted Revenue & Expenditures

Attachment C: Athens Campus Budgeted Revenue & Expenditures

Attachment D: College of Osteopathic Medicine Budgeted Revenue & Expenditures

Attachment E: University Outreach & Regional Campuses Budgeted Revenue & Expenditures

Attachment F: Auxiliary Operations Budgeted Revenue & Expenditures

Attachment G: Summary of Vision OHIO Funding Priorities

As you are aware, the State's biennial budget bill, Amended Substitute House Bill 1, has not yet been authorized by the legislature. As such, the University's budget plan is based upon the budget bill as introduced by the Governor. The University will seek approval to adjust its FY 2010 as necessary to reflect the final actions of the legislature and remain in balance.

I will be happy to answer any questions you have prior to or during the June meeting regarding the FY 2010 Operating Budget and the provided materials.



Date: June 26, 2009

To: The President and Board of Trustees

From: William R. Decatur, Vice President for Finance and Administration and Treasurer

Re: FY 2010 Operating Budget - Requested Items

At the June 25, 2009 Resources Committee Meeting, the additional information summarized below was requested to facilitate review of the proposed FY 2010 operating budget:

- Summary of the State Share of Instruction (SSI) Formula
- Summary of FY 2010 Vision OHIO Investments by the Categories Presented on Page 10 of Board Materials Packet
- Copies of the Updated Power Point Slide for Intercollegiate Athletics (Pg 51 of the Original Board Materials Packet) which reflects the following changes:
 - · Correction of error in funding source to General Fee
 - Correction in base funding amount provided for scholarships to \$345,000
- Summary of State Funding for the College of Osteopathic Medicine & the Voinovich School

I will be happy to answer any questions you have regarding the FY 2010 operating budget and the attached materials.

Summary of the State Share of Instruction Formula for Universities

June 26, 2009

The State Share of Instruction (SSI) is the largest state appropriation in support of Ohio's system of higher education. This unrestricted funding supports a portion of instructional and administrative costs incurred by campuses. Beginning in FY 2010, a new outcome-based funding model for universities will be in place which rewards success in meeting the following outcomes:

- Course and degree completion
- Recruitment and retention of financially disadvantaged students
- Promotion of fields of study including science, technology, engineering, mathematics and medicine (STEM²)
- In future years, the formula will incorporate weights for the successful achievement of institution-specific goals, or Centers of Excellence, to be defined in collaboration with the Chancellor of the Board of Regents.

Funding Course and Degree Completion

As in the past, the SSI funding will be distributed to universities through a formula primarily driven by enrollments that are classified based on levels of instruction or models and the statewide average costs of each model. For example, the costs of instruction for an advanced engineering course are greater than the costs of an introductory history course. Therefore, the completion of courses and degrees in higher cost courses and degrees will be funded at a higher rate than lower cost courses or degrees. Additional weights are given to STEM² course and degree completion. Prior to FY 2010, course and degree completion were not factored into the SSI formula. Course and degree completion were rewarded through the Success Challenge.

Promoting Recruitment and Retention of Financially Disadvantaged Students

Beginning in FY 2010, the SSI formula will include weights to promote the recruitment and retention of financially disadvantaged students, as measured by eligibility for the Ohio College Opportunity Grant.

SSI Funding for Doctoral and Medical Instruction

There are few distinctions in the treatment of SSI subsidy allocations for doctoral and medical education that are worth note:

- Doctoral Set-aside: Approximately 10% of the SSI appropriation is set-aside to support doctoral education and distributed to each university based on shares established by the Graduate Funding Commission. Ohio University's share of the doctoral set-aside is approximately 6.9% of the set-aside.
- Medical Education: Funding for medical student FTEs is capped for each of the six public medical schools reflecting a policy initiative to limit the number of doctors. Ohio University's College of Osteopathic Medicine is capped at 433 FTE. That is, COM is eligible to receive state funding for 433 FTEs and any enrollments above that number are not eligible to receive funding through the SSI subsidy.

Stop-loss

There is a stop-loss calculation that provides temporary stability to institutions when their funding decreases precipitously. As presented in the state budget, the stop-loss will be 99% of the prior year in FY 2010 and 98% of the prior year in FY 2011.

Regional Campuses

For the FY 2010-2011 biennium the University Regional Campus funding model will be allocated entirely based on course completions, weighted for at-risk students. In addition, an amount consistent with each campus' final FY 2009 Access Challenge allocation would be provided to each University Regional Campus. Finally, Regional Campuses will benefit from the level of stop-loss noted above to provide temporary stability.

Other Items of Note

- Beginning in FY 2010, the SSI, Success Challenge and Access Challenge line items are consolidated into a single appropriation.
- There is a separate SSI formula methodology for community colleges that rewards specified benchmarks of progress towards degrees and certificates.

Vision OHIO Investments and Continuing Commitments by Category of Expense June 26, 2009

(In thousands)		Cate			
Amount	Academic & Research Programs	Administration &	Centrally Budgeted	General Fund/Fee Support of Auxiliaries	
\$6,022	\$1,693	THE REAL PROPERTY AND PERSONS ASSESSMENT OF THE PERSONS ASSESSMENT OF			
\$2,676				\$344	
				\$0	
				\$1,193	
\$411	\$0	\$1,068	(\$657)	\$0 \$0	
\$1,371	\$181	\$798	\$392	\$0	
\$18.116	\$4.290	\$2.100		\$1,537	
	\$6,022 \$2,676 \$1,717 \$5,919 \$411	Amount	Amount Academic & Research Programs Administration & Support Services \$6,022 \$1,693 \$674 \$2,676 \$1,996 \$266 \$1,717 \$420 \$104 \$5,919 \$0 \$190 \$411 \$0 \$1,068 \$1,371 \$181 \$798	Amount Academic & Research Programs Administration & Support Services Centrally Budgeted \$6,022 \$1,693 \$674 \$3,311 \$2,676 \$1,996 \$266 \$414 \$1,717 \$420 \$104 \$0 \$5,919 \$0 \$190 \$5,729 \$411 \$0 \$1,068 (\$657) \$1,371 \$181 \$798 \$392	

The above summary reflects the category where the base budget is held. In some instances budget will be transferred from one category to another for expenditure. Details of such transfers are provided on page 2 of this document.

ATHENS GENERAL PROGRAM BUDGET OUTLINE Fiscal Year 2009-2010 Resources and Detail New Investments By Vision OHIO Priority

SUDBORT 4. II. III.	Total	Category	
SUPPORT for the UNIVERSITY'S ACADEMIC PLAN: VISION ONIO		antigory	Note
RECRUIT & RETAIN TALENTED and DIVERSE STUDENTS			
ACCESS & AFFORDAMILITY SCHOLARSHIP AND FINANCIAL AID INVESTMENTS			
Undergraduate Financial Aid Scholarships \$	2,000,00	Centrally Budgeted Items	
Graduate Tuition Scholarships 5			
General Fund Support of Endowed Scholarships \$		O Academic Programs	
Gateway Trustee Awards Increase	1,051,00	O Centrally Budgeted Items	
ROTC Room & Board	****		
	192,65	1 Academic Programs	
Sub-total - Scholarship & Financial Aid Related \$	5,188,24	8	
STRATEGIC ENROLLMENT MANAGEMENT PLANNING			
Enrollment Management - VOSC			
Academic Marketing Campaign - VOSC (One-time)	409,00		
Sub-total - Strategic Enrollment Management Planning\$	230,000		To be transferred to Marketing and Communications.
	639,007		and Commissions
SUPPORT INTERNATIONAL PROGRAMS & RESEARCH			
International Travel Service from Columbus - VOSC \$	30,000	Control to the control of the contro	
International Student Advising Services	105,000		To be transferred to International Student and Faculty Services.
International Student Recruitment - VOSC	60,000		
Sub-total - Support International Programs & Research S	195,000	Hamilianadon of Support Services	
STRENGTHEN UNDERGRADUATE & GRADUATE EDUCATION		-	
IMPROVE RETENTION & GRADUATION RATES			
Assessment Projects - VCSC			
Learning Communities Communication Lance	200,000		
Allen Student Hein Center - VOSC	130,000	Academic and Research Programs	
Supplemental Instruction & Math., Mosc	40,000	Academic and Research Programs	
Adaptive Planning & Technology	30,000	Academic and Research Programs	
Disability Services (One-time)	60,000	Administration & Support Services	
Psychological Services (ArtsR: Sciences)	6,000	Administration & Support Services	
Summer Session Instruction	38,000	Academic and Research Programs	
Sub-total - Improve Retention & Graduation Rates \$	202,502	Academic and Research Programs	
	706,502		
INVEST IN TARGETED UNDERGRADUATE PROGRAMS			
Nursing Program - VOSC			
General Education Instructional Capacity - VOSC (One time)	904,640	Academic and Research Programs	
Provost Undergraduate December Fund Appendix	364,194	Centrally Budgeted Items	To be transferred to Arts and Sciences.
Sub-total - Invest in Targeted Undergraduate Programs \$	50,000	Centrally Budgeted Items	To be transferred to various colleges.
	1,318,834		to various conedes.
ENHANCE GRADUATE & PROFESSIONAL EDUCATION & RESEARCH			
Voinovich School			
Graduate College - One-time General Fee hypothype	500,000	Academic and Research Programs	
Sub-total - Enhance Graduate & Professional Education & Research\$	150,000	Academic and Research Programs	
	650,000		
ENRICH ENVIRONMENT FOR STUDENTS, FACULTY, STAFF & REGION			
Campus Bernstine (Che time)	300,000	Academic and Research Programs	
Fine Arts - Marching 110 (One-time)	220,000	General Fund/Fee Support of Auxiliaries	
Shirlant Arthritiss Committees (Committees)	120,000	Academic and Research Programs	
Intercollegiate Athletics (Con. tiese)	100,000	Administration & Support Services	
Additional Base Funding to ICA	100,000	General Fund/Fee Support of Auxiliaries	
Student Senate (One-time)	873,328	General Fund/Fee Support of Auxiliaries	
Sub-total - Enrich Environment \$	4,000	Administration & Support Services	
	1,717,328		
Terrania de la companya del companya del companya de la companya d			
FORTIFY & AUGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of INST	RUCTION BEST	ARCH & CUMACE	
A STATE OF THE PARTY OF THE PAR	HATTION, HESE	HUCH OF SERVICE	
Network & SES Student Technology Fee	1,800,000	Cantrolly Burlanted Ways	
Network & SIS Student Technology - General Fund Support	2,300,000	Centrally Budgeted Items	To be transferred to Capital Plant Fund account
5	4,100,000	Centrally Budgeted Items	To be transferred to Capital Plant Fund account.
	1,111,000		
Server Storage - VOSC \$	190,000	Administration & Summer C	
Sub-total - Dependable and Secure Network & Systems Infrastructure\$	4,290,000	Administration & Support Services	
	10000		
FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT			
University Efficiencies -Temp control \$75,000 \$	(75,000)	Centrally Budgeted Items	
Additional Plant Operation & Maintenance		Centrally Budgeted Items	
Additional Utility Costs	1,100,000	Centrally Budgeted Items	
Leased Property Expenses		Centrally Budgeted Items	
Property Insurance		Centrally Budgeted Items	
Sub-total - Facilities Infrastructure & Property Management\$	1,629,220	and the state of t	
Management of the Control of the Con	The same and the s		
REVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Advancement			
University Efficiencies – UHR\$132,000	1,900,000	Administration & Support Services	
University Efficiencies - LhR\$132,000 \$		Administration & Support Services	
Student Affairs (Est. one-time savings from Health Services) \$		Administration & Support Services	
Health Insurance - Net 3% reduction in Budget \$	The state of the s	Centrally Budgeted Items	
Sub-total Revenue Generation, Accountability & Efficiency's	411,032	The state of the s	
TOTAL INVESTMENTS IN SUPPORT OF VISION OHIO			
TO THE INVESTMENTS IN SUPPORT OF VISION ONIO	16,745,171		
OTHER COMPENSATION BY A TO SUPERING			
OTHER COMPENSATION RELATED EXPENSES Faculty Orientation - WOCC			
Faculty Orientation - VOSC \$	5,000 0	entrally Budgeted Items	To be seen a see a s
Faculty Promotions	181,120 A	cademic & Research Programs	To be transferred to Faculty Development,
PERS Rate Increase - Law Enforcement		dministration & Support Services	
Bargaining Unit Compensation Increase	374,418 A	dministration & Support Services	
Bargaining Unit Step Increases \$			
		dministration & Support Seniore	
Workers Compensation Increase	45,580 A	dministration & Support Services entrally Budgeted Items	
Workers Compensation Increase \$ Sub-total Compensation Related Expenses \$	45,580 A	dministration & Support Services entrally Budgeted Items	
Workers Compensation Increase \$ Sub-total Compensation Related Expenses \$	45,580 A 112,348 G	dministration & Support Services entrally Budgeted Items	
Workers Compensation Increase Sub-total Compensation Related Expenses \$ MISCELLANIOUS	45,580 A 112,348 G 721,228	entrally Budgeted Items	
workers Compensation Increase \$ Sub-total Compensation Related Expenses \$ MISCELLANEOUS Misc. Central Items (Audit Expense, Bad Debt, Banking Chargester)	45,580 A 112,348 G 721,228	entrally Budgeted Items	
workers Compensation Increase \$ Sub-total Compensation Related Expenses \$ MISCELLANEOUS Misc. Central Items (Audit Expense, Bad Debt, Banking Chargeacc) \$ Shift of Designated Revenue & Activity to Central Budget \$	45,580 A 112,348 G 721,228	entrally Budgeted Items entrally Budgeted Items	
workers Compensation Increase \$ Sub-total Compensation Related Expenses \$ MISCELLANEOUS Misc. Central Items (Audit Expense, Bad Debt, Banking Chargester)	45,580 A 112,348 G 721,228	entrally Budgeted Items	

TOTAL VISION OHIO INVESTMENTS AND CONTINTUING COMMITMENTS

FY 2010 Budget Approval

Auxiliary Operations - Replaces page 51 of Board Materials Packet

- Intercollegiate Athletics
 - The FY 2010 Budget Includes Increased General Fee Support of \$1.2Million
 - \$345,000 to Support Scholarships and Financial Aid
 - \$873,000 to Support Operations
 - Expenditure Reduction Efforts Total \$500,000 To-Date
 - · Reductions in Force
 - Identification of Operating Efficiencies
 - Projected FY 2010 Deficit of \$947,000
 - Target to Reduce Deficit by an Additional \$500,000 through Revenue Enhancement/Cost Reduction Initiatives to bring Deficit to just under \$450,000
 - Effort will Continue to Conduct a Full Assessment of the ICA Operating Budget, both Revenues and Expenditures



FY 2010 Budget Approval

Budget Management Strategy & Contingency Planning



- Development of Budget Management Strategy & Contingency Planning Efforts are Ongoing
- Continued Planning & Implementation of Efficiency Workgroup Efforts
- Enrollment Reserve of \$700,000
 - \$500,000 in the General Program
 - \$200,000 in the General Fee Fund





Ohio University Board of Trustees

Total Line-Item Funding

Request from Resources Committee - FY 2010 Line Item Funding For College of Osteopathic Medicine and Voinovich School Projection as of June 26, 2009

State Funding for Ohio University Line Items - For College of Osteopathic Medicine and Voinovich School

College of Osteopathic Medicine		FY 2009 Revised Budget *				hange from FY09 Senate FY10	(Governor Conference Committee Proposal FY 2010	\$ Change from Senate FY10 TO Governor Conference Committee	
Area Health Education Centers Programs Support	\$	305,127	\$	192,856	\$	(112,271)	\$	229,022	5	36,166
Family Practice	\$	648,821	\$	610,181	\$	(38,640)	\$	520,372	\$	(89,809)
Geriatric Medicine	\$	96,200	\$	90,471	\$	(5,729)	\$	87,757	5	(2,714)
Primary Care Residences	5	291,389	\$	274,036	\$	(17,353)	\$	265,815	\$	(8,221)
Ohio University Clinical Teaching	\$	3,754,476	\$	3,530,882	\$	(223,594)	\$	3,424,956	\$	(105,926)
	\$	5,096,013	\$	4,698,426	\$	(397,587)	\$	4,527,922	\$	(170,504)
Ohio University Voinovich School										
Ohio University Voinovich School	\$	600,656	\$	302,474	\$	(298,182)	\$	326,000	\$	23,526
Appalachian New Economy Partnership	\$	1,055,793	\$	981,887	\$	(73,906)	\$	819,295	\$	(162,592)
Rural University Projects	\$	569,919	\$		\$	(569,919)	\$		\$	
	\$	2,226,368	\$	1,284,361	\$	(942,007)	5	1,145,295	\$	(139,066)

7,322,381

5,982,787

\$ (1,339,594) \$

5,673,217

(309, 570)

^{*} Based on Board of Regent's Distribution Schedules As of 5/12/09

Budget Highlights

The FY 2010 budgeting process has presented the University with numerous challenges and difficult decisions. As a result of various planning efforts, the leadership of Ohio University is pleased to present a balanced budget to the Board of Trustees that focuses on the priorities and goals established by the University community in its Vision OHIO Implementation Plan.

Ralou	., ;,	o cumn	nary of key highlights of the Ohio University FY 2010 Operating Budget, including:
Delov	V 13	a suiiiii	ary of key inglinglits of the Olio Oliversity 1.1 2010 Operating Budget, including.
]	Plannin	g Assumptions and the Budgeting Environment
]	Summa	ry of Accounting Changes
]	Summa	ry of Revenues & Expenditures
		0	Athens Campus
		0	University Outreach & Regional Campuses
		0	College of Medicine

o Highlights of the Auxiliary Operations

A comprehensive review of the FY 2010 Operating Budget will be presented at the June 25, 2009 meeting of the Board of Trustees.

Planning Assumptions and the Budgeting Environment

All campuses of the University continue to operate in an uncertain budgeting environment and efforts to monitor the primary revenue and cost drivers for the budget are routine and ongoing. The current year's enrollment experience, current data regarding the projected enrollments, the Athens Campus freshman class and the implementation of the new Bachelor of Science in Nursing provide hopeful prospects for tuition revenue. While the State has provided unprecedented support for higher education during FY 2009 by maintaining our core State Share of Instruction (SSI) funding in the face of significant state budget reductions, we recognize the great need to monitor State revenue shortfalls and prepare for the impact of potential budget reductions. This plan is developed based on the nearly flat growth estimates of general fund revenue.

Enrollment Projections

Tables 1 and 2 summarize recent enrollment trends and projections for the coming academic year. As displayed, the University is projecting slight growth in total enrollments (0.49% or 146 students). During FY 2009, the Athens campus experienced an increase in undergraduate resident enrollments with a notable increase in undergraduate non-resident enrollments over FY 2008, particularly international students enrolled in designated activity programs. However, the FY 2009 non-resident undergraduate revenues were nearly \$2 million below the original estimate as reflected on Attachment B.1, *Total University Summary of Budgeted Revenue & Expenditures*. There was a decline in resident graduate and an offsetting increase in non-resident graduate enrollments on the Athens campus. The FY 2010 estimated enrollments reflect anticipation that the number of undergraduate non-resident enrollments will continue to grow – although not as aggressively as estimated in the FY 2009 budget – while resident enrollments decline slightly and graduate enrollments will remain steady.

Regional Campus enrollments significantly outpaced the FY 2009 estimates by nearly 7% in Fall 2008 and as much as 12% in Winter 2009, likely a reflection of the poor economy. FY 2010 estimates include an average 2% increase over the FY 2009 actual enrollments for most campuses. The College of Osteopathic Medicine's (COM) FY 2009 enrollments reflected the College's multi-year plan to increase enrollments by 20 students annually over the next five years and, in fact, enrollments were slightly above estimates by three students for the year. In FY 2010, COM estimates an additional 20 students over the FY 2009 original estimate or 17 above the FY 2009 actual enrollments.

Estimated Tuition & Fee Revenue

Consistent with Amended Substitute House Bill 1, the State of Ohio's FY 2010-11 biennial operating budget bill currently under consideration, instructional and general fees are frozen at the FY 2007 level of resident undergraduate students, both Athens and Regional Campuses. Graduate instructional and general fees are also maintained, while a 5% increase is proposed for the College of Medicine.

As with the preliminary FY 2010 budget estimates presented in April 2009, the final FY 2010 spending plan projects nearly zero growth in tuition revenue. Athens campus FY 2009 tuition and fee revenues largely matched the total original estimates, however represented a different mix of resident and non-resident students than anticipated. The Attachment A

Budget Highlights

FY 2010 budgeted tuition revenue is flat to the FY 2009 projected actuals. While continued increases in retention rates are anticipated, neither increased retention nor enrollment increases are factored into the revenue estimates. We continue to remain optimistic in light of the positive 2008-2009 enrollment experience, but have budgeted tuition and fee revenue with a degree of conservatism.

In contrast, total student tuition and fee revenue for University Outreach & Regional Campuses (UORC) is expected to increase by 13.3% or nearly \$5 million and COM student tuition and fees grow by 7.5% as a result of increased enrollments and the 5% increase in tuition.

State Resources

Estimates of state support are based upon the Governor's version of the state's biennial budget which includes an increase to SSI of 3% over the original FY 2009 budget or 4% when compared to the revised FY 2009 budget (or \$111.4 million) on the Athens campus, 0.5% (or \$169,000) for University Outreach & Regional Campuses and a 2.65% loss (or \$463,000) for the College of Osteopathic Medicine. While the budget bill as passed by the House of Representatives included increases in funding to higher education, the Senate is faced with consideration of a FY 2009 revenue shortfall which will likely impact the final FY 2010 operating budget. If reductions are necessary, the University will rely on a variety of contingency initiatives, including opportunities for operating efficiency, to ensure a balanced budget is maintained.

Athens Campus Budget

Total General Fund and General Fee revenues are projected to increase by \$642,000 compared to the original FY 2009 budget. The primary source of funding to support increased investments is \$13.5 million in planning unit reallocations (or \$12.6 million from the General Fund and \$908,000 from the General Fee).

The proposed Athens General Program operating budget recommends General Fund spending authorizations of \$320.9 million (an increase of \$16.0 million or 5.0% over the original FY 2009 base operating budget). This increase is driven by Transfers In to the Athens Campus to support intra-University activities previously managed as direct transfers between planning units. The increase to Transfers In does not represent new activities. Also included in the General Fund is \$1.8 million in estimated revenue from the Network Infrastructure & SIS fee. General Fee spending authorizations of \$30.9 million (an increase of \$560,000 or 1.8% above the original FY 2009 operating budget) are also recommended. Departmental activity (income plus internal transfers) increases significantly by \$5.7 million or 14.7% above the original FY 2009 budget.

Additional details of the FY 2010 Athens General Program Operating Budget can be found on Attachment C.2, "Athens Campus Budgeted Revenue & Expenditures," including detailed revenue and expenditure estimates.

Support for Cost Increases & New Base Investments

As noted above, the FY 2010 General Program and General Fee revenue estimates are relatively flat to the prior year and would not be sufficient to support Vision OHIO investments, scholarship and financial aid, negotiated compensation or facilities management related cost increases. The proposed budget also includes a total commitment of \$4.1 million to support the Network Infrastructure and SIS projects funded by the new fee (\$1.8 million and \$2.3 million budget reallocations). The primary source of support for these base budget investments is the base reallocation of \$13.5 million from planning unit budgets. Additionally, the University pursued and continues to pursue options to reduce costs, including changes to employee health benefits plan which will yield a 3% savings or \$656,000 on the Athens campus. Planning units have also identified internal opportunities to reallocate funding from lower to higher priorities. The University will continue in earnest to pursue efficiency initiatives and opportunities to lower administrative costs to ensure a priority on the core academic mission.

Vision OHIO & Continuing Commitments (General Fund and General Fee)

The FY 2010 Athens Campus General Fund and General Fee budgets include \$18.1 million to support the Vision OHIO Implementation Plan, scholarships and financial aid, the Network Infrastructure & SIS project, maintenance of University facilities and negotiated compensation increases. The budget prioritizes support for the Vision OHIO Implementation Plan with total base and one-time investments of \$16.7 million. The largest share of the General Fund and General Fee increases (\$5.2 million) will support scholarships and student financial aid, which includes \$1.1 million to continue commitments to current students awarded foundation supported scholarships. An increase

Budget Highlights

of approximately \$800,000 is provided to support faculty tenure and promotion (\$181,000), increases in summer instructional capacity (\$200,000), support for negotiated agreements (\$420,000), and other compensation-related expenses. Plant operation and maintenance and utility budgets are increased by \$300,000 and \$1.1 million, respectively. (See Attachments C.6 and G, "Summary of Vision OHIO Funding Priorities" for details of these new investments.)

One-time General Fee Allocations

In addition to base investments, the FY 2010 budget includes one-time allocations of General Fee revenue made available by Student Affairs as a result of favorable FY 2010 projections in the Student Well-Being revenue that supports Student Health Services. The allocations are summarized below:

Areas of Investment	Amount
Campus Recreation	\$220,000
Graduate General Fee Buy-down (One-time)	\$150,000
Marching 110	\$120,000
Student Activities Committee	\$100,000
Student Senate	\$4,000
Disability Services	\$6,000
Intercollegiate Athletics Operating	\$100,000
Total One-time General Fee Investments	\$700,000

College of Medicine

The proposed operating budget for the College of Osteopathic Medicine recommends \$26.4 million in Unrestricted Funds (an increase of \$1.4 million or 5.4%) and \$9.9 million in Restricted Funds (a decrease of \$1.4 million or 12.5%). The increases will be invested in the college's Vision OHIO Initiatives, including enhanced support for the growing Centers for Osteopathic Research & Education postdoctoral training institution, student and faculty diversity recruitment efforts, and augmentation of accreditation-related activities. The proposed expenditure increases are supported by a 5% tuition increase (approved at the April 2009 meeting of the Board of Trustees), estimated enrollment increases and a 3.4% increase in unrestricted state support. Restricted state subsidies are estimated at \$4.9 million (\$865,000 or 15% below FY 2009). Additional details of the FY 2009 College of Osteopathic Medicine Operating Budget can be found in Attachment D, "College of Osteopathic Medicine Budgeted Revenue & Expenditures."

University Outreach & Regional Campuses

The proposed unrestricted operating budget for University Outreach & Regional Campuses totals \$72.5 million (an increase of \$4.6 million or 6.8%). The proposed expenditure increases reflect instructional needs resulting from increased enrollments, the continued expansion of the new Community College Partnership on-line baccalaureate degree completion program, and support for enhanced recruitment efforts. The Restricted Funds budget is \$15.2 million (an increase of \$55,000 or 0.4%). Additional details regarding the FY 2009 University Outreach & Regional Campuses Operating Budget can be found in Attachment E, "University Outreach & Regional Campuses Budgeted Revenue & Expenditures."

Auxiliary Operations

Details regarding the operating budgets for each of the University's eight (8) Auxiliary Operations can be found in Attachment F, "Auxiliary Operations Budgeted Revenue & Expenditures." The narrative below focuses on the presentation of Residential Housing, Dining Services and Intercollegiate Athletics.

Reorganization of Residential Housing and Dining Services

As part of an overall university effort to consolidate functions to achieve efficiency and enhance support services to students, the Housing Office and Department of Residence Life and Off-Campus Living have merged into one operation, titled Residential Housing, within the Division of Student Affairs. Housing previously was part of Auxiliary Services within Finance and Administration, while Residence Life is in Student Affairs. The merger of Attachment A

Budget Highlights

Housing and Residence Life will provide a one-stop shop for students' on-campus housing needs, including administration of housing policies and regulations, student housing assignments, residence hall staffing and programming that encourages learning and development outside the classroom.

Dining Services operations will remain within Finance and Administration.

Intercollegiate Athletics Projected Deficit

Intercollegiate Athletics (ICA) has projected a FY 2010 deficit which totals \$947,000 (includes the use of \$100,000 in one-time resources). The ICA has been charged to meet a \$500,000 revenue enhancement/cost reduction target to be met in FY 2010, which would reduce the estimated deficit to \$447,000. Significant effort will continue to assess ICA's operating budget. The FY 2010 budget includes increased general fee support of \$1.1 million, with \$240,000 going to support scholarships and financial aid and \$873,000 to support operations. As indicated during the FY 2009 budget process, ICA is a current participant in the Academic Support Unit Program Review (ASUPR) initiative following the recommendation of the Budget Planning Council. The first phase of the review process is anticipated to be complete this Summer.

Auxiliary Operations Fund Balances

Changes to auxiliary operations fund balances typically reflect the expenditure of established reserves or the addition of resources to reserves. The FY 2010 operating budget includes a net change in fund balance of \$2,009,000, which reflects the following:

- Use of \$3,270,000 from the Dining Services fund balance to support the Central Food Facility capital project.
- Addition of \$2,218,000 to the Residential Housing fund balance reserve to support future renovation projects.
- Budgeted deficit of \$947,000 for Intercollegiate Athletics.

These changes in fund balance are presented on Attachment F, "Auxiliary Operations Budgeted Revenue & Expenditures."

OHIO UNIVERSITY

HEADCOUNT ENROLLMENT BY CAMPUS as of FALL QUARTER

Athens Campus

Fall Quarter	Undergraduate Resident	Undergraduate Non-Resident	Total Undergraduate	Graduate Resident	Graduate Non-Resident	Total Graduate	Medical	Total
1999	14,597	1,621	16,218	1,128	1,394	2,522	415	19,155
2000	14,690	1,600	16,290	1,173	1,448	2,621	416	19,327
2001	15,113	1,582	16,695	1,094	1,448	2,542	423	19,660
2002	15,348	1,506	16,854	1,175	1,497	2,672	433	19,959
2003	15,362	1,433	16,795	1,237	1,505	2,742	425	19,962
2004	15,266	1,374	16,640	1,212	1,424	2,636	428	19,704
2005	15,430	1,331	16,761	1,221	1,313	2,534	430	19,725
2006	15,178	1,384	16,562	1,652*	1,499	3,151	433	20,146
2007	15,095	1,549	16,644	1,660*	1,609	3,269	437	20,350
2008	15,000	1,738	16,738	1,653*	1,700	3,353	446	20,537
2009 est	14,940	1,863	16,803	1,653*	1,700	3,353	446	20,602

Summary By Campus

Fall Quarter	Athens	Athens CE	Chillicothe	Eastern Lancaster		Southern	Zanesville	Total	
1999	19,155	483	1,659	1,020	1,589	2,596	1,411	27,913	
2000	19,327	593	1,726	1,118	1,585	2,250	1,516	28,115	
2001	19,660	627	1,645	962	1,664	1,983	1,655	28,196	
2002	19,959	589	1,999	1,072	1,745	1,804	1,824	28,992	
2003	19,962	490	2,018	966	1,734	1,944	1,974	29,088	
2004	19,704	439	2,048	862	1,723	1,860	1,877	28,513	
2005	19,725	736	1,983	808	1,708	1,857	1,934	28,751	
2006	20,146	464	1,850	708	1,727	1,824	1,723	28,442	
2007	20,350	479	1,854	679	1,696	1,843	1,660	28,561	
2008	20,537	832	1,978	774	1,868	1,831	1,893	29,713	
2009 est	20,602	890	2,017	789	1,905	1,867	1,931	30,001	

^{*} Effective July, 2006 all graduate degree programs will be offered and directed by the Athens campus.

TABLE OF CONTENTS

ATTACHMENT B: TOTAL UNIVERSITY - SUMMARY OF BUDGETED REVENUE AND EXPENDITURES

Total University - Summary of Budgeted Revenue and Expenditures	B.1
Total University - Detail of Budgeted Revenue and Expenditures	B.2

OHIO UNIVERSITY

TOTAL UNIVERSITY SUMMARY OF BUDGETED REVENUE AND EXPENDITURES

(IN THOUSANDS)

	(IN THOUSANDS)			
REVENUE	FY 2008-2009 Budget	FY 2009-2010 Budget	Dollar Change	Percent Change
Government Support				
State	172,000	173,547	1,547	0.90%
Federal	50,500	50,500	0	0.00%
Local	331 222,831	405 224,452	74 1, 621	22.36% 0.73%
Subtotal Government Support Student Tuition and Fees	222,831	224,432	1,021	0.73%
Instructional Fees	198,577	210,913	12,336	6.21%
General Fees	30,667	32,235	1,568	5.11%
Non-Resident Surcharges	26,734	24,805	(1,929)	-7.22%
Other Fees	3,136	7,339	4,203	134.02%
Subtotal Student Tuition and Fees	259,114	275,292	16,178	6.24%
Other Income				
Auxiliaries	80,876	87,974	7,098	8.78%
Departmental Sales & Services	8,874	9,730	856	9.65%
Private Grants & Contracts	11,000	11,520	520	4.73%
Other	22,976	16,675	(6,301)	-27.42%
Subtotal Other Income	123,726	125,899	2,173	1.76%
Total Revenues	605,671	625,643	19,972	3.30%
EXPENDITURES				
Athens Campus				
General Programs	301,252	318,175	16,923	5.62%
General Fee	9,913	9,270	(643)	-6.49%
Departmental Income	38,775	44,304	5,529	14.26%
Restricted Funds	56,734	56,334	(400)	-0.71%
Auxiliaries	82,899	82,192	(707)	-0.85%
Subtotal Athens Campus	489,573	510,275	20,702	4.23%
College of Osteopathic Medicine				
General Funds	20,298	21,459	1,161	5.72%
Restricted Funds	11,321	9,909	(1,412)	-12.47%
Subtotal College of Osteopathic Medicine	31,619	31,368	(251)	-0.79%
University Outreach and Regional Campuses	00.700	25.000	2.000	4.450/
General Funds Restricted Funds	62,726 14.918	65,332 15,239	2,606 321	4.15% 2.15%
Subtotal University Outreach and Regional Campuses	77,644	80.571	2,927	3.77%
-	598.836	622,214	23,378	
Total Expenditures	598,836	622,214	23,376	3.90%
OPERATING INCOME OVER EXPENDITURE	6,835	3,429	(3,406)	-49.83%
INTERNAL TRANSFERS				
Internal Transfers	10,245	7,941	(2,304)	-22.49%
TRANSFERS IN	,	, ,	, , ,	
TRANSFERS IN	0	^	0.1	0.000/
Mandatory Transfers	1,601	0 15,156	13,555	0.00% 846.66%
Non Mandatory Transfers Overhead Received	16,706	18,791	2,085	12.48%
General Fund Support of Auxiliaries	23,561	24,499	938	3.98%
Total Transfers In	41,868	58,446	16,578	39.60%
Total Translets III	41,000	30,440	10,576	39.00 /6
TRANSFERS OUT				
Mandatory Transfers	(12,420)	(12,123)	297	-2.39%
Non Mandatory Transfers	(2,415)	(15,520)	(13,105)	542.65%
Overhead Paid	(16,706)	(19,682)	(2,976)	17.81%
General Fund Support of Auxiliaries	(23,561)	(24,500)	(939)	3.99%
Total Transfers Out	(55,102)	(71,825)	(16,723)	30.35%
NET INCREASE/(DECREASE) TO FUND BALANCE (1)	3,846	(2,009)	(5,855)	-152.24%

⁽¹⁾ Decreases to fund balance primarily reflect the expenditure of reserves to support major renovations, while increases to the fund balance build reserves within Auxiliary Operations. Changes to fund balance in FY 2010 reflect the net of decreases in Dining Services to support the Central Food Facilities, additions to the Residential Housing reserves for future capital projects and the budgeted deficit for Intercollegiate Athlethics.

OHIO UNIVERSITY

TOTAL UNIVERSITY DETAIL OF BUDGETED REVENUE AND EXPENDITURES

(IN THOUSANDS)

							Restricted Funds Budget Total Budget						
	Unrestricted Funds Budget						Total Budget						
	FY 2009			FY 2010				FY 2008	FY 2009		FY 2008	FY 2009	i l
			College of	Outreach &									i _
REVENUE	Total	Athens	Osteopathic	Regional		Total	Percent	Total	Total	Percent	Total	Total	Percent
Government Support	Unrestricted	Campus	Medicine	Campuses	Auxiliaries	Unrestricted	Change	Restricted	Restricted	Change	Budget	Budget	Change
• • • • • • • • • • • • • • • • • • • •	449.200	111,472	12,100	20.475	-	152,047	2.60%	22 000	21,500	-9.66%	172,000	173,547	0.90%
State Federal	148,200	111,472	12,100	28,475	-	152,047	0.00%	23,800 50,500	50,500	0.00%	50,500	50.500	0.90%
Local		-		-		-	0.00%	331	405	22.36%	331	405	22.36%
Subtotal Government Support	148,200	111,472	12,100	28,475		152,047	2.60%	74,631	72,405	-2.98%	222,831	224,452	0.73%
Student Tuition and Fees	140,200	111,472	12,100	20,473		132,047	2.00 /6	74,031	72,403	-2.30 /8	222,031	224,432	0.7376
Instructional Fees	198,577	156,257	13,438	41,218	_	210,913	6.21%	_	_	0.00%	198,577	210,913	6.21%
General Fees	30,667	31,299	40	896	-	32,235	5.11%	<u> </u>		0.00%	30,667	32,235	5.11%
Non-Resident Surcharges	26,734	24,209	183	413		24,805	-7.22%	<u> </u>	_	0.00%	26,734	24,805	-7.22%
Other Fees	3,136	6,903	436	713	-	7,339	134.02%		-	0.00%	3,136	7,339	134.02%
Subtotal Student Fees	259,114	218,668	14,097	42.527	_	275,292	6.24%	_	_	0.00%	259,114	275,292	6.24%
Other Income	200,114	210,000	14,031	42,521		213,232	0.2476	_		0.0070	200,114	213,232	0.2470
Auxiliaries	80,876	-	-	_	87,974	87,974	8.78%	_	-	0.00%	80,876	87,974	8.78%
Departmental Sales & Services	8,874	9,730	-	-	-	9,730	9.65%	_	-	0.00%	8,874	9,730	9.65%
Private Grants & Contracts		-	-	_	-	-	0.00%	11.000	11,520	4.73%	11,000	11,520	4.73%
Other	22,976	14,975	247	1,453	-	16,675	-27.42%	- 11,000	,020	0.00%	22,976	16,675	-27.42%
Subtotal Other Income	112,726	24,705	247	1,453	87,974	114,379	1.47%	11,000	11,520	4.73%	123,726	125,899	1.76%
Total Revenues	520.040	354,845	26,444	72,455	87,974	541,718	4.17%	85,631	83,925	-1.99%	605,671	625,643	3.30%
Total Revenues	320,040	334,043	20,444	12,400	01,514	341,710	4.1770	03,031	03,323	-1.5570	003,071	023,043	3.3070
EXPENDITURES													
Academic and Research Programs	191,688	184,266	14,921	_	-	199.187	3.91%	9,816	8,601	-12.38%	201,504	207,788	3.12%
Administration and Support Services	128,382	128,868	6,538	1,231	-	136,637	6.43%	1,505	1,308	-13.09%	129,887	137,945	6.20%
Auxiliaries	82,899	-	-	- 1,201	82,192	82,192	-0.85%	- 1,000	- 1,000	0.00%	82,899	82,192	-0.85%
Regional Campuses and Centers	59,622	_	-	62,116	-	62,116	4.18%	-	-	0.00%	59,622	62,116	4.18%
Centrally Budgeted Items	53,272	58,615	-	1,985	-	60,600	13.76%	71,652	71,573	-0.11%	124,924	132,173	5.80%
Total Expenditures	515,863	371,749	21,459	65,332	82,192	540,732	4.82%	82,973	81,482	-1.80%	598,836	622,214	3.90%
·									•	_			
INTERNAL TRANSFERS													
Internal Transfers	10,245	7,941	-	-	-	7,941	-22.49%	-	-	0.00%	10,245	7,941	-22.49%
OPERATING INCOME OVER EXPENDITURES	14,422	(8,963)	4,985	7,123	5,782	8,927	-38.10%	2,658	2,443	-8.09%	17,080	11,370	-33.43%
·									•	_			
TRANSFERS IN								_			_		
Mandatory Transfers	-	-	-	-	-	-	0.00%	-	-	0.00%	-	-	0.00%
Non Mandatory Transfers	1,601	14,908	-	-	248	15,156	846.66%	-	-	0.00%	1,601	15,156	846.66%
Overhead Received	16,706	18,791	-	-	-	18,791	12.48%	-	-	0.00%	16,706	18,791	12.48%
General Fund Support of Auxiliaries	23,561	-	-	-	24,499	24,499	3.98%	-	-	0.00%	23,561	24,499	3.98%
Total Transfers In	41,868	33,699	-	-	24,747	58,446	39.60%	-	-	0.00%	41,868	58,446	39.60%
TRANSFERS OUT													
TRANSFERS OUT	I					4							
Mandatory Transfers	(9,762)	- (6)	(204)	(050)	(9,680)	(9,680)	-0.84%	(2,658)	(2,443)	-8.09%	(12,420)	(12,123)	-2.39%
Non Mandatory Transfers	(2,415)	(6)	(381)	(950)	(14,183)	(15,520)	542.65%		-	0.00%	(2,415)	(15,520)	542.65%
Overhead Paid	(16,706)	(230)	(4,604)	(6,173)	(8,675)	(19,682)	17.81%	-	-	0.00%	(16,706)	(19,682)	17.81%
General Fund Support of Auxiliaries	(23,561)	(24,500)		-	-	(24,500)	3.99%		-	0.00%	(23,561)	(24,500)	3.99%
Total Transfers Out	(52,444)	(24,736)	(4,985)	(7,123)	(32,538)	(69,382)	32.30%	(2,658)	(2,443)	-8.09%	(55,102)	(71,825)	30.35%
NET INCREASE/(DECREASE) TO FUND BALANCE	3.846				(2,009)	(2,009)	-152.24%		1	0.00%	3,846	(2,009)	-152.24%
INC. INCREASE/(DECREASE) TO FUND BALANCE	3,040	-	•	•	(2,009)	(2,009)	-132.2470		-	0.00%	ა,040	(2,009)	-132.2470

TABLE OF CONTENTS

Attachment C: ATHENS CAMPUS BUDGETED REVENUE AND EXPENSE

Athens Campus - Detail of Budgeted Revenue and Expenditures	C.1
Athens Campus General Program - Detail of Budgeted Revenue and Expenditures by Natural Account	C.2
Narrative - Athens Unrestricted General Fund ~ Total Spending Authority	C .3
Narrative - Athens Unrestricted General Fund ~ Total Base Budgets and Transfers Out	C .4
Athens General Program - Budget Outline ~ Resources	C.5
Athens General Program - Budget Outline ~ Investments	C.6

ATHENS CAMPUS

DETAIL OF BUDGETED REVENUE AND EXPENDITURES

(IN THOUSANDS)

	Unrestricted Funds Budget				Restricted Funds Budget					Total Budget		
	FY 2009		FY 2010	_			FY 2009	FY 2010		FY 2009	FY 2010	
<u>REVENUE</u>	Total	Athens		Total	Percent		Total	Total	Percent	Total	Total	Percent
Government Support	Unrestricted	Campus	Auxiliaries	Unrestricted	Change		Restricted	Restricted	Change	Budget	Budget	Change
State	108,783	111,472	-	111,472	2.47%		14,132	13,284	-6.00%	122,915	124,756	1.50%
Federal	-	-	-	-	0.00%		36,231	36,271	0.11%	36,231	36,271	0.11%
Local	-	-	-	-	0.00%		263	319	21.29%	263	319	21.29%
Subtotal Government Support	108,783	111,472	-	111,472	2.47%		50,626	49,874	-1.49%	159,409	161,346	1.22%
Student Tuition and Fees (1)												
Instructional Fees	149,555	156,257	-	156,257	4.48%		-	-	0.00%	149,555	156,257	4.48%
General Fees	29,999	31,299	-	31,299	4.33%		-	-	0.00%	29,999	31,299	4.33%
Non-Resident Surcharges	26,183	24,209	-	24,209	-7.54%		-	-	0.00%	26,183	24,209	-7.54%
Other Fees	2,729	6,903	-	6,903	152.95%		-	-	0.00%	2,729	6,903	152.95%
Subtotal Student Fees	208,466	218,668	-	218,668	4.89%		-	-	0.00%	208,466	218,668	4.89%
Other Income												
Auxiliaries	80,876	-	87,974	87,974	8.78%		-	-	0.00%	80,876	87,974	8.78%
Departmental Sales & Services	8,874	9,730	-	9,730	9.65%		-	-	0.00%	8,874	9,730	9.65%
Private Grants & Contracts	-		-	-	0.00%		8,500	8,903	4.74%	8,500	8,903	4.74%
Other (2)	20,082	14,975	-	14,975	-25.43%		-	-	0.00%	20,082	14,975	-25.43%
Subtotal Other Income	109,832	24,705	87,974	112,679	2.59%	L	8,500	8,903	4.74%	118,332	121,582	2.75%
Total Revenues	427,081	354,845	87,974	442,819	3.69%		59,126	58,777	-0.59%	486,207	501,596	3.17%
						_						
<u>EXPENDITURES</u>												
Academic Programs	178,182	184,266	-	184,266	3.41%		-	-	0.00%	178,182	184,266	3.41%
Administration and Support Services	120,359	128,868	-	128,868	7.07%		-	-	0.00%	120,359	128,868	7.07%
Auxiliaries	82,899	-	82,192	82,192	-0.85%		-	-	0.00%	82,899	82,192	-0.85%
Centrally Budgeted Items												
Funds to Be Distributed	5,476	3,915	-	3,915	-28.51%		-	-	0.00%	5,476	3,915	-28.51%
Centrally Paid Items	45,923	54,700	-	54,700	19.11%		-	-	0.00%	45,923	54,700	19.11%
Grants, Contracts, & Work Study	-	-	-	-	0.00%		56,734	56,334	-0.71%	56,734	56,334	-0.71%
Subtotal Centrally Budgeted Items	51,399	58,615	-	58,615	14.04%	L	56,734	56,334	-0.71%	108,133	114,949	6.30%
Total Expenditures	432,839	371,749	82,192	453,941	4.88%		56,734	56,334	-0.71%	489,573	510,275	4.23%
	•									_		
INTERNAL TRANSFERS						_						
Internal Transfers	10,245	7,941	-	7,941	-22.49%		-	-	0.00%	10,245	7,941	-22.49%
OPERATING INCOME OVER EXPENDITURE	4,487	(8,963)	5,782	(3,181)	-170.89%		2,392	2,443	2.13%	6,879	(738)	-110.73%
					-				•	-		
TRANSFERS IN												
Mandatory Transfers	-		-	-	0.00%	ſ	-	_	0.00%		-	0.00%
Non Mandatory Transfers (3)	690	14,908	248	15,156	2096.52%		-	-	0.00%	690	15,156	2096.52%
Overhead Received	16,706	18,791	-	18,791	12.48%			-	0.00%	16,706	18,791	12.48%
General Fund & Fee Support of Auxiliaries	23,561	-	24,499	24,499	3.98%		-	-	0.00%	23,561	24,499	3.98%
Total Transfers In	40,957	33,699	24,747	58,446	42.70%		-		0.00%	40,957	58,446	42.70%
		•				_	<u> </u>			<u> </u>		
TRANSFERS OUT												
Mandatory Transfers	(9,762)	-	(9,680)	(9,680)	-0.84%	ľ	(2,392)	(2,443)	2.13%	(12,154)	(12,123)	-0.26%
Non Mandatory Transfers (4)	(1,165)	(6)	(14,183)	(14,189)	1117.94%	ſ	-	-	0.00%	(1,165)	(14,189)	1117.94%
Overhead Paid	(7,110)	(230)	(8,675)	(8,905)	25.25%	Ī	-	-	0.00%	(7,110)	(8,905)	25.25%
General Fund & Fee Support of Auxiliaries	(23,561)	(24,500)	-	(24,500)	3.99%	ſ	-	-	0.00%	(23,561)	(24,500)	3.99%
Total Transfers Out	(41,598)	(24,736)	(32,538)	(57,274)	37.68%	ſ	(2,392)	(2,443)	2.13%	(43,990)	(59,717)	35.75%
	, ,,,,,,,	, , , , , ,	(= /===)	(- ,)		L	(/ /)	(, 10)		(1,7000)	(,,	
NET INCREASE/(DECREASE) TO FUND BALANCE	3,846	-	(2,009)	(2,009)	-152.24%	I	-	-	0.00%	3,846	(2,009)	-152.24%

- (1) While there appear to be significant variances in Student Tuition and Fees, the FY 2010 projections reflect relatively low growth from estimated FY 2009 actual revenues. Other Fees reflect estimated revenue of \$1.8 million from the new Network Infrastructure & SIS Project fee and increases in designated programs.
- (2) The decline in Other revenue reflects projected losses in Indirect Cost Recovery (\$700,000) and projected investment income of \$0 or a \$5.1 million reduction.
- (3) Increases in Non Mandatory Transfers In reflect transfers to Athens Campus to support intra-University activities and initiatives previously managed as direct transfers between planning units.
- (4) The increases in Non Mandatory Transfers Out primarily reflects support from Residential Housing Auxiliary for Athens Campus services received (see Transfers In), including facilities management. This activity was previously handled as a direct expense.

ATHENS CAMPUS GENERAL PROGRAM DETAIL OF BUDGETED REVENUE AND EXPENDITURES BY NATURAL ACCOUNT (IN THOUSANDS)

	FY 2009		FY 2	010	
	Total				Total
REVENUE	Unresticted	General Fund	General Fee	Designated (1)	Unrestricted (2)
Student Tuition and Fees				Doolgiiatoa	Omcomotou
Undergraduate Tuition & Fees	164,784	133,036	25,994	10,310	169,340
Graduate Tuition & Fees	40,953	30,926	4,974	6,525	42,425
Other Fees	2,729	3.800	-,514	3,103	6,903
Subtotal Student Tuition & Fees	208,466	167,762	30,968	19,938	218,668
State Support					
State Share of Instruction	97,663	111,397	-	-	111,397
Success Challenge	5,988	-	-	-	•
Other State Appropriations	610	-	-	75	75
State Tuition Replacement	4,522	-		-	-
Subtotal State Support	108,783	111,397	-	75	111,472
Indirect Costs	7,220	6,498	-	-	6,498
Investment Income	5,100	-	-	-	-
Sales & Services	8,874	1,252	-	8,478	9,730
Other Income	7,762	375	-	8,102	8,477
Total Revenues	346,205	287,284	30,968	36,593	354,845
<u>EXPENDITURES</u>					
Salaries & Wages	174,437	160,499	3,239	15,294	179,032
Benefits	54,770	52,518	1,379	3,677	57,574
Scholarships	45,362	47,207	2,287	1,500	50,994
Supplies	6,855	4,801	219	2,514	7,534
Travel & Entertainment	6,486	2,683	272	3,580	6,535
Information & Communication	7,380	6,115	200	1,453	7,768
Capitalized Costs	2,566	1,806	4	368	2,178
Maintenance Operations	17,443	20,203	56	1,565	21,824
Cost of Goods Sold	319	-	-	1,633	1,633
Principal & Interest Bonds	4,535	5,761		78	5,839
Miscellaneous	29,787	16,432	1,614	12,642	30,688
Total Expenditures	349,940	318,025	9,270	44,304	371,599
Internal Transfers	10,245	-	-	7,941	7,941
OPERATING INCOME OVER EXPENDITURES	6,510	(30,741)	21,698	230	(8,813)
TRANSFERS IN		, , ,			
TRANSFERS IN	40.700	40.704	Т	1	40.704
Overhead Transfers In	16,706 416	18,791	107	-	18,791
Transfers in Total Transfers in	17,122	14,801 33,592	107	-	14,908 33,699
	, == [22.1		
TRANSFERS OUT	(40.540)	(4.400)	(0.100)		(40.045)
Support to Auxiliary Operations	(10,546)	(1,192)	(9,123)	-	(10,315)
Support to ICA Operation	(7,006)	(4.050)	(7,981)	-	(7,981)
Support to ICA Scholarships	(6,009)	(1,653)	(4,701)	_	(6,354)
Other Transfers Total Transfers Out	(71) (23,632)	(6) (2,851)	(21,805)	(230)	(236) (24,886)
	(==;00=)	(=,00.)	(= -,000)	(=00)	(= 1,000)
NET INCREASE/(DECREASE) TO FUND BALANCE	-	-]	-]	-	-

- (1) This table reflects the deposit of \$331,000 of General Fee revenue into the Designated Fund for departmental activities that receive fee revenue.
- (2) This table does not include Auxiliary Operations.

Athens Unrestricted General Fund - 2009-2010

The Budget Outline provided on Attachment C.2 provides a summary of the resources and base budget by expenditure type contained in the Athens campus unrestricted Fiscal Year 2009-2010 budget. This section of the budget presentation will briefly describe the impact of the more significant changes to the Athens General Program, General Fee and Designated activities.

Sources: Revenues and Transfers In

Undergraduate Tuition & Fees

\$169,339,000

The State budget continues to freezes FY 2010 tuition at the FY 2007 levels for resident undergraduate students. This estimate represents an increase of \$4.6 million from the FY 2009 original budget. This increase largely reflects changes in estimated designated student course and technology fees revenue.

Graduate Tuition & Fees

\$42,425,000

This category includes tuition and general fee revenue from Athens campus graduate students as well as general fee revenue from College of Osteopathic Medicine students. While not subject to the legislated tuition caps, the University chose to freeze FY 2010 graduate tuition at the FY 2007 levels. The projected revenues increase by \$1.5 million or 3.5% over the FY 2009 budget primarily reflecting increased designated academic program activity, including the Resource Distribution Program (RDP) which provides graduate instruction on regional campuses.

Other Student Fees

\$6,903,000

This category includes application, diploma, transcript and late fees assessed to students. FY 2010 Other Student Fees are projected to increase by approximately \$4.2 million, which includes \$1.8 million from the new Network Infrastructure and SIS project fee.

State Appropriations

\$111,473,000

This category is estimated to produce \$2.7 million more than was budgeted in FY 2009, which reflects the loss of \$535,000 in state support for WOUB. Beginning in FY 2010, the Success Challenge is consolidated into the State Share of Instruction (SSI). The budgeted SSI revenue is based on the Governor's

introduced version of House Bill 1 which provides a 4% increase to Ohio University.

Indirect Costs

\$6,498,000

This revenue category represents estimated indirect cost recovery from anticipated grant activity. Indirect cost recovery is anticipated to decline by 10% or just over \$700,000.

Sales and Services

\$9,730,000

The Sales and Services estimate reflects increased planning unit activity. This category also includes land lease income from University owned properties.

Overhead

\$18,791,000

This category contains internal overhead payments from the College of Osteopathic Medicine, University Outreach and Regional Campuses and Auxiliary operations. In FY 2010, this category increased by \$2 million over FY 2009.

Transfers In

\$14,250,000

Growth in this category is associated with increases in transfers to Athens campus to support intra-University activities and initiatives previously managed as direct transfers between planning units. This growth does not reflect increases in such activity.

Total Spending Authorization

\$388,544,000

(Revenues + Transfers In)

Uses: Base Expenditure Budgets and Transfers Out

Salary, Wages and Other Compensation

\$179,032,000

The FY 2010 budget for this category is increased by \$4.6 million, \$3 million of this increase results from the change in treatment of transfers to the Athens campus for intra-University activities previously managed as direct transfers between units. Both the Transfers In and offsetting expenses are now reflected in the General Program budget. This category reflects salary, wages and other compensation increases of \$1.6 million to support 3.25% wage, step and retirement cost increases for bargaining unit employees (\$422,760), faculty promotions (\$181,200), additional Summer Session instruction (\$202,502) and increases in workers compensation (\$112,348).

Benefits \$57,574,000

FY 2010 budgeted benefits increased by \$2.8 million to support all employee benefits, including healthcare and leave accruals. As above, this increase in benefits is largely tied to the change in treatment of transfers to the Athens campus for intra-University activities previously managed by direct transfers between units. Both the Transfers In and offsetting expenses are now reflected in the General Program budget. This budget also reflects additional General Fund support for University Advancement operations. As with salary, wages and other compensation, designated benefits expenses will increase as planning units endeavor to continue support for staffing. The increase reflects the net impact of health benefit plan changes estimated to save the University 3% (or \$656,968 for the General Fund and General Fee).

Scholarships and Financial Aid

\$50,994,000

FY 2010 scholarship and financial aid support increased by \$5.6 million, of which \$5.2 million is within the General Fee and General Program budgets. This category represents the University's investment to support estimated need and merit-based financial aid costs (both undergraduate and graduate) for Fiscal Year 2010. This category also reflects \$1.1 million to support commitments for awards for continuing students from endowed scholarship accounts which are currently underwater.

Maintenance Operations

\$21,824,000

This category primarily includes central support for facilities maintenance and operation activities, including utilities. In FY 2010, an increase of \$300,000 is provided to support additional plant operation and maintenance (POM)

expenses. An increase of just over \$1 million supports projected utility cost increases, primarily coal which is directly impacted by higher fuel costs. Estimated increases in POM and utilities are both impacted by the opening of the ARC facility and the Central Classroom. This budgeted increase for utilities also reflects projected savings of \$75,000 from the implementation of temperature controls.

Other Operating Expenses

\$63,099,000

With the exception of Maintenance Operations, operating (3-9) budgets did not receive any budget increases. Therefore, any increases in costs in these areas will need to be absorbed through efficiency of operations and /or reducing other activities. Estimated amounts by category (based on FY 2009 original budget allocations) are provided on the Athens General Fund Budget outline table.

FY 2010 Vision OHIO Investments

The FY 2010 operating budget includes base and one-time investments of \$16.7 million for Vision OHIO initiatives on the Athens campus. Expenses for Vision OHIO initiatives are reflected within the appropriate expenditure category in this section. (See Attachments C, "Athens Campus Budgeted Revenue & Expenditures" and G, "Summary of Vision OHIO Funding Priorities" for additional information regarding Vision OHIO investments included in the FY 2010 budget.)

Internal Transfers (Expense Reduction)

(\$7,941,000)

Internal Transfers represent activities between units of the University, for example fees for services rendered to other departments. These amounts represent an available resource to the receiving unit but are recorded as an expense reduction. Internal Transfers do not represent new revenue to the University. In prior years, the budgetary presentation reflected Internal Transfers as a resource for use by the receiving unit.

Transfers Out

\$24,656,000

The Transfers Out category primarily represents support for athletic scholarships and for certain auxiliary operations of the University, including Campus Recreation, the Baker Center, Airport and Intercollegiate Athletics.

Total Base Budgets and Transfers Out

\$388,544,000

(Reflects Internal Transfers)

ATHENS GENERAL PROGRAM BUDGET OUTLINE

Fiscal Year 2009-2010 Resources and Detail New Investments By Vision OHIO Priority

	General Fund		General Fee	Total	Designated		Grand Total
<u>urces</u>							
<u>Revenue</u>							
	\$ 163,961,9	_	30,967,629 \$			- \$	
State Share of Instruction	\$ 111,397,52	20 \$	- \$	111,397,520	\$	- \$	
Other Fees & Revenue	\$ 2,375,00	00 \$	- \$	2,375,000	\$	- \$	2,375,0
Other (SIS Student Technology Fee)	\$ 1,800,00	00 \$	- \$	1,800,000		\$	1,800,0
Lease Income	\$ 1,251,87	78 \$	- \$	1,251,878	\$	- \$	1,251,8
Indirect Cost Recovery	\$ 6,498,00	00 \$	- \$	6,498,000	\$	- \$	6,498,0
	\$ -	\$	- \$		\$	- \$	
	\$ -	\$	- \$		\$ 36,593,		
	\$ 287,284,36		30,967,629 \$				354,845,5
Overhead & Transfers In							
Transfers In	\$ 14,165,17	76 \$	85,527 \$	14,250,703	\$	- \$	14,250,7
	\$ 18,791,29		- \$			- \$	
Total Athens Overhead & Transfers In	, . , .		85,527 \$			- \$	
Total Athens Sources	\$ 320,240,83	39 \$	31,053,156 \$	351,293,995	\$ 36,593,5	85 \$	387,887,5
enditures							
3 3 3	\$ 304,240,19		30,492,230 \$				363,327,
FY10 BASE PLANNING UNIT CUTS	\$ (12,572,77	74) \$	(908,597) \$	(13,481,371))\$ -	- \$	(13,481,
OTHER BASE REALLOCATIONS (incl. Reserves)	\$ (758,20)1) \$	(98,291) \$	(856,492)) \$ -	- \$	(856,
REMAINING BALANCE	\$ 290,909,21	19 \$	29,485,342 \$	320,394,561	\$ 28,594,7	797 \$	348,989,
Available Resources for FY10	\$ 29,331,62	20 \$	1,567,814 \$	30,899,434	\$ 7,998,7	788 \$	38,898
RUIT & RETAIN TALENTED and DIVERSE STUDENTS Sub-total - Scholarship & Financial Aid Related			344,597 \$			- \$	
Sub-total - Strategic Enrollment Management Planning			- \$				
Sub-total - Support International Programs & Research	\$ 195,00	00 \$	- \$	195,000	\$.	- \$	195,
ENGTHEN UNDERGRADUATE & GRADUATE EDUCATION							
Sub-total - Improve Retention & Graduation Rates			66,000 \$			- \$	
Sub-total - Invest in Targeted Undergraduate Programs	\$ 1,318,83	34 \$	- \$	1,318,834	\$.	- \$	1,318,
Sub-total - Enhance Graduate & Professional Education & Research	\$ 500,00	00 \$	150,000 \$	650,000	\$ -	- \$	650,
RICH ENVIRONMENT FOR STUDENTS, FACULTY, STAFF & REGION							
Sub-total - Enrich Environment	<u> </u>	\$	1,717,328 \$	1,717,328	\$.	- \$	1,717,
RTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of IN							
Sub-total - Dependable and Secure Network & Systems Infrastructure			- \$			- \$	
Sub-total - Facilities Infrastructure & Property Management	\$ 1,629,22	20 \$	- \$	1,629,220	\$ -	- \$	1,629,
YENUE GENERATION, ACCOUNTABILITY & EFFICIENCY							
Sub-total Revenue Generation, Accountability & Efficiency	\$ 1,132,37	78 \$	(721,346) \$	411,032	\$.	- \$	411,
TAL INVESTMENTS IN SUPPORT OF VISION OHIO	\$ 15,188,59	92 \$	1,556,579 \$	16,745,171	\$ -	- \$	16,745,
HER CONTINUING COMMITMENTS & INVESTMENTS							
Sub-total Compensation Related Expenses	\$ 709,99	3 \$	11,235 \$	721,228	\$	- \$	721,
Sub-total - Miscellaneous			- \$			/88 \$	8,648,
AL OTHER CONTINUING COMMITMENTS & INVESTMENTS	\$ 1,360,11	L8 \$	11,235 \$	1,371,353	\$ 7,998,7	788 \$	9,370,
Sub-total Transfers Allocation (Off-Set)	\$ 12,782,91	LO \$	- \$	12,782,910	\$ -	- \$	12,782,
Tatal Palance	•	ŕ			· ·		
Total -Balance	\$ -	\$	- \$	-	\$ -	- \$	

ATHENS GENERAL PROGRAM BUDGET OUTLINE Fiscal Year 2009-2010 Resources and Detail New Investments By Vision OHIO Priority* General Fund General Fee

Ву					Grand Total	
iources Available Resources for FY10		1,567,814 \$	Total 30,899,434	Designated 7,998,788 \$		
FY10 Budget Increments:	23,332,020 4	2,507,024 \$	30,033,131	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	30,030,1	
SUPPORT for the UNIVERSITY'S ACADEMIC PLAN: VISION OHIO						
RECRUIT & RETAIN TALENTED and DIVERSE STUDENTS						
ACCESS & AFFORDABILITY: SCHOLARSHIP AND FINANCIAL AID INVESTMENTS Undergraduate Financial Aid	\$ 2,000,000 \$	- \$	2,000,000	- \$	2,000,0	
ICA Scholarships	\$ - \$	344,597 \$	344,597	- \$	344,!	
	\$ 1,500,000 \$ \$ 1,051,000 \$	- \$ - \$	1,500,000		1,500,0	
Gateway Trustee Awards Increase	\$ 100,000 \$	- \$ - \$	100,000	- \$	100,0	
ROTC Room & Board Sub-total - Scholarship & Financial Aid Related		344,597 \$	192,651 S	*	192, 5,188,	
STRATEGIC ENROLLMENT MANAGEMENT PLANNING						
Enrollment Management - VOSC	\$ 409,007 \$	- \$	409,007			
Academic Marketing Campaign - VOSC (One-time) Sub-total - Strategic Enrollment Management Planning	\$ 230,000 \$ \$ 639,007 \$	- \$ - \$	230,000 639,007	\$ 5 - \$	230,i 639,i	
CURRENT TUTTER LATIONAL PROCESSION CONTESTION						
SUPPORT INTERNATIONAL PROGRAMS & RESEARCH International Travel Service from Columbus - VOSC	\$ 30,000 \$	- \$	30,000	- \$	30,0	
3	\$ 105,000 \$ \$ 60,000 \$	- \$	105,000	- \$ - \$	105,0	
International Student Recruitment - VOSC Sub-total - Support International Programs & Research		- \$ - \$	60,000 S		60,i	
TRENGTHEN UNDERGRADUATE & GRADUATE EDUCATION						
IMPROVE RETENTION & GRADUATION RATES						
	\$ 200,000 \$ \$ 130,000 \$	- \$ - \$	200,000 9	- \$	200,i	
Allen Student Help Center - VOSC	\$ 40,000 \$	- \$	40,000	- \$	40,	
2.16.	\$ 30,000 \$ \$ - \$	- \$ 60,000 \$	30,000 S			
Disability Services (One-time)	s - s	6,000 \$	6,000	- \$	6,0	
	\$ 38,000 \$ \$ 202,502 \$	- \$ - \$	38,000 S			
Sub-total - Improve Retention & Graduation Rates		66,000 \$	706,502			
INVEST IN TARGETED UNDERGRADUATE PROGRAMS						
Nursing Program - VOSC	\$ 904,640 \$ \$ 364,194 \$	- \$ - \$	904,640 S 364,194 S		904, 364,	
Provost Undergraduate Research Fund - VOSC (One-time)	\$ 50,000 \$	- \$	50,000	- \$	50,	
Sub-total - Invest in Targeted Undergraduate Programs	\$ 1,318,834 \$	- \$	1,318,834	- \$	1,318,	
ENHANCE GRADUATE & PROFESSIONAL EDUCATION & RESEARCH						
	\$ 500,000 \$ \$ - \$	- \$ 150,000 \$	500,000 S		500,0 150,0	
Sub-total - Enhance Graduate & Professional Education & Research		150,000 \$	650,000		650,	
NRICH ENVIRONMENT FOR STUDENTS, FACULTY, STAFF & REGION						
Arts for Ohio - VOSC (One-time)	\$ - \$	300,000 \$	300,000		300,	
	s - s	220,000 \$	220,000		220,i	
Campus Recreation (One-time) Fine Arts- Marching 110 (One-time)			120.000			
Fine Arts- Marching 110 (One-time) Student Activities Committee (One-time)	\$ - \$ \$ - \$	120,000 \$ 100,000 \$	100,000	- \$	100,	
Fine Arts- Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment	\$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$		5 - \$ 5 - \$ 5 - \$	100, 100, 873, 4,	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE	\$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$	100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$	5 - \$ 5 - \$ 5 - \$ 5 - \$ 5 - \$	100, 100, 873, 4, 1,717,	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment CORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support	\$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$	100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$	- \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$	100, 100, 873, 4, 1,717, 1,800, 2,300,	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment SORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECUISE NETWORK & DYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support	\$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$	100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ 1,800,000 \$ 2,300,000 \$ 4,100,000 \$	- \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$	100, 100, 873, 4, 1,717, 1,800, 2,300, 4,100,	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment SORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECUISE NETWORK & DYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support	\$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ INSTRUCTION, RESEA \$ 1,800,000 \$ \$ 2,300,000 \$ \$ 4,100,000 \$ \$ 190,000 \$	120,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$	100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ 1,800,000 \$ 2,300,000 \$ 4,100,000 \$	- S - S - S - S - S - S - S - S - S	100, 100, 873, 4, 1,717, 1,800, 2,300, 4,100,	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC	\$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ INSTRUCTION, RESEA \$ 1,800,000 \$ \$ 2,300,000 \$ \$ 4,100,000 \$ \$ 190,000 \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$	100,000 100,00	- S - S - S - S - S - S - S - S - S	100, 100, 873, 4, 1,717, 1,800, 2,300, 4,100,	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment CORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000	\$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ \$ - \$ \$ **NSTRUCTION, RESEA* **INSTRUCTION, RESEA*	120,000 \$ 100,000 \$ 100,000 \$ 4,000 \$ 1,717,328 \$ 1,717,328 \$ 1 - \$ 5	100,000 § 100,000 § 873,328 § 4,000 § 1,717,328 § 1,800,000 § 2,300,000 § 4,100,000 § 190,000 § (75,000) §	- S - S - S - S - S - S - S	100, 100, 873, 4, 1,717, 1,800, 2,300, 4,100, 4,290, (75,	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance	\$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ - \$ \$ \$ - \$ \$ \$ - \$ \$ \$ 1,800,000 \$ \$ 2,300,000 \$ \$ 4,100,000 \$ \$ 4,100,000 \$ \$ 4,290,000 \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ - \$ - \$ - \$ - \$ - \$	100,000 1 100,000 3 873,328 4 4,000 3 1,717,328 5 1,800,000 3 2,300,000 4 4,100,000 5		100, 100, 873, 4, 1,717, 1,800, 2,300, 4,100, 190, 4,290, (75, 300,	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Tempo control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses	\$ - \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$	120,000 \$ 100,00	100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ 1,800,000 \$ 2,300,000 \$ 4,100,000 \$ 4,290,000 \$ (75,000) \$ 300,000 \$ 1,100,000 \$ 1,100,000 \$ 1,100,000 \$		100,010 100,010,01	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Tempo control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses	\$ - \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ - \$	120,000 \$ 100,00	100,000 9 100,000 9 100,000 1 707,328 9 4,000 1 1,717,328 9 1,800,000 9 4,100,000 9 4,290,000 9 4,290,000 9 1,200,000 9 1,200,000 9 1,200,000 9 1,200,000 9 1,200,000 9 1,200,000 9 1,200,000 9 1,200,000 9 1,200,000 9	- S - S - S - S - S - S - S - S - S - S	100,0 100, 873,3 4, 1,717, 1,800, 2,300, 4,100, 4,290, (75, 300,0, 1,100, 1,100, 1,100, 1,100,	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Property Insurance Sub-total - Facilities Infrastructure & Property Management	\$ - \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ - \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	1,800,000 1,100,	- S - S - S - S - S - S - S - S - S - S	100,0 100,0	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION. ACCOUNTABILITY & EFFICIENCY University Advancement	\$ - \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$	100,000 \$ 100,000 \$ 173,328 \$ 4,000 \$ 1,717,328 \$ 1,800,000 \$ 2,300,000 \$ 4,100,000 \$ 4,290,000 \$ (75,000) \$ 300,000 \$ 1,100,000 \$ 114,000 \$ 1,100,000 \$ 1,100,000 \$ 1,100,000 \$ 1,100,000 \$ 1,100,000 \$ 1,000,0	S	100,010 100,010,01	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment SORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECUIEN ENTWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Advancement University Advancement	\$ - \$ INSTRUCTION, RESEA \$ - \$ \$ -	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	1,800,000 1,717,328 1,800,000 2,300,000 3,100,000 1,100,	- S - S - S - S - S - S - S - S - S - S	100,0 100, 873,3 4, 1,717, 1,800, 2,300, 4,100, 4,290, 1,100, 130, 1,104	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment OPERINDABLE and SECURE NETWORK & DYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management EXEVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Efficiencies - URS \$132,000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net 3% reduction in Budget	\$ - \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 100,000 \$ 100,000 \$ 17,17,328 \$ 17,17,328 \$ 1 100,000 \$ 1,717,328 \$ 1 100,000 \$ 1 10	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,900,000 1 1,100,000 1 1,629,220 1 1,900,000 1 1,900,000	S	100,010 100,010,01	
Fine Arts - Marching 110 (Cine-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management University Efficiencies - UHR \$132,000 Student Affairs (Est. one-time solvings from Health Services) Health Insurance - Net \$352,000 Student Affairs (Est. one-time solvings from Health Services) Health Insurance - Net \$360.	\$ - \$ \$ - \$	120,000 \$ 100,00	100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ 1,800,000 \$ 2,300,000 \$ 4,100,000 \$ 4,290,000 \$ 300,000 \$ 1,100	S	100,010 100,010,01	
Fine Arts - Marching 110 (Cine-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management EVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Efficiencies - UHR \$132,000 Student Affairs (Est one-time savings from Health Services) Health Insurance - Net 5% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency	\$ - \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 100,000 \$ 100,000 \$ 17,17,328 \$ 17,17,328 \$ 1 100,000 \$ 1,717,328 \$ 1 100,000 \$ 1 10	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,900,000 1 1,100,000 1 1,629,220 1 1,900,000 1 1,900,000	S	100,0 100,0 873,3 4,1,717, 1,800, 2,300, 4,100, 190, 4,290, 175, 300, 1,100, 130, 174, 1,629, 1,900, (656, 411,	
Fine Arts - Marching 110 (Cine-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management EVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Efficiencies - UHR \$132,000 Student Affairs (Est one-time savings from Health Services) Health Insurance - Net 5% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency	\$ - \$ \$ 1,800,000 \$ \$ 2,300,000 \$ \$ 4,100,000 \$ \$ 4,100,000 \$ \$ 4,100,000 \$ \$ 4,290,000 \$ \$ 1,100,000 \$ \$	120,000 \$ 100,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 3 173,328 4 4,000 3 1,717,328 5 1,717,328 5 1,800,000 3 2,300,000 3 4,100,000 3 4,290,000 3 1,100,000 3 1,	S	100,0 100,0 873,3 4,1,717, 1,800, 2,300, 4,100, 190, 4,290, 175, 300, 1,100, 130, 174, 1,629, 1,900, (656, 411,	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment Sub-total - Enrich Environment Sub-total - Enrich Environment ONTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Advancement University Advancement University Efficiencies - UHR \$132,000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net 3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO DITHER COMPENSATION RELATED EXPENSES OTHER COMPENSATION RELATED EXPENSES	\$ - \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ 1,800,000 \$ 2,300,000 \$ 4,100,000 \$ 4,290,000 \$ 300,000 \$ 1,100	S	100,0 100, 873,3 4,1,717, 1,800,2,300, 4,100, 4,100, 4,100, 130, 1,100,	
Fine Arts - Marching 110 (Cine-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment OPERINDABLE and SECURE NETWORK & DYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Sacilities Infrastructure & Property Management EXEVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Efficiencies - URIR SI32,000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net 3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO DITHER CONTINUING COMMITMENTS & INVESTMENTS OTHER CONTINUING COMMITMENTS	\$ - \$ \$ 1,800,000 \$ \$ 2,300,000 \$ \$ 4,100,000 \$ \$ 4,100,000 \$ \$ 4,100,000 \$ \$ 4,290,000 \$ \$ 1,100,000 \$ \$	120,000 \$ 100,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 3 173,328 4 4,000 3 1,717,328 5 1,717,328 5 1,800,000 3 2,300,000 3 4,100,000 3 4,290,000 3 1,100,000 3 1,	S	100,0 100, 873,3 4, 1,717, 1,800, 2,300, 4,100, 190, 4,290, (75, 300, 1,100, 1,100, 1,629, (132, (700, (556, 411, 16,745,	
Fine Arts - Marching 110 (Cine-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment ONTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management University Efficiencies - UHR \$132,000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net 3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency TOTAL INVESTMENTS IN SUPPORT OF VISION OHIO DITHER CONTINUING COMMITMENTS & INVESTMENTS OTHER COMPENSATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement PERS Rate Increase - Law Enforcement	\$ - \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,900,000 1 1,000,000 1 1,000,000 1 1,000,000	S	100,0 100,0	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION. ACCOUNTABILITY & EFFICIENCY University Advancement University Efficiencies - UHR \$132,000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net 38; reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO DYNER CONTINUING COMMITMENTS & INVESTMENTS OTHER COMPENSATION RELATED EXPENSES Faculty Orientation - VOSC Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Compensation Increase	\$ - \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,717,328 1 1,800,000 1 1,717,328 1 1,717,328 1 1,800,000 1 1,717,328	S	100,0 100,0	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment ODEPENDABLE and SECULE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Dility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION, ACCOUNT ABILITY & EFFICIENCY University Advancement University Efficiencies - URB \$32,000 Student Affairs (Est. One-time savings from Health Services) Health Insurance - Net 3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO DITHER COMPENSATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Compensation Increase Bargaining Unit Compensation Increase Burgaining Unit Step Increases Workers Compensation Increase	S	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,717,328 1 1,800,000 1 1,717,328	- S	100,0 100,0	
Fine Arts - Marching 110 (Cine-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment Sub-total - Enrich Environment OPERINDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management EXEVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Efficiencies - UHR \$132,000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net 3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO DITHER COMPRINSATION RELATED EXPENSES Faculty Orientation - VOSC Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Compensation Increase Workers Compensation Increase Workers Compensation Increase Workers Compensation Increase Sub-total Compensation Related Expenses	S	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,717,328 1 1,800,000 1 1,717,328 1 1,717,328 1 1,800,000 1 1,717,328	- S	100,0 100,0	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Student Senate (One-time) Sub-total - Enrich Environment CORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Advancement University Efficiencies - HIR \$132,000 Student Affairs (Est one-time savings from Health Services) Health Insurance - Net 3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency FOTAL INVESTMENTS IN SUPPORT OF VISION OHIO DTHER CONTINUING COMMITMENTS & INVESTMENTS OTHER COMPENSATION RELATED EXPENSES Faculty Orientation - VOSC Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Step Increases Workers Compensation Increase Sub-total Compensation Related Expenses	S	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,900,000 1 1,717,328 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,500,000 1 1,629,220 1 1,500,000 1 1,629,220 1 1,500,000	S	100,0 100,0	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION. ACCOUNTABILITY & EFFICIENCY University Advancement University Efficiencies - URB \$132,000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net \$38 reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO DTHER COMPENSATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Compensation Increase Bargaining Unit Compensation Increase Bargaining Unit Compensation Increase Bargaining Unit Compensation Increase Sub-total Compensation Related Expenses MISCELLANEOUS Misc Central Budget Shift of Designated Revenue & Activity to Central Budget	S	120,000 \$ 100,000 \$ 100,000 \$ 4,000 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,900,000 1 1,717,328 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,900,000 1 1,629,220 1 1,500,000 1 1,629,220 1 1,500,000 1 1,629,220 1 1,500,000	S	100,0 100,0	
Fine Arts - Marching 110 (Cine-time) Student Activities Committee (Cine-time) Intercollegiate Athletics (Cine-time) Additional Base Funding to ICA Student Senate (Cine-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management University Efficiencies - UHR \$132,000 Student Affairs (Est one-time savings from Health Services) Health Insurance - Net 5% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency **TOTAL INVESTMENTS IN SUPPORT OF VISION OHIO **TOTAL INVESTMENTS OF TOTAL ORDITARY OR	\$ - \$ \$ \$ - \$	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,900,000 1 1,000,000 1 1,000,000 1 1,000,000	- S - S - S - S - S - S - S - S - S - S	100,0 100,0	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Advancement University Efficiencies - URB \$132,000 Student Affairs (Est one-time savings from Health Services) Health Insurance - Net 3% reduction in Budget Sub-total - Facilities Infrastructure, Accountability & Efficiency FOTAL INVESTMENTS IN SUPPORT OF VISION OHIO DETER CONTINUING COMMITMENTS & INVESTMENTS OTHER CONTINUING COMMITMENTS & INVESTMENTS OTHER COMPENSATION RELATED EXPENSES Faculty Orientation - VOSC Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Compensation Increase Bargaining Unit Step Increases Workers Compensation Increase Sub-total - Education of Miscellaneous MISCELLANEOUS Misc. Central Items (Audit Expenses Bad Debt, Banking Charges, etc.) Shift of Designated Revenue & Activity to Central Budget Additional Departmental Activity	S	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,717,328 1 1,800,000 1 1,717,328 1 1,717,328 1 1,800,000 1 1,717,328	S	100,0 100,0	
Fine Arts - Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Student Senate (One-time) Sub-total - Enrich Environment **CORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure **FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance **Sub-total - Facilities Infrastructure & Property Management **REVENUE GENERATION. ACCOUNTABILITY & EFFICIENCY University Advancement University Efficiencies - URB \$132,000 Student Affairs (Est. One-time savings from Health Services) Health Insurance - Net 3% reduction in Budget **Sub-total Revenue Generation, Accountability & Efficiency **OTAL INVESTMENTS IN SUPPORT OF VISION OHIO **OTHER CONTINUING COMMITMENTS & INVESTMENTS OTHER COMPENSATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Compensation Increase Bargaining Unit Compensation Increase Bargaining Unit Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Workers Compensation Increase **Worker	S	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,900,000 1 1,717,328 1 1,00,000 1 1,717,328 1 1,00,000 1 1,717,328 1 1,00,000 1 1,717,328 1 1,00,000 1 1,717,328 1 1,717,328 1 1,72,328 1 1,73,328	S	100,0 100,0	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION. ACCOUNTABILITY & EFFICIENCY University Advancement University Efficiencies - URB \$132,000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net \$38 reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO DYNER CONTINUING COMMITMENTS & INVESTMENTS OTHER COMPENSATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Compensation Increase Burb-total Compensation Related Expenses MISCELLANEOUS Misc Central Items (Audit Expense, Bad Debt, Banking Charges, etc.) Shift of Designated Revenue & Activity to Central Budget Additional Departmental Activity Sub-total - Miscellaneous OTAL OTHER CONTINUING COMMITMENTS & INVESTMENTS CHANGE TO TRANSFERS (ERDINOTE) CHANGE TO TRANSFERS (ERDINOTE) HOUSING to OIT TELEPHONE AUXILIARY - PHONE LINES	S	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,900,000 1 1,717,328 1 1,00,000 1 1,717,328 1 1,00,000 1 1,717,328 1 1,00,000 1 1,717,328 1 1,00,000 1 1,717,328 1 1,717,328 1 1,72,328 1 1,73,328	S	100,0 100,0	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment Sub-total - Enrich Environment Sub-total - Sub-total - Enrich Environment Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management EVENUE GENERATION. ACCOUNTABILITY & EFFICIENCY University Efficiencies - UHR \$132,000 Student Affairs (Est one-time savings from Health Services) Health Insurance - Net \$3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency FOTAL INVESTMENTS IN SUPPORT OF VISION OHIO DTHER CONTINUING COMMITMENTS & INVESTMENTS OTHER COMPENSATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Step Increases Workers Compensation Increase Workers Compensation Increase Miscellaneous Misc. Central Rems (Audit Expense, Bad Debt, Banking Charge, etc.) Shift of Designated Revenue & Activity to Central Budget Additional Departmental Activity Sub-total - Muscellaneous CHANGE TO TRANSFERS (FROM/TO) HOUSING to Off T. CEMPUTERS IN RESIDENCE	S	120,000 \$ 100,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,800,000 1 1,900,000 1 1,100,000	S	100,0 100,0	
Fine Arts-Marching 110 (Cine-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology Fee Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Utility Costs Leased Property Expenses Property Insurance Sub-total - Facilities Infrastructure & Property Management EVENUE GENERATION. ACCOUNTABILITY & EFFICIENCY University Efficiencies - UHR \$132,000 Student Affairs (Est one-time savings from Health Services) Health Insurance - Net \$3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency FOTAL INVESTMENTS IN SUPPORT OF VISION OHIO DITHER CONTINUING COMMITMENTS & INVESTMENTS OTHER COMPENSATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Step Increases Workers Compensation Increase Miscellaneous Misc. Central Rems (Audit Expense, Bad Debt, Banking Charge, etc.) Shift of Designated Revenue & Activity to Central Budget Additional Departmental Activity Sub-total - Miscellaneous CHANGE TO TRANSFERS (FROM/TO) HOUSING to Off T. COMPUTERS IN RESIDENCE HOUSING to OTF - CEMPUTERS IN RESIDENCE HOUSING to OTF - CEMPUTERS IN RESIDENCE HOUSING to VPFA - APPRENTICESHIP PROGRAM	S	120,000 \$ 100,000 \$ 100,000 \$ 4,000 \$ 4,000 \$ 1,717,328 \$ 1,717,328 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,900,000 1 1,000,000 1 1,000,000 1 1,000,000	S	100,0 100,0	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment Sub-total - Enrich Environment OPERINABLE and SECULER NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Sub-total - Facilities Infrastructure & Property Management EXEVENUE GENERATION. ACCOUNTABILITY & EFFICIENCY University Efficiencies - URIS 323,2000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net 3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO OTHER CONTINUING COMMITMENTS & INVESTMENTS OTHER CONFINIATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Step Increases Workers Compensation Increase Workers Compensation Increase MISCELLANEOUS Misc. Central Items (Audit Expense, Bad Debt, Banking Charges, etc.) Shift of Designated Revenue & Activity to Central Budget Additional Departmental Activity Sub-total - Miscellaneous FOTAL OTHER CONTINUING COMMITMENTS & INVESTMENTS CHANGE TO TRANSFERS (FROM/IO) HOUSING to OTT - COMPUTERS IN RESIDENCE HOUSING to OTT - FACILITIES HANAGEMENT	S	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,717,328 1 1,717,328 1 1,800,000 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,328 1 1,717,338 1 1,717,359	S	100,0 100,0	
Fine Arts - Marching 110 (Cine-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Sub-total - Enrich Environment Sub-total - Enrich Environment Sub-total - Enrich Environment OPERIDABLE and SECULER NETWORK & DYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Sub-total - Facilities Infrastructure & Property Management EXEVENUE GENERATION, ACCOUNTABILITY & EFFICIENCY University Advancement University Efficiencies - URS 323,2000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net 3% reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO DYNER CONTINUING COMMITMENTS & INVESTMENTS OTHER CONFINIATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Step Increases Workers Compensation Increase Burdaining Unit Step Increases Workers Control Number Step Incre	S	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 3 1,717,328	S	100,0 100,0	
Fine Arts-Marching 110 (One-time) Student Activities Committee (One-time) Intercollegiate Athletics (One-time) Additional Base Funding to ICA Student Senate (One-time) Sub-total - Enrich Environment Student Senate (One-time) Sub-total - Enrich Environment ORTIFY & ALIGN INFRASTRUCTURE to ENHANCE the ACADEMIC MISSION of DEPENDABLE and SECURE NETWORK & SYSTEMS INFRASTRUCTURE Network & SIS Student Technology - General Fund Support Network & SIS Student Technology - General Fund Support Server Storage - VOSC Sub-total - Dependable and Secure Network & Systems Infrastructure FACILITIES INFRASTRUCTURE & PROPERTY MANAGEMENT University Efficiencies - Temp control \$75,000 Additional Plant Operation & Maintenance Additional Plant Operation Budget Sub-total - Facilities Infrastructure & Property Management REVENUE GENERATION. ACCOUNTABILITY & EFFICIENCY University Advancement University Efficiencies - URS \$132,000 Student Affairs (Est. one-time savings from Health Services) Health Insurance - Net \$38 reduction in Budget Sub-total Revenue Generation, Accountability & Efficiency OTAL INVESTMENTS IN SUPPORT OF VISION OHIO DYNER CONTINUING COMMITMENTS & INVESTMENTS OTHER COMPENSATION RELATED EXPENSES Faculty Promotions PERS Rate Increase - Law Enforcement Bargaining Unit Compensation Increase Bargaining Unit Compensation Increase Bargaining Unit Compensation Increase Workers Compensation Increase Bargaining Unit Step Increases Workers Compensation Increase Burdent One Property Step Increases Workers Co	S	120,000 \$ 100,000 \$ 100,000 \$ 873,328 \$ 4,000 \$ 1,717,328 \$ RCH & SERVICE - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -	100,000 1 100,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,800,000 1 1,717,328 1 1,900,000 1 1,717,328 1 1,900,000 1 1,717,328 1 1,900,000 1 1,629,200 1 1,629,200 1 1,629,200 1 1,629,200 1 1,629,200 1 1,629,200 1 1,629,200 1 1,629,200 1 1,629,200 1 1,629,200 1 1,900,000 1 1,629,200 1 1,900,000 1 1,629,200 1 1,900,000 1 1,629,200 1 1,900,000 1 1,629,200 1 1,900,000 1 1,000,000 1 1,000,000 1 1,000,000	S	100,0 100,0 873.3 4,1 1,717.4 1,800,0 2,300,0 1100,0 190,0 4,290,0 1,100,0 130,0 174,1 1,629,0 1,100,0	

 $^{^{\}ast}$ Vision OHIO investments recommended by the Vision OHIO Steering Committee are followed by the acronym "VOSC" $Attach \underline{n}$ C.6

COLLEGE OF OSTEOPATHIC MEDICINE DETAIL OF BUDGETED REVENUE AND EXPENDITURES

(IN THOUSANDS)

		Inrestricted	Funds Budget		Restric	ted Funds Bu	ıdaet		To	tal Budget	1
	FY 2009		2010		FY 2009	FY 2010	.ugot	FY 20		FY 2010	
REVENUE (1)	Total	College of	Total	Percent	Total	Total	Percent	Tota	_	Total	Percent
Government Support	Unrestricte	Medicine	Unrestricted	Change	Restricted	Restricted	Change	Budge	et	Budget	Change
State	11,698	12,100	12,100	3.44%	5,771	4,906	-14.99%	17,		17,006	-2.65%
Federal	-	-		0.00%	3,000	2,320	-22.67%	3,	000	2,320	-22.67%
Local	-	-	-	0.00%	50	76	52.00%		50	76	52.00%
Subtotal Government Support	11,698	12,100	12,100	3.44%	8,821	7,302	-17.22%	20,	519	19,402	-5.44%
Student Tuition and Fees											
Instructional Fees	12,508	13,438	13,438	7.44%	-	-	0.00%	12,	508	13,438	7.44%
General Fees	30	40	40	33.33%	-	-	0.00%		30	40	33.33%
Non-Resident Surcharges	175	183	183	4.57%	-	-	0.00%		175	183	4.57%
Other Fees	407	436	436	7.13%	-	-	0.00%		407	436	7.13%
Subtotal Student Fees	13,120	14,097	14,097	7.45%	-	-	0.00%	13,	120	14,097	7.45%
Other Income											
Private Grants & Contracts				0.00%	2,500	2,607	4.28%		500	2,607	4.28%
Other	272	247	247	-9.19%		-	0.00%		272	247	-9.19%
Subtotal Other Income	272	247	247	-9.19%	2,500	2,607	4.28%		772	2,854	2.96%
Total Revenues	25,090	26,444	26,444	5.40%	11,321	9,909	-12.47%	36,	411	36,353	-0.16%
EVERADITUDES (2)											
EXPENDITURES (2)											
Academic Programs	4 400	4 004	1001	44 700/		1	0.000/		400	4.004	44.700/
Biomedical Sciences	4,402	4,921	4,921	11.79%	455	455	0.00%		402	4,921	11.79%
Social Medicine	835	803	803	-3.83%	155	155	0.00%		990	958	-3.23%
Family Medicine	2,720 402	2,737 378	2,737 378	0.63% -5.97%	1,435 336	1,230 334	-14.29% -0.60%		155 738	3,967 712	-4.52% -3.52%
Geriatrics	215	183	183	-5.97% -14.88%	112	119	6.25%		327	302	-3.52% -7.65%
Obstetrics and Gynecology Pediatrics	381	576	576	51.18%	240	193	-19.58%		621	769	23.83%
Specialty Medicine	1,381	1,479	1,479	7.10%	507	303	-40.24%		888	1,782	-5.61%
Academic and Curriculum Support	1,800	1,916	1,916	6.44%	83	70	-15.66%		883	1,782	5.47%
Health Sciences Library / Learning Res. Ctr.	519	518	518	-0.19%			0.00%		519	518	-0.19%
Research	752	1,311	1,311	74.34%	2,166	1,594	-26.41%		918	2,905	-0.45%
Centers for Osteopathic Res. & Education (CORE)	99	99	99	0.00%	4.782	4,603	-3.74%		881	4,702	-3.67%
Subtotal Academic Programs	13,506	14,921	14,921	10.48%	9,816	8,601	-12.38%	23,		23,522	0.86%
Administration and Support Services	,	,	,			,				,	
COM Administration	4,380	4,154	4,154	-5.16%	38	-	-100.00%	4,	418	4,154	-5.98%
Student & Professional Support & Relations	1,706	1,845	1,845	8.15%	50	250	400.00%	1,	756	2,095	19.31%
Community Service Programs	706	539	539	-23.65%	1,417	1,058	-25.34%	2,	123	1,597	-24.78%
Subtotal Administration and Support	6,792	6,538	6,538	-3.74%	1,505	1,308	-13.09%	8,	297	7,846	-5.44%
Total Expenditures	20,298	21,459	21,459	5.72%	11,321	9,909	-12.47%	31,	619	31,368	-0.79%
								<u> </u>			
OPERATING INCOME OVER EXPENDITURE	4,792	4,985	4,985	4.03%	-	-	0.00%	4,	792	4,985	4.03%
TRANSFERS IN											
Mandatory Transfers	-	-	-	0.00%	-	-	0.00%		-	-	0.00%
Non Mandatory Transfers	-	-	-	0.00%	-	-	0.00%		-	-	0.00%
Overhead Received	-	-		0.00%	-	-	0.00%		-	-	0.00%
Total Transfers In	-	-	-	0.00%	-	-	0.00%		-	-	0.00%
				-			-				-
TRANSFERS OUT	l .	1				T		-	- 1	-	1
Mandatory Transfers		-	-	0.00%		-	0.00%		-	-	0.00%
Non Mandatory Transfers (3)	(300)	(381)	(381)	27.00%	-	-	0.00%		300)	(381)	27.00%
Overhead Paid	(4,492)	(4,604)	(4,604)	2.49%	-	-	0.00%	(4,	492)	(4,604)	2.49%
Total Transfers Out	(4,792)	(4,985)	(4,985)	4.03%	-	-	0.00%	(4,	792)	(4,985)	4.03%
		1				1	, ,			-	
NET INCREASE/(DECREASE) TO FUND BALANCE	-	-	-	0.00%		-	0.00%		-	-	0.00%

NOTES

- (1) College of Medicine revenue estimates reflect FY 2010 tuition increases of 5% and an estimated enrollment increase of 20 students.
- (2) Fluctuations in expenditures, in part, reflect changes in the state subsidy lines (restricted accounts). These funding levels have direct impact on support of faculty in each of the fields of practice.
- (3) A Non Mandatory Transfer of \$381,000 will be made to the OU-COM Reserve Quasi-Endowment account. The College plans to add a total of \$1.2 million to its reserve to support physician practice plan obligations over next four fiscal years.

Attachment D

UNIVERSITY OUTREACH AND REGIONAL CAMPUSES (UORC) DETAIL OF BUDGETED REVENUE AND EXPENDITURES

(IN THOUSANDS)

	ı	Jnrestricted F	unds Budget			Restric	ted Funds Bu	dget	Γ	Т	otal Budget	
	FY 2009		2010			FY 2009	FY 2010	_		FY 2009	FY 2010	
REVENUE	Total		Total	Percent		Total	Total	Percent	_	Total	Total	Percent
Government Support	Unrestricted	UORC	Unrestricted	Change		Restricted	Restricted	Change		Budget	Budget	Change
State	27,719	28,475	28,475	2.73%		3,897	3,310	-15.06%		31,616	31,785	0.53%
Federal		-		0.00%		11,269	11,909	5.68%	F	11,269	11,909	5.68%
Local	_	-	-	0.00%		18	10	-44.44%	F	18	10	-44.44%
Subtotal Government Support	27,719	28.475	28,475	2.73%		15,184	15,229	0.30%	F	42,903	43,704	1.87%
Student Tuition and Fees (1)	2.,	20,	20,0	2.1070		10,101	.0,220	0.0070	F	.2,000	10,101	1101 70
Instructional Fees	36,514	41,218	41,218	12.88%		_	_	0.00%	F	36,514	41,218	12.88%
General Fees	638	896	896	40.44%			_	0.00%	F	638	896	40.44%
Non-Resident Surcharges	376	413	413	9.84%		_	-	0.00%	F	376	413	9.84%
Other Fees		710		0.00%		_	-	0.00%	F		- 10	0.00%
Subtotal Student Tuition and Fees	37,528	42,527	42,527	13.32%		_	-	0.00%	F	37,528	42,527	13.32%
Other Income	37,320	72,321	72,321	13.32 /6		_		0.0078	F	37,320	72,521	13.32 /0
Private Grants & Contracts	_	_		0.00%		_	10	100.00%	F	_	10	0.00%
Other	2,622	1,453	1,453	-44.58%			10	0.00%	F	2.622	1.453	-44.58%
Subtotal Other Income	2,622	1,453	1,453	-44.58%			10	0.00%	⊢	2,622	1,463	-44.20%
		72,455	72,455	_		15,184	15,239		H	83,053	87,694	5.59%
Total Revenues	67,869	72,455	72,433	6.76%		15,184	15,239	0.36%	L	83,053	87,694	5.59%
EXPENDITURES												
Regional Campuses and Centers (2)					ı				-			
Eastern Campus	6,227	6,293	6,293	1.06%		-	-	0.00%	L	6,227	6,293	1.06%
Chillicothe Campus	11,473	12,350	12,350	7.64%		-	-	0.00%	L	11,473	12,350	7.64%
Lancaster Campus	9,549	10,015	10,015	4.88%		-	-	0.00%	L	9,549	10,015	4.88%
Pickerington Center	1,688	1,720	1,720	1.90%		-	-	0.00%	L	1,688	1,720	1.90%
Southern Campus	11,367	11,910	11,910	4.78%		-	-	0.00%	L	11,367	11,910	4.78%
Proctorville Center	739	754	754	2.03%		-	-	0.00%		739	754	2.03%
Zanesville Campus	11,472	11,915	11,915	3.86%		-	-	0.00%		11,472	11,915	3.86%
Lifelong Learning	6,064	6,064	6,064	0.00%		-	-	0.00%		6,064	6,064	0.00%
School of Nursing	1,043	1,095	1,095	4.99%		-	-	0.00%		1,043	1,095	4.99%
Subtotal Regional Campuses & Centers	59,622	62,116	62,116	4.18%		-	-	0.00%		59,622	62,116	4.18%
Administration and Support Services												
Executive Dean Regional Campuses	350	350	350	0.00%		-	-	0.00%		350	350	0.00%
Vice Provost Outreach	881	881	881	0.00%		-	-	0.00%		881	881	0.00%
Subtotal Admin and Support Services	1,231	1,231	1,231	0.00%		-	-	0.00%		1,231	1,231	0.00%
Centrally Budgeted Items		·	·						Ī			
Centrally Paid Items (3)	1,873	1,985	1,985	5.98%		-	-	0.00%	Ī	1,873	1,985	5.98%
Grants, Contracts, & Work Study	-	-	-	0.00%		14,918	15,239	2.15%	f	14,918	15,239	2.15%
Subtotal Centrally Budgeted Items	1,873	1,985	1,985	5.98%		14,918	15,239	2.15%	Ī	16,791	17,224	2.58%
Total Expenditures	62,726	65,332	65,332	4.15%		14,918	15,239	2.15%		77,644	80,571	3.77%
	02,120	00,002	00,002		ļ	,	.0,200	2070	_	,	00,0	070
OPERATING INCOME OVER EXPENDITURE	5,143	7,123	7,123	38.50%		266	-	-100.00%	L	5,409	7,123	31.69%
TRANSFERS IN									_			
Mandatory transfers in (debt)	-	-	-	0.00%		-	-	0.00%		0	0	0.00%
Other non-mandatory transfers in	911	-	-	-100.00%		-	-	0.00%		911	-	-100.00%
Overhead received	-	-	-	0.00%		-	-	0.00%		-	-	0.00%
<u>Total Transfers In</u>	911	-		-100.00%		-	-	0.00%		911	-	-100.00%
TRANSFERS OUT												
Mandatory transfers out (debt)	-	-	-	0.00%		(266)	-	-100.00%	Γ	(266)	-	-100.00%
Other non-mandatory transfers	(950)	(950)	(950)	0.00%		-	-	0.00%		(950)	(950)	0.00%
Overhead paid	(5,104)	(6,173)	(6,173)	20.94%		-	-	0.00%	ı	(5,104)	(6,173)	20.94%
Total Transfers Out	(6,054)	(7,123)	(7,123)	17.66%		(266)	-	-100.00%		(6,320)	(7,123)	12.71%
NET INCREASE/(DECREASE) TO FUND BALANCE	l .I	- 1		0.00%		- 1	- 1	0.00%	Г	-1	_ [0.00%
				212270					L			/0

- (1) Total FY 2009 actual Student Tuition & Fee revenues exceeded the original budget by more than 10%. When compared to the estimated FY 2009 actuals, FY 2010 revenues are projected to increase by approximately 2%.
- (2) Planned expenditure increases largely correspond with enhanced campus-based recruitment and retention initiatives.
- (3) Centrally Paid Items consists of service payments to the Athens General Fund for joint educational activities and communication services

TABLE OF CONTENTS

ATTACHMENT F: AUXILIARY OPERATIONS OPERATING BUDGET

Summary of Auxiliary Operations	F.1
Detail for Auxiliary Operations	
Residential Housing	F.2
Dining Services	F.3
Intercollegiate Athletics	F.4
Campus Recreation	F.5
Telephone and Technology Stores Operations	F.6
Student Union	F.7
Airport	F.8
Parking	F.9
Athena Theater	F.10

SUMMARY OF AUXILIARY OPERATIONS

(IN THOUSANDS)

	FY 2009					FY 2009-2010						
	Total	Residential Housing	Dining Services	Intercollegiate Athletics	Campus Recreation	Telephone & Technology Stores Operations	Student Union	Airport	Parking	Athena Theater	Total	Percent Change
<u>REVENUE</u>	80,876	40,596	36,314	3,684	1,288	2,912	1,253	450	1,303	174	87,974	8.8%
EXPENDITURES	88,776	19,081	36,202	18,700	3,479	5,049	2,460	1,903	1,042	184	88.100	-0.8%
Internal Transfers	(5,877)		(1,549)	-	-	(3,390)	-	(504)	-	-	(5,908)	0.5%
Total Expenditures	82,899	18,616	34,653	18,700	3,479	1,659	2,460	1,399	1,042	184	82,192	-0.9%
OPERATING INCOME OVER EXPENDITURE	(2,023)	21,980	1,661	(15,016)	(2,191)	1,253	(1,207)	(949)	261	(10)	5,782	-385.8%
TRANSFERS IN												
Mandatory Transfers	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Mandatory Transfers	274	-	-	15	-	-	160	39	34	-	248	-9.5%
General Fee Support of Auxiliaries	16,223	-	-	7,981	5,038	-	4,084	-	-	-	17,103	5.4%
General Fee - ICA Scholarships support	4,356	-	-	4,701	-	-	-		-	-	4,701	7.9%
General Fund Support of Auxiliaries 2	2,982	-	-	1,653	-	-	-	1,042	-	-	2,695	-9.6%
Total Transfers In	23,835	-	-	14,350	5,038	-	4,244	1,081	34	-	24,747	3.8%
TRANSFERS OUT												
Mandatory Transfers	(9,762)	(4,398)	-	(281)	(1,890)	-	(3,037)	(74)	-	- 1	(9,680)	-0.8%
Non Mandatory Transfers	(1,159)	(12,562)	(500)	-	-	(852)	-	(58)	(211)	-	(14,183)	1123.7%
Overhead paid	(7,045)	(2,802)	(4,431)	-	(957)	(401)	-	-	(84)	-	(8,675)	23.1%
Total Transfers Out	(17,966)	(19,762)	(4,931)	(281)	(2,847)	(1,253)	(3,037)	(132)	(295)	-	(32,538)	81.1%
VIET IN OPE 4 OF (OPE OPE 4 OF) TO SUMP DAY 4 MOS	1 0010	2 2 4 2	(0.0=0)	(0.47)		T T	T	1		(40)	(0.000)	450.00/
IET INCREASE/(DECREASE) TO FUND BALANCE	3,846	2,218	(3,270)	(947)	-	-	-	-	-	(10)	(2,009)	-152.2%

- FY 2010 Highlights and Budget Development Changes:
 (1) Presentation of the Residence & Dining auxiliary reflects the recent reorganization of these operations. FY 2009 figures have been recast to enable prior year comparisons.
- (2) Beginning in FY 2010, the Dining Services auxiliary includes Baker Center Catering, which was included in the presentation of the Student Union in FY 2009. FY 2009 figures have been updated to reflect the new organization to facilitate prior year comparisons.
- (3) The FY 2010 Student Union budget includes Conference Services. In FY 2009, Conference Services was part of the Student Affairs operating budget and not an auxiliary operation.
- (4) The Convocation auxiliary was closed in FY 2009 to coincide with the last debt payment. The General Fee support for this operation was reallocated to ICA in the FY 2009 budget.
- (5) The Athena Theater auxiliary was established during the Fall of 2008. This is its first presentation as part of the budget book.

Please see each Auxiliary page for explanation of significant variances.

RESIDENTIAL HOUSING

(IN THOUSANDS)

	FY 2008-2009	FY 2009-20010	Dollar	Percent
	Budget	Budget	Change	Change
<u>REVENUE</u>				
Student Room Fees (Fall, Winter, Spring)	35,610	38,854	3,244	9.11%
Room Charges: Summer, Workshops and Other	534	591	57	10.67%
Apartments	495	515	20	4.04%
Laundry Rooms	293	293	-	0.00%
Other Income	788	343	(445)	-56.47%
Total Revenues (1)	37,720	40,596	2,876	7.62%
EXPENDITURES				
Residential Housing Services	5,059	4,441	(618)	-12.22%
Facilities Management and Campus Services	10,725	-	(10,725)	-100.00%
Room Telephones and Computers	1,495	1,475	(20)	-1.34%
Residence Life	4,195	3,719	(476)	-11.35%
Utilities and Elevator Maintenance	2,480	3,042	562	22.66%
Major Maintenance	6,040	6,404	364	6.03%
Internal Transfers	(390)	(465)	(75)	19.23%
Total Expenditures (2)	29,604	18,616	(10,988)	-37.12%
OPERATING INCOME OVER EXPENDITURE	8,116	21,980	13,864	170.82%
TRANSFERS IN				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers	-	-	-	0.00%
General Fund Support of Auxiliaries	-	-	-	0.00%
<u>Total Transfers In</u>	-	-	-	0.00%
TRANSFERS OUT				_
Mandatory Transfers	(4,454)	(4,398)	56	-1.26%
Non Mandatory Transfers	(81)	(12,562)	(12,481)	15408.64%
Overhead Paid	(2,920)	(2,802)	118	-4.04%
Total Transfers Out (3)	(7,455)	(19,762)	(12,307)	165.08%
NET INCREASE/(DECREASE) TO FUND BALANCE ⁽⁴⁾	661	2,218	1,557	235.55%

NOTES:

Residence and Dining have been split into two separate operations, in FY 2009 they were presented as a single auxiliary unit. The FY 2009 budget has been re-stated to reflect separate operations.

- (1) Total revenues reflect increase in rates and expected usage. The decrease in Other income reflects the loss of investment income.
- (2) With the reorganization of Residence and Dining, Residence also includes residence life. The reduction in residential housing services and residence life was due to reduction in staff and activities. Facilities Management and Campus Services are now handled in the non-mandatory transfer line, this is an change in the way expenses are charged, not a new activity.
- (3) Non-mandatory transfers include the transfer of \$9.9 million for Facilities Management & Campus Services and \$2.6 million to address structural financial issues at the University.
- (4) Additions to fund balances build reserves to fund future major renovations

DINING SERVICES

(IN THOUSANDS)

	FY 2008-2009	FY 2009-20010	Dollar	Percent
	Budget	Budget	Change	Change
REVENUE				
Student Board Fees (Fall, Winter, Spring)	30,253	31,761	1,508	4.98%
Dining Charges: Summer, Workshops and Other	788	592	(196)	-24.87%
Bobcat and Cash Sales	3,190	2,913	(277)	-8.68%
Vending	207	216	9	4.35%
Catering	463	486	23	4.97%
Other Income	262	346	84	32.06%
Total Revenues (1)	35,163	36,314	1,151	3.27%
EXPENDITURES				
Administrative	606	606	-	0.00%
Dining Services	25,604	26,747	1,143	4.46%
Catering	1,578	1,458	(120)	-7.60%
Utilities	643	789	146	22.71%
Major Maintenance	960	6,006	5,046	525.63%
Minor Maintenance	-	596	596	0.00%
Internal Transfers	(1,629)	(1,549)	80	-4.91%
Total Expenditures (2)	27,762	34,653	6,891	24.82%
OPERATING INCOME OVER EXPENDITURE	7,401	1,661	(5,740)	-77.56%
TRANSFERS IN				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers	-	-	-	0.00%
General Fund Support of Auxiliaries	297	-	(297)	-100.00%
Total Transfers In (3)	297	-	(297)	-100.00%
TRANSFERS OUT				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers	-	(500)	(500)	0.00%
Overhead Paid	(2,685)	(4,431)	(1,746)	65.03%
Total Transfers Out (4)	(2,685)	(4,931)	(2,246)	83.65%
NET INCREASE/(DECREASE) TO FUND BALANCE (5)	5,013	(3,270)	(8,283)	-165.23%

NOTES:

Residence and Dining have been split into two separate operations, in FY 2009 they were presented together. The FY 2009 budget has been re-stated to reflect separate operations.

- (1) Total revenues reflect increases in rates and expected usage. Bobcat Cash budget is reduced to reflect activity levels.
- (2) With the reorganization of Residence and Dining, Dining Services now includes Baker Center Catering and Conference services. While the FY 2010 presentation includes Catering, Catering was included in the Student Union Budget in FY 2009. Increases in Dining Services expenditures reflect contract wages and food costs for FY 2010. Major maintenance expenditures include a planned withdrawal from the reserve to cover major renovations at Central Food Facilities.
- (3) Transfers In reflect the receipt of general fund support in FY 2009 by Baker Center Catering. In FY 2010, general fund support for Catering is reduced to \$0.
- (4) Transfers Out reflect the planned use of the reserve to cover major renovations at Central Food Facilities. Overhead increase due to zone maintenance, shared staffing & changes in rate calculation.
- (5) Decreases to fund balance reflect the expenditure of reserves to support major renovations. As indicated in note (2), the fund balance will be drawn down to support the Central Food Facilities renovations.

INTERCOLLEGIATE ATHLETICS

(IN THOUSANDS)

	FY 2008-2009	FY 2009-20010	Dollar	Percent
	Budget	Budget	Change	Change
REVENUE				
Football Gate Receipts	435	439	4	0.92%
Basketball Gate Receipts	320	355	35	10.94%
Guarantees	883	883	-	0.00%
Royalties	268	261	(7)	-2.61%
NCAA & Championship Advertising & Sponsorship	1,100 336	1,022 336	(78)	-7.09% 0.00%
Other Income	467	388	(79)	-16.92%
Total Base Revenue	3,809	3,684	(125)	-3.28%
	0,000	0,00.	(:=0)	0.2070
One Time Only Revenues				
Guarantees	382	-	(382)	-100.00%
			` '	
Total Revenues (1)	4,191	3,684	(507)	-12.10%
EXPENDITURES				
Athletic Programs	6,649	6,499	(150)	-2.26%
Athletic Scholarships (General Fund/General Fee)	5,878	6,223	345	5.87%
Athlete Support Services	1,823	1,824	1	0.05%
Administration	4,174	4,154	(20)	-0.48%
Internal Transfers	-	-	` -	0.00%
Reallocation	-	-	-	0.00%
Total Base Expenditures	18,524	18,700	176	0.95%
One Time Only Expenses				
Guarantees Game Travel	267	-	(267)	-100.00%
	-		-	
Total Expenditures (2)	18,791	18,700	(91)	-0.48%
OPERATING INCOME OVER EXPENDITURE	(14,600)	(15,016)	(416)	2.85%
TRANSFERS IN	(14,000)	(10,010)	(4.0)	2.0070
Mandatory Transfers	_	_	-	0.00%
Non Mandatory Transfers	37	15	(22)	-59.46%
General Fee Support of Auxiliaries (3)	7,006	7,981	975	13.92%
General Fee Support for Scholarships (4)	4,356	4,701	345	7.92%
General Fund Support of Auxiliaries Scholarships (5)	1,653	1,653	-	0.00%
Total Transfers In	13,052	14,350	1,298	9.94%
TRANSFERS OUT	·			
Mandatory Transfers	(280)	(281)	(1)	0.36%
Non Mandatory Transfers	-	-	-	0.00%
Overhead Paid (Space)	-	-	-	0.00%
Total Transfers Out	(280)	(281)	(1)	0.36%
NET INCREASE/(DECREASE) TO FUND BALANCE	(1,828)	(947)	881	-48.19%

FY 2009-2010 Budget				
Athletic Programs				
Men's Athletics				
Football	2,381			
Basketball	907			
Baseball	352			
Wrestling	261			
Golf	112			
Total Men's Athletics	4,013			
Women's Athletics				
Basketball	673			
Softball	297			
Volleyball	366			
Soccer	243			
Field Hockey	236			
Golf	108			
Swimming	312			
Total Women's Athletics	2,235			
Combined Programs				
Track & Cross Country	251			
Total Combined Programs	251			
_				
Total Athletic Programs	6,499			

- (1) Decrease in Revenues reflects \$0 in one-time only guarantees for games and a reduction in revenues from sports that were eliminated.
- (2) FY 2010 expenses to be reduced as a result of not traveling for game guarantees and the reduction in athletic programs.
- (3) General Fee Support of ICA Increased by \$873,000 base and \$100,000 in one-time support.
- (4) General Fee Support of ICA Scholarships: Increase for room & Board and base budget of \$345,000.
- (5) General Fund Support of ICA Scholarships: \$1,522,000 to support non-resident scholarships and \$130,000 for graduate tuition waivers; no change.

CAMPUS RECREATION

(IN THOUSANDS)

	FY 2008-2009	FY 2009-20010	Dollar	Percent
DEVENUE	Budget	Budget	Change	Change
REVENUE	00	404	20	20.720/
Aquatic Center Bird Arena and Ice Hockey	98 280	134 298	36 18	36.73% 6.43%
Intramural Sports	21	23	2	9.52%
Golf Course and Driving Range	369	412	43	11.65%
Outdoor Pursuits	122	152	30	24.59%
Ping Center and Fitness	130	178	48	36.92%
Tennis	60	71	11	18.33%
Other Income	20	20	-	0.00%
Total Revenues	1,100	1,288	188	17.09%
EXPENDITURES .				
Recreation Programs	2,677	2,854	177	6.61%
Administration	679	625	(54)	-7.95%
Internal Transfers	(90)	-	90	-100.00%
Total Expenditures	3,266	3,479	213	6.52%
OPERATING INCOME OVER EXPENDITURE	(2,166)	(2,191)	(25)	1.15%
TRANSFERS IN				
Mandatory Transfers	_	-	-	0.00%
Non Mandatory Transfers	-	-	-	0.00%
General Fee Support of Auxiliaries (1)	5,012	5,038	26	0.52%
Total Transfers In	5,012	5,038	26	0.52%
TRANSFERS OUT				
Mandatory Transfers	(1,889)	(1,890)	(1)	0.05%
Non Mandatory Transfers	-	-	-	0.00%
Overhead Paid ⁽²⁾	(957)	(957)	-	0.00%
Total Transfers Out	(2,846)	(2,847)	(1)	0.04%
NET INCREASE/(DECREASE) TO FUND BALANCE	-	-	-	0.00%

FY 2009-20010 Budget Recreation Programs	
Aquatic Center Bird Arena and Ice Hockey Club Sports Intramural Sports Golf Course and Driving Range Outdoor Pursuits Ping Center and Fitness Tennis	334 394 143 349 398 315 833 88
Total Recreation Programs	2,854

- (1) Support to Campus Recreation includes a one-time increase of \$220,000 for FY 2010.
- (2) No increase in overhead is budgeted. In the past, the rate of overhead has been increased by the raise pool percentage. There are no raises in FY 2010.

TELEPHONE AND TECHNOLOGY STORES OPERATIONS

(IN THOUSANDS)

	FY 2008-2009	FY 2009-20010	Dollar	Percent
	Budget	Budget	Change	Change
<u>REVENUE</u>				
Telephone Sales	190	378	188	98.95%
Technology Store Sales	574	2,534	1,960	341.46%
<u>Total Revenues</u> (1)	764	2,912	2,148	281.15%
EXPENDITURES				
Wages & Benefits	881	941	60	6.81%
Communication charges and repairs	466	455	(11)	-2.36%
Maintenance and Service Contracts (2)	157	452	295	187.90%
Communication Equipment and Lifecycle	362	408	46	12.71%
Cost of Goods Sold and Other Costs (1)	758	2,793	2,035	268.47%
Internal Transfers - Telephone	(2,660)	(2,760)	(100)	3.76%
Internal Transfers - Computer Store	(467)	(630)	(163)	34.90%
Total Expenditures	(503)	1,659	2,162	-429.82%
OPERATING INCOME OVER EXPENDITURE	1,267	1,253	(14)	-1.10%
TRANSFERS IN				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers	-	-	-	0.00%
General Fund Support of Auxiliaries	-	-	-	0.00%
Total Transfers In	-	-	-	0.00%
TRANSFERS OUT				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers	(866)	(852)	14	-1.62%
Overhead Paid	(401)	(401)	-	0.00%
Total Transfers Out	(1,267)	(1,253)	14	-1.10%
NET INCREASE/(DECREASE) TO FUND BALANCE	-	-	-	0.00%

- (1) Telephone Sales revenue reflects increases for student long-distance. Technology Store Sales \$1.9 million increase over FY 2009 is result of the University taking possession of goods rather than providing direct sale services from manufacturer. This is offset by increase in Cost of Goods Sold.
- (2) Maintenance Contracts have increased for FY 2010.

STUDENT UNION

(IN THOUSANDS)

	FY 2008-2009	FY 2009-2010	Dollar	Percent
	Budget	Budget	Change	Change
REVENUE		g		g-
Student Union Banquet and Catering (1)				
Banquet and Catering	-	-	-	0%
Student Union Operation and Administration			-	0%
Event Support Services	-	200	200	0%
Other Income	40	18	(22)	-55%
Memorial Auditorium (2)			-	0%
Ticket Office	-	400	400	0%
University Events (2)			-	0%
Conferences	-	530	530	0%
Other Income	-	105	105	0%
Total Revenues	40	1,253	1,213	-55%
EVENDITUES				
EXPENDITURES Student Union Banquet and Catering (1)				
Banquet and Catering	_	-	_	0%
Student Union Operation and Administration	-	-	-	070
Student Union Administration and Maintenance	1,359	1,175	(184)	-14%
Event Support	- 1,000	250	250	0%
Memorial Auditorium (2)		200	200	0,10
Administration and Maintenance	_	50	50	0%
Event Expense	_	350	350	0%
University Events (2)		000	000	070
Conference Expense	_	530	530	0%
Event Expense	_	105	105	0%
The second secon				
Internal Transfers ⁽¹⁾	-	-	-	0%
Total Expenditures	1,359	2,460	1,101	-14%
OPERATING INCOME OVER EXPENDITURE	(1,319)	(1,207)	112	-41%
TRANSFERS IN				
Mandatory Transfers			_	0%
Non Mandatory Transfers	150	160	10	7%
General Fee Support of Auxiliaries (3)	4,205	4,084	(121)	-3%
General Fund Support of Auxiliaries (1)	4,200	7,004	(121)	0%
Total Transfers In	4,355	4,244	(111)	-3%
	,,,,,	.,	(/	
TRANSFERS OUT				
Mandatory Transfers	(3,036)	(3,037)	(1)	0%
Non Mandatory Transfers	- (2.22)	- (2.25=)	-	0%
Total Transfers Out	(3,036)	(3,037)	(1)	0%
NET INCREASE/(DECREASE) TO FUND BALANCE		- I	- 1	0%
			<u> </u>	0.70

- (1) General Fund support of Banquet and Catering has been eliminated in FY 2010. The operation has been absorbed by Dining Services, FY09 information is represented with the Dining Services budget.
- (2) Conference Services and University Events, including Memorial Auditorium, have consolidated with Student Union to increase efficiencies.
- (3) General Fee Support decrease is a reflection of FY 2010 budget reductions.

AIRPORT

(IN THOUSANDS)

	FY 2008-2009	FY 2009-20010	Dollar	Percent
(4)	Budget	Budget	Change	Change
REVENUE (1)				
Administration	61	63	2	3.28%
General Aviation	290	230	(60)	-20.69%
Air Transportation Services	193	157	(36)	-18.65%
Total Revenues	544	450	(94)	-17.28%
<u>EXPENDITURES</u>				
Administration	483	539	56	11.59%
General Aviation	1,063	955	(108)	-10.16%
Air Transportation Services	511	409	(102)	-19.96%
Internal Transfers	(633)	(504)	129	-20.38%
Total Expenditures	1,424	1,399	(25)	-1.76%
OPERATING INCOME OVER EXPENDITURE	(880)	(949)	(69)	7.84%
TRANSFERS IN				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers	52	39	(13)	-25.00%
General Fund Support of Auxiliaries	1,032	1,042	10	0.97%
Total Transfers In	1,084	1,081	(3)	-0.28%
TRANSFERS OUT				
Mandatory Transfers	(103)	(74)	29	-28.16%
Non Mandatory Transfers	(101)	(58)	43	-42.57%
Overhead Paid	-	-	-	0.00%
Total Transfers Out	(204)	(132)	72	-35.29%
NET INCREASE/(DECREASE) TO FUND BALANCE	_	-	-	0.00%

NOTES:

(1) The Airport has seen a reduction in usage, therefore the revenues and expenses have been reduced to reflect expected usage levels.

PARKING

(IN THOUSANDS)

	FY 2008-2009	FY 2009-20010	Dollar	Percent
	Budget	Budget	Change	Change
REVENUE				
Permit Fees	373	508	135	36.19%
Hourly and Meter Fees	227	229	2	0.88%
Fines	754	566	(188)	-24.93%
Total Revenues (1)	1,354	1,303	(51)	-3.77%
EXPENDITURES				
Capital Improvements (2)	411	238	(173)	-42.09%
Maintenance and Other Operating Expenditures	793	804	11	1.39%
Internal Transfers	(8)	-	8	-100.00%
Total Expenditures	1,196	1,042	(154)	-12.88%
OPERATING INCOME OVER EXPENDITURE	158	261	103	65.19%
TRANSFERS IN				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers	35	34	(1)	-2.86%
General Fund Support of Auxiliaries	-	-	-	0.00%
Total Transfers In	35	34	(1)	-2.86%
TRANSFERS OUT				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers (3)	(111)	(211)	(100)	90.09%
Overhead Paid	(82)	(84)	(2)	2.44%
Total Transfers Out	(193)	(295)	(102)	52.85%
NET INCREASE/(DECREASE) TO FUND BALANCE	-	-	-	0.00%

- (1) Parking Services increased the rate of fines in FY 2010, which resulted in fewer violations, thus, lowering revenue. Parking Services has increased the parking permit requirements to generate revenue, including permits to vendors.
- (2) Capital Improvement budgets decreased due to budget cuts.
- (3) Non-Mandatory Transfers Out increased to support costs associated with managing parking activities for athletic games and Transporation Services.

ATHENA THEATER

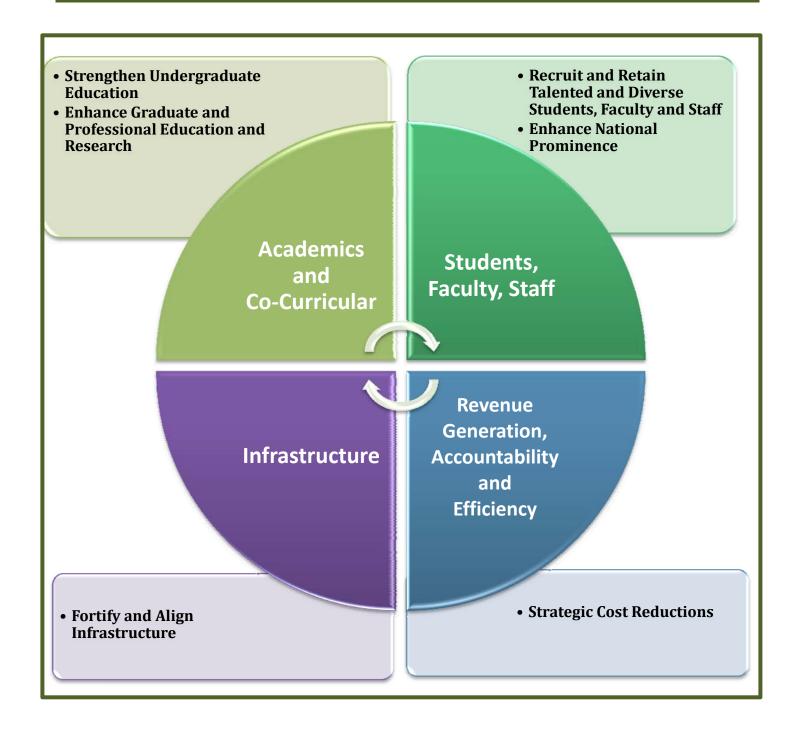
(IN THOUSANDS)

	FY 2008-2009	FY 2009-2010	Dollar	Percent
	Budget	Budget	Change	Change
REVENUE				
Ticket Sales	78	106	28	35.90%
Concession Sales	12	25	13	108.33%
Other Revenue	8	43	35	437.50%
<u>Total Revenues</u>	98	174	76	77.55%
EXPENDITURES .				
Wages & Benefits	59	70	11	18.64%
Office and Computer Supplies	20	12	(8)	-40.00%
Programming and Projection	39	49	10	25.64%
Concession Supplies	2	4	2	100.00%
Advertising	8	17	9	112.50%
Utilities	-	23	23	0.00%
5% General Contingency	6	9	3	50.00%
		-	-	0.00%
	-	-	-	0.00%
<u>Total Expenditures</u>	134	184	50	37.31%
OPERATING INCOME OVER EXPENDITURE	(36)	(10)	26	-72.22%
TRANSFERS IN				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers	-	-	-	0.00%
General Fund Support of Auxiliaries	-	-	-	0.00%
<u>Total Transfers In</u>	-	-	-	0.00%
TRANSFERS OUT				
Mandatory Transfers	-	-	-	0.00%
Non Mandatory Transfers	-	-	-	0.00%
Overhead Paid	-	-	-	0.00%
Total Transfers Out	-	-	-	0.00%
NET INCREASE/(DECREASE) TO FUND BALANCE	(36)	(10)	26	-72.22%
INCITEASE/(DECKEASE) TO FUND BALANCE	(36)	(10)	20	-1 2.22 70

Athena Theater was established in FY 2009 and started during the year fall of 2008. The Cinema expands the cultural climate of the University and augments educational experiences. The theater's multi-year plan, projects the auxiliary will be self sustaining in its third year of operation (FY 2011). Use of the theater as classroom space is under investigation.



Vision OHIO Focus Areas and Underlying Core Objectives

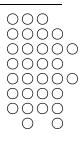


FY 2010 Funding Priorities to support Vision OHIO

Revenue Source Key: General Fund General Fee Auxiliaries Bonds Revenue Generation, Academics / Co-Curricular \$4,277,336 Students, Faculty, Staff Infrastructure Accountability/Efficiency \$5,485,337 \$5,919,220 + \$4,100,000 +\$1,900,000 +\$202,502 +\$5,188,298 **Student Info** University **Student Scholarships Summer Instruction** System/Network Advancement Infrastructure -\$ 13,500,000 +\$904,640 -\$656,968 +\$190,000 Planning unit base **Health Plan Changes Nursing Program Server Storage** reductions +\$38,000 +\$60,000 +\$300,000 -\$132,000 Psych. Services, Arts and Sciences **International Student** Plant O & M **Human Resources** Recruitment **Efficiencies** +\$1,100,000 +\$130,000 -\$700,000 +409,007 **Utilities Student Affairs** Learning **Enrollment Mgmt Communities** Health Svc. Savings +\$230,000 +\$30,000 Academic Mktg. **Supplemental** Campaign Instruction, Math +\$304.220 +\$5,000 Lease and +200.000 Faculty Orientation (One Time Only) **Insurance Costs Assessment Project** \$30,000 +\$364,194 **International Student** General Ed Instruct. Travel Capacity (One-Time Only) (One-Time Only) +\$150,000 Graduate Gen. Fee +105,000 International Buydown (One Time Only) **Student Advising** +\$6,000 +\$40,000 **Disability Services Allen Help Center** +\$50,000 EVPP Undergrad +\$60,000 **Adaptive Planning** Research Fund (One-Time Only) +\$4.000 +\$500,000 **Student Senate Voinovich School** +\$300,000 **Arts for Ohio** (One-Time Only) +\$220,000 **Campus Recreation** +\$120,000 Marching 110 +\$100,000 **Student Activities** Committee +\$ 973,00 **IC Athletics** Attachment G.2

Resources Committee

Larry Schey, Chairperson June 25, 2009



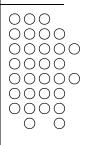


Agenda



- Report from University Advancement
- Resolution FY 2010 Budget Approval
- Resolution FY 2010 Fees Approval Clean-up (?)
- Resolution 10 Year Capital Plan Update
- Resolution 6 Year Board of Regents Biennial Capital Plan
- Resolution Construction Projects (?)
- Interim Financial Statements April, 2009
- Treasurer's Report February, 2009







FY 2010 Budget Approval

Agenda



- Budget Development Goals & Challenges
- Summary of FY 2010 University Budget
 - Key Highlights
 - Planning Assumptions & Budgeting Environment
- Athens Campus Budget
- University Outreach and Regional Campuses
- College of Osteopathic Medicine
- Auxiliary Operations
- Budget Management Strategy & Contingency Planning Efforts



Budget Development Goals & Challenges

000 0000 0000 0000 0000 0000 0 0

Budget Development Goals

- Continue Strategic Vision Ohio Investments
- Plan and Prepare for External Impacts
- Develop a Thoughtful Contingency Strategy
- Present a Balanced Budget

Continued Economic Challenges

- International Financial Crisis
- Uncertainty of State Operating Budget
- Student Enrollments



FY 2010 Budget Approval Summary of FY 2010 University Budget



Ohio University Operating Budget	(In Thousands) FY 2010		
Total University	\$	684,089	
Athens - Unrestricted	\$	388,544	
Athens - Restricted	\$	58,777	
College of Medicine	\$	36,353	
University Outreach & Regional Campuses	\$	87,694	
Auxiliary Operations	\$	112,721	



Planning Assumptions

000 0000 0000 0000 0000 0000

Primary Revenue Drivers

- Enrollment Driven Tuition & Fees
- State Funding
- Other Revenues

• Primary Expenditure Drivers

- Vision Ohio Priorities
- Scholarships & Financial Aid
- Network Infrastructure & SIS Projects
- Employee Compensation Related Expenses
- Facilities Management/Utilities



FY 2010 Budget Approval

Planning Assumptions: Primary Revenue Drivers



Enrollments – All Campuses

Fall Quarter	Athens	Athens CE	Chillicothe	Eastern	Lancaster	Southern	Zanesville	Total
1999	19,155	483	1,659	1,020	1,589	2,596	1,411	27,913
2000	19,327	593	1,726	1,118	1,585	2,250	1,516	28,115
2001	19,660	627	1,645	962	1,664	1,983	1,655	28,196
2002	19,959	589	1,999	1,072	1,745	1,804	1,824	28,992
2003	19,962	490	2,018	966	1,734	1,944	1,974	29,088
2004	19,704	439	2,048	862	1,723	1,860	1,877	28,513
2005	19,725	736	1,983	808	1,708	1,857	1,934	28,751
2006	20,146	464	1,850	708	1,727	1,824	1,723	28,442
2007	20,350	479	1,854	679	1,696	1,843	1,660	28,561
2008	20,537	832	1,978	774	1,868	1,831	1,893	29,713
2009 est	20,602	890	2,017	789	1,905	1,867	1,931	30,001



Planning Assumptions: Primary Revenue Drivers



Athens Campus Enrollments

Fall Quarter	Undergraduate Resident	Undergraduate Non-Resident	Total Undergraduate	Graduate Resident	Graduate Non-Resident	Total Graduate	Medical
1999	14,597	1,621	16,218	1,128	1,394	2,522	415
2000	14,690	1,600	16,290	1,173	1,448	2,621	416
2001	15,113	1,582	16,695	1,094	1,448	2,542	423
2002	15,348	1,506	16,854	1,175	1,497	2,672	433
2003	15,362	1,433	16,795	1,237	1,505	2,742	425
2004	15,266	1,374	16,640	1,212	1,424	2,636	428
2005	15,430	1,331	16,761	1,221	1,313	2,534	430
2006	15,178	1,384	16,562	1,652*	1,499	3,151	433
2007	15,095	1,549	16,644	1,660*	1,609	3,269	437
2008	15,000	1,738	16,738	1,653*	1,700	3,353	446
2009 est	14,940	1,863	16,803	1,653*	1,700	3,353	461

^{*} Beginning with FY 2006, Outreach graduate enrollments are reported on the Athens campus.



FY 2010 Budget Approval

Planning Assumptions: Primary Revenue Drivers



Athens Campus Resource Estimates Comparison of FY 2009 & FY 2010 Operating Budget

	(In Thousands)						
	FY 2009 (Original)	FY 2009 (Revised)	FY 2010	% Change from Original	\$ Change from Original	% Change from Revised	\$ Change from Revised
Tuition and Fees	\$194,118	\$194,930	\$194,930	0.4%	\$812	0.0%	\$0
Student Network & SIS Fee	\$0	\$0	\$1,800	-	\$1,800	-	\$1,800
State Share of Instruction	\$97,663	\$97,663	\$111,398	14.1%	\$13,735	14.1%	\$13,735
Tuition Replacement	\$4,522	\$4,522	\$0	-100.0%	(\$4,522)	-100.0%	(\$4,522)
Success Challenge	\$5,988	\$4,881	\$0	-100.0%	(\$5,988)	-100.0%	(\$4,881)
Other Fees & Revenue	\$1,970	\$1,970	\$2,375	20.6%	\$405	20.6%	\$405
Lease Income	\$1,029	\$1,029	\$1,252	21.7%	\$223	21.7%	\$223
Indirect Cost Recovery	\$7,220	\$6,720	\$6,498	-10.0%	(\$722)	-3.3%	(\$222)
Investment Income	\$5,100	\$0	\$0	-100.0%	(\$5,100)	-	\$0
Designated Income*	\$28,595	\$28,595	\$36,594	28.0%	\$7,999	28.0%	\$7,999
Transfers	\$1,272	\$1,272	\$14,822	1065.3%	\$13,550	1065.3%	\$13,550
Overhead	15,850	15,850	18,877	19.1%	\$3,027	19.1%	\$3,027
Total Athens General Program Resources*	\$363,327	\$357,432	\$388,546	6.9%	\$25,219	8.7%	\$31,114

^{*} Does not reflect Internal Tranfers



Planning Assumptions: Primary Revenue Drivers



Athens Campus Resource Estimates

Source as a Percent of Total

	FY 2010	% of Total
Tuition and Fees	\$194,930	50.2%
Student Network & SIS Fee	\$1,800	0.5%
State Share of Instruction	\$111,398	28.7%
Tuition Replacement	\$0	0.0%
Success Challenge	\$0	0.0%
Other Fees & Revenue	\$2,375	0.6%
Lease Income	\$1,252	0.3%
Indirect Cost Recovery	\$6,498	1.7%
Investment Income	\$0	0.0%
Designated Income*	\$36,594	9.4%
Transfers	\$14,822	3.8%
Overhead	18,877	4.9%
Total Athens Resources*	\$388,546	100.0%



FY 2010 Budget Building

Revenue Line Items

		FY 2009		Thousands) FY 2009			% Change	% Change	
Tuition and Fee Revenue		Original Budget		Revised Budget		FY 2010	from Original	from Revised	
Undergraduate Instructional Fee	\$	117,993	\$	119,979	\$	119,979	1.7%	0.0%	
Undergrad Out-of-State Surcharge	\$	15,400	\$	25,994	\$	25,994	68.8%	0.0%	
Undergraduate General Fee	\$	24,801	\$	13,056	\$	13,056	-47.4%	0.0%	
Total Undergraduate	\$	158,194	\$	159,029	\$	159,029	0.5%	0.0%	
Graduate Tuition	\$	20,345	\$	19,792	\$	19,792	-2.7%	0.0%	
Graduate Out-of-State Surcharge	\$	10,759	\$	11,134	\$	11,134	3.5%	0.0%	
Graduate & COM General Fee	\$	4,820	\$	4,974	\$	4,974	3.2%	0.0%	
Total Graduate	\$	35,924	\$	35,900	\$	35,900	-0.1%	0.0%	
Subtotal Student Tuition & Fees	\$	194,118	\$	194,929	\$	194,929	0.4%	0.0%	
Other Fees & Revenue	\$	1,970	\$	1,970	\$	2,375	20.6%	20.6%	
Network Infrastructure & SIS Project Fee	\$		\$	-	\$	1,800	-	-	



Primary Revenue Drivers: FY 2010 Tuition & Fees



Instructional, General & Non-Resident Fees

Tuition Remains Subject to 0% Cap in FY 2010

Implementation of New Student Network and SIS Fee

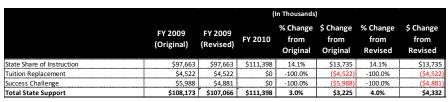
- \$22 per Quarter for Full-time Student (All Campuses)
- Projected Revenue of \$1.8 Million



FY 2010 Budget Approval

Primary Revenue Drivers: State Funding





^{*} Reflects State Resources Available for Unrestricted/Undesignated Purposes

- SSI Funding has been Maintained in the House and Senate Versions of the Bill
- Federal Stimulus Remains a Significant Portion of the SSI
- Continuing to Monitor Conference Committee



Primary Revenue Drivers: Miscellaneous Revenue



		(In Thousands)						
	FY 2009 (Original)	FY 2009 (Revised)	FY 2010	% Change from Original	\$ Change from Original	% Change from Revised	\$ Change from Revised	
Lease Income	\$1,029	\$1,029	\$1,252	21.7%	\$223	21.7%	\$223	
Indirect Cost Recovery	\$7,220	\$6,720	\$6,498	-10.0%	(\$722)	-3.3%	(\$222)	
Investment Income	\$5,100	\$0	\$0	-100.0%	(\$5,100)	-	\$0	
Designated Income*	\$28,595	\$28,595	\$36,594	28.0%	\$7,999	28.0%	\$7,999	
Transfers	\$1,272	\$1,272	\$14,822	1065.3%	\$13,550	1065.3%	\$13,550	
Overhead	15,850	15,850	18,877	19.1%	\$3,027	19.1%	\$3,027	
Total Athens Misc. Resources	\$59,066	\$53,466	\$78,043	32.1%	\$18,977	46.0%	\$24,577	

^{*} Does not reflect Internal Tranfers

Indirect Cost Recovery: \$6.5 Million
 Investment Income: Reduced to \$0

- Designated Income: Increase of \$5.2 Million Reflects:
 - \$3.8 Million increase in projected revenue to the Ohio Program in Intensive English (OPIE)
 - \$1.0 Million increase in Royalty Income
 - \$1.6 Million increase to Student Health Services
 - Miscellaneous Offsetting Reductions in Projections of Departmental Income



FY 2010 Budget Approval

Primary Revenue Drivers: Miscellaneous Revenue



			(In Thousands			
	FY 2009 (Original)	FY 2009 (Revised)	FY 2010	% Change from Original	\$ Change from Original	% Change from Revised	\$ Change from Revised
Lease Income	\$1,029	\$1,029	\$1,252	21.7%	\$223	21.7%	\$223
Indirect Cost Recovery	\$7,220	\$6,720	\$6,498	-10.0%	(\$722)	-3.3%	(\$222)
Investment Income	\$5,100	\$0	\$0	-100.0%	(\$5,100)	-	\$0
Designated Income*	\$28,595	\$28,595	\$36,594	28.0%	\$7,999	28.0%	\$7,999
Transfers	\$1,272	\$1,272	\$14,822	1065.3%	\$13,550	1065.3%	\$13,550
Overhead	15,850	15,850	18,877	19.1%	\$3,027	19.1%	\$3,027
Total Athens Misc. Resources	\$59,066	\$53,466	\$78,043	32.1%	\$18,977	46.0%	\$24,577

^{*} Does not reflect Internal Tranfers

- Transfers In: Increase of \$12.9 Million Reflects:
 - Increases in transfers to Athens campus to support intra-University activities and initiatives previously managed as direct transfers between planning units. This growth does not reflect increases in such activity.
- Overhead: Increase of \$2.3 Million



Planning Assumptions: Primary Expenditure Drivers



Highlights of Additional Investments:

- Vision OHIO Priorities, Including
 - Scholarships & Financial Aid
 - Academic & Student Support Investments
 - Network Infrastructure & SIS Projects
 - •Facilities Infrastructure & Property Management
- Continuing Commitments, Including:
 - Employee Compensation Related Expenses

*This presentation will focus on details of general fund and general fee supported investments.



FY 2010 Budget Approval

Planning Assumptions: Primary Expenditure Drivers

000
0000
00000
0000
00000
0000
0000
0 0

Areas of Additional Investment	(In thousands) Amount	
Vision OHIO Investments		
Recruit & Retain Talented & Diverse Students & Faculty	\$6,022	
Strengthen Undergraduate Education	\$2,676	
Enrich Environment for Students, Faculty, Staff & Region	\$1,717	
Fortify & Align Infrastructure to Enhance Academic Mission	\$5,919	
Revenue Generation, Accountability & Efficiency	\$411	
Other Continuing Commitments	\$1,371	
TOTAL	\$18,116	

FY 2010 Budget Includes \$18.1 Million in Additional Investments

•\$16.7 Million of Additional Investments Support Advancement of Vision OHIO Academic Plan, which Includes \$2 Million in Support of Initiatives Recommended by the Vision OHIO Steering Committee



Primary Expenditure Drivers: Vision OHIO Priorities



Recruit & Retain a Talented & Diverse Students

Areas of Additional Investment	(In thousands) Amount
Scholarships & Financial Aid	\$5,188
Strategic Enrollment Management Planning	\$639
Support International Programs & Research	\$195
TOTAL	\$6,022



FY 2010 Budget Approval

Primary Expenditure Drivers: Vision OHIO Priorities



Scholarships & Financial Aid

Areas of Investment	FY 2010
Undergraduate Financial Aid	\$ 2,000,000
ICA Scholarships	\$ 344,597
Graduate Tuition Scholarships	\$ 1,500,000
General Fund Support of Endowed Scholarships	\$ 1,051,000
Gateway Trustee Awards Increase	\$ 100,000
ROTC Room & Board	\$ 192,651
Scholarships & Financial Aid	\$ 5, 188, 248

- ●The FY 2010 Budget Continues Support for the Various Gateway Scholarship Programs
- Provides Increases to Support Room & Board Scholarships
- Provides Increase Support for University's Graduate Tuition Scholarship Program



Primary Expenditure Drivers: Vision OHIO Priorities



Strategic Enrollment Management Planning

Areas of Additional Investment	Д	mount
Enrollment Management	\$	409,007
Academic Marketing Campaign	\$	230,000
TOTAL	\$	639,007

•FY 2010 Budget Includes \$639,007 to Support the Recruitment Efforts of the Enrollment Management and Admissions Offices
•Builds on the Success of Prior Marketing Efforts of the Promise Campaign



FY 2010 Budget Approval

Primary Expenditure Drivers: Vision OHIO Priorities



Support International Programs & Research

Areas of Additional Investment	Α	Amount		
International Travel Service from Columbus	\$	30,000		
International Student Advising Services	\$	105,000		
International Student Recruitment	\$	60,000		
TOTAL	\$	195,000		

- •Funding is Provided to Serve the University's Growing International Student Community with Advising and Transportation Services
- •An Investment of \$60,000 is Made to Enhance and Strengthen Recruitment Efforts



Primary Expenditure Drivers: Vision OHIO Priorities



Strengthen Undergraduate & Graduate Education

	(In thousands)
Areas of Additional Investment	Amount
Improve Retention & Graduation Rates	\$707
Invest in Targeted Undergraduate Programs	\$1,319
Enhance Graduate & Professional Education & Research	\$650
TOTAL	\$2,676

Efforts to Strengthen Undergraduate and Graduate Education Include:

- •A Variety of Assessment Projects Aimed to Identify Improvements to the First-Year Experience and Engagement
- Establishment of the new Bachelor of Science in Nursing
- •Enhanced Advising, Supplemental Instruction Services and Adaptive Technology and Other Support Services for Students with Disabilities
- •Support for the Voinovich School (\$500,000) to partially offset significant losses in state support for the Voinovich School



FY 2010 Budget Approval

Primary Expenditure Drivers: Vision OHIO Priorities

Strengthen Undergraduate & Graduate Education

Areas of Additional Investment	Α	mount
Assessment Projects	\$	200,000
Learning Communities Expansion	\$	130,000
Allen Student Help Center	\$	40,000
Supplemental Instruction & Math	\$	30,000
Adaptive Planning & Technology	\$	60,000
Disability Services (One-time)	\$	6,000
Psychological Services (Arts& Sciences)	\$	38,000
Summer Session Instruction	\$	202,502
Improve Retention & Graduation Rates	\$	706,502
Nursing Program	\$	904,640
General Education Instructional Capacity (One time)	\$	364,194
Provost Undergraduate Research Fund (One-time)	\$	50,000
Invest in Targeted Undergraduate Programs	\$ 1	1,318,834
Voinovich School	\$	500,000
Graduate College - One-time General Fee buy-down	\$	150,000
Enhance Graduate & Professional Education & Research	۱ د	650 000



Primary Expenditure Drivers: Vision OHIO Priorities



Enrich Environment for Students, Faculty, Staff & Region

Areas of Additional Investment	ļ	Amount	
Arts for Ohio (One-time)	\$	300,000	
Campus Recreation (One-time)	\$	220,000	
Fine Arts- Marching 110 (One-time)	\$	120,000	
Student Activities Committee (One-time)	\$	100,000	
Intercollegiate Athletics (One-time)	\$	100,000	
Additional Base Funding to ICA	\$	873,328	
Student Senate (One-time)	\$	4,000	
TOTAL	\$	1,717,328	

- •Many of these Investments are Supported through a One-time Reallocation of General Fee Revenue Made Available by Student Affairs
- •Includes Additional Support to Intercollegiate Athletics to facilitate the further reduction of ICA's structural deficit, projected to stand at \$947,000 in FY 2010.

OHIO

FY 2010 Budget Approval

Primary Expenditure Drivers: Vision OHIO Priorities



Fortify & Align Infrastructure to Enhance Academic Mission

Areas of Additional Investment	Amount	
Network & SIS Student Technology	\$	4,100,000
Server Storage	\$	190,000
Dependable and Secure Network & Systems Infrastructure	\$	4,290,000
University Efficiencies -Temp control \$75,000	\$	(75,000)
Additional Plant Operation & Maintenance	\$	300,000
Additional Utility Costs	\$	1,100,000
Leased Property Expenses	\$	130,220
Property Insurance	\$	174,000
Facilities Infrastructure & Property Management	\$	1,629,220
TOTAL	\$	5,919,220



Primary Expenditure Drivers: Vision OHIO Priorities



Fortify & Align Infrastructure to Enhance Academic Mission

Network Infrastructure & SIS Projects

FY 2010 Budget includes \$4.1 Million to Support:

- Project Implementation
 - •Bondable Expenses: Supported through Issuance of Debt
 - Non-bondable Expenses: Supported by General Operating
- Debt Service (\$3.2 Million Annually)
 - •Costs of Debt Service will be Supported by the Student Fee and General Fund Operating Dollars



FY 2010 Budget Approval

Primary Expenditure Drivers: Vision OHIO Priorities



Facilities Infrastructure & Property Management

Areas of Additional Investment	,	Amount	
University Efficiencies -Temp control \$75,000	\$	(75,000)	
Additional Plant Operation & Maintenance	\$	300,000	
Additional Utility Costs	\$	1,100,000	
Leased Property Expenses	\$	130,220	
Property Insurance	\$	174,000	
Facilities Infrastructure & Property Management	\$	1,629,220	

- •Projected increases in this category are largely driven by new facilities coming on line, including the ARC Building and the Central Classroom, and projected increases in coal.
- •Increases are estimated to be offset by \$75,000 through the implementation of building temperature controls. We are optimistic that greater savings may be achieved.
- •The budget also includes \$130,220 to support estimated costs to maintain University-owned properties.



Primary Expenditure Drivers: Vision OHIO Priorities



Revenue Generation, Accountability & Efficiency

Areas of Additional Investment	1	Amount		
University Advancement	\$	1,900,000		
University Efficiencies -UHR \$132,000	\$	(132,000)		
Student Affairs (Est. one-time savings from Health Services)	\$	(700,000)		
Health Insurance - Net 3% reduction in Budget	\$	(656,968)		
Revenue Generation, Accountability & Efficiency	\$	411,032		

- •Budget Includes \$1.9 Million to Bolster University Advancement Efforts. This Funding Partially Offsets Investment Income Losses.
- •Projected 3% Savings from Changes in Health Benefits Plan. This Savings Figure Reflects Additions to Health Benefits, including the Healthy Ohio Initiative
- •The Implementation of Temperature Controls, an Efficiency Initiative, is Reflected under Facilities Infrastructure
- •The University Continues Identification of Efficiency Initiatives



FY 2010 Budget Approval

Primary Expenditure Drivers: Vision OHIO Priorities



Compensation Related Expenses

Areas of Additional Investment	Α	Amount		
Faculty Orientation	\$	5,000		
Faculty Promotions	\$	181,120		
PERS Rate Increase - Law Enforcement	\$	2,762		
Bargaining Unit Compensation Increase	\$	374,418		
Bargaining Unit Step Increases	\$	45,580		
Workers Compensation Increase	\$	112,348		
Compensation Related Expenses	\$	716,228		

- •Increases in this category are offset by savings from changes in employee health benefits which are projected to yield a 3% savings presented on previous slide.
- •Increases include promotion of faculty to Full Professor and negotiated compensation increases for bargaining unit employees



Primary Expenditure Drivers: Miscellaneous Budget Items



Areas of Additional Investment	Amount
Misc. Central Items (Audit Expense, Bad Debt, Banking Charges	\$ 275,125
Shift of Designated Revenue & Activity to Central Budget	\$ 375,000
Miscellaneous	\$ 650,125

The FY 2010 Includes Miscellaneous Cost and Adjustment Items as Follow:

- •Central Budget Items: Includes the Central Support for Costs of the Annual Financial Audit, Banking Charges and Bad Debt
- •Shift of Designated Revenue: The FY 2010 Budget Reflects the Shift of Revenue from the University's P-Card Rebate Program to the General Fund



FY 2010 Budget Approval

Planning Assumptions: Primary Expenditure Drivers



The \$18.1 Million in Investments are Supported through Reallocations of Existing Base Budgets and Cost Savings Initiatives

- Planning Unit Reduction Targets: \$13.5 Million
 - •Academic Units: \$5.1 Million with Reductions of 0-5%
 - •Academic Support Units: \$8.4 Million with Reductions of 7-10%
- Phase I Efficiency Initiatives: \$864,000 Savings Including,
 - •Changes to Health Plan: \$657,000
 - •Temperature Controls: \$75,000
 - •UHR Efficiencies: \$132,000
- •Increase in Overhead: \$2 Million
- •Implementation of Network Infrastructure & SIS Project Fee: \$1.8 Million



Primary Expenditure & Revenue Drivers



Summary of Athens Campus Revenues & Expenses

	FY 20	FY 2010	
	Original	Revised	Budget
Revenue	\$317.6	\$311.7	\$318.2
Expense	\$311.2	\$314.2	\$327.3
Revenue over Expense	\$6.4	(\$2.5)	(\$9.1)
Transfers In	\$17.1	\$17.1	\$33.7
Transfers Out	\$23.5	\$24.9	\$24.6
Transfers In over Transfers Out	(\$6.4)	(\$7.8)	\$9.1
Net Increase (Decrease)	\$0.0	(\$10.3)	(\$0.0)



FY 2010 Budget Approval

College of Osteopathic Medicine



- The College of Osteopathic Medicine recommends \$26.4 million in Unrestricted Funds, an increase of \$1.4 million or 5.4% and \$9.9 million in Restricted Funds, a decrease of \$1.4 million or 12.5%
- Increases will be Invested in:
 - Vision OHIO Initiatives
 - Enhance Support for the Growing Centers for Osteopathic Research & Education Postdoctoral Training Institution and
 - Accreditation-related activities.
- Expenditure Increases are Supported by a 5% Tuition Increase, Estimated Enrollment Increases and a 3.4% Increase in Unrestricted State Support.
- Restricted state subsidies are estimated at \$4.9 million, \$865,000 or 15% below the FY 2009 Budget



University Outreach & Regional Campuses



- The University Outreach & Regional Campuses Unrestricted Budget Totals \$72.5 Million, an Increase of \$4.6 Million or 6.8%
- Proposed Expenditure Increases Reflect the Need for Increased Instruction due to Growing Enrollments
- Continue to Expand the New Community College Partnership On-line Baccalaureate Degree Completion Program
- Campuses are Undertaking Significant Recruitment Initiatives
- Regional Campuses Project a 2.7% Increase in the State Share of Instruction Compared to the FY 2009 Original Budget or 4% when Compared to FY 2009 Actuals



FY 2010 Budget Approval

Auxiliary Operations



Auxiliary Operations Highlights

- Support from General Fee and General Fund
 - Reflected as Transfers In within Auxiliary Budgets
 - Operations
 - Scholarships & Financial Aid

Reorganization of Residence & Dining Services

- Part of University Effort to Consolidate Functions to Achieve Efficiency and Enhance Support Services to Students
- Housing Office and Department of Residence Life and Off-Campus Living have merged into one operation, titled Residential Housing, within the Division of Student Affairs
- Dining Services operations will remain within Finance and Operation.



Auxiliary Operations

- Intercollegiate Athletics
 - The FY 2010 Budget Includes Increased General Fund Support of \$1.1 Million
 - \$240,000 to Support Scholarships and Financial Aid
 - \$873,000 to Support Operations
 - Budget Management Efforts
 - · Reductions in Force
 - Identification of Operating Efficiencies
 - Projected FY 2010 Deficit of \$947,000
 - Target to Reduce Deficit by an Additional \$500,000 through Revenue Enhancement/Cost Reduction Initiatives to bring Deficit to just under \$450,000
 - Effort will Continue to Conduct a Full Assessment of the ICA Operating Budget, both Revenues and Expenditures



FY 2010 Budget Approval

Budget Management Strategy & Contingency Planning



- Development of Budget Management Strategy & Contingency Planning Efforts are Ongoing
- Continued Planning & Implementation of Efficiency Workgroup Efforts
- Enrollment Reserve of \$700,000
 - \$500,000 in the General Program
 - \$200,000 in the General Fee Fund





Fundraising Report



Division of University Advancement FY09 Fund Raising Report Gifts and Commitments as of 05/31/2009 Total Gifts and Commitments (in Thousands): By Donor Type May **Fiscal Year** Actual Variance Variance FY% YTD Goal YTD% **Donor Type** Goal Goal Actual \$1,000 \$367 (\$633) \$13,000 \$10,838 (\$2,162) 83% \$10,500 103% Alumni Other Individuals \$125 \$156 \$31 \$2.000 \$5,722 \$3,722 286% \$1.500 381% \$350 \$74 (\$276)\$2,000 \$752 (\$1,248)38% \$1,500 50% Corporations 404% Foundations \$100 \$46 (\$54)\$1,500 \$5,046 \$3,546 336% \$1,250 Organizations \$175 \$101 (\$74)\$1,500 \$778 (\$722) 52% \$1,275 61% 144% Total \$1.750 \$744 (\$1,006)\$20,000 \$23,135 \$3,135 116% \$16,025 Other Gift Types: \$227 Gifts In Kind Planned Gifts - Revocable \$1,981 \$6,191 Pledge Payments Bequest Payments \$1,475 **Total Gifts** \$33,010 Total Gifts and Commitments (in Thousands): By Donor Type May Fiscal Year Outright New Outright **New Pledges** Gifts **Donor Type Gifts** Total **Pledges** Total Alumni \$289 \$78 \$367 \$8,593 \$2,245 \$10,838 \$34 Other Individuals \$122 \$156 \$5,231 \$491 \$5,722 \$74 \$0 \$74 \$752 Corporations \$637 \$114 Foundations \$46 \$0 \$46 \$729 \$4,317 \$5,046 Organizations \$101 \$0 \$101 \$737 \$41 \$778 Total \$632 \$112 \$744 \$15,927 \$7,209 \$23,135 Major Gift Solicitation Activity (in Thousands): "Asks" ΑII May **Fiscal Year Donor Type** Goal Total Ask Variance Goal Total Ask Funded Pending \$3.719 \$172 (\$3.547)\$40.576 \$14.240 \$7,735 \$5.866 Alumni \$434 \$2 \$11,573 \$19,521 \$4,487 \$17,353 Other Individuals (\$432)\$313 \$0 Corporations (\$313)\$4,428 \$1,147 \$145 \$1,302 Foundations \$156 \$175 \$19 \$6,067 \$4,974 \$4,536 \$624 Organization \$182 \$0 (\$182)\$2,357 \$5,605 \$1,267 \$4,215 \$349 Total \$4,804 (\$4,455)\$65,001 \$45,488 \$18,170 \$29.361 Asks are documented written solicitations in which a major gift prospect is asked for a specific dollar amount for a specific purpose.

FY09 Fund Raising Report (05	5/31/2009)								
Constituent Groups									
		Gifts and Commitments (in Thousands)							
		By Academic and Other Units							
	May Fiscal Year								
College/Unit	Goal	Total	Variance	Goal	Gifts	New Pledges	Total	Variance	%
College of Arts and Sciences	\$50	\$26	(\$24)	\$1,250	\$1,094	\$295	\$1,389	\$139	111%
College of Business	\$250	\$106	(\$144)	\$3,000	\$2,609	\$163	\$2,772	(\$228)	92%
Scripps College of Communication	\$100	\$126	\$26	\$3,300	\$1,827	\$227	\$2,055	(\$1,245)	62%
College of Education	\$50	\$16	(\$34)	\$500	\$477	\$101	\$578	\$78	116%
Russ College of Engineering & Technology	\$250	\$65	(\$185)	\$1,500	\$3,706	\$60	\$3,766	\$2,266	251%
College of Fine Arts	\$50	\$13	(\$37)	\$500	\$207	\$31	\$238	(\$262)	48%
College of Health and Human Services	\$150	\$44	(\$106)	\$1,500	\$289	\$325	\$614	(\$886)	41%
Honors Tutorial College	\$5	\$9	\$4	\$75	\$35	\$18	\$53	(\$22)	71%
College of Osteopathic Medicine	\$100	\$23	(\$77)	\$750	\$225	\$2,423	\$2,648	\$1,898	353%
Jniversity College	\$25	\$13	(\$12)	\$190	\$22	\$52	\$75	(\$115)	39%
ntercollegiate Athletics	\$200	\$69	(\$131)	\$1,500	\$412	\$94	\$505	(\$995)	34%
Jniversity Libraries	\$5	\$2	(\$3)	\$50	\$36	\$6	\$42	(\$8)	83%
Chillicothe Campus	\$5	\$9	\$4	\$50	\$137	\$50	\$187	\$137	375%
astern Campus	\$5	\$1	(\$4)	\$50	\$16	\$1	\$17	(\$33)	35%
ancaster Campus	\$5	\$0	(\$5)	\$50	\$7	\$1	\$8	(\$42)	16%
outhern Campus	\$5	\$35	\$30	\$50	\$97	\$7	\$105	\$55	209%
anesville Campus	\$5	\$0	(\$5)	\$50	\$17	\$2	\$18	(\$32)	37%

Case Statement



Funding the Campaign

- University's or Foundation's Operational Budget
- Campaign Funds Raised
- Assessments on Campaign Gifts
- Short-Term Earnings



Funding the Campaign

Gifts Designated for Campaign

Unrestricted Current-Use Gifts

Endowment Spending Rate

Borrowing



- Created a comprehensive campaign plan.
- Recruited initial members for National Campaign Cabinet
- Began regular communication with University community regarding the Foundation's activities.



- Held individual meetings with deans, directors and other senior administrators regarding campaign priorities and working dollar goals for each unit.
- Reviewed campaign history, campaign plan, and volunteer roles with University Trustees, Foundation Trustees, Alumni Association Board of Directors and University leadership.



- Created unified Ohio University campaign brand.
- Developed key campaign themes, messages, and drafted campaign case overview.
- Developed a campaign budget and staffing plan.



- Drafted comprehensive prospect management and development guidelines for major gift prospects.
- Created RFP to solicit proposals for campaign counsel.
- Selected vendors for a database wealth screening and predictive modeling.



- Developed plan for campaign skill development training for deans, other senior administrators, and campaign volunteers.
- Initiated work to enhance BSR Advance database to support the campaign.
- Initiated cultivation and solicitation meetings with selected campaign prospects
- Achieved 45 percent of \$350 million campaign working dollar goal.



Characteristics of a Volunteer Driven Campaign



Volunteers Roles:

- Campaign Cabinet
- Foundation Board of Trustees, Ohio University Board of Trustees, Alumni Association Board of Directors
- Alumni Association chapter leaders and others volunteers
- College/unit based volunteer boards



Key Volunteer Campaign Activities and Responsibilities for the "Promise"

 Jointly planning and executing campaign awareness events with staff

Identifying potential donors

Soliciting gifts for the campaign



Key Volunteer Campaign Activities and Responsibilities for the "Promise"

Hosting events/gatherings for potential donors

 Thanking and acknowledging campaign donors via telephone, mail, personal visits



What is needed to support a volunteer-driven campaign?

- Strong campaign leadership.
- Coordinated campaign plan and time line.
- Enthused and motivated volunteers.
- Adequate staff to support volunteer efforts
- Volunteer job descriptions and training.



What is needed to support a volunteer-driven campaign?

- Clear goals and expectations for volunteer efforts.
- Adequate number of prospects to support the campaign goals.
- Quality collateral materials.
- Marketing and branding campaign.
- Accurate and accessible data



- Secure campaign finances.
- Complete screening and modeling of database to identify prospects.
- Hire campaign council
- Complete recruitment of campaign cabinet.



- Approve campaign dollar goal for public announcement.
- Produce final campaign case-stating materials for public kick-off event.
- Hold public kick-off event to announce dollar goal.
- Evaluate internal and external campaign communication programs and revise as needed.



- Continue to use wealth screening and donor analytics results to increase number of qualified campaign major gift prospects.
- Continue to solicit campaign gift commitments for Nucleus and Leadership Gifts.



- Plan and conduct faculty/staff campaign.
- Continue to plan and hold campaign awareness events in selected cities and geographic areas.
- Report regularly to key volunteer groups, and the campus community on campaign progress.



 Monitor campaign progress and results to help ensure achieving overall dollar goal and also fund campaign priority projects and initiatives.





Interoffice Communication

Date: June 8, 2009

To: The President and Board of Trustees

From: William R. Decatur, Senior Vice President for Finance and Administration and Treasurer

Re: Enterprise Risk Management

Over the last several years we have elevated the focus on Risk Management at Ohio University. We have a highly competent staff of risk management professionals who are leading the efforts to proactively identify and assess potential risk factors and take appropriate actions to mitigate risks and educate and communicate with the campus community.

At the June meeting we will share our plans for a comprehensive Enterprise Risk Management program. I look forward to your input and discussion.

ENTERPRISE RISK MANAGEMENT:

"Protecting Our Mission"
David Hopka
Assistant VP, Safety & Risk Management
George Wendt
Risk Manager

What is risk?

> Risk is the possibility that actual outcomes will deviate from those that are expected.

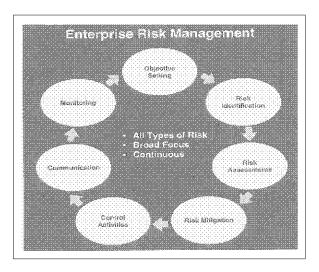
What Are The Categories Of Risk That Ohio University Faces?

- > Compliance
- > Reputational
- > Economic
- > Operational

DEFINITION:

Enterprise Risk Management involves the elimination or lessening of the major risks which could adversely impact the strategic objectives of the University.

ERM is a Process:



SEVERAL ERM TECHNIQUES:

- > Integrates risk into strategic discussions
- > Takes the University's culture into account in mitigating risk
- Identifies both the internal and external forces threatening strategic objectives
- Focuses upon the interrelationships between key risk factors
- Seeks to negate significant risks with a high probability of occurring

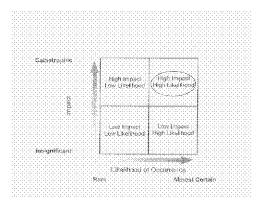
What Is The Most Significant Risk?

Clearly, the most significant risk is one which has a high probability of occurring and will cause severe mission impact if not prevented.

How are these potentially significant risks evaluated?

KEY RISK MAPPING CHARACTERISTICS:

A risk map is a visual tool which displays risks based upon their probability of occurrence and their potential impact upon the University.



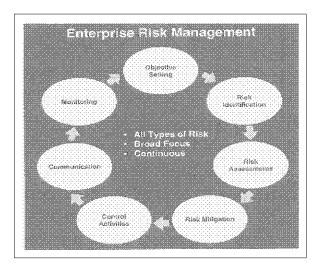
ERM COUNCIL BLUEPRINT:

- Membership represents a cross-section of the University community.
- > Matrix structure facilitates communication.
- Collaborative approach governs council operations.

MAIN ERM COUNCIL EFFORTS:

- Identification of major risks that might adversely impact the University's strategic objectives
- > Evaluates identified risks for their severity and for their probability of occurring (risk mapping)
- Prioritizes risks so that available resources can be properly utilized
- Assigns corrective action to the appropriate business units
- Monitors the efficiency and effectiveness of remedial measures

➤ Root cause analysis will be utilized for each critical risk and strategies will be developed for identified risks



What are the benefits of ERM?

- ➤ If properly handled, ERM creates a climate in which the proper handling of risk is valued by all segments of the University. Everyone, at least potentially, is involved, so interest in the success of the initiative is heightened.
- > ERM can also provide stability that facilitates the confident pursuit of our academic mission

What is the Role of Senior Leadership in the ERM Process?

Within the context of ERM, senior leadership accepts responsibility for ERM, if they have not already done so. They take ownership of the risks created by their operations and are willing to manage them.

How do we know when ERM is functioning properly?

- When it integrates with existing organizationwide processes (e.g., Internal Audit, Strategic Planning)
- > When it utilizes existing technology and data
- > When clearly defined roles, responsibilities and accountability exist
- > When metrics drive decision-making
- > When a continuous process drives all efforts

Enterprise Risk Management: A Summary

- > Why ERM is Important
- > Definition, Process, Council
- > Risk Assessment
- > Benefits
- > A Test for Success



Interoffice Communication

Date: June 8, 2009

To: The President and Board of Trustees

From: William R. Decatur, Senior Vice President for Finance and Administration and Treasurer

Re: Interim Financial Reports – April 2009

Included with this mailing are the following interim financial statement materials:

o Analysis of Interim Financial Reports

- o Statement of Net Assets all funds
 - o April 30, 2009
 - o June 30, 2008
 - o Increases/(Decreases) since June 30, 2008
- o Statement of Revenues, Expenditures and Other Changes
 - o Unrestricted Educational and General (E&G)
 - Expenditures shown by function and by natural account categories
 - o Auxiliary Operations summary

I will be happy to answer any questions you may have about the reports prior to or during the June meeting.

INTERIM FINANCIAL REPORT

Period Ending April 2009

The Interim Financial Report for April 2009 will focus on the presentation of substantive changes since the February 2009 report.

FY 2009 BUDGET UPDATE

Below are brief highlights which summarize the following:

- State Budget Update
- Statement of Revenues and Expenditures
- Treasurer's Report

State Operating Budget Update: Last month, the Ohio Office of Budget and Management announced an FY 2009 projected shortfall between \$600 million to \$900 million. This recent announcement will not translate into budget reductions for higher education given the timing in the fiscal year. However, this shortfall will have a substantive, but yet unknown impact, on the FY 2010 budget process.

Health Benefits: Total health benefit related expenditures through April are within 1.3% of projected costs for this time of year. If the current trend is maintained, FY 2009 expenditures could be up to 3% or \$1 million over budget. Any necessary expenditure over the original budget would be supported through use of the benefits reserves.

Athens Campus Update:

Projected Unfavorable Budget Variance (Up to \$10.2 Million): As previously reported, the Athens Campus projected a combined unrestricted revenue shortfall and over-expenditure of up to \$13.4 million in FY 2009. The University now projects a potential gap of \$9.2 million to \$10.2 million or \$3.2 to \$4.2 million below the original estimate. As of April 2009, the planning units have identified \$11.5 million both to close this gap and provide support for costs related to the announced early retirement incentive program and reductions in force. Projections for these costs are not yet finalized.

College of Osteopathic Medicine (COM) Update:

☐ There are no new items of note as of April 2009 for the College of Osteopathic Medicine since the last Interim Financial Report. COM is expected to successfully manage within the FY 2009 budget as revised due to reductions in State support. The College continues to develop contingency plans to address the FY 2010 budget and likely reductions from the State.

University Outreach & Regional Campuses (UORC) Update:

☐ Enrollments: UORC enrollments, and resulting fee revenue, continue to be strong and exceed projections significantly. When compared to the prior year, enrollments grew by 6.7% in Fall 2008 and by 14.7% in Winter 2009. Additional growth of 12.2% is anticipated based on early preliminary enrollments for Spring Quarter when compared to the same time period in the prior year.

Aux	iliary Operations Update:
	Inter-Collegiate Athletics (ICA): ICA will end FY 2009 within the budgeted deficit of \$1.8 million.
	Athena Theater: The newly established auxiliary will end FY 2009 with a projected \$36,000 deficit in its first
	year of operation. The University continues to review the structure of the operation to reduce costs and
	enhance revenues.
	Airport: The Airport Auxiliary estimates a FY 2009 deficit of \$170,000 as a result of unplanned grant match,
	maintenance and debt service expenses. The University is developing a strategy to address both the annual

Treasurer's Report Update:

and cumulative deficits.

Investment Income: The FY09 budget included \$5.1 million, none of which will be realized. As of March
2009 ¹ , actual investment returns on working capital reflect net unrealized loss of \$8.2 million, essentially
unchanged compared to the February interim financial report.

¹Investment income included in the April 2009 report is as of March 31, 2009.

STATEMENT OF REVENUES, EXPENDITURES AND OTHER CHANGES

Current Funds - Unrestricted - Educational & General (E&G)

ATHENS CAMPUS

Revenues:

- □ Instructional Fee (line 1): As of this report, a potential shortfall of \$250,000 to \$500,000 is estimated for this revenue category. This report reflects receipts for Spring Quarter and most adjustments for designated programs Winter Quarter. Adjustments for Spring Quarter will be finalized as part of the year-end closing process. As such, figures reflect both instructional fee revenues that post to the benefit of the general program operations as well as those not yet transferred to the designated funds of colleges for the provision of certain academic programs. These programs include education abroad and the OPIE program for intensive English language instruction, which most notably impacts undergraduate enrollments.
 - O **Undergraduate Revenue Shortfall (\$500,000):** A revised projection of undergraduate non-resident instructional fee revenues reveals potential under-attainment of approximately \$2.0 million for the year which results from a high participation in designated programs. This shortfall is expected to be partially offset by higher than anticipated retention of resident undergraduates which is estimated to produce a positive variance of approximately \$1.5 million.
 - o **Graduate Revenue On-Target:** Graduate revenue is anticipated to end the year on target to slightly over budget by \$250,000.
- General Fee (line 2): As of this report, general fee revenues continue to be projected to exceed the original budget by just over \$1 million.
- ☐ Enrollments: Spring Quarter enrollment and retention news continues to be positive. Total Athens Campus Spring 2009 enrollments exceeded the estimates used in the formulation of the FY 2009 budget and the Spring 2008 actual enrollments. As with prior reports, resident graduate enrollments were lower than anticipated, while undergraduate residents were higher than anticipated. Undergraduate non-residents continue to exceed the prior year, with the majority of growth occurring in designated programs. First-year retention continues to be up from last year for the Spring Quarter. New freshmen, transfer, and continuing student enrollments are up from last year, accounting for the Spring Quarter increase.

Athens Campus & College of Osteopathic Medicine Enrollments

Spring Quarter Enroll	ments (Headcount)	Spring 2009 (Preliminary)	Spring 2008 (Final)	Change (Spring 2009-Spring 2008)
	Resident	13,892	13,737	155
Undergraduate	Non-Resident	1,703	1,485	218
	Subtotal	15,595	15,222	373
	Resident	1,956	1,848	108
Graduate	Non-Resident	1,503	1,409	94
	Subtotal	3,459	3,257	202
	Resident	429	421	8
College of Medicine	Non-Resident	15	11	4
	Subtotal	444	432	12
	TOTAL		18,911	587
				-

Source: Institutional Research

Page 3 of 6

STATEMENT OF REVENUES, EXPENDITURES AND OTHER CHANGES

Current Funds – Unrestricted – Educational & General (E&G)

State Subsidy (line 5): No changes to note from the February 2009 Interim Financial Report.

Exp	penditures:
Exp	Benefits (line 24): Through April 2009, total health benefit related expenditures, including medical, drug, and dental claims, are within 1.3% of projected costs for this time of year. If claim experience for May and June is consistent with the average for the last six months, FY 2009 expenditures would be up to 3% or \$1 million over budget. This level of expenditure would reflect a 5% to 8% increase from FY 2008. Any expenditure over the original budget would be supported through use of the benefits reserves.
0	Utilities (line 31): Total expenditures for utilities are forecasted at \$9.6 million, or \$554,000 (5.5%) below budget. This positive variance is driven by lower than expected utility cost for coal, light & power, and water & sewage. These savings are offset by higher than expected natural gas expense (projected to be \$332,000 or 24% over budget).
Exp	Voinovich School (line 32): While this report shows actual year-to-date expenditures of \$1.6 million compared to an original current year budget of \$781,147 (or 208.9% of the current year budget), the Voinovich School is expected to end the year within budget. The reported variance reflects the use of available prior year balances from research accounts. Additionally, expenses within a payroll clearing account of nearly \$300,000 are reflected – expenses which will be moved to an appropriate grant account following the finalization of the grant personnel effort report.
	Scholarships (line 24): As of the April 2009 report, total scholarship expenditures exceed the current year original budget by 5.2% or \$1.5 million. The actual expenses as of April 2009 reflect the planned use of

UNIVERSITY OUTREACH & REGIONAL CAMPUSES (OURC)

There are no changes as of April 2009 for the University Outreach and Regional Campuses since the last Interim Financial Report except as noted below.

available prior year balances. The scholarship budget will end the year with a positive balance.

Revenues:

]	Instructional Fee (line 1): Fall and Winter tuition revenue for regional campuses were above estimates and
	were 14.3 % greater in Fall and 18.2% greater in Winter than the budget for these periods. Currently, Spring
	tuition is projected to exceed estimates by 20.9%. Regional campuses are projecting a 9.3% increase in actual
	total revenues for FY 2009, representing a \$5.7 million increase before charges for overhead and centrally
	funded projects are applied. All of the regional campuses are projected to experience increases in revenue in
	FY 2009 as follows: Eastern (4.9%), Chillicothe (12.1%), Southern/Proctorville (9.9%), Lancaster/Pickerington
	(12.8%), and Zanesville (3.1%).

Despite declines in revenue, University Outreach is projected to exceed budgeted revenue by 10.1%.

STATEMENT OF REVENUES, EXPENDITURES AND OTHER CHANGES

Current Funds – Unrestricted – Educational & General (E&G)

☐ **Enrollments:** UORC enrollments continue to be strong and exceed projections significantly. *Regional Campuses*

Each regional campus has employed strategies to increase enrollments for the current academic year. Enrollments on regional campuses in Fall 2008 increased by 525 students compared to the prior year: increasing by 6.7% from 7,819 to 8,344 students. Final enrollments for Winter show an increase of 1,128 students, or 14.7 % from 7,655 to 8,783 students. Enrollments for Spring have not been finalized, however, preliminary enrollments are trending at a 12.2% increase, an increase of 788 students, or 7,245 this year compared to 6,457 last year.

University Outreach

University Outreach has experienced slight declines in enrollments and revenues in independent learning operations as well as in corporate training contracts. Total income decline in Outreach is estimated to be approximately 3% in FY 2009. These declines have been largely offset by reductions in expenditures from the prior year projected to be 13.6% related to these programs in addition to significant reductions in administrative costs. On-line programs, both Athens-based and those offered in cooperation with community colleges, have expanded with additional revenues of approximately \$300,000 expected in the current fiscal year. Two new on-line programs in health administration and in RN-BSN nursing degree completion marketed through partnerships with third-party vendors to statewide and national audiences were launched in Winter 2009 and Spring 2009 respectively. These programs that carry minimal costs will generate small amounts of revenue this year but have the potential for larger inflows of revenue in future years.

Expenditures:

Summary of Expenditures: Overall, UORC is trending in line with FY 2009 projected growth levels and is expected to see total expenditures grow by 2.1% over last year. University Outreach, a component of UORC, is projected to decrease total expenditures by approximately 13.6% as a result of reduced spending in negative growth areas such as non-credit instruction, independent learning, corporate training contracts, and international programs, as well as in administrative overhead. Regional campuses are projected to increase spending by 3.1% as a result of increased spending for faculty, student services, scholarships, instructional and administrative support, and facilities.

COLLEGE OF OSTEOPATHIC MEDICINE

There are no items of note as of April 2009 for the College of Osteopathic Medicine since the last Interim Financial Report.

General Note Regarding Supported Auxiliaries:

Auxiliary Support Revenues: With the exception of Parking Services, Residence and Dining, and Telephone
Operations, the auxiliaries receive supplemental revenue from either the General Fund or General Fee to
support operating costs. Supplemental support is deposited into the relevant auxiliary fund on a monthly
basis, often yielding a negative fund balance during the year until the entire support amount is provided at
the end of the fiscal year. This issue of timing, currently impacting the presentation of the Student Union and
the Convocation Center, is taken into consideration when monitoring and reporting the current financial
status of the relevant auxiliaries. Additionally, this report reflects a change in accounting for space costs,
which were allocated to auxiliary units in FY 2008 but not in FY 2009.

Auxiliary operations which receive support payments from the general fund have also received savings or reduction targets for FY 2009. The amount of total support payments budgeted will be reduced.

Inter-Collegiate Athletics (ICA): ICA continues to project final expenditures within the budgeted \$1.8 million
deficit.

STATEMENT OF REVENUES, EXPENDITURES AND OTHER CHANGES

Current Funds – Unrestricted – Auxiliaries

General Note Regarding Supported Aux	iliaries:
--------------------------------------	-----------

Auxiliary Support Revenues: With the exception of Parking Services, Residence and Dining, and Telephone Operations, the auxiliaries receive supplemental revenue from either the General Fund or General Fee to support operating costs. Supplemental support is deposited into the relevant auxiliary fund on a monthly basis, often yielding a negative fund balance during the year until the entire support amount is provided at the end of the fiscal year. This issue of timing, currently impacting the presentation of the Student Union and the Convocation Center, is taken into consideration when monitoring and reporting the current financial status of the relevant auxiliaries. Additionally, this report reflects a change in accounting for space costs, which were allocated to auxiliary units in FY 2008 but not in FY 2009. Auxiliary operations which receive support payments from the general fund have also received savings or reduction targets for FY 2009. The amount of total support payments budgeted will be reduced.

☐ Inter-Collegiate Athletics (ICA): ICA continues to project final expenditures within the budgeted \$1.8 million deficit.

☐ Parking Services: As noted in prior reports, Parking Services increased citation prices this fiscal year by an average of 40%, which has led to a significant change in behavior resulting in a decrease of parking citations of 27%. Parking Services has taken appropriate actions to offset all but approximately \$30,000 of a projected citation revenue \$188,000 shortfall. The balance of the shortfall will be absorbed by the auxiliary's fund balance.

☐ Airport: Airport operations are showing an April year-to-date deficit of about \$17,000, down from \$42,000 in February. A large portion of fuel sales revenues are typically realized in the fourth quarter, however, this revenue will not offset the total anticipated losses.

The Airport is projecting to end FY 2009 with a deficit of approximately \$170,000, driven by unbudgeted costs incurred for grant matches (\$60,000), non-warranty cost for the King Air (\$117,000) and debt service expense (\$93,000) for the King Air C-90, which will be partially offset by \$100,000 in operating efficiencies.

☐ **Telephone & Technology Store Operations:** Telephone Operations is showing a \$440,000 deficit as of April, driven entirely by the Technology Store Operations year-to-date deficit of \$466,000 (down from \$633,000 in February). OIT contends that approximately \$200,000 is related to inventory accruals and \$100,000 is related to journal entries yet to be recorded. OIT also anticipates a net \$100,000 in income to be earned by the Technology Store Operations by the end of the year, with an operating loss of about \$40,000 which will impact the auxiliary's fund balance.

☐ Athena Theater: Beginning in FY 2009, the College of Fine Arts assumed responsibility to operate the Athena Theater as an auxiliary function. In addition to providing students and the community with quality, alternative cinematic experiences, the College of Fine Arts seeks to enrich the academic experience for its students. In its first year of operation, the College anticipates a deficit of \$36,000 that will be addressed through review and development of the organization's structure. Strategies to increase revenue, for example increased ticket sales and vending, and reduce operational costs are currently under development.

STATEMENT OF NET ASSETS - All Funds - Unrestricted - Educational & General (E&G)

In reviewing the Statement of Net Assets as of April 30, 2009, a majority of the fluctuations are the result of timing differences with the primary exception investments (line 3).

□ Investments (line 3): The value of investments has decreased approximately \$21.8 million, or 17%, from June 30, 2008 to April 30, 2009. Unrealized gains and losses in investments was approximately \$14.1 million of that amount. The overall results are consistent with current economic factors and the results within the financial markets.

Ohio University Statement of Net Assets - All Funds Unclassified and Unaudited As of April 30, 2009

		(Current Funds		Noncurrent Funds					
-		Unrestricted Educational & Auxiliaries General (E&G)		Restricted	Plant	Endowment (including	Loan	Agency	Total	
ASSETS						quasi)		,		
1	Cash and cash equivalents	49,876,234	10,564,955	(5,619,215)	25,500,884	1,066,419	2,217,628	1,722,884	85,329,789	
2	Restricted cash and cash equivalents	0	0	1,895,378	46,279	0	0	0	1,941,657	
3	Investments	71,438,849	0	0	0	35,181,713	0	0	106,620,562	
4	Accounts receivable, net	21,473,140	3,034,286	13,869,458	0	0	207,202	0	38,584,086	
5	Accrued interest and dividends receivable	379,964	0	0	0	0	0	0	379,964	
6	Notes receivable, net	471,567	0	0	0	0	14,339,230	0	14,810,797	
7	Prepaid expenses and deferred charges	1,514,537	39,030	0	0	0	0	0	1,553,567	
8	Inventories	758,252	1,435,008	0	0	0	0	0	2,193,260	
9	Capital assets, net	0	0	0	592,993,285	0	0	0	592,993,285	
10	Total assets	145,912,543	15,073,279	10,145,621	618,540,448	36,248,132	16,764,060	1,722,884	844,406,967	
LIABILITIES AND NET ASSETS Liabilities:										
11	Accounts payable and accrued liabilities	41,263,220	3,605,230	43,958	24,958	(484,308)	0	1,722,884	46,175,942	
12	Deferred revenue	1,465,243	12,732,384	4,881,821	(0)	0	0		19,079,448	
13	Refunds and other liabilities	1,404,456	74,764	0	32,609	0	0	0	1,511,829	
14	Capital lease obligations	0	0	0	492,460	0	0	0	492,460	
15	Bonds and notes payable	0	0	0	164,575,000	0	0	0	164,575,000	
16	Bond premium, net	0	0	0	4,791,063	0	0	0	4,791,063	
17	Refundable advances for federal student loans	0	0	0	0	0	8,175,823	0	8,175,823	
18	Total liabilities	44,132,919	16,412,378	4,925,779	169,916,090	(484,308)	8,175,823	1,722,884	244,801,565	
Net assets:							VICENS			
19	Current year net change	40,987,442	(7,379,467)	(3,045,376)	35,233,828	(11,871,671)	72,153	0	53,996,909	
20	Prior year net assets	60,792,182	6,040,368	8,265,218	413,390,530	48,604,111	8,516,084	0	545,608,493	
21	Total net assets	101,779,624	(1,339,099)	5,219,842	448,624,358	36,732,440	8,588,237	0	599,605,402	
22	Total liabilities and net assets	145,912,543	15,073,279	10,145,621	618,540,448	36,248,132	16,764,060	1,722,884	844,406,967	

Ohio University Statement of Net Assets - All Funds Unclassified and Unaudited As of June 30, 2008

		Current Funds Noncurrent Funds							
		Unrestri	cted	Restricted	Plant	Endowment (including	Loan	Agency	Total
ASSETS		Educational & Auxiliaries General (E&G)		Restricted	rianc	quasi)	Louii	Agency	Total
1	Cash and cash equivalents	6,160,306	8,934,486	1,040,538	27,686,296	1,102,795	2,684,669	1,241,120	48,850,210
2	Restricted cash and cash equivalents	0	0	2,003,098	83,405	0	0	0	2,086,503
3	Investments	80,955,244	0	0	0	47,501,316	0	0	128,456,560
4	Accounts receivable, net	28,523,978	2,158,949	10,889,351	1,529,629	0	207,202	0	43,309,109
5	Accrued interest and dividends receivable	384,594	0	0	0	0	0	0	384,594
6	Notes receivable, net	610,505	0	0	0	0	14,128,511	0	14,739,016
7	Prepaid expenses and deferred charges	13,208,871	91,394	0	0	0	0	0	13,300,265
8	Inventories	1,248,695	1,014,787	0	0	0	0	0	2,263,482
9	Capital assets, net	0	0	0	565,835,713	0	0	0	565,835,713
10	Total assets	131,092,193	12,199,616	13,932,987	595,135,043	48,604,111	17,020,382	1,241,120	819,225,452
LIABILITIES AND NET ASSETS									
	ilities:								
11	Accounts payable and accrued liabilities	46,904,153	4,018,405	1,698,096	4,634,591	0	21,886	1,241,120	58,518,251
12	Deferred revenue	21,525,043	654,054	3,969,673	4,458,466	0	0	0	30,607,236
13	Refunds and other liabilities	1,870,815	1,486,789	3,303,073	69,867	0	25,788	0	3,453,259
14	Capital lease obligations	1,070,013	1,100,709	0	438,027	0	25,700	0	438,027
15	Bonds and notes payable	0	0	0	166,965,000	0	0	0	166,965,000
16	Bond premium, net	0	0	0	5,178,561	0	0	ő	5,178,561
17	Refundable advances for federal student loans	0	0	o l	0	0	8,456,624	0	8,456,624
18	Total liabilities	70,300,011	6,159,248	5,667,769	181,744,512	0	8,504,298	1,241,120	273,616,958
		, ,	· · ·		· · ·			, ,	
Net assets:									
19	Current year net change	8,858,447	(5,719,681)	(1,471,553)	15,451,038	(1,456,628)	389,525	0	16,051,148
20	Prior year net assets	51,933,735	11,760,049	9,736,771	397,939,493	50,060,739	8,126,559	0	529,557,346
21	Total net assets	60,792,182	6,040,368	8,265,218	413,390,531	48,604,111	8,516,084	0	545,608,494
22	Total liabilities and net assets	131,092,193	12,199,616	13,932,987	595,135,043	48,604,111	17,020,382	1,241,120	819,225,452

Ohio University Statement of Net Assets - All Funds Unclassified and Unaudited Increase (Decrease) of April 30, 2009 over June 30, 2008

		(Current Funds						
		Unrestri	cted			Endowment			
ASSET	s	Educational & General (E&G)	Auxiliaries	Restricted	Plant	(including quasi)	Loan	Agency	Total
1	Cash and cash equivalents	43,715,928	1,630,469	(6,659,753)	(2,185,412)	(36,376)	(467,041)	481,764	36,479,579
2	Restricted cash and cash equivalents	0	0	(107,720)	(37,126)	0	0	0	(144,846)
3	Investments	(9,516,395)	0	0	0	(12,319,603)	0	0	(21,835,998)
4	Accounts receivable, net	(7,050,838)	875,337	2,980,107	(1,529,629)	0	0	0	(4,725,023)
5	Accrued interest and dividends receivable	(4,630)	0	0	0	0	0	0	(4,630)
6	Notes receivable, net	(138,938)	0	0	0	0	210,719	0	71,781
7	Prepaid expenses and deferred charges	(11,694,334)	(52,364)	0	0	0	0	0	(11,746,698)
8	Inventories	(490,443)	420,221	0	0	0	0	0	(70,222)
9	Capital assets, net	0	0	0	27,157,572	0	0	0	27,157,572
10	Total assets	14,820,350	2,873,663	(3,787,366)	23,405,405	(12,355,979)	(256,322)	481,764	25,181,515
LIABII	ITIES AND NET ASSETS								
Lia	bilities:								
11	Accounts payable and accrued liabilities	(5,640,933)	(413,175)	(1,654,138)	(4,609,633)	(484,308)	(21,886)	481,764	(12,342,309)
12	Deferred revenue	(20,059,800)	12,078,330	912,148	(4,458,466)	0	0	0	(11,527,788)
13	Refunds and other liabilities	(466,359)	(1,412,025)	0	(37,258)	0	(25,788)	0	(1,941,430)
14	Capital lease obligations	0	0	0	54,433	0	0	0	54,433
15	Bonds and notes payable	0	0	0	(2,390,000)	0	0	0	(2,390,000)
16	Bond premium, net	0	0	0	(387,498)	0	0	0	(387,498)
17	Refundable advances for federal student loans	0	0	0	0	0	(280,801)	0	(280,801)
18	Total liabilities	(26,167,092)	10,253,130	(741,990)	(11,828,422)	(484,308)	(328,475)	481,764	(28,815,393)
Ne	t assets:								
19	Current year net change	32,128,995	(1,659,786)	(1,573,823)	19,782,790	(10,415,043)	(317,372)	0	37,945,761
20	Prior year net assets	8,858,447	(5,719,681)	(1,471,553)	15,451,037	(1,456,628)	389,525	0	16,051,147
21	Total net assets	40,987,442	(7,379,467)	(3,045,376)	35,233,827	(11,871,671)	72,153	0	53,996,908
22	Total liabilities and net assets	14,820,350	2,873,663	(3,787,366)	23,405,405	(12,355,979)	(256,322)	481,764	25,181,515

		Original Actua Budget to Dat		Original Budget		Actuals as a Percent of Budget FY09 FY08		Increase/(Decrease) of actuals		Actuals as a Percent of Total Actuals		Budget as a Percent of Total Budget	
		FY09	FY09	FY08	FY08	YTD	YTD	over prior		FY09	FY08	FY09	FY08
R	REVENUES:	\$	\$	\$	\$	%	%	\$	%	%	%	%	%
1	Instructional fee	199,375,130	209,627,938	195,131,445	196,449,234	105.1%	100.7%	13,178,704	6.7%	51.2%	49.6%	45.4%	46.4%
2	General fee	31,136,904	33,149,749	35,557,162	36,366,787	106.5%	102.3%	(3,217,038)	-8.8%	8.1%	9.2%	7.1%	8.5%
3	Nonresident surcharge	26,675,542	27,108,634	24,117,921	24,996,951	101.6%	103.6%	2,111,683	8.4%	6.6%	6.3%	6.1%	5.7%
4	Other student fees	5,660,471	6,705,328	4,345,081	5,359,508	118.5%	123.3%	1,345,820	25.1%	1.6%	1.4%	1.3%	1.0%
5	State subsidy	148,358,641	121,586,872	135,226,143	112,064,164	82.0%	82.9%	9,522,708	8.5%	29.7%	28.3%	33.8%	32.2%
6	Sales and services	5,639,990	5,737,267	6,098,715	5,659,611	101.7%	92.8%	77,656	1.4%	1.4%	1.4%	1.3%	1.5%
7	Indirect cost recovery	7,220,000	5,304,535	6,817,107	5,460,259	73.5%	80.1%	(155,724)	-2.9%	1.3%	1.4%	1.6%	1.6%
8	Investment income	5,100,665	(8,234,858)	4,600,000	1,873,482	-161.4%	40.7%	(10,108,340)	-539.5%	-2.0%	0.5%	1.2%	1.1%
9	Other revenues	9,996,895	8,663,826	8,547,445	7,468,665	86.7%	87.4%	1,195,161	16.0%	2.2%	1.9%	2.2%	2.0%
10	Total revenues	439,164,238	409,649,291	420,441,019	395,698,661	93.3%	94.1%	13,950,630	3.5%	100.1%	100.0%	100.0%	100.0%
Е	XPENDITURES - functional view												
11	Instruction and departmental research	174,885,564	154,832,558	166,647,747	148,054,408	88.5%	88.8%	6,778,150	4.6%	45.1%	45.7%	42.9%	43.3%
12	Separately budgeted research	7,442,338	10,530,819	6,840,299	10,770,317	141.5%	157.5%	(239,498)	-2.2%	3.1%	3.3%	1.8%	1.8%
13	Public service	7,926,123	8,428,891	8,436,474	8,199,731	106.3%	97.2%	229,160	2.8%	2.5%	2.5%	1.9%	2.2%
14	Academic support	67,094,125	48,322,244	72,301,894	48,521,473	72.0%	67.1%	(199,229)	-0.4%	14.1%	15.0%	16.5%	18.8%
15	Student services and aid	65,094,759	59,464,210	60,635,324	57,520,421	91.4%	94.9%	1,943,789	3.4%	17.3%	17.7%	16.0%	15.8%
16	Institutional support	39,434,464	27,166,870	24,662,729	18,496,823	68.9%	75.0%	8,670,047	46.9%	7.9%	5.7%	9.7%	6.3%
17	Operation and maintenance of plant	40,035,244	34,222,639	38,673,581	32,737,440	85.5%	84.7%	1,485,199	4.5%	10.0%	10.1%	9.8%	10.0%
18	Central and academic reserves	5,515,424		6,754,074	<u> </u>	0.0%	0.0%			0.0%	0.0%	1.4%	1.8%
19	Total expenditures	407,428,041	342,968,231	384,952,122	324,300,613	84.2%	84.2%	18,667,618	5.8%	100.0%	100.0%	100.0%	100.0%
20 T	RANSFERS - Mandatory and Non-mandatory	31,736,197	25,693,618	35,488,897	26,141,153	81.0%	73.7%	(447,535)	-1.7%				
21	Total expenditures and transfers	439,164,238	368,661,849	420,441,019	350,441,766	83.9%	83.4%	18,220,083	5.2%				
22 C	CURRENT YEAR NET CHANGE TO NET ASSETS		40,987,442		45,256,895			(4,269,453)					

		Original Budget	Actuals to Date	Original Budget	Actuals to Date	Actuals as a of But		Increase/(Decrease of actuals					lget as a Percent of Total Budget	
		FY09	FY09	FY08	FY08	YTD	YTD	over prior	year	FY09	FY08	FY09	FY08	
		\$	\$	\$	\$	%	%	\$	%	%	%	%	%	
E	XPENDITURES - natural account view													
23	Salaries, wages and other compensation	224,530,806	190,306,218	211,107,877	179,229,571	84.8%	84.9%	11,076,647	6.2%	55.5%	55.3%	55.1%	54.8%	
24	Benefits	69,990,510	54,289,984	66,183,429	51,690,273	77.6%	78.1%	2,599,711	5.0%	15.8%	15.9%	17.2%	17.2%	
25	Scholarships	49,950,850	55,718,735	47,895,192	52,553,114	111.5%	109.7%	3,165,621	6.0%	16.2%	16.2%	12.3%	12.4%	
26	Miscellaneous	31,059,419	14,402,364	30,037,734	11,987,077	46.4%	39.9%	2,415,287	20.1%	4.3%	3.7%	7.4%	7.7%	
27	Information and communication	10,941,294	10,180,614	10,215,437	11,036,183	93.0%	108.0%	(855,569)	-7.8%	3.0%	3.4%	2.7%	2.7%	
28	Maintenance operations	10,911,060	10,733,896	10,673,015	11,147,881	98.4%	104.4%	(413,985)	-3.7%	3.1%	3.4%	2.7%	2.8%	
29	Supplies	9,286,055	7,968,659	8,843,209	9,679,750	85.8%	109.5%	(1,711,091)	-17.7%	2.3%	3.0%	2.3%	2.3%	
30	Travel and entertainment	7,416,813	7,195,805	8,016,203	8,650,530	97.0%	107.9%	(1,454,725)	-16.8%	2.1%	2.7%	1.8%	2.1%	
31	Utilities	12,046,794	9,560,560	9,752,096	8,088,062	79.4%	82.9%	1,472,498	18.2%	2.8%	2.5%	3.0%	2.5%	
32	Capitalized costs	2,826,844	4,944,990	3,280,685	4,613,102	174.9%	140.6%	331,888	7.2%	1.4%	1.4%	0.7%	0.9%	
33	Internal transfers	(27,047,828)	(22,333,594)	(27,806,829)	(24,374,930)	82.6%	87.7%	2,041,336	-8.4%	-6.5%	-7.5%	-6.6%	-7.2%	
34	Central and academic reserves	5,515,424	-	6,754,074	-	0.0%	0.0%	-		0.0%	0.0%	1.4%	1.8%	
35	Total expenditures	407,428,041	342,968,231	384,952,122	324,300,613	84.2%	84.2%	18,667,618	5.8%	100.0%	100.0%	100.0%	100.0%	
36 T	RANSFERS - Mandatory and Non-mandatory	31,736,197	25,693,618	35,488,897	26,141,153	81.0%	73.7%	(447,535)	-1.7%					
37	Total expenditures and transfers	439,164,238	368,661,849	420,441,019	350,441,766_	83.9%	83.4%	18,220,083						

Ohio University Statement of Revenues, Expenditures and Other Changes Current Funds - Unrestricted - Educational & General (E&G) Fiscal Year To Date through April 30, 2009 and April 30, 2008

		Original Budget		Original Budget	Actual to Date	Actuals as a of Bud		Increase/(De	•	Actuals as of Total		Budget as of Total	
		Budget FY09	to Date FY09	FY08	FY08	YTD	YTD	over prior		FY09	FY08	FY09	FY08
R	EVENUES:	\$	\$	\$	\$	%	%	\$	%	%	%	%	%
1	Instructional fee	199,375,130	209,627,938	195,131,445	196,449,234	105.1%	100.7%	13,178,704	6.7%	51.2%	49.6%	45.4%	46.4%
2	General fee	31,136,904	33,149,749	35,557,162	36,366,787	106.5%	102.3%	(3,217,038)	-8.8%	8.1%	9.2%	7.1%	8.5%
3	Nonresident surcharge	26,675,542	27,108,634	24,117,921	24,996,951	101.6%	103.6%	2,111,683	8.4%	6.6%	6.3%	6.1%	5.7%
4	Other student fees	5,660,471	6,705,328	4,345,081	5,359,508	118.5%	123.3%	1,345,820	25.1%	1.6%	1.4%	1.3%	1.0%
5	State subsidy	148,358,641	121,586,872	135,226,143	112,064,164	82.0%	82.9%	9,522,708	8.5%	29.7%	28.3%	33.8%	32.2%
6	Sales and services	5,639,990	5,737,267	6,098,715	5,659,611	101.7%	92.8%	77.656	1.4%	1.4%	1.4%	1.3%	1.5%
7	Indirect cost recovery	7,220,000	5,304,535	6,817,107	5,460,259	73.5%	80.1%	(155,724)	-2.9%	1.3%	1.4%	1.6%	1.6%
8	Investment income	5,100,665	(8,234,858)	4,600,000	1,873,482	-161.4%	40.7%	(10,108,340)	-539.5%	-2.0%	0.5%	1.2%	1.1%
9	Other revenues	9,996,895	8,663,826	8,547,445	7,468,665	86.7%	87.4%	1,195,161	16.0%	2.2%	1.9%	2.2%	2.0%
10	Total revenues	439,164,238	409,649,291	420,441,019	395,698,661	93.3%	94.1%	13,950,630	3.5%	100.1%	100.0%	100.0%	100.0%
E	XPENDITURES - planning unit view												
11	Arts and Sciences	65,225,219	54,902,030	62,217,856	53,692,727	84.2%	86.3%	1,209,303	2.3%	14.9%	15.3%	14.9%	14.8%
12	Business	15,062,282	12,190,951	15,452,184	12,260,585	80.9%	79.3%	(69,634)	-0.6%	3.3%	3.5%	3.4%	3.7%
13	Communications	14,874,361	12,393,592	14,463,887	12,102,996	83.3%	83.7%	290,596	2.4%	3.4%	3.5%	3.4%	3.4%
14	Education	10,542,647	9,035,937	10,439,344	8,273,052	85.7%	79.2%	762,885	9.2%	2.5%	2.4%	2.4%	2.5%
15	Engineering	17,148,145	15,824,119	16,640,059	15,154,849	92.3%	91.1%	669,270	4.4%	4.3%	4.3%	3.9%	4.0%
16	Fine Arts	16,543,816	14,103,797	16,354,961	13,349,012	85.3%	81.6%	754,785	5.7%	3.8%	3.8%	3.8%	3.9%
17	Health and Human Services	15,147,887	13,160,423	14,531,513	12,903,470	86.9%	88.8%	256,953	2.0%	3.6%	3.7%	3.4%	3.5%
18	Honors Tutorial	776,558	446,893	828,675	475,070	57.5%	57.3%	(28,177)	-5.9%	0.1%	0.1%	0.2%	0.2%
19	International Studies	1,903,267	1,839,880	2,274,341	2,213,360	96.7%	97.3%	(373,480)	-16.9%	0.5%	0.6%	0.4%	0.5%
20	Information Technology	17,513,670	14,018,656	16,885,698	13,807,221	80.0%	81.8%	211,435	1.5%	3.8%	3.9%	4.0%	4.0%
21	Library	12,152,119	9,810,245	11,991,695	9,702,923	80.7%	80.9%	107,322	1.1%	2.7%	2.8%	2.8%	2.9%
22	President	5,384,622	4,748,852	5,318,529	4,314,260	88.2%	81.1%	434,592	10.1%	1.3%	1.2%	1.2%	1.3%
23	Provost	30,693,340	26,632,902	28,089,772	25,026,829	86.8%	89.1%	1,606,073	6.4%	7.2%	7.1%	7.0%	6.7%
24	Scholarships	18,859,318	19,838,840	20,408,041	19,621,399	105.2%	96.1%	217,441	1.1%	5.4%	5.6%	4.3%	4.9%
25	Summer Sessions	451,626	356,756	558,636	357,092	79.0%	63.9%	(336)	-0.1%	0.1%	0.1%	0.1%	0.1%
26	Telecommunications Center	2,434,725	2,182,504	2,511,633	2,567,208	89.6%	102.2%	(384,704)	-15.0%	0.1%	0.1%	0.6%	0.1%
27	University College	3,324,967	2,162,304	2,387,002	2,366,202	89.0%	99.1%	592,123	25.0%	0.8%	0.7%	0.8%	0.6%
28	VP Finance and Administration	34,026,922	30,144,348	33,508,072	28,220,508	88.6%	84.2%	1,923,840	6.8%	8.2%	8.1%	7.7%	7.8%
29	VP Research	14,009,621	8,495,175	12,448,593	7,523,868	60.6%	60.4%	971,307	12.9%	2.3%	2.1%	3.2%	3.0%
30	VP Student Affairs	7,424,976	7,045,366	7,180,522	6,559,327	94.9%	91.3%	486,039	7.4%	1.9%	1.9%	1.7%	1.7%
31		7,424,976 3,545,602	7,045,366 3,015,512	7,180,522 3,141,592	6,559,327 2,627,044	94.9% 85.0%	91.3% 83.6%	486,039 388.468	7.4% 14.8%	0.8%	0.7%	0.8%	0.7%
32	VP University Advancement Voinovich School	3,545,602 781,147	1,631,760	3, 14 1,592 745,317	1,291,803	208.9%	173.3%	339,957	26.3%	0.6%	0.7%	0.8%	0.7%
32 33	College of Medicine	25,090,625	21,767,045	23,044,000	18,175,398	206.9% 86.8%	78.9%	3,591,647	26.3% 19.8%	5.9%	5.2%	5.7%	5.5%
34	University Outreach and Regional Campuses	67,869,000	56,016,012	65,278,100	54,705,404	82.5%	83.8%	1,310,608	2.4%	15.2%	15.6%	15.5%	15.5%
35	Central Pools and Other	38,377,776	26,101,929	33,740,997	23,150,159	68.0%	68.6%	2,951,770	12.8%	7.1%	6.7%	8.7%	8.0%
36	Total expenditures and transfers	439,164,238	368,661,849	420,441,019	350,441,766	83.9%	83.4%	18,220,083	5.2%	100.1%	100.0%	100.1%	100.0%
37 C	URRENT YEAR NET CHANGE TO NET ASSETS	-	40,987,442	-	45,256,895			(4,269,453)					

Ohio University Current Funds - Unrestricted - Auxiliaries Statement of Revenues, Expenditures and Other Changes Fiscal Year To Date through April 30, 2009 and April 30, 2008

[April 30, 2009				April 30, 2008	
	Original Budget	Year to Date Actuals	Percent of Budget Recognized	Auxiliary Unit	Original Budget	Year to Date Actuals	Percent of Budget Recognized
1 2 3 4 5 6 7	543,531 (1,424,075) 880,544 0	404,238 (1,143,040) 722,212 (16,590) (834,739) (851,329)	74.37% 80.27% 82.02%	Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	365,925 (1,244,595) 878,670 0	409,671 (1,203,055) 690,987 (102,397) (843,369) (945,766)	111.95% 96.66% 78.64%
8 9 10 11 12 13 14	1,101,016 (4,224,132) 3,123,116 0	880,252 (3,440,854) 2,120,922 (439,680) 1,240,097 800,417	79.95% 81.46% 67.91%	CAMPUS RECREATION Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	1,154,042 (5,605,553) 4,451,511 0	928,667 (5,103,371) 3,780,742 (393,962) 1,381,412 987,450	80.47% 91.04% 84.93%
15 16 17 18 19 20 21	0 (14,000) 14,000 0	0 (12,524) 12,524 0 0	89.46% 89.46%	CONVOCATION CENTER Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	0 (18,469) 18,469 0	0 (12,601) (56,485) (69,086) 0 (69,086)	68.23% -305.84%
22 23 24 25 26 27 28	4,191,000 (16,963,120) 12,772,120 0	2,621,695 (16,503,662) 10,511,333 (3,370,634) (4,731,465) (8,102,099)	62.56% 97.29% 82.30%	INTERCOLLEGIATE ATHLETICS Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	3,921,000 (18,597,133) 14,676,133 0	2,169,302 (16,522,657) 11,628,087 (2,725,268) (3,364,853) (6,090,121)	55.33% 88.85% 79.23%
29 30 31 32 33 34 35	1,353,504 (921,448) (432,056) 0	978,431 (722,115) (291,000) (34,684) 125,662 90,978	72.29% 78.37% 67.35%	PARKING SERVICES Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	820,000 (710,000) (110,000) 0	895,301 (809,689) (342,016) (256,404) 438,354 181,950	109.18% 114.04%
36 37 38 39 40 41 41	72,420,400 (67,942,088) (4,478,312) 0	61,342,952 (42,892,916) (20,731,798) (2,281,762) 7,186,503 4,904,741	84.70% 63.13% 462.94%	RESIDENCE and DINING Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	72,334,000 (67,760,000) (4,574,000) 0	55,752,970 (41,903,794) (20,041,016) (6,191,840) 11,985,418 5,793,578	77.08% 61.84% 438.15%
43 44 45 46 47 48 49	503,017 (2,061,386) 1,558,369 0	345,385 (1,707,592) 581,884 (780,323) 390,290 (390,033)	68.66% 82.84% 37.34%	STUDENT UNION Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	360,000 (2,769,353) 2,409,353 0	903,719 (2,253,742) 1,173,391 (176,632) (61,060) (237,692)	251.03% 81.38% 48.70%
50 51 52 53 54 55 56	763,700 101,300 (865,000) 0	2,084,305 (1,187,419) (1,336,969) (440,083) 2,664,021 2,223,938	272.92% -1172.18% 154.56%	TELEPHONE OPERATIONS Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	305,000 585,000 (890,000) 0	263,581 1,180,906 (850,955) 593,532 2,224,147 2,817,679	86.42% 201.86% 95.61%
57 58 59 60 61 62 63	0 0 0 0	94,762 (110,475) 0 (15,713) 0 (15,713)	100.00% 100.00% 0.00%	ATHENA CINEMA Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	0 0 0	0 0 0 0 0	0.00% 0.00% 0.00%
64 65 66 67 68 69 70	80,876,168 (93,448,949) 12,572,781 0	68,752,020 (67,720,597) (8,410,892) (7,379,469) 6,040,369 (1,339,100)	85.01% 72.47% -66.90%	TOTAL OF ALL AUXILIARIES Revenues Expenditures Net Transfers (In + /Out -) Current year net change to net assets Plus beginning of year net assets Net assets as of this report	79,259,967 (96,120,103) 16,860,136 0	61,323,211 (66,628,003) (4,017,265) (9,322,057) 11,760,049 2,437,992	77.37% 69.32% -23.83%



Interoffice Communication

Date: June 1, 2009

To: The President and Board of Trustees

From: William R. Decatur, Vice President for Finance and Administration and Treasurer

Re: Treasurer's Report

This mailing includes the Treasurer's Report materials which will be shared with the Board at the April meeting. Along with the presentation slides are the schedules containing information that is required by our investment policies to be presented on a quarterly basis.

Investment Performance Report

The investment performance report details the composite return for OHIO's long-term assets, the allocation index, also known as the benchmark return, and the policy index, which takes the target asset class weights times the return of the respective passive benchmark. The fiscal 2009 year-to-date composite return as of April 30, 2009 was -23.1% compared to a benchmark return of -22.4% and a policy index of -19.0%. The calendar year-to-date composite return as of April 30, 2009 was -0.5% compared to a benchmark return of -0.7% and a policy index of 0.9%. The target return goal for the portfolio is 8.7% with a standard deviation of 11.8%.

The materials include pie charts that depict asset allocation detail, by segment and by manager, for the University's and Foundation's endowment and non-endowment investments, and a table for the Investment Pool Composition.

Working Capital Performance Report

Working capital assets are also referred to as non-endowment investments, and are governed by a non-endowment investment policy. The policy specifies three tiers of liquidity: a Cash Pool which is invested in highly liquid instruments, a Liquidity Pool which is invested in fixed income investments with a longer duration, and a Diversified Pool which is invested using the endowment asset allocation strategy.

Investment Loan Fund Detail

Outstanding investment loans total \$3.2 million as of April 30, 2009. The investment loan program provides departments within the University an opportunity to borrow money from

the University's Diversified Pool of funds for purposes that advance the educational mission.

Bond Principal Outstanding

In addition to the required reports, the materials include a supplemental schedule depicting bond principal outstanding as of April 30, 2009. The University has issued tax-exempt and taxable bonds, and principal outstanding totals \$194.1 million. This includes \$29.5 million in non-recourse debt issued by a subsidiary of the Foundation for a student housing project, University Courtyard apartments. Though we include the non-recourse debt in the summary, it is not included in the total bonds and notes payable in the University's general ledger. The final graph shows the amortization of bond principal over time, assuming no additional debt is issued. On average, the University will retire \$6 million of principal annually, over the life of the bonds currently outstanding.

I will be happy to answer any questions you may have about the reports prior to or during the June Board meeting.

Ohio University and Foundation Assets

Investment Performance "Flash" Report

Periods Ending 04/30/2009



							Anr	ualized Ret	urns			
Target Weight	Weight in Fund		Last Month	Year to-Date	Fiscal YTD	Last Year	Three Years	Five Years	Since Inception	Inception* Date	Ending Market Value	Annual Fee*
100.0%	100.0%	Ohio Composite Account	5.1%	-0.5%	-23.1%	-25.6%	-5.6%	0.7%			\$277,211,194	
	85.1%	Composite x Private Holdings	6.2%	0.5%	-24.5%	-27.2%	-6.5%	-0.2%			\$235,799,663	
		Allocation Index	6.7%	-0.7%	-22.4%	-25.0%	-4.6%	2.0%				
		Policy Index	7.4%	0.9%	-19.0%	-22.0%	-3.9%	2.1%				
29.0%	26.9%	Domestic Equity Composite	10.9%	-1.3%	-28.8%	-33.2%	-10.7%	n/a			\$74,543,945	
21.0%	19.0%	Large - Cap Equity	9.5%	-2.2%	-29.9%	-34.9%	-10.6%	n/a			\$52,766,381	
20.5%	18.7%	Mellon CF Stock index Fund	9.6%	-2.3%	-30.1%	-35.1%	-10.7%	-2.6%	4.2%	Apr-92	\$51,883,091	0.08%
		S&P 500	9.6%	-2.5%	-30.3%	-35.3%	-10.8%	-2.7%	4.2%			
0.5%	0.3%	Ohio SEMG	7.8%	-1.3%	-30.2%	-33.1%	-9.4%	n/a	-2.0%	Apr-02	\$883,290	\$10,800 + 0.25%
		Russell 3000	10.5%	-1.4%	-30.5%	-35.0%	-10.9%	-2.3%	-2.3%			
8.0%	7.9%	Small-Cap Equity	14.5%	1.0%	-26.3%	-28.5%	-11.5%	n/a			\$21,777,564	
3.0%	3.2%	Royce Pennsylvania	18.0%	3.9%	-28.8%	-30.2%	-10.8%	n/a	0.6%	May-04	\$8,849,952	0.93%
		Russell 2000	15.5%	-1.8%	-28.3%	-30.7%	-12.7%	-1.5%	-1.8%			
2.5%	1.9%	Cadence	8.5%	-9.2%	-39.4%	-38.8%	-13.4%	n/a	-13.4%	Apr-06	\$5,373,918	1.00%
		Russell 2000 Growth	15.1%	3.9%	-29.9%	-30.4%	-12.1%	-1.7%	-12.1%			
2.5%	2.7%	Bernzott	15.0%	6.1%	-9.3%	-18.6%	n/a	n/a	-12.6%	Aug-06	\$7,553,694	0.85%
		Russell 2000 Value	15.9%	-6.9%	-26.6%	-31.4%	-13.5%	-1.4%	-14.6%			
18.0%	14.1%	International Equity Composite	13.4%	0.0%	-40.5%	-45.2%	-12.7%	n/a			\$39,209,978	
15.0%	11.6%	Developed International Equity	12.8%	-6.1%	-44.6%	-49.1%	-16.7%	n/a			\$32,091,052	
7.5%	5.6%	AllianceBernstein	16.4%	-3.6%	-47.9%	-53.5%	-18.8%	n/a	-18.8%	May-06	\$15,449,187	0.91%
7.5%	6.0%	New Star	9.7%	-8.2%	-41.7%	-44.9%	-14.6%	n/a	-14.6%	Apr-06	\$16,641,865	0.85%
		MS EAFE	12.8%	-2.9%	-38.3%	-42.8%	-12.3%	0.7%	-12.3%			
3.0%	2.6%	Emerging Markets Equity	15.9%	12.1%	-30.9%	-36.2%	n/a	n/a			\$7,118,926	
3.0%	2.6%	Aberdeen	15.9%	12.1%	-30.9%	-36.2%	n/a	n/a	3.3%	Jun-06	\$7,118,926	0.95%
		MSCI Emerging Markets	16.6%	17.7%	-37.7%	-42.9%	-5.5%	11.1%	-2.0%			

Ohio University and Foundation Assets

Investment Performance "Flash" Report

Periods Ending 04/30/2009



							Anr	nualized Ret	turns	_		
Target Weight	Weight in Fund		Last Month	Year to-Date	Fiscal YTD	Last Year	Three Years	Five Years	Since Inception	Inception* Date	Ending Market Value	Annual Fee*
13.6%	20.3%	Core Plus Fixed Income	1.4%	2.9%	6.0%	4.2%	6.8%	n/a			\$56,213,886	
13.3%	18.9%	PIMCo Total Return Fund	1.4%	2.9%	5.8%	4.0%	n/a	n/a	7.7%	Jun-06	\$52,269,010	0.43%
0.3%	0.4%	Student Fixed Income	0.4%	-0.1%	1.8%	1.1%	3.8%	n/a	3.7%	Mar-06	\$1,011,313	\$10,800
0.0%	1.1%	Cash Account									\$2,933,563	
		Barclays Aggregate	0.5%	0.6%	4.7%	3.8%	6.0%	4.8%				
17.0%	17.4%	Absolute Return Composite	0.6%	1.0%	-17.0%	-17.2%	-3.9%	n/a			\$48,241,712	
4.8%	5.2%	Arden	0.2%	1.6%	-16.9%	-16.1%	-2.9%	2.6%	2.6%	May-04	\$14,510,995	1.50%
4.8%	4.7%	Federal Street	0.4%	0.7%	-23.5%	-24.6%	-6.4%	n/a	-3.0%	Dec-05	\$13,106,038	1.03%
4.8%	5.5%	Mariner	1.1%	0.8%	-12.2%	-12.1%	-3.2%	n/a	-1.7%	Dec-05	\$15,173,614	1.50%
		HFRI FoF Index	0.7%	1.1%	-18.5%	-17.8%	-3.2%	1.7%				
		90 Days T-Bills + 3%	0.3%	1.0%	3.4%	4.1%	6.6%	6.3%				
		Barclays Aggregate	0.5%	0.6%	4.7%	3.8%	6.0%	4.8%				
2.6%	2.0%	Liberty View ¹								May-04	\$5,451,065	1.00%
5.4%	5.9%	Opportunistic Assets									\$16,281,991	
2.7%	3.1%	Post Advisory	4.1%	9.9%	-15.0%	n/a	n/a	n/a	-15.0%	Jul-08	\$8,500,727	1.50%
2.7%	2.8%	PIMCo DISCO								Jul-08	\$7,781,264	1.25%
	N/A**	Real Asset Composite	4.4%	1.7%	-50.8%	-46.0%	n/a	n/a			\$9,089,415	
	1.7%	Commonfund	1.7%	-2.5%	-53.1%	-46.8%	n/a	n/a	-29.2%	Oct-07	\$4,587,161	0.60%
	1.6%	Wellington	7.4%	6.4%	-48.2%	-45.3%	n/a	n/a	-30.3%	Oct-07	\$4,502,254	0.90%
		DJ AIG Commodities Index	0.7%	-5.6%	-52.3%	-46.5%	-11.7%	-2.8%	-27.6%			
		S&P 500	9.6%	-2.5%	-30.3%	-35.3%	-10.8%	-2.7%	-30.1%			

⁺Of the total market value of the long-term assets, \$233.6 million are Endowment investments.

^{*}Average annualized returns, unless fund inception date is within previous fiscal year.

Fiscal Year ends June 30. All returns are net of fee.

[^]Alternative Investments are reported on a quarter lag, updated on a current month basis for purchases and distributions.

^{**} Allocation to Real Assets is reflected in total on the Private Holdings Flash Report

The above returns are estimated based on month-end account balances and individual investment returns. Actual returns may vary.

¹⁻ Liberty View market value was written down by 40% from the 8/31/08 market value. As of 9/1/08, Liberty View's performance was eliminated from the Absolute Return Composite.

Ohio University and Foundation Assets

Investment Performance "Flash" Report (Private Holdings^)

Periods Ending 04/30/2009



							As of Valuation Date						
	Current Month Market Value (mill)	Actual Weight	Target Weight	Committed Capital (mill)	Vintage Year	Valuation Date	Market Value (mill)	Since Inception IRR	Cumulative Contributed Capital	Cumulative Distributions	Contributed Capital Since Valuation Date	Distributions Since Valuation Date	Fees*
Private Equity	<u>\$25.7</u>	<u>9.3%</u>	9.0%	<u>\$42.9</u>			<u>\$26.3</u>	<u>3.31</u>	\$33.3	<u>\$10.5</u>	\$0.7	<u>-\$1.5</u>	
AIG PEP II	\$2.4	0.9%		\$5.0	2001	12/31/08	\$2.3	13.64	\$4.9	\$5.3	\$0.0	\$0.0	1.00%
Adena	\$0.7	0.3%		\$2.4	2002	12/31/08	\$0.7	-25.19	\$2.4	\$0.2	\$0.0	\$0.0	3.00%
Altus	\$2.0	0.7%		\$2.0	2003	12/31/08	\$2.0	20.51	\$1.3	\$0.2		\$0.0	1.75%
AVP I	\$0.3	0.1%		\$1.1	1997	12/31/08	\$0.3	7.82	\$1.1	\$1.1	\$0.0	\$0.0	2.75%
AVP II	\$1.1	0.4%		\$2.5	2003	12/31/08	\$1.1	-4.51	\$2.5	\$0.9		\$0.0	2.00%
AVP III	\$0.8	0.3%		\$2.5	2004	12/31/08	\$0.7	-13.88	\$0.9	\$0.0	\$0.2	-\$0.1	2.50%
CRM	\$1.0	0.4%		\$2.0	2002	12/31/08	\$1.0	8.15	\$1.2	\$0.8		\$0.0	1.00%
DHI	\$3.8	1.4%		N/A	N/A	12/31/08	\$3.6	4.45	\$3.2	\$1.1	\$0.0	\$0.0	N/A
Kirtland	\$1.6	0.6%		\$3.0	2004	12/31/08	\$1.6	-12.16	\$2.2	\$0.0	\$0.0	\$0.0	$2.00\%^{^{+}}$
Lexington	\$2.9	1.1%		\$5.0	2005	12/31/08	\$2.9	-1.44	\$3.6	\$0.7	\$0.1	-\$0.1	Blended ⁺⁺
Lighthouse	\$3.8	1.4%		\$5.0	2007	12/31/08	\$3.8	-1.12	\$3.9	\$0.0	\$0.0	\$0.0	2.00%
Mesirow	\$0.4	0.1%		\$2.5	2008	12/31/08	\$0.3	-38.20	\$0.5	\$0.0	\$0.1	\$0.0	1.00%
Paul Capital	\$0.4	0.2%		\$2.5	2008	12/31/08	\$0.4	-9.92	\$0.5	\$0.1	\$0.0	\$0.0	2.00%
Siguler Guff DOF II	\$3.6	1.3%		\$5.0	2005	12/31/08	\$4.8	8.71	\$4.3	\$0.1	\$0.2	-\$1.4	1.00%
Siguler Guff DOF III	\$0.8	0.3%		\$2.5	2008	12/31/08	\$0.7	-9.85	\$0.8	\$0.0	\$0.1	\$0.0	1.00%
Real Assets	<u>\$17.0</u>	<u>6.1%</u>	8.0%	<u>\$18.2</u>			<u>\$7.5</u>	4.32	\$9.7	<u>\$2.8</u>	\$0.5	<u>-\$0.1</u>	
AIG HighStar	\$3.2	1.2%		\$4.2	2007	12/31/08	\$3.2	-11.26	\$3.4	\$0.0	\$0.0	\$0.0	1.50%
Oaktree REOF III	\$1.7	0.6%		\$3.0	2002	12/31/08	\$1.8	11.94	\$3.0	\$2.7	\$0.0	-\$0.1	1.25%
Oaktree REOF IV	\$2.5	0.9%		\$6.0	2008	12/31/08	\$2.1	-31.32	\$2.6	\$0.0	\$0.4	\$0.0	1.25%
Transwestern Mezz. III	\$0.5	0.2%		\$5.0	2008	12/31/08	\$0.5	-12.48	\$0.7	\$0.1	\$0.0	\$0.0	1.50%
Commonfund	\$4.6	1.7%		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.60%
Wellington	\$4.5	1.6%		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.90%
Opportunistic	<u>\$16.3</u>	<u>5.9%</u>	<u>5.4%</u>	<u>\$10.0</u>			<u>\$6.8</u>	<u>N/A</u>	<u>\$7.5</u>	<u>\$0.0</u>	<u>\$1.0</u>	<u>\$0.0</u>	
PIMCo DiSCO	\$7.8	2.8%		\$10.0	2008	12/31/08	\$6.8	N/A	\$7.5	\$0.0	\$1.0	\$0.0	1.25%
Post Advisory	\$8.5	3.1%		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.50%
Non-pooled Assets													
DHI	\$3.6			N/A		12/31/08	\$3.4	N/A	\$0.2	\$1.4	\$0.0	\$0.0	
OU Inn	\$2.8			N/A		12/31/08	\$2.8	N/A	\$7.6	\$4.8	\$0.0	\$0.0	
HFO	\$0.0			N/A		12/31/08	\$0.0	N/A	\$0.0	\$1.4	\$0.0	\$0.0	

[^] Results are reported net of all fees. Alternative Investments are reported on a quarter lag, updated on a current month basis for purchases and distributions.

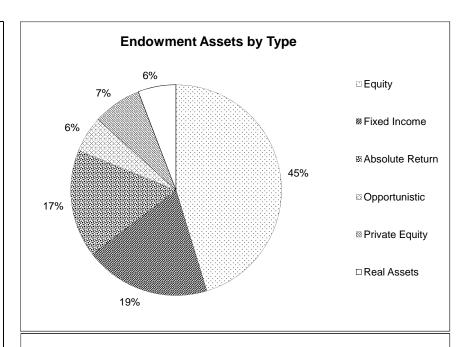
^{*} Includes management fee only and is based on committed capital unless otherwise noted. Definitions of Management Fee differ by manager. Does not include performance carry.

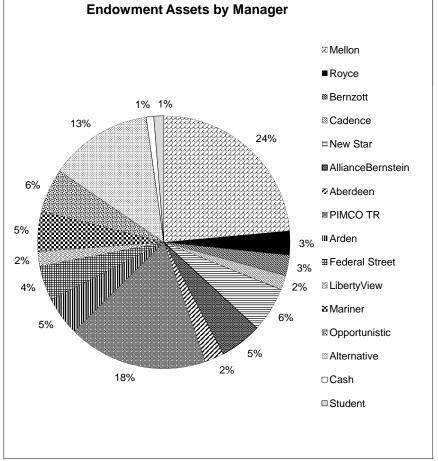
⁺ Management fee will be reduced to 1.75% at the end of the investment period.

⁺⁺ For committed years 1-5: 1.00% on secondaries, 0.50% on primaries; threafter, 0.85% on secondaries 0.50% on primaries on reported value plus unfunded commitments.

Ohio University Endowment Investments As of April 30, 2009

		0
Investigation	Enday	Quasi
Investment	<u>Endowment</u>	<u>Endowment</u>
Equity		
Mellon CF Stock Index	4,596,466	4,203,256
Ohio SEMG	59,077	54,742
Royce Small Cap	606,979	542,891
Cadence Small Cap	357,313	333,784
Bernzott Small Cap	516,031	465,227
AllianceBernstein	1,054,704	949,445
New Star	1,116,818	1,029,582
Aberdeen	487,751	436,882
Total Equity	8,795,140	8,015,809
	0,100,110	
Fixed Income		
PIMCO Total Return	3,403,424	3,270,178
Ohio SFIMG	65,814	63,577
Short Term Cash	63,596	300,202
Total Fixed Income	3,532,834	3,633,957
	, , , , , , , , , , , , , , , , , , , ,	
Absolute Return		
Arden	939,108	910,045
Federal Street	846,558	822,510
LibertyView	353,076	341,753
Mariner	984,280	950,790
Total Absolute Return	3,123,022	3,025,097
Opportunistic		
PIMCO DISCO	425,977	554,373
Post	555,431	531,242
Total Opportunistic	981,408	1,085,615
Private Equity		
Adena Ventures	167,068	188,748
AIG PEP II	150,667	149,441
Altus	138,184	124,680
Cramer Rosenthal McGlyn	64,445	64,322
Kirtland	103,210	100,840
Lexington	187,921	183,502
Lighthouse	246,407	238,889
Mesirow	24,480	23,911
Paul Capital	27,067	26,624
Siguler Guff DOF II	223,259	226,995
Siguler Guff DOF III	47,940	59,373
Total Private Equity	1,380,647	1,387,326
Bart Assets		
Real Assets	001000	000 04=
AIG Highstar	204,803	202,917
Commonfund	298,913	284,391
Oaktree REOF III	104,846	104,496
Oaktree REOF IV	164,571	158,726
Transwestern	30,281	31,434
Wellington	301,095	278,692
Total Real Assets	1,104,508	1,060,655
Total Endamment Assets	10 017 550	10 000 400
Total Endowment Assets	18,917,559	18,208,460
Grand Total	27 126 010	
Grand Total	37,126,019	

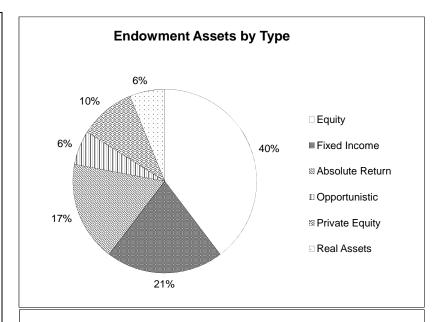


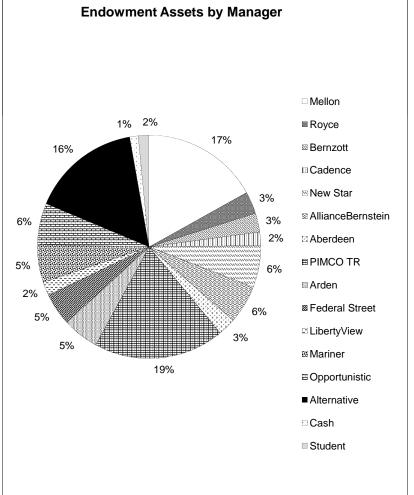


The Ohio University Foundation Endowment Investments

As of April 30, 2009

Investment	<u>Amount</u>
Equity	
Mellon CF Stock Index	33,546,131
Ohio SEMG	633,653
Royce Small Cap	6,356,618
Cadence Small Cap	3,854,651
Bernzott Small Cap	5,423,944
AllianceBernstein	11,093,846
New Star	11,941,070
Aberdeen	5,113,298
Total Equity	77,963,211
Fixed Income	
PIMCO Total Return	37,459,821
Ohio SFIMG	726,413
Short Term Cash	2,434,678
Total Fixed Income	40,620,912
Absolute Return	40.000.004
Arden	10,396,984
Federal Street	9,389,550
LibertyView	3,905,729
Mariner	10,872,727
Total Absolute Return	34,564,991
Opportunistic	
PIMCO DISCO	5,726,697
Post	6,093,396
Total Opportunistic	11,820,093
Private Equity	
AIG PEP II	1,693,479
Altus	1,456,001
Athenian Venture Partners I	322,235
Athenian Venture Partners II	1,083,648
Athenian Venture Partners III	778,416
Cramer Rosenthal McGlynn	728,440
DHI	3,582,080
Kirtland	1,149,234
Lexington	2,053,304
Lighthouse	2,728,826
Mesirow	272,505
Paul Capital	311,524
Siguler Guff DOF II	2,556,866
Siguler Guff DOF III	621,884
Total Private Equity	19,338,443
Pool Accets	
Real Assets AIG Highstar	2,302,994
Commonfund	3,271,389
Oaktree REOF III	1,183,896
Oaktree REOF IV	1,815,739
Transwestern	351,960
Wellington	3,230,103
Total Real Assets	12,156,081
	· · · · · · · · · · · · · · · · · · ·
Total Endowment Assets	100 100 701
Total Endowment Assets	196,463,731





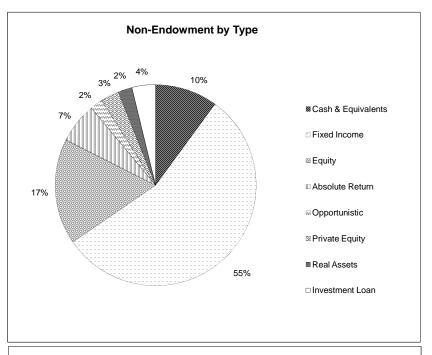
Ohio University and Foundation Working Capital - Preliminary Investment Performance Report As of April 30, 2009

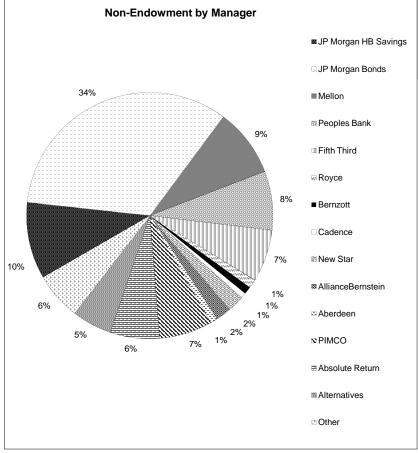
			Rates of Return (%)						
	Total Market	Actual		Calendar	Fiscal				
	Value (mill) ⁺	Weight	1 Mo.	YTD	YTD	1 Year	3 Yrs		
COMPOSITE OU	<u>\$49.5</u>	<u>100.0%</u>	0.24%	<u>1.14%</u>	<u>2.92%</u>	3.24%	<u>4.96%</u>		
JP Morgan Core Bond Fund	\$28.5	57.6%	0.47%	2.83%	4.42%	4.73%	6.05%		
People's Bank Core Bond Fund	\$6.6	13.4%	0.82%	0.98%	4.12%	4.05%	5.47%		
Fifth Third Fixed Income Fund	\$5.8	11.8%	0.17%	0.31%	5.03%	4.93%	5.84%		
Barclays Aggregate			0.48%	0.60%	4.69%	3.84%	6.01%		
JP Morgan High Balance Savings	\$8.6	17.3%	0.01%	0.15%	1.19%	1.57%	3.88%		
90 Day T-Bills			0.03%	0.08%	0.93%	1.15%	3.51%		
COMPOSITE OUF	<u>\$0.0</u>	100.0%	0.01%	0.19%	1.01%	1.32%	3.09%		
JP Morgan High Balance Savings	\$0.0	100.0%	0.01%	0.19%	1.01%	1.32%	3.02%		
90 Day T-Bills			0.03%	0.08%	0.93%	1.15%	3.51%		

The working capital investments included in this report are Cash and Liquidity Pool only; the Diversified Pool component of working capital is included with the Flash Report.

Ohio University Non-Endowment Investments As of April 30, 2009

Cash Pool	Amount 8,550,815	Actual % 10.1%	Target % 25.0%
Liquidity Pool	40,926,605	48.1%	25.0%
Diversified Pool	35,597,126	41.8%	50.0%
Total Working Capital Investments	85,074,547	100.0%	100.0%
Cash Pool			
JPMorgan High Balance Savings	8,550,815		
Total Cash Pool	8,550,815	10.1%	25.0%
Liquidity Pool			
JPMorgan Core Bond	28,488,389		
Peoples Bank	6,595,644		
Fifth Third Bank	5,842,572	40.407	0= 00/
Total Liquidity Pool	40,926,605	48.1%	25.0%
Diversified Pool			
Equity Mellon CF Stock Index	7,602,204		
Ohio SEMG	99,074		
Royce Small Cap	979,626		
Cadence Small Cap	604,628		
Bernzott Small Cap AllianceBernstein	837,054		
New Star	1,714,404 1,863,699		
Aberdeen	788,457		
Total Equity	14,489,145	17.0%	18.8%
Fixed Income			
PIMCO Total Return	5,945,422		
Ohio SFIMG	114,838		
Short Term Cash	119,451		
Total Fixed Income	6,179,712	7.2%	6.0%
Absolute Return			
Arden	1,655,522		
Federal Street	1,496,660		
LibertyView Mariner	621,635 1,729,109		
Total Absolute Return	5,502,926	6.5%	6.4%
Opportunistic			
PIMCO DISCO	785,887		
Post	965,184		
Total Opportunistic	1,751,071	2.1%	6.0%
Private Equity			
Adena Ventures	348,093		
AIG PEP II	268,276		
Altus Cramer Rosenthal McGlynn	225,188 117,342		
Kirtland	183,591		
Lexington	332,765		
Lighthouse	434,597		
Mesirow	43,532		
Paul Capital Siguler Guff DOF II	48,504 414,817		
Siguler Guff DOF III	85,531		
Private Equity	2,502,235	2.9%	3.4%
Real Assets			
AIG Highstar	369,924		
Commonfund	523,537		
Oaktree REOF III	190,605		
Oaktree REOF IV Transwestern	288,616 57,551		
Wellington	505,291		
Total Real Assets	1,935,524	2.3%	3.0%
Investment Loan Fund	3,236,513	3.8%	12.5%
Total Diversified Pool	35,597,126	41.8%	50.0%
Grand Total	85,074,547	100.0%	100.0%

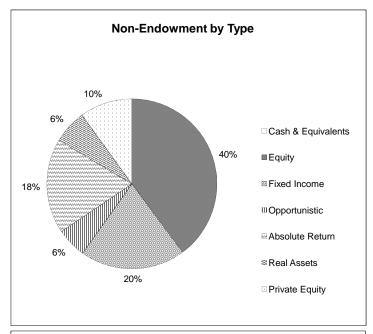


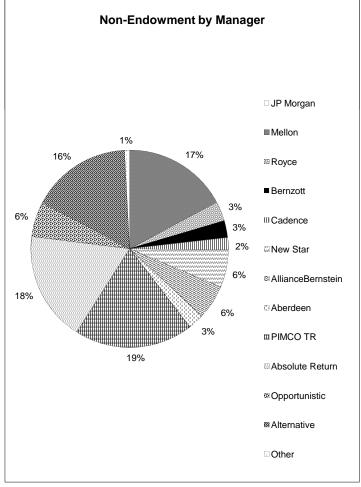


The Ohio University Foundation Non-Endowment Investments

As of April 30, 2009

	<u>Amount</u>	Actual %	Target %
Cash Pool	471	0.0%	25.0%
Diversified Pool	11,260,829	100.0%	75.0%
Total Working Capital Investments	11,261,300	100.0%	100.0%
Cash Pool	474		
JPMorgan High Balance Savings	471	0.00/	05.00/
Total Cash Pool	471	0.0%	25.0%
Diversified Pool			
Equity			
Mellon CF Stock Index	1,935,032		
Ohio SEMG	36,744		
Royce Small Cap	363,837		
Cadence Small Cap	223,543		
Bernzott Small Cap	311,438		
AllianceBernstein	636,789		
New Star	690,697		
Aberdeen	292,538		
Total Equity	4,490,618	39.9%	
- · · ·			
Fixed Income	2 400 404		
PIMCO Total Return Ohio SFIMG	2,190,164		
Short Term Cash	40,671		
Total Fixed Income	15,635 2,246,469	19.9%	
Total Lixed Income	2,240,409	19.976	
Absolute Return			
Arden	609,337		
Federal Street	550,760		
LibertyView	228,872		
Mariner	636,708		
Total Absolute Return	2,025,677	18.0%	
Opportunistic			
PIMCO DISCO	288,330		
Post	355,473		
Total Opportunistic	643,803	5.7%	
Drivete Favity			
Private Equity AIG PEP II	00 600		
Aldre	98,689 83,396		
Athenian Venture Partners I	19,410		
Athenian Venture Partners II	37,823		
Athenian Venture Partners III	40,408		
Cramer Rosenthal McGlynn	43,098		
DHI	208,506		
Kirtland	67,543		
Lexington	157,077		
Lighthouse	159,989		
Mesirow	16,040		
Paul Capital	9,128		
Siguler Guff DOF II	152,147		
Siguler Guff DOF III	31,455		
Total Private Equity	1,124,709	10.0%	
Deel Access			
Real Assets	405.040		
AIG Highstar	135,946		
Commonfund Oaktree REOF III	208,931		
Oaktree REOF IV	70,028 106,500		
Transwestern	21,075		
Wellington	187,074		
Total Real Assets	729,553	6.5%	
1	. 20,000	3.070	
Total Diversified Pool	11,260,829	100.0%	75.0%
			_
Grand Total	11,261,300	100.0%	100.0%





Investment Pool Composition As of April 30, 2009 Market Values in millions

Asset Type/Manager	Foundation Endowment	University Endowment	Total Endowment	Foundation Diversified Pool	University Diversified Pool	Total Diversified Pool	Total Long-Term Assets	Foundation Working Capital	University Working Capital
Large-Cap Equity Mellon Stock Index Fund Ohio SEMG	\$33.5 0.6	\$8.8 0.1	\$42.3 0.7	\$1.9 0.0	\$7.6 0.1	\$9.5 0.1	\$51.9 0.9	- -	
Small-Cap Equity Royce Pennsylvania Fund	6.4	1.1	7.5	0.4	1.0	1.3	8.8	-	-
Cadence	3.9	0.7	4.5	0.2	0.6	0.8	5.4	-	-
Bernzott	5.4	1.0	6.4	0.3	0.8	1.1	7.6	-	-
International Equity AllianceBernstein	11.1	2.0	13.1	0.6	1.7	2.4	15.4	-	_
New Star	11.9	2.1	14.1	0.7	1.9	2.6	16.6	-	-
Aberdeen	5.1	0.9	6.0	0.3	0.8	1.1	7.1	-	-
Fixed Income PIMCO Total Return	37.5	6.7	44.1	2.2	5.9	8.1	52.3	-	-
Ohio SFIMG	0.7	0.1	0.9	0.0	0.1	0.2	1.0	-	-
Cash	2.4	0.4	2.8	0.0	0.1	0.1	2.9	-	-
Absolute Return Arden	10.4	1.8	12.2	0.6	1.7	2.3	14.5		
Federal Street	9.4	1.7	11.1	0.6	1.7	2.0	13.1	-	_
LibertyView	3.9	0.7	4.6	0.2	0.6	0.9	5.5	-	_
Mariner	10.9	1.9	12.8	0.6	1.7	2.4	15.2	-	-
Private Equity Adena	_	0.4	0.4	-	0.3	0.3	0.7		
AIG PEP II	1.7	0.4	2.0	0.1	0.3	0.4	2.4	-	_
Altus	1.5	0.3	1.7	0.1	0.2	0.3	2.0	-	-
AVPI	0.3	-	0.3	0.0	-	0.0	0.3	-	-
AVP II	1.1	-	1.1	0.0	-	0.0	1.1	-	-
AVP III	0.8	-	0.8	0.0	-	0.0	0.8	-	-
CRM DHI	0.7 3.6	0.1	0.9 3.6	0.0 0.2	0.1	0.2 0.2	1.0 3.8	-	-
Kirtland	1.1	0.2	1.4	0.1	0.2	0.3	1.6	_	_
Lexington	2.1	0.4	2.4	0.2	0.3	0.5	2.9	-	-
Lighthouse	2.7	0.5	3.2	0.2	0.4	0.6	3.8	-	-
Mesirow	0.3	0.0	0.3	0.0	0.0	0.1	0.4	-	-
Paul Capital Siguler Guff DOF II	0.3 2.6	0.1 0.5	0.4 3.0	0.0 0.2	0.0 0.4	0.1 0.6	0.4 3.6	-	-
Siguler Guff DOF III	0.6	0.5	0.7	0.2	0.4	0.0	0.8	-	-
Real Assets									
AIG Highstar	2.3	0.4	2.7	0.1	0.4	0.5	3.2	-	-
Commonfund Commoditie	3.3	0.6	3.9	0.2	0.5	0.7	4.6	-	-
Oaktree REOF III	1.2	0.2	1.4	0.1	0.2	0.3	1.7	-	-
Oaktree REOF IV Transwestern	1.8 0.4	0.3	2.1 0.4	0.1 0.0	0.3	0.4	2.5 0.5	-	-
Wellington Commodities	3.2	0.1 0.6	3.8	0.0	0.1 0.5	0.1 0.7	4.5	-	-
Opportunistic									
Pimco Disco	5.7	1.0	6.7	0.3	0.8	1.1	7.8		
Post Advisory	6.1	1.1	7.2	0.4	1.0	1.3	8.5	-	-
Sub-total	<u>\$196.5</u>	<u>\$37.1</u>	<u>\$233.6</u>	<u>\$11.3</u>	<u>\$32.4</u>	<u>\$43.6</u>	<u>\$277.2</u>	<u>\$0.0</u>	<u>\$0.0</u>
Non-Pooled Endowment As		Ī	4.0				4.0	2.0	
DHI Inn-Ohio of Athens	1.2 2.8	-	1.2 2.8	-	-		1.2 2.8	3.6	
Maine property	0.2	-	0.2	-	-	-	0.2	-	-
Insurance surrender value	1.6	-	1.6	-	-	-	1.6	-	-
Sub-total	<u>\$202.2</u>	<u>\$37.1</u>	<u>\$239.3</u>	<u>\$11.3</u>	<u>\$32.4</u>	<u>\$43.6</u>	<u>\$283.0</u>	<u>\$3.6</u>	<u>\$0.0</u>
Cash Pool								0.0	8.6
Liquidity Pool								-	40.9
Total	<u>\$202.2</u>	<u>\$37.1</u>	<u>\$239.3</u>	<u>\$11.3</u>	<u>\$32.4</u>	<u>\$43.6</u>	<u>\$283.0</u>	<u>\$3.6</u>	<u>\$49.5</u>

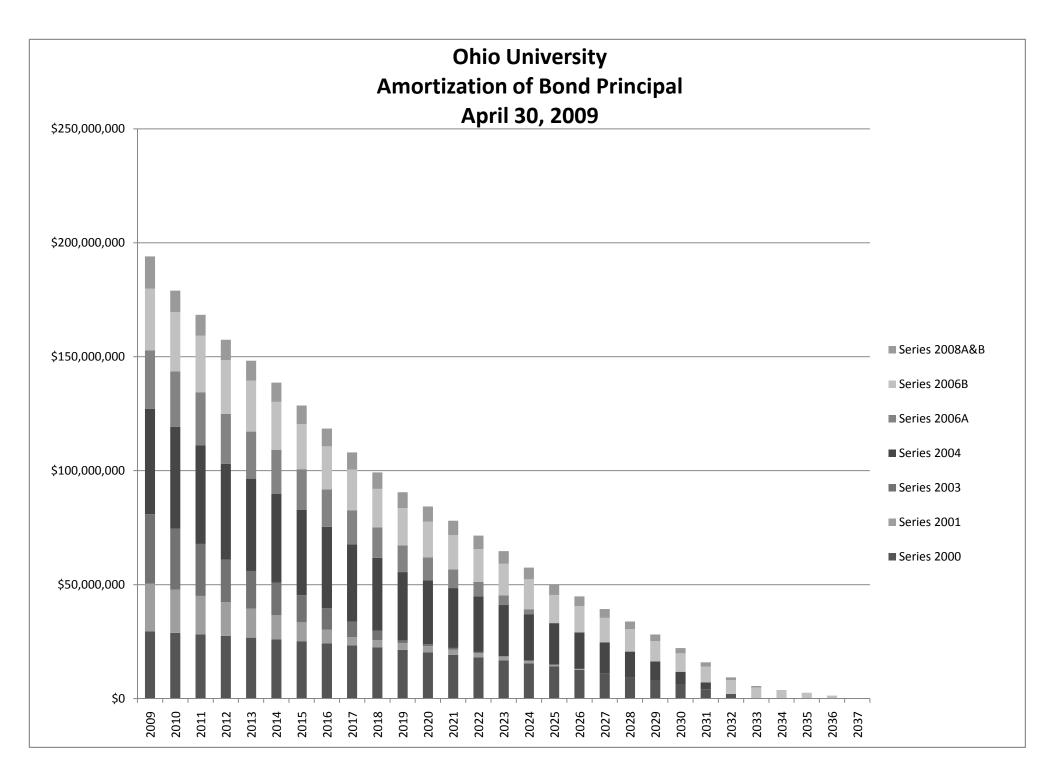
Ohio University
Investment Loan Fund
April 30, 2009

Investment Loan	Loan Approval Date	Loan Expiration Date	Authorized Draw Amount	Interest	Payments	Net Outstanding
- Airport T Hangars	Nov 2001	Feb 2012	300,000	89,846	184,404	205,442
- Center for Auto ID	Jun 2002	Jun 2012	177,100	42,212	139,809	79,504
- Performance Contract Ph IIB	July 2002	Nov 2009	1,276,818	282,328	1,415,762	143,383
- Broadband Antenna Tower - Cambridge	May 2003	Jun 2026	595,200	139,119	189,265	545,054
- Airport 2 Aviation Training Upgrade	May 2003	Apr 2011	432,267	73,937	428,932	77,272
- Bob Wren Stadium Lights	Jun 2003	Jun 2015	281,121	0	101,625	179,496
- NASA ACTS Satellite Ph II	May 2005	Apr 2010	384,375	56,085	354,523	85,937
- Student Training 7 Piper Warriors	Jan 2005	May 2020	1,134,000	228,300	448,443	913,857
- Performance Contract Ph IV	Apr 2005	Nov 2013	1,267,821	238,722	499,975	1,006,567
Total			5,848,702	1,150,549	3,762,738	3,236,513

Ohio University Bond Principal Outstanding as of April 30, 2009

		T	T
Series 2000	Series 2001	Series <u>2003</u>	Series 2004
<u>\$29,500,000</u>	\$20,910,000	\$30,500,000	<u>\$46,285,000</u>
Series 2000 Project Housing for Ohio, Inc. University Courtyard Apartments	Series 2001 Projects Airport Terminal 1 Athena Theater Bentley Hall Peden Stadium Field Lowering Innovation Center Margaret Walter Hall King Air Motor Pool Bromley Hall Utilities Upgrade Airport Terminal 2** Fine Arts Studio** HR Training Center** Performance Contracting** Carin Strength & Conditioning Center**	Series 2003 Projects Ping Student Recreation Center Residence & Dining Halls Margaret Walter Hall Pickerington Center University Center Avionics Engineering Center ARC Design Work Convocation Center**	Series 2004 Projects University Center King Air 350 Lausche 15 Year Project Performance Contracting B Lausche 2 Year Project** Performance Contracting A**
Series 2006A	Series <u>2006B</u>	Series 2008A & B	Grand <u>Total</u>
<u>\$25,575,000</u>	<u>\$27,075,000</u>	<u>\$14,230,000</u>	<u>\$194,075,000</u>
Series 2006A Projects Chilled Water Loop Recreation Facilities Child Care Center Grover Center Regional Campuses W. State Street Lab Stores/Receiving Demolition Track & Turf Field HR Training Center	Series 2006B Projects Residence Hall Student Information System Performance Contract	Series 2008A & B Projects Administrative Systems BANS HDL Center HDL Center - Taxable Portion	

^{** =} Outstanding debt has been retired



Treasurer's Report April, 2009

Treasurer's Report Agenda



- Investment Performance Report
- Working Capital Performance Report
- Investment Pool Composition
- Investment Loan Fund Detail
- Bond Principal Outstanding



Treasurer's report

- Covers status of OHIO's financial assets and debt obligations
- •Schedules provided in the advance materials summarize information required by the investment policies
- •Schedules are presented to the board at every meeting

Investment performance report

•outlines historical performance of the endowment assets and the diversified pool portion of the working capital

Working capital performance report

•details historical performance of the most liquid working capital assets – the cash pool and the liquidity pool

Investment Pie-charts and Tables

- Depict information about the asset allocation and manager structure of the endowment and working capital assets
- Investment Pool Composition table shows a detailed summary of investments by source

Investment Loan Fund

•provides short-term loans to various OU departments to help further the educational mission of the university

Bonds

- Summary of outstanding bonds and the total principal remaining on each issue is included
- A graph depicting the amortization of each bond series over time is also included

Treasurer's Report As of April 30, 2009



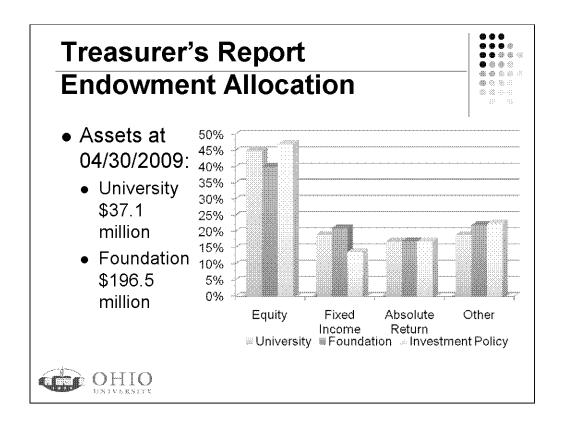
Investment Performance:	<u>FYTD</u>	<u>CYTD</u>
 Ohio University Composite 	-23.1%	-0.5%
 Allocation Index 	-22.4%	-0.7%
 Policy Index 	-19.0%	0.9%
 Composite x Private Holdings 	-24.5%	0.5%

Asset Allocation vs. Policy Target:

 Large Cap Equity vs. Policy 	19.0% vs 21.0%
 Private Equity vs. Policy 	9.3% vs 9.0%
 Real Assets vs. Policy 	6.1% vs 8.0%
Remaining Assets vs. Policy	65.6% vs 62.0%



- •Ohio University Composite represents overall performance of endowment and diversified pool investments
- Shows Composite return vs. three benchmarks: Allocation Index, Policy Index and Composite return excluding private equity and real asset investments
- Composite returns and the indices are calculated by NEPC
- Composite return is calculated by multiplying actual asset class weights by actual manager net returns
- Allocation Index is calculated by multiplying actual asset class weights by passive benchmark returns; measures effectiveness of managers
- Policy Index is calculated by multiplying target asset class weights by passive benchmark returns; measures benefit of active management and effectiveness of deviations from policy weights
- Composite return excluding private equity and real asset investments shows contribution of the alternative investments to overall return
- FYTD, return was 140 bps greater with the alternative investments
- Certain private equity and real asset investments are beginning to make significant distributions
- However, some early vintage year funds have not met expectations
- Composite return lagged Allocation index and Policy index for FYTD through April 30, 2009
- Expect that the portfolio will outperform the Allocation Index in the long-run
- Due to recent market turmoil, portfolio is underweight large cap equity and real assets, but overweight private equity and fixed income
- Recent investments have been made in real assets. As these investments are called, will move closer to target allocation
- Also, will add funds to underweight asset classes as new donations are added to the investment pool



- In materials, endowment allocation information presented on two separate pages with pie charts, one for OHIO and one for OUF
- Bar chart here compares allocations for OHIO, OUF and investment policy
- OUF and OHIO have about the same allocation to each broad asset class
- "Other" includes private equity, real assets, and opportunistic investments, with target weights of 9%, 8%, and 5.4%
- Recent decline in public equity market caused overweight to fixed income and underweight to equity
- Rebalancing will be done gradually, as new funds are added and spending transfers are withdrawn

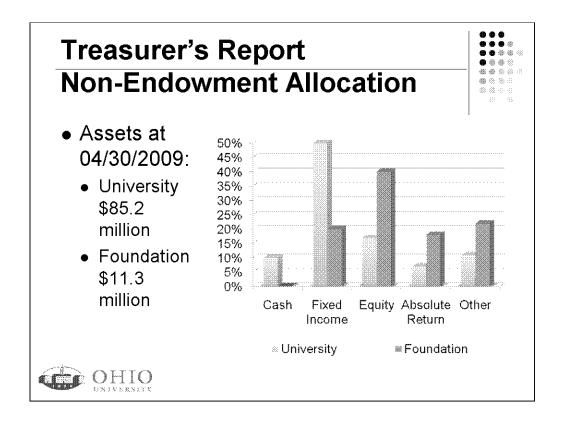
Treasurer's Report Working Capital at 04/30/2009



•	Ohio University Cash Pool	<u>FYTD</u>	<u>CYTD</u>	
	• JPMorgan High Balance Savings	1.19%	0.15%	
	• 90 Day T-Bills	0.93%	0.08%	
•	Ohio University Liquidity Pool			
	 JPMorgan Core Bond Fund 	4.42%	2.83%	
	 People's Bank Core Bond Fund 	4.12%	0.98%	
	 Fifth Third Fixed Income Fund 	5.03%	0.31%	
	 Barclays Aggregate 	4.69%	0.60%	



- Working capital performance report prepared by NEPC
- Shows historical performance of the cash and liquidity pools.
- Cash pool invested in highly liquid, low-risk instruments (e.g., very high-quality, short-term fixed income and money markets)
- Non Endowment Investment Policy requires use of 90 Day T-Bills as benchmark for cash pool manager
- Cash pool manager has outperformed benchmark for FYTD and CYTD
- Liquidity pool invested in fixed income instruments (e.g. US Government Bonds/Notes, US Agencies, Corporate Bonds, etc.)
- Benchmark is Barclays Aggregate Index
- •JPMorgan and Peoples lagged the benchmark for FYTD, but outperformed CYTD
- Fifth Third outperformed FYTD, but has lagged CYTD
- Staff monitors performance of short term assets and will work with Committee, should issues arise



- Non-Endowment asset allocation for OHIO differs widely from that of OUF
- •OHIO has much greater need for liquidity to manage the cash outflows related to large expenses, especially payroll
- Diversified pool portion of OHIO's working capital is targeted at 50% of the total
- Has smaller allocation to equity and other less liquid asset classes, compared to OUF
- Diversified pool portion of OUF's working capital is targeted at 75% of the total, hence large allocation to equity
- "Other" asset class includes private equity, real assets, and opportunistic investments

Treasurer's Report Investment Loan Fund Detail



• As of April, 2009:

•	Total Authorized Amount	\$ 5,848,702
•	TOTAL MATHOLIZED MINOUIL	W 0.070.10Z

Amount Drawn	5,848,702
Plus Interest	1,150,549
Less Payments	<u>3,762,738</u>
 Net Outstanding 	\$ 3,236,513



Investment Loan Program

- •provides departments with short-term loans to fund small special projects
- •lent from the University's diversified pool
- •loan pool limited to 25% of the diversified pool
- •total authorized amount = total funds approved for all loans still outstanding = approx \$5.8 million
- •amount drawn = amount individual units have called = approx \$5.8 million
- •since inception, OHIO has realized approx. \$2.2 million in interest.
- •interest earned on loans currently outstanding is approx. \$1.1 million.

Treasurer's Report Bond Principal Outstanding

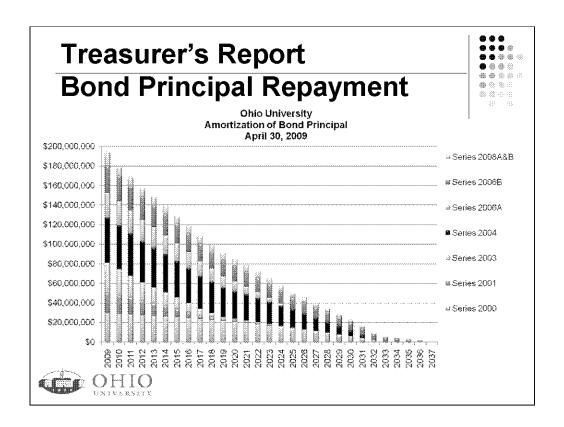


• As of April 30, 2009:

• Series 2000	29,500,000
• Series 2001	20,910,000
Series 2003	30,500,000
Series 2004	46,285,000
Series 2006A	25,575,000
Series 2006B	27,075,000
Series 2008A&B	14,230,000
	\$194,075,000



- OHIO has issued both taxable and tax-exempt bonds
- •\$2.0 million of the Series 2008 bonds are taxable; all else is tax-exempt
- Total principal outstanding as of 04/30/09 \$194.1 million.
- •Includes \$29.5 million for Series 2000
 - •issued by a subsidiary of OUF for University Courtyard apartments
 - •non-recourse neither OHIO nor OUF can be held liable for their payment
 - •not included in OHIO's general ledger
 - •are included on OUF's books



- Amortization of bond principal over time, assuming no additional debt is issued
- Over the term of the debt, OHIO retires an average \$6 million in principal annually
- During next 5 years, over \$55 million will be retired
- During next 10 years, over \$99 million will be retired



University Academics Committee Ohio University, Athens Campus Margaret M. Walter Hall Governance Room 104 June 25, 2009, 1:30 p.m.

The meeting was called to order at 1:32 PM by Trustee Harris, serving as chair for Trustee Perry.

Present: Trustees Gene T. Harris, Yvette McGee Brown, Chauncey Jackson, Graduate Student Trustee, Frank P. Krasovec, Kyle Triplett, Undergraduate Student Trustee, Dennis Minichello and Scott Titsworth, Faculty Representative

Guests: Kathy Krendl, Exec. Vice President and Provost, Dean Greg Shepherd, Scripps College of Communication, Dean Gary Neiman, College of Health and Human Services, Dean Jack Brose, College of Osteopathic Medicine, James Rankin, Associate Dean, Russ College of Engineering and Technology. Dean David Descutner, University College, Vice President for Student Affairs, Kent Smith, Chief Information Officer Brice Bible, Ann Fidler, Interim Associate Provost for Strategic Initiatives.

Absent: Trustees M. Marnette Perry, Ned Dewire, David Brightbill

Resolution: University System of Ohio Centers of Excellence

Three proposals for submission to the Board of Regents are:

- Scripps College of Communication
- Advancing Bench to Bedside Innovations and Serving Rural/Underserved Communities
- Energy and the Environment

EVP-Provost Krendl described the process for selection of the proposals.

- The deadline for approval by the Board of Trustees is June 30, 2009.
- Late receipt of submission guidelines and criteria from the Board of Regents resulted in some changes in our submissions.
- We identified a number of distinctive programs in place at Ohio University, however not all
 could be included as they did not meet the criteria the chancellor provided. Those
 additional programs for consideration as Centers of Excellence at Ohio University will be
 reviewed by Vice President Bose and the deans to move selected programs forward.
 - o Trustee Minichello asked how the additional programs not included in the USO will be resourced. Krendl stated that these are proven programs of value to the university and it is unlikely that their support might be reduced because of the new USO centers.

Scripps College of Communication, Dean Greg Shepherd

The Scripps College of Communication is a large organization with five schools, a public broadcast operation, 2500 undergraduates, 250 graduate students, 85 Group I faculty, and 100 administrative staff. The Scripps College of Communication Center of Excellence includes:

- Innovative technology, an important growth sector
- Interactive Digital Media
- Student internships, 63% of our internships are within the Ohio
- Business Partnerships and Educational Outreach
- Diverse Employment of Graduates

Advancing Bench to Bedside Innovations and Service Rural/Underserved Communities, Dean Jack Brose

Dean Brose summarized the proposal noting that five Colleges, Health and Human Services, Arts and Sciences, Russ College of Engineering and Technology, and the Scripps College of Communication and the College of Osteopathic Medicine share existing services and programs in this submission. It is also built upon six existing centers and institutes. The proposal initiatives are:

- Interdisciplinary, translational bioscience research
- Dedication of health care services
- Excellence in health professions education

Trustees McGee Brown and Krasovec asked that more thought to be given to this title for marketing purposes. Trustee McGee Brown noted that the submission sounds like two distinct proposals. Dean Brose responded that it is but that it is understood by the Regents has having two strong components.

Energy and the Environment, Associate Dean Jim Rankin, Russ College of Engineering

This initiative has been identified as a Center of excellence at Ohio University. Those who contribute to this center are Dave Bayless, professor of mechanical engineering, Ben Ogles, dean, College of Arts and Sciences, Mark Weinberg, director, Voinovich School of Leadership and Public Policy, and Rathindra Bose, vice president for research and creative activity and dean of the Graduate College.

The proposal center components include:

- Fuels, oil, gas, coal, biomass, and electrochemical research
- New intellectual property licenses to bring in patent applications and increase partners and sponsors;

- Industrially financed research expenditures and other external investment
 - Russ estate investment of \$95 million allocated in large part to energy and environment initiatives
 - o Industrial investment up to \$7M from worldwide companies
- Alignment with the Department of Development

Trustee Harris asked what their resolution approval would mean. EVP-Provost Krendl stated this is a first step; discussion will be ongoing in the Chancellor's office. Our proposed Centers of Excellence represent areas we wish to focus on and be known for within the USO. The interdisciplinary nature of these proposals reside where staff already exist and should not require new human resources at this time.

Krendl expects other institutions will also propose Centers in health care and energy and the environment.

Representative Titsworth asked how performance data would be gathered and assessment carried out. Krendl expects some guidelines on how the USO will expect assessment to be done. Job creation and business patents are most critical to Ohio. Dr. Titsworth recommended integrating these centers into regular Center and Institute review.

Trustee Krasovec lauded the proposals as a good fit for the current economic environment in Ohio. Ann Fidler, interim associate provost for strategic initiatives, mentioned that our presentation was one of the best Chancellor Fingerhut had seen when he visited Ohio University.

The committee recommended moving the resolution to the full Board for approval.

Academic Restructuring: The Best Chance to Be and Do. A white paper by Ohio University Academic Deans.

The following five proposals have been recommended by the deans and endorsed by Executive Vice President and Provost Krendl.

- Recreation Studies, Physical Education, and Coaching Education are proposed to enter the College of Education as a new academic unit to be named Recreation and Sport Pedagogy.
- Restaurant, Hotel, and Tourism and Retail Merchandising are proposed to enter the College of Education as a unit.
- Family and Consumer Science Education is proposed to enter the College of Education in conjunction with Restaurant, Hotel, and Tourism and Retail Merchandising.
- Nutrition and Dietetics, proposed to join the School of Public Health Sciences and Professions.

Ongoing discussions have been facilitated by Claudia Hale, director, School of Communication

Studies, to mediate concerns from the faculty of Human and Consumer Sciences for whom this is a critical change.

The Undergraduate and Regional Education Study Team for creation of a Regional College is going forward well as are the Academic Health Center discussions.

Vision OHIO—Year One Update, Kathy Krendl, Executive Vice President and Provost

Krendl summarized progress to date on tasks completed within the Vision OHIO Implementation Plan showing percent of completion for each task. Metric data has not been finalized in some areas as data has not been received. Seventy-four percent of the outcome tasks have been completed to date.

Resources in support of this effort were reviewed noting base and one-time funding.

Trustee Krasovec asked about Learning Communities for the benefit of new board members. Dean Descutner noted the program is supported by 1804 grants. This year we will have 150 communities for 2700 students. This successful program shows marked improvement in the areas of retention, student engagement and satisfaction and student performance. They receive advising and peer mentors. This program is part of a larger effort on the improving the First Year Experience. Arts of Ohio, established by EVP-Provost Krendl, helps education students on the performing arts. Learning Communities have now been expanded to the regional campuses.

Student Judicial Update: Kent Smith, Vice President for Student Affairs

Smith recognized Ryan Lombardi, Dean of Students, as been very involved in student judiciaries.

The four year comparison reveals reductions in cases in judiciaries for both A (serious) and B (less serious) offences. A new marijuana protocol sanction has been put in place this year resulting in decreased infractions.

Trustee Krasovec asked how Dr. Smith accounts for these improvements. Smith noted that putting rules and protocols in place that are more restrictive have resulted in improved behaviors but also increased appeals. Off-campus infractions also go through judiciaries. This data show that we are impacting behavior; and alcohol infractions, while above national averages, are trending down.

Titsworth commended judiciaries on these trends and noted Student Senate created an honor code that makes students responsible for their academic conduct within the university and the community. The students took the initiative on this new code which will be presented to incoming students this fall during the Convocation.

Faculty Promotion and Tenure

EVP-Provost Krendl presented this as an information item. The committee accepted the report.

Resolution

Appointment to Regional Coordinating Councils:

EVP-Provost Krendl noted that these appointments are very important for community relations and that these councilors were instrumental in implementing our move high school to college programs in area high schools.

Tim Hansley: appointed to a two-year term beginning July 1, 2009, ending June 30, 2011; replacing Robert Theide who resigned.

Denise D. Callihan: reappointment to a second three-year term beginning July 1, 2009 and ending June 30, 2012.

The consensus of the committee is to move these to the Full Board for approval.

Resolution

New Program Proposal: Master of Engineering Management

Dr. Krendl summarized program review for new Board members. David Koonce, Associate Professor of Industrial and Systems Engineering, summarized this review. This is a rising field at the graduate level and does not compete with MBA programs.

- 1. Vision Ohio adopted a priority to provide for a distinctive graduate education. The state of Ohio has no public university offering a graduate degree in Engineering Management
- 2. Ohio University can provide this unique program across the state and, through formal online offerings; the program can be available to practicing professionals throughout the U.S. and beyond.

Consensus of the committee is to move this resolution to the full Board for approval.

Resolution

Program Review: Chemical and Biomolecular Engineering

Valerie Young, Chair, Chemical and Biomolecular Engineering, summarized the self-study process and added that enrollment is rising with 60 new freshman expected this fall. There are 50 graduate

programs ½ of which are doctoral and external funding is double the national average for a department of this size. She feels their balance is good.

The committee recommended moving the resolution to the full Board for approval.

Network and Student Information System (SIS) Projects

CIO Brice Bible reviewed the current state of Information Technology:

- SIS implementation, named the Rufus Initiative, held its official kick-off this morning and includes many action projects.
 - O Rufus Initiative: Project funding in place; PeopleSoft negotiations completed and their staff are on-campus to begin implementation; core OU staff assigned; software in place for use and testing. Data creation and management in place; vendors selected; portal moving forward.

Trustee Harris asked about roll-out to students. CIO Bible thought around Fall for some things with the majority in place by Winter 2011.

- Next Generation Network Update
 - o Great partners identified; resources savings very good, long term contracts for major projects made with discount rates continuing through second bond issue.
 - o IT Alignment: Assessed planning unit surveys completed, partnering with units to align resources and responsibilities appropriately
 - o IT personnel costs are nearly \$17M; goods and services around \$16M, total \$33M annually.
 - Focusing on software costs and looking for efficiency gains
 - o Staffing positions have been reduced to 145 from 157; realignment of services will assist with staffing reductions.

Trustee Krasovec asked how IT support staff assist with these ongoing projects. CIO Bible noted his appreciation for guidance from trustees. New staff hires at senior levels have been helpful in moving these many projects forward and Shelly Ruff and others in his staff provide excellent leadership.

Trustee Harris asked about cooperation within the university. CIO Bible said generally there is solid support for IT by academic and support units. They are making headway in updating how IT is done at Ohio University.

Unfinished Business: none

Trustee Harris adjourned meeting at 3:45 P.M.

Respectfully submitted,

Janice Roché Assistant to the EVP-Provost

OHIO UNIVERSITY

UNIVERSITY SYSTEM OF OHIO CENTERS OF EXCELLENCE

ACADEMICS COMMITTEE BOARD OF TRUSTEES JUNE 25, 2009



PROPOSED OHIO UNIVERSITY USO CENTERS OF EXCELLENCE

Scripps College of Communication



Advancing Bench to Bedside Innovations and Serving Rural/Underserved Communities



Energy and the Environment



Ohio University Process

Discussions at College Level [October 2008–January 2009]



Presentations to & deliberations by Deans [February – April 2009]



Provost & President [May 2009]



Presentation to
Chancellor Fingerhut
[June 3, 2009]

SELECTION FACTORS

- Use of IUC Provosts' Center of Excellence Proposed Guidelines
- Sustained academic excellence benchmarked nationally and/or internationally
- Vision Ohio Strategic Planning Decisions and Investments (2004-2008)
- Information generated by Graduate and Professional Education Review (2009)
- Ability to be sustainable through external awards, grants, and contracts
- Level of support received from donations
- Investments made in significant capital projects

Future pursuit--Programs of Distinction



Alignment of Ratings from Task Force on Centers of Excellence in Graduate/Professional Education and USO Centers of Excellence Candidates

Excellent Rating

- 6 programs rated excellent
- 5 of those programs are encompassed in one or more of the proposed Centers of Excellence

Very Good Rating

- 15 programs rated very good
- 10 of those programs are encompassed in one or more of the proposed Centers of Excellence



Alignment of Ratings from Task Force on Centers of Excellence in Graduate/Professional Education and USO Centers of Excellence Candidates

Program	Graduate/Professional Task Force	Proposed Center of Excellence
	Rating	
Biological Sciences	Excellent (M.S.)	Bench to Bedside & Serving Rural/Underserved
	Very Good (Ph.D.)	Communities
		Energy & the Environment
Environmental and Plant Biology	Excellent (M.S./Ph.D.)	Energy & the Environment
Hearing, Speech, and Language Sciences	Excellent (Ph.D.)	Bench to Bedside & Serving Rural/Underserved
Hearing, Speech, and Language Sciences	Very Good (M.A./Au.D.)	Communities
(Clinical Audiology)		
Physics	Excellent (M.S./M.A./Ph.D.)	Energy & the Environment
Psychology	Excellent (M.S./Ph.D.)	Bench to Bedside & Serving Rural/Underserved
	Very Good (M.S., Ph.D. Experimental)	Communities
Chemistry and Biochemistry	Very Good (M.S./Ph.D.)	Energy & the Environment
		Bench to Bedside & Serving Rural/Underserved
		Communities
Communication Studies	Very Good (Ph.D.)	Scripps
Journalism	Very Good (M.S.)	Scripps
Media Arts and Studies	Very Good (M.A. Telecommunications)	Scripps
Media Arts and Studies	Very Good (Ph.D. Mass Communication)	Scripps
Molecular and Cellular Biology	Very Good (Ph.D. MCB)	Bench to Bedside & Serving Rural/Underserved
		Communities
Visual Communication	Very Good (M.A.)	Scripps
		# UNIVERSITY

PROPOSED OHIO UNIVERSITY USO CENTERS OF EXCELLENCE

Four Questions Posed by Chancellor Fingerhut

- Does the institution have viable development plans for its Centers of Excellence?
- Has the institution pledged sufficient resources for the Center of Excellence either to achieve or to maintain world-class excellence?
- What is the Center of Excellence's impact on the local/regional economy?
- Has the proposed Center of Excellence been benchmarked against world-class excellence?

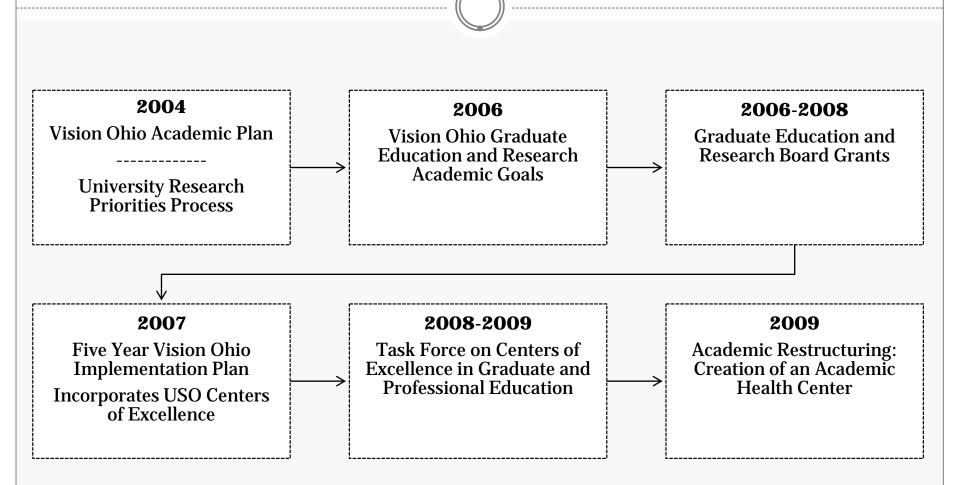


PROPOSED OHIO UNIVERSITY USO CENTERS OF EXCELLENCE

- Does the institution have viable development plans for its Centers of Excellence?
- Has the institution pledged sufficient resources for the Center of Excellence either to achieve or to maintain world-class excellence?



Development Plans Align with Current Past, Present, and Future University Planning





Substantial Resource Commitments Exist for Each Proposed Center of Excellence

Upcoming University Capital Campaign

will provide opportunities to secure additional support for world-class excellence

Continuing and New Partnerships with Industry and Business

will help create jobs and provide resources to fund the types of innovations needed for world-class excellence

5 Major Capital Projects

[in progress or completed] will provide necessary facilities to support worldclass excellence



Reallocation of Existing
Resources and the
Generation of New
Resources through the Five
Year Vision Ohio
Implementation Plan

will assist in the project of maintaining world-class excellence



PROPOSED OHIO UNIVERSITY USO CENTERS OF EXCELLENCE

 What is the Center of Excellence's impact on the local/regional economy?

 Has the proposed Center of Excellence been benchmarked against worldclass excellence?





SCRIPPS COLLEGE OF COMMUNICATION

Future Home of the Scripps College of Communication To be completed by 2014



Proposed USO Center of Excellence—Scripps College of Communication Impact on the Local/Regional Economy

TECHNOLOGY

- Important growth sector of the economy
 - Online media, video games, mobile applications and cable television news all showed growth and profit in 2008
- Interactive Digital Media
 - IVIN project and expansion possibilities
 - GRID Lab Initiative offers innovation, consulting, video gaming
- 63% all internships are in the State of Ohio (07-08)
- Business Partnerships and Educational Outreach
 - Voinovich School and Regional Businesses
 - Global Leadership Center and Ohio exports
 - WOUB Center for Public Media
 - Ohio Board of Regents: Owens Community College; Degree Paths and Completion
- Diverse Employment of Graduates
 - Business
 - Media
 - Engineering and Technology

CAPITAL

- \$1.3M award for Immersive Video Imaging Network
- \$500K USAID, Partnerships in Health Award
- \$500K Workforce Innovation and Regional Development (WIRED) Award
- \$15M gift to name the College
- 2 Named Schools
- \$8M raised privately for new Schoonover Communication Center; \$25.6M committed by OU
- Over \$1.5 M in new scholarships 2008
- \$500K for New Media Symposium



Proposed USO Center of Excellence— Scripps College of Communication Benchmarking Against World-Class Excellence



PEOPLE

Faculty

In past 2 years

- 15 Faculty National Awards
- 10 Journal Editorships
- 3 Named Professorships

Students

- 21% of all Honors Tutorial Students and 7 of 10 Templeton Scholars
- More than 75 national awards and recognitions
- 4 of 12 Fulbrights (2008-2009)

Alumni

 Broadcasting Hall of Fame Recipients, Presidents/CEOs, Web-based Entrepreneurs

National Benchmarks

1st Year retention rate = 91% Actual Graduation Rate = 86% Yield Rate = 57%

GRADUATE EDUCATION

Graduate programs

 Among the most highly ranked programs in 2009 OU graduate review

Rankings/Awards

- NCA Rankings all 3 Ph.D. programs in Communication Studies in top 20, 2 in top 10
- 2008 Program of Excellence Award for Information and Telecommunication Systems Program by the International Telecommunications Education and Research Association

Scripps starting salary (Master's degree)

 \$52,116, second only to Engineering and Technology



Advancing Bench to Bedside Innovations and Serving Rural/Underserved Communities



ACADEMIC & RESEARCH CENTER
Osteopathic Medicine & Engineering
Opening Winter Quarter 2010



Proposed USO Center of Excellence in Advancing Bench to Bedside Innovations & Serving Rural/Underserved Communities

Bench, Bedside, and Communities leverages demonstrated capacity and expertise in:

- o Interdisciplinary, translational bioscience research
- Dedication to provision of health care services
- Excellence in health professions education

Established university infrastructure:

- Centers and Institutes
 - Edison Biotechnology Institute
 - o Center for Intelligent Chemical Instrumentation
 - o Institute for Quantitative Biology
 - o Diabetes-Endocrine Center
 - o Appalachian Rural Health Institute
 - o Institute for the African Child





Center of Excellence in Advancing Bench to Bedside Innovations & Serving Rural/Underserved Communities

Translational Bioscience Research *

- Therapeutics and diagnostics
 - × Cancer
 - Neuroscience
 - Infectious Diseases
 - Cardiology/Cardiovascular/Cardiopulmonary
 - ★ Genetics & Genomics
 - Cellular & Molecular Biology
 - **▼** Biomedical Imaging
 - **▼** Computational & Personalized Medicine
 - Structural Biology
- Agbioscience

* 2006 Batelle Report: Positioning the State of Ohio for Economic

Growth Strategically Aligning Ohio's Research and Technology Portfolio



Health Education & Community Service

- Service to Underserved Communities
- Health Professions Education
 - **▼** Osteopathic Medicine
 - × Nursing
 - Physical Therapy
 - **▼** Speech Language Pathology
 - Audiology
 - Psychology & Mental Health
 - **▼** Exercise Physiology
 - Public & Environmental Health
 - **▼** Health Communications
 - M.P.H program part of the largest consortium in the U.S.
- Graduate Medical Education

Centers for Osteopathic Research and Education (CORE)



Proposed USO Center of Excellence in Advancing Bench to Bedside Innovations & Serving Rural/Underserved Communities Impact on the Local/Regional Economy

Economic Impact

- Research Dollars
 - **★** External funding \$23.3 M
 - o Endocrine Diseases \$7.37 M
 - o Cancer: \$2.11 M
 - o Infectious Diseases: \$3.09 M
 - o Neuroscience \$3.47 M
 - o Agbioscience \$2.32 M
 - Health and Clinical Psychology \$1.1M



- ▼ Diagnostic Hybrids: Multiple technologies for the diagnosis of disease; 227 employees
- **▼ Interthyr**: Improved therapeutic for the treatment of Graves Disease; inventor of Thyretain®
- **▼ DiAthegen**: Diagnostics for detection of early stage type II diabetes
- **Promiliad**: New class of antibiotics for drug-resistant strains
- × Phyton: Plant-derived glycoproteins for enhanced drug efficacy

Major Commercialization Potential

- **▼** Prototype for cochlear implants for hearing-impaired individuals
- ➤ New class of anti-cancer drugs based upon suppressing abnormal immune response
- New class of platinum anti-cancer drug with reduced toxicity
- **★** Artificial intelligence program for insulin pumps
- × Innovative hysterectomy surgical equipment and technique
- Eye tracking software to measure comprehension abilities in patients with neurological Deficits NIH/SBIR grants





Proposed USO Center of Excellence in Advancing Bench to Bedside Innovations & Serving Rural/Underserved Communities Benchmarking Against World-Class Excellence

Graduate Programs of Excellence:

Among the highest rated graduate programs at Ohio University:

Biological Sciences – MS Chemistry and Biochemistry – MS/PhD Environmental and Plant Biology – MS/PhD Hearing, Speech, and Language Sciences – PhD Hearing, Speech, and Language Sciences - MA/AuD Clinical Audiology Molecular and Cellular Biology - PhD MCB Physical Therapy-DPT Psychology - MS/PhD Clinical Psychology - MS/PhD Experimental



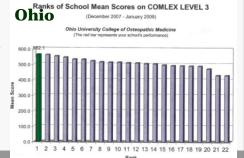




Medical Education

#1 in Ohio for graduating primary care physicians; ranked #26 nationally by U.S News and World Report (649 practicing primary care physicians) #1 in Ohio in retaining physicians in Ohio (61%-1160 practicing physicians) #1 on 2008-9 Level 3 National Board Exam





Proposed USO Center of Excellence in Advancing Bench to Bedside Innovations & Serving Rural/Underserved Communities

Impact on the Local/Regional Economy

Clinical Impact

- Service to Underserved Communities
 - ➤ Preventive services to 21 counties in SE Ohio (over \$1 million in services per year), and free medical care to five communities serving over 3000 patients per year
 - ▼ University Medical Associates provides over 100,000 patient visits per year, OU Therapy Associates provides over 10,000 physical therapy, speech-language pathology, and audiology visits per year
 - **▼** 100,000 immunizations through the Childhood Immunization Program
 - **▼** Kids on Campus 14 years of after-school & summer enrichment programs for socioeconomically disadvantaged children
 - **★** Athletic training program annually serves 2,300 high school student athletes first point of medical contact
 - **▼** Youth Experiencing Success in Schools (mental health intervention)
 - Clinical Psychology and Social Work Services throughout Central and Southeastern Ohio
 - **▼** CORE System only statewide medical education consortium
 - o >2,000 faculty members
 - o 90 post-doctoral residency programs
 - Providing medical training for 5 other medical schools, many of whom practice in Ohio





Center of Excellence in Advancing Bench to Bedside Innovations & Serving Rural/Underserved Communities Benchmarking Against World-Class Excellence

International **Programs**



Dominican Republic Village Mountain Mission Share Kenya Kenyan Research Project **Galapagos Islands** El Salvador **Ecuador Tropical Disease** Biology **Ecuador Clinical Rotations Honduras** Student Programs in Ghana, Nigeria, Russia, India, Ethiopia, Ghana, Australia, Ecuador, Belize, Panama The Only African Community

Health graduate certificate program in the US



ENERGY & THE ENVIRONMENT

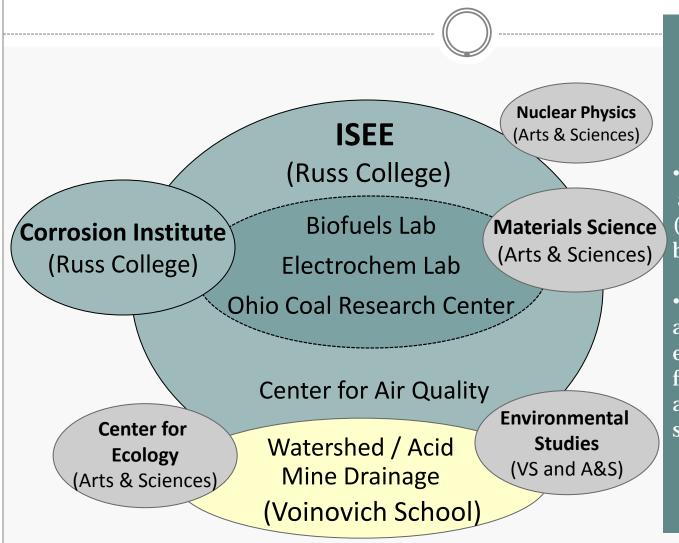


Russ College of Engineering Students in Front of the Inclinable Multiphase Flow Loop

Institute for Corrosion and Multiphase Technology



Proposed USO Center of Excellence in Energy & the Environment Impact on the Local/Regional Economy



Energy and the Environment at Ohio University

- Regional (oil, gas, coal) and alternative fuels (ammonia, algae, biomass)
- Analysis, remediation, and mitigation of the effects of using these fuels (greenhouse gases, acid rain and runoff sources, smog sources)



Proposed USO Center of Excellence in Energy & the Environment Impact on the Local/Regional Economy

Licenses of Intellectual Property

- 45 patent applications
- Four current licenses, 30% equity in algae startup
- Over \$500,000 in revenue since 2003

• Industrially financed research expenditures

- More than \$3 million in industrial research in 2008
- More than 20 companies sponsoring E&E research
- Over 500 companies with relationships with E&E group

Alignment with Department of Development

- Third Frontier Award for Ammonia Electrolysis
- Advanced Energy and Environmental Technologies is an ODOD targeted industry
- Estimated Impact of over 800 job-years (over 10 years)
- Entrepreneurial Signature Program at Ohio University
 - 60% of ESP potential investment in E&E

E&E Partners

- U.S. Dept. of Energy
- U.S Dept. of Defense
- U.S. EPA
- National Science Foundation
- Ohio Air Quality
 Development Authority
 (including Ohio Coal
 Development Office)
- Petronas
- Ohio Department of Natural Resources
- British Petroleum
- Exxon Mobil



Proposed USO Center of Excellence in Energy & the Environment Benchmarking Against World-Class Excellence

E&E group uniqueness in Ohio and nationally:

- Ohio Research Scholar Position in Coal Energy Utilization
- Only center for the study and mitigation of oil, gas and CO_2 pipeline corrosion (valued at > \$15 million)
- Only university-based air quality monitoring "supersite" for SO₂, particulates, ozone, CO₂, CO, and NOx in Ohio
- · Only electrochemical research center focusing on production of fuels
- Only federally funded algae-based CO₂ remediation group in Ohio
- Others:
 - Largest coal contaminant testing laboratory for fuel cells in Ohio
 - ODNR's main partner in acid mine drainage remediation
 - Entrepreneurial Signature Program for business development in Appalachia
 - Only graduate program in Env. Studies (not Science) at a public university in Ohio
 - Management of the Ohio Coal Development Office's University Research Consortium since 2000 and all but four years since 1990



Proposed USO Center of Excellence in Energy & the Environment Benchmarking Against World-Class Excellence

Total research expenditures:

\$26 million in total E&E research since 2001

- \$17 million Federal
- Ten (10) core and 31 associated and supporting faculty members
- Does not include ORSP award or Third Frontier Entrepreneurial Signature Program

Research Areas:

- coal gasification
- algae-based biofuels
- pipeline corrosion
- electrochemical power and fuels production
- air quality
- nuclear physics
- bio-assessment of power-generation pollution
- acid mine drainage remediation
- materials analysis
- nanoparticles
- electrostatic precipitation



Proposed USO Center of Excellence in Energy & the Environment Benchmarking Against World-Class Excellence

Institutional investment in physical infrastructure (75,000 Sq. Ft.):

- Corrosion Institute > 18,000 sq. ft of unique research space
- Institute for Sustainable Energy and the Environment > 9,500 sq. ft
- Coal Research Center > 3,000 sq. ft.
- Additional 4,500 sq. ft. in E&E space in Russ College's Stocker Center
- Over 40,000 sq. ft. in E&E space campus-wide
- Additional Stocker Center expansion from opening of Academic and Research Center

Private investment in program:

- Russ family gift of \$95M (significant portion expected to be devoted to E&E)
- Over \$7 million in industry investment in E&E-related corrosion work since 2001







Addendum

Academic Restructuring: The Best Chance to Be and Do A white paper by Ohio University Academic Deans

Since the March 18, 2009 publication of the white paper on academic restructuring, a series of forums and conversations have taken place involving faculty, chairs/directors, and deans. Five proposals were received from units regarding placement of their programs.

- Recreation Studies, Physical Education, and Coaching Education. Proposed entering the College of Education¹ as a new academic unit—Recreation and Sport Pedagogy.
- Restaurant, Hotel, and Tourism and Retail Merchandising. Proposed entering the College of Education as a unit.
- **Family and Consumer Science Education**. Proposed entering the College of Education in conjunction with Retail Merchandising; Restaurant, Hotel, and Tourism; and/or Family Studies.
- **Family Studies**. Proposed membership in the Academic Health Center, perhaps in a "School of Community Mental Health."
- **Nutrition and Dietetics**. Proposed becoming part of the School of Public Health Sciences and Professions.

The faculty in each unit or units met with the deans to discuss their proposals. After all the groups presented, the deans reviewed the information provided in each of the proposals, the discussions that took place in their meetings with the faculty, and the academic criteria established in the white paper². They made the following recommendations to Executive Vice President and Provost Kathy Krendl who then endorsed the deans' recommendations.

Recreation Studies, Physical Education, and Coaching Education is to enter the College of Education as a new academic unit—Recreation and Sport Pedagogy (RASP). The proposal made a compelling case that the creation of this new unit with its focus on "promoting health and wellness through lifelong involvement in recreation and sport" would allow the College of Education to develop more fully its potential and its institutional mission. The proposed merger will also create new opportunities for interdisciplinary collaborations within the college. Through its proposed "Integrated Recreation and Kinesiology" program and the development of its Rural

¹ White paper envisions that the College of Education would expand its vision and mission to include a human development component.

² The white paper established the following academic criteria. "Proposed realignments, where applicable, must: support emerging areas of academic strength; allow colleges and academic programs to develop more fully their potential and their institutional missions; create new opportunities for interdisciplinary collaboration; identify ways of more strategically allocating resources; facilitate the creation or consolidation of academic programs needed to further the university's long-term academic aspirations; offer new possibilities to enhance research and creative activities; support the university's ability to enhance student performance; resolve issues that have been longstanding barriers to operating as one university; enable academic units to be more responsive and creative in meeting the needs of students and communities served by all of our campuses; offer the potential to help the university meet external mandates, particularly those associated with the University System of Ohio."

Appalachian Adventure Sport Initiative, RASP will make it possible for the college and the university to be more responsive to the needs of students and the communities that we serve. The entire faculty involved in the three programs endorsed the proposal.

Restaurant, Hotel, and Tourism (RHT) and Retail Merchandising (RM) will become part of the College of Business (COB). In the proposal, two elements were put forward: that due to their interdependency the two programs should not be separated; and that the College of Education was the preferred placement. The the first requirement has been acknowledged and honored, but after careful review of the material submitted and the discussions that took place the deans recommended that the College of Business would be the best academic home for the programs.

The proposal made the point that it was rare to find merchandising programs in colleges of business at four year institutions although the model of having hospitality programs in business colleges is more common. Retail merchandising does exist in business colleges, but these programs are sometimes part of marketing departments. Such is the case with the Kelley School of Business at Indiana University which has a robust retail curriculum. The Kelley School of Business also houses the Center for Education and Research in Retailing which publishes a journal in the field.

A list was provided in the proposal of where retail merchandising and hotel, restaurant, and tourism programs are housed in 12 four-year institutions. The institutional location of these programs included a college of design, a college of liberal arts and human sciences, and a college of agriculture in addition to colleges of health and human services. There were two institutions where retail merchandising programs were part of a college of education. Ohio State University has a Department of Consumer Sciences housed in its College of Education and Human Ecology that offers majors in hospitality management and textiles and clothing. University of Tennessee-Knoxville has a combined College of Education, Health, and Human Services that has a Department of Retail, Hospitality and Tourism Management.

The deans' recommendation recognizes that across the country programs of retail merchandising and restaurant, hotel, and tourism are located in a variety of different colleges. Consequently, the deciding factor was what makes the best sense for Ohio University and its long-term academic goals. The alignment with COB provided the best way to strategically allow the college and the academic programs involved to develop more fully their potential and their institutional missions.

An important part of the proposal was the argument that "the unique approach of RHT and RM curricula differs from traditional business majors in that the focus is on the consumer." The proposal states that "our graduates . . . are positioned not only to contribute to the advancement of their employer, but are also prepared to understand the relationship between that company or agency and the community of consumers it serves." While it is clear that there are some differences between the orientation of the curriculum of RHT and RM and some elements of the business curriculum, the cultural gap is neither unbridgeable nor is it necessarily detrimental.

Business faculty at Ohio University have a strong interest and demonstrable expertise in consumer issues. Such an orientation is essential in an environment where user-centered innovations are driving both commerce and culture. In fact, the diversity in approaches that would result from the alignment was felt to be beneficial to all concerned, thereby meeting the white paper's requirements of creating new opportunities for interdisciplinary collaboration and offering new possibilities to enhance research and creative activities.

Another strength of the presence of RHT and RM in the College of Business is that it would allow COB students the opportunity to easily access the curriculum of the two programs and create the chance for RHT and RM students to freely participate in the extensive student opportunities provided by the college. The RHT and RM faculty are strongly student-centered and have a tradition of investing time and resources in student success efforts. Those same commitments exist in the College of Business. For these reasons, the combination of Restaurant, Hotel, and Tourism, Retail Management, and the College of Business will meet the white paper's criterion of supporting the university's ability to enhance student performance.

Another factor that formed part of the deans' recommendation was that the proposal to move to the College of Education was not universally endorsed by the faculty of the two programs. Some RHT and RM faculty felt that the College of Business would provide a better place to achieve the white paper's call to support emerging areas of academic strength.

While the Provost approved the recommendation that Restaurant, Hotel, and Tourism, and Retail Merchandising move to the College of Business, there are a variety of issues must be addressed. To that end, the Provost has asked that a mediator be appointed to work with faculty in the two programs, the deans of Health and Human Services and the College of Business, and associate deans and chairs in the College of Business to resolve those issues and move forward.

Family and Consumer Science Education will follow a model that exists for other NCATE accredited teacher education programs in that the major will reside in the College of Education. Given their teaching and research expertise, the faculty will be part of the College of Business. The faculty who support this program are a Group I Retail Merchandising faculty member and a Group II faculty member. The FCS Education curriculum includes 12 education courses and a teaching internship (and also 12 courses from programs that will be in the College of Health Sciences and Professions and two retail merchandising courses). The proposal received from the two faculty who support the FCS Education major stressed the need to maintain ties with RM and RHT and their placement in Business will allow that to happen.

Family Studies will explore interim placements while also investigating ways for integration into the Academic Health Center. The Family Studies faculty made a strong case that their areas of research and program of study could be coherently and effectively aligned and coordinated with other programs associated with the Academic Health Center. Faculty were in agreement on the proposal that they submitted to the deans.

Nutrition and Dietetics will become part of the School of Public Health Sciences and Professions (SPHSP). The Nutrition and Dietetics faculty put forward the argument that SPHSP was a better fit for their current curricular pursuits. In line with the white paper's criteria, the proposal also suggested that the alignment would support emerging areas of academic interest through collaboration with students, faculty, and staff who work in the areas of industrial hygiene, health care administration, and public, community, environmental, and occupational health. The proposal provided a forward-looking approach to an important field that will be an essential element in the development of the Academic Health Center. The faculty in Nutrition and Dietetics unanimously supported the proposal.

The following chart provides an update of the current state of the restructuring process.

White Paper Update, 5-18-09

Program	White Paper Recommendation	Status vis-á-vis the White Paper as of 4-27-09	Outcome 5-18-09	Implementation
School of Nursing	College of Health Sciences & Professions	No change	Proceed with White Paper Recommendation	Academic Health Center Steering Committee ³
School of Physical Therapy	College of Health Sciences & Professions	No change	Proceed with White Paper Recommendation	Academic Health Center Steering Committee
School of Hearing, Speech, and Language Sciences	College of Health Sciences & Professions	No change	Proceed with White Paper Recommendation	Academic Health Center Steering Committee
School of Public Health Sciences and Professions	College of Health Sciences & Professions	No change	Proceed with White Paper Recommendation	Academic Health Center Steering Committee
Exercise Physiology	New school of Exercise and Nutrition Sciences and Athletic Training in the College of Health Sciences & Professions	No change	New school will consist of Exercise and Athletic Training. Will not include Nutrition & Dietetics	Academic Health Center Steering Committee
Athletic Training	New school of Exercise and Nutrition Sciences and Athletic Training in the College of Health Sciences & Professions	No change	New school will consist of Exercise and Athletic Training. Will not include Nutrition & Dietetics	Academic Health Center Steering Committee
Interior Architecture	College of Fine Arts	No change	Proceed with White Paper Recommendation	School of Art and Interior Architecture Committee formed
Sports Management/Sports Administration	College of Business	No change	Proceed with White Paper Recommendation	Joint committee to be formed
Early Childhood Education	College of Education	No change	Proceed with White Paper Recommendation	Joint committee to be formed
University College and Regional Campuses	Combine into a single college	No change	Proceed with White Paper Recommendation	College of Undergraduate and Regional Education Study Team ⁴
Recreation Studies, Physical Education, and Coaching Education	College of Education	No change, but submitted a proposal for the creation of a new academic unit (Recreation and Sport Pedagogy) in the College of Education & Human Development	New academic unit (Recreation and Sport Pedagogy) will be created in the College of Education & Human Development	Joint committee to be formed

³ Membership and charge in Appendix I ⁴ Membership and charge in Appendix II

Program	White Paper Recommendation	Status vis-á-vis the White Paper as of 4-27-09	Outcome 5-18-09	Implementation
Family and Consumer Science Education	NA	Proposal submitted to move with Retail Merchandising, Restaurant, Hotel, and Tourism, and/or Family Studies in the College of Education & Human Development	FCS Education degree to be housed in the College of Education. Faculty to reside in the College of Business	Faculty members who support the FCS major will work with the College of Education to relocate the degree. Faculty participation in COB mediation.
Nutrition and Dietetics	Part of a new school of Exercise and Nutrition Sciences and Athletic Training	Proposal submitted to join the School of Public Health Sciences and Professions	Join the School of Public Health Sciences and Professions	Joint committee to be formed
Restaurant, Hotel, Tourism and Retail Merchandizing	College of Business	Joint proposal submitted to join the College of Education and Human Development	College of Business	Mediation to take place
Family Studies	College of Education	Proposal submitted for the establishment of a School of Community Mental Health in the College of Health Sciences and Professions.	Interim placement as the role of mental health and well-being in the AHC is explored.	Interim alignment discussions to take place.

Appendix I: Academic Health Center Steering Committee

Clinical	CHHS	Averell "Tootie" Overby
	OU-COM	Wayne Carlsen
Teaching	CHHS	Dave Holben
	OU-COM	Peter Dane
Research	CHHS	Darlene Berryman
	OU-COM	Jack Blazyk
Admin	CHHS	Jennifer Horner*
	OU-COM	Doug Mann*
Financial	CHHS	Tia Barrett
	OU-COM	Kathy Brooks
Fac Sen Rep	OU-COM	Peter Coschigano
At large	CHHS	Mary Bowen
	OU-COM	Martha Simpson
	CHHS	Brooke Hallowell

^{*}Co-chairs

The specific charges to the AHC Steering Committee are as follows:

- 1. Gather substantive input from a broad and representative range of faculty members concerning all aspects of the AHC;
- 2. Create an organizational chart (reporting lines) to the Provost and President of Ohio University;
- 3. Draft a mission statement for the AHC;
- 4. Create a list of substantive issues that need to be resolved;
- 5. Establish a timeline for creating an AHC by July 1, 2010;
- 6. Establish principles to guide the budget;
- 7. Administration, operations, and structure of the newly formed AHC;
- 8. Preliminarily determine how the two colleges that will comprise the Academic Health Center can take advantage of synergies to gain greater efficiency and effectiveness; and, conversely,
- 9. Determine which processes should remain separate;
- 10. Begin to explore the optimal and realistic space needs of the AHC;
- 11. Begin to explore the interdependence between clinical practice and the academic disciplines and its sustainability;
- 12. Make preliminary recommendations about how the AHC can maximize interconnections within the University to focus on human health; and
- 13. Communicate frequently and in detail to faculty and staff concerning the issues and opportunities raised by forming an AHC and the mechanisms by which they can contribute to the formation of the AHC.

The Steering Committee is to complete a *progress report* by June 22, 2009, but the work will continue through summer to mid-fall and culminate in a final report by October 15, 2009 to enable work to begin on implementation and development issues of the AHC.

Appendix II: College of Undergraduate and Regional Education Study Team

Dan Evans	Regional Campuses
Gary Neiman*	Health and Human Services
David Castle*	Eastern
Tom Flynn	Eastern
Jim Fonseca	Zanesville
Mohannad Al-Saghir	Zanesville
Lahkdar Hammoudi	Chillicothe
Nicole Pennington	Southern
Robert Pleasant	Southern
Qiuping Cao	Lancaster
Janet Becker	Lancaster
Marsha Ham	University Outreach
Charles Bird	University Outreach
Linda Rice	College of Education
Jeff Giesey	Russ College of Engineering
David Descutner	University College
Art Trese	Arts & Sciences
Lora Clapp	University College
Cynthia King	Academic Advancement Center
Mark Lucas	Arts & Sciences
Susan Tice-Alicke	Arts & Sciences
Teresa Franklin	College of Education
Sharon Denham	Health and Human Services
Joe Triplett	Chillicothe

^{*}Co-chairs

The purpose of the study team is to:

- 1. Draft a mission statement for the college;
- 2. Create a list of substantive issues to be resolved;
- 3. Establish a timeline for creating such a college by the beginning of the 2010-2011 academic year;
- 4. Establish principles to guide the budget administration and structure of the newly formed college.

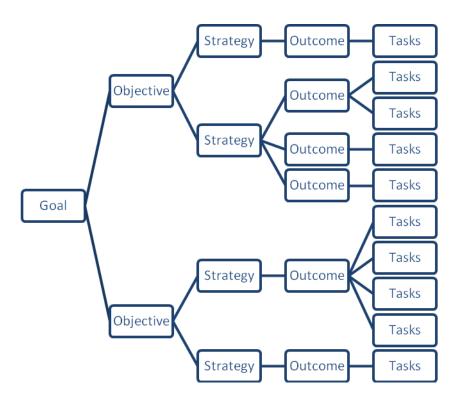
FIVE YEAR VISION OHIO IMPLEMENTATION PLAN Athens and Regional Campuses



Progress Update-Q3

July 2008 – May 2009

Work began on "Year One" of the Five Year Vision Ohio Implementation Plan in July 2008. The Five Year Vision Ohio Implementation Plan contains 6 goals, 22 objectives, 93 strategies, and 139 outcomes, and hundreds of tasks. The interrelationship of these elements is illustrated in the diagram below.



Outcomes are the building blocks of the plan. Successful completion of outcomes feeds into the accomplishment of strategies, objectives, and ultimately goals. In this update of progress on the plan, the focus is on demonstrating to what degree the "Year One" tasks associated with each outcome have been completed. "Outcome tasks" have been grouped in accordance with the goal that they serve.

Goals

- 1. Recruit and Retain Talented and Diverse Students, Faculty, and Staff
- 2. Strengthen Undergraduate Education
- 3. Enhance graduate and professional education and research
- 4. Enrich the environment for students, faculty, staff, and the region
- 5. Fortify and align infrastructure to enhance the academic missions of instruction, research, and service
- 6. Enhance prominence within Ohio, the nation, and the world

Interpretation of the Data

The information provided in the charts and tables that follow measures **the level of effort** expended over the past eleven months to make progress on "Year One" of the Five Year Vision Ohio Implementation Plan. The percentage of outcome tasks completed should not be confused with the degree to which the "Year One" metric or progress indicator of an outcome or strategy has been met. Determination of whether a "Year One" metric/progress indicator for an outcome or strategy was met in AY 2008-2009 depends in many instances on data that will not become available until late summer or fall.

Comparison of Percentages of Outcome Tasks Completed Q1 & Q3

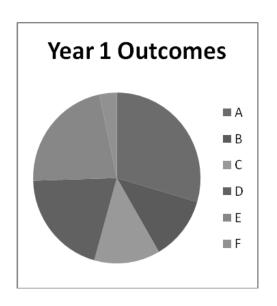
GOAL	Q1	Q3
Recruit and retain talented and diverse	45%	81%
students, faculty, and staff	1.575	0270
Strengthen undergraduate education	47%	95%
Enhance graduate and professional education and research	48%	56% (77%)*
Enrich the environment for students, faculty, staff, and the region	45%	76%
Fortify and align infrastructure to enhance the academic missions of instruction, research, and service	37%	58%
Enhance prominence within Ohio, the nation, and the world	28%	75%
Overall	42%	74%

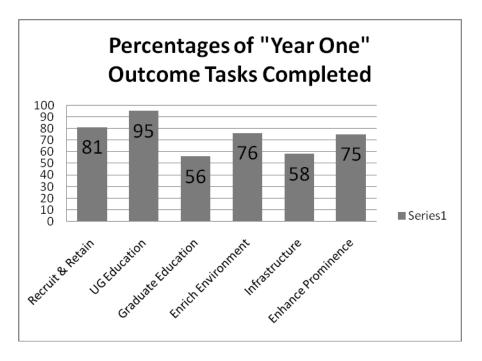
^{*}In the case of the goal relating to graduate and professional education and research it should be noted that three of its strategies were at 0% through no fault of the units involved. Two of the strategies relating to the Graduate Education and Research Board (GERB) process were at 0% because GERB has been suspended due to budget reductions. The other strategy relating to the improvement of research competitiveness is at 0% because the NSF data required to do the calculation is still not available. Were those strategies removed the percentage of outcome tasks complete would be 77%. There are other areas where strategies were stalled because of circumstances beyond a unit's control, but the graduate and professional education and research goal was the most affected by these instances.

Comparison of Percentages of USO Aligned Outcome Tasks Completed Q1 & Q3

USO Aligned Outcome	Q1	Q3
Advancement	28%	42%
Voluntary System of Accountability	100%	100%
Community Health/Safety Partnerships	10%	10%
Research Commercialization/Innovation	75%	75%
Research Competitiveness	0%	0%
Retention/Graduation Rates	53%	100%
UG Involvement in Research, Etc.	33%	97%
Create International House	30%	80%
Quality of Freshmen	46%	100%
Access, Affordability, Efficiency	41%	87%

	Year 1
Goal	Outcomes
Α	44
В	18
С	19
D	30
E	33
F	5
TOTAL	149





A= Recruit and retain talented and diverse students, faculty, and staff

B= Strengthen Undergraduate Education

C = Enhance graduate and professional education and research

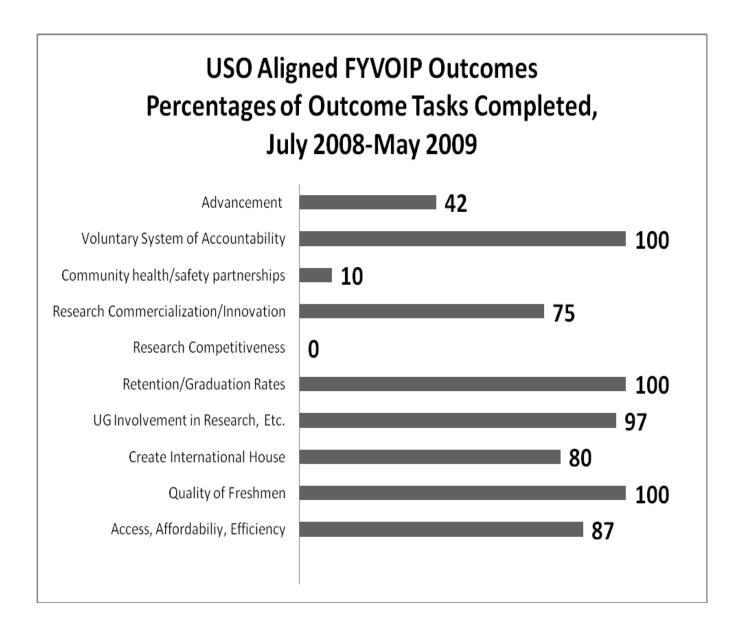
D = Enrich the environment for students, faculty, staff, and the region

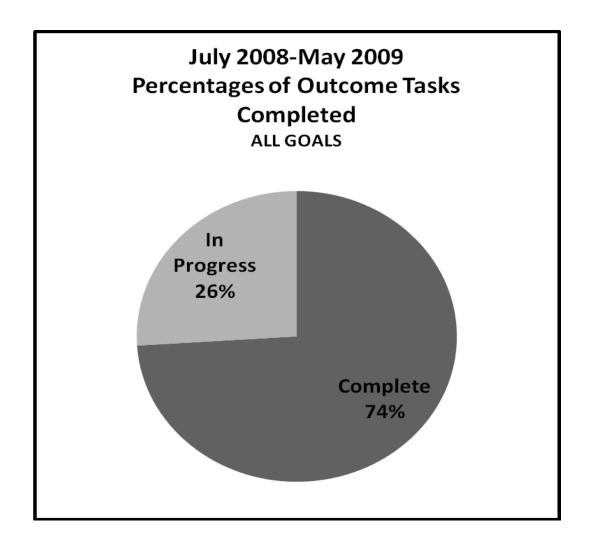
E = Fortify and align infrastructure to enhance the academic missions of instruction, research, and service

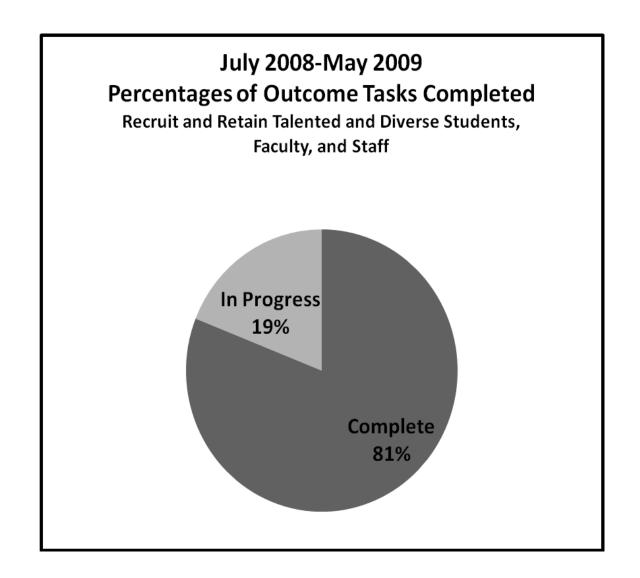
F = Enhance prominence within Ohio, the nation, and the world

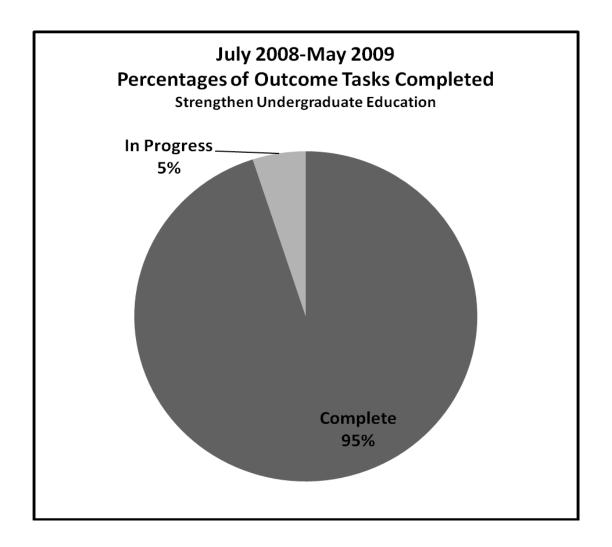
FY 08- 09	Average % task complete (Outcomes)
Goal	Q3
Α	81.0
В	95.0
С	56.0
D	76.0
E	58.0
F	75.0

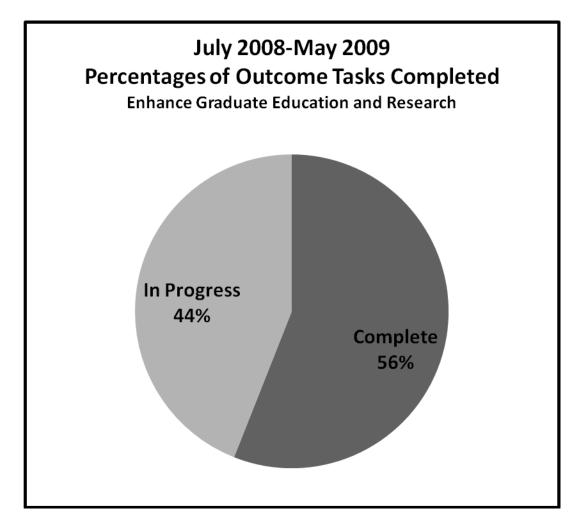
Summary Charts, FYVOIP, Year One July 2008 – May 2009



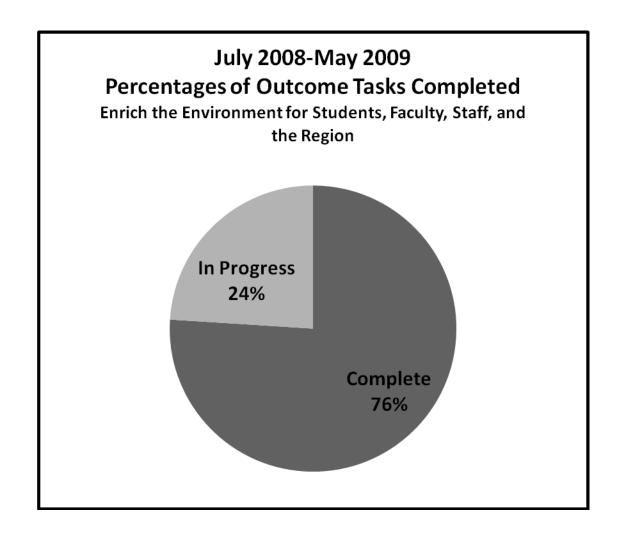






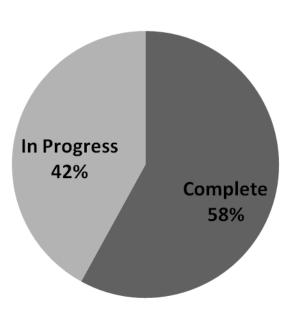


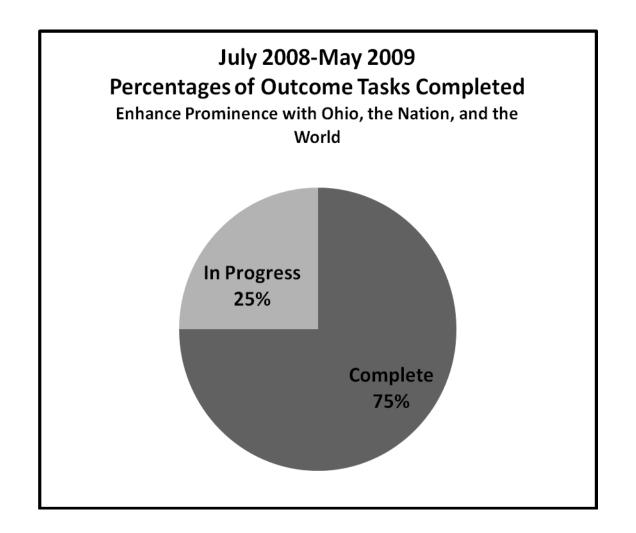
In the case of the goal relating to graduate and professional education and research it should be noted that three of its strategies were at 0% through no fault of the units involved. Two of the strategies relating to the Graduate Education and Research Board (GERB) process were at 0% because GERB has been suspended due to budget reductions. The other strategy relating to the improvement of research competitiveness is at 0% because the NSF data required to do the calculation is still not available. Were those strategies removed the percentage of outcome tasks complete would be 77%. There are other areas where strategies were stalled because of circumstances beyond a unit's control, but the graduate and professional education and research goal was the most affected by these instances.



July 2008-May 2009 Percentages of Outcome Tasks Completed

Fortify & Align Infrastructure to Enhance the Academic Missions of Instruction, Research, and Service





Office of University Judiciaries 4 Year Comparison of Judicial Cases*

	2008	3-09	200	7-08	2006	6-07	200	5-06
Code A Code B	620 882	41% 59%	820 1,106	43% 57%	1,018 1,429	42% 58%	1,212 1,877	39% 61%
Total	1,502	100%	1,926	100%	2,447	100%	3,089	100%
Cases Involving Alcohol and Drugs								
Alcohol	937	62%	1,076	56%	1,651	67%	2,362	76%
Other Drugs	163	11%	236	12%	336	14%	267	9%
Case Disposition								
Reprimand	63	4%	127	7%	118	5%	196	6%
Probation Suspension	830 58	55% 4%	1,247 95	65% 5%	1,607 103	66% 4%	2,018 112	65% 4%
Expulsion	4	0%	2	0%	4	0%	6	0%
Charges Dismissed	279	19%	418	22%	550	22%	674	22%
Cases Still Open	268	18%	37	2%	65	3%_	83	3%_
Total	1,502	100%	1,926	100%	2,447	100%	3,089	100%
Case Resolved by								
Procedural Interview	1,072	71%	1,641	85%	2,092	85%	2,842	92%
Administrative Hearing	102	7%	152	8%	218	9%	89	3%
University Hearing Board	60	4%	96 27	5%	72 05	3%	75	2%
Cases Still Open	268	18%	37	2%	65	3%	83	3%
Total	1,502	100%	1,926	100%	2,447	100%	3,089	100%
Appeal Boards	66	4%	103	5%	96	4%	73	2%
Vice Presidential Appeal	20	1%	26	1%	28	1%	10	0%

Office of University Judiciaries

4 Year Comparison of Offenses*

This summary reflects the number of times particular violations of the student code of conduct appeared on formal complaints for academic year 2008-09 as compared to the academic years 2007-08, 2006-07 and 2005-06

CODE A Offenses	2008-09	2007-08	<u>2006-07</u>	<u>2005-06</u>
A-1 Academic Misconduct	26	37	45	30
A-2 Dishonesty	52	89	67	49
A-3 Mental or Bodily Harm to Self	236	230	433	610
A-4 Mental or Bodily Harm to Others	120	136	144	169
A-5 Discrimination	0	0	1	1
A-6 Disruption/Obstruction	2	3	9	13
A-7 Civil Disturbance	4	1	0	2
A-8 False Report of Emergency	0	1	1	1
A-9 Destruction of Property	36	48	47	53
A-10 Theft of Possession of Stolen Property or Service	32	30	25	48
A-11 Trespassing	17	28	14	11
A-12 Possession of Dangerous Weapons or Materials	3	1	8	6
A-13 Manufacture, Distribution, Sale, Offer for Sale, Possession, or Misuse of Drugs or Narcotics	4	7	10	8
A-14 Violation of Criminal Law	27	21	67	59
A-15 Misuse or Abuse of Computers or Computer Network	1	2	0	0
A-16 Misuse of Safety Equipment	39	10	9	12
A-17 Aiding or Abetting	3	4	3	5
A-18 Violation of Disciplinary Probation	185	368	389	411
CODE B Offenses				
B-1 Unauthorized Use of Property or Services	13	7	14	15
B-2 Disturbing the Peace	67	71	72	80
B-3 Failure to Comply or Identify	110	153	171	174
B-4 Unauthorized Use of University Keys	0	0	1	3
B-5 Misuse of Identification	3	8	0	4
B-6 Possession or Use of Marijuana	159	232	328	261
B-7 Unauthorized Use of Alcoholic Beverages	674	798	1115	1,597
B-8 Violations of Rules Regarding Residence Halls and Dining Facilities	150	196	277	366
B-9 Aiding and Abetting	4	2	0	5

48		-	163		××
	ŗ,	ģΦ.		2	S
	2	××××		2	ř
ž,	1	CXX	۱		S.
₩.	y			×	88
	Ľ	***	<u>"</u>	S	É
	1	100		98	
88	١,	3.	, i	4	
рd	N		Ŋ	-39	
	Z,	<:	ń		į,
ů.	eg Pg	2	1	Ĕ)	g
23	ä	*:	"		3
Šн	d	2	٩	80	Ιôι
	i dia	8	7	OEX.	
30	ä	××3	XX	88	iii
W	X (3	- 405	X	XX XX	
	Ř.	55	Ŷij.	XX.	ŝ
	X E		1	30	×
ene See		7	2	ek ek	X.
製	×	-	9		
5.	e)	٥.	- 5	23 23 23	ö
쌹	7	-45		43	W
	ė	_	3	X	ŝ
32	Ð,		ä	S	
		7,	٦		ø
	. 9	4	Ž	ě.	W.
	8	贈.	æ	5	S
30	ø	200	1	ŧ,	ú
	ä,		100	3	3
ă,	έ	X.	45		
	ž	-2	ΥĈ	ġ.	k
	di.	"INT	110	ď.	39
ir:	Ξ.	-04	4	ŠÚ.	2
43	9	100	1	àd.	ŏ
	ä	b.v	d	a.	*
	ě	g s	9	ě.	K
85	œ.	300	£		7
w	'n	320	ωå		×
	èε.	200	, di		製
	ä	25	20		
3	27	2504	K	#75 #33	
w	×	Ø,	œ	EXX EXX	
98	ď	40	ij	468	컜
	ř	4.5	á	4	缩
200					
	3	rex.	ď.	n.	Qq
빝	j.	***	1		
	b	**** *** ***	20.00		
	A CONTRACTOR	******************	Course a line		SKSALES NAME OF
	A CONTRACTOR OF THE PARTY OF TH	· · · · · · · · · · · · · · · · · · ·	The second second		
	A CONTRACTOR OF THE PARTY OF TH	のない。	おでなるのである。		
	THE REAL PROPERTY AND ADDRESS OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		はなるないのである		
	THE REAL PROPERTY AND PERSONS NO. 10		世 を かいかい かいかい		
	THE REPORT OF THE PERSON NAMED IN		The second second		
	THE REAL PROPERTY AND ADDRESS.	44	受し かいかいかい かいかい		
	THE PERSON NAMED IN COLUMN TWO IS NOT THE OWNER.		はなるというというと		
	THE REAL PROPERTY AND ADDRESS OF THE PARTY AND		Control of the Contro		
	THE PERSON NAMED IN COLUMN TWO IS NOT THE OWNER, THE PERSON NAMED IN THE PERSON NAMED IN THE PERSON NAMED IN THE OWNER, THE PERSON NAMED IN THE PERSON NA		The state of the s		
	THE REAL PROPERTY AND ADDRESS OF THE PERSON NAMED IN		The state of the s		
	THE PROPERTY OF STREET STREET,		はてからないのでは、		
	THE PROPERTY OF STREET STREET,		はなる はない かんしょう はんしょう しゅうしょう はんしょう しゅうしゅう しゅう		
	THE PROPERTY AND PERSONS ASSESSED.	2000年の1900	はる かけいしん いいかい はんしん かんしんかん		
	SALES SHIPS THE PROPERTY OF THE PERSON NAMED IN				
	SALES SHIPS THE PROPERTY OF THE PERSON NAMED IN				
	STATE OF THE PARTY				
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
	STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN C		THE REPORT OF THE PARTY OF THE		
			いたが、これできるというないとは、これでは、これには、これには、これには、これには、これには、これには、これには、これに		
			いたが、これできるというないとは、これでは、これには、これには、これには、これには、これには、これには、これには、これに		
			いたが、これできるというないとは、これでは、これには、これには、これには、これには、これには、これには、これには、これに		

First Name	Middle Name Last Name	Promotion and/or Tenure Decision		
		Promotion to the Rank of Associate Professor with Tenure	School of Dance	College of Fine Are
Ţ		Promotion to the Rank of Full Professor	School of Electrical Engineering and Computer Science	Russ College of Engineering and Technology
		Promotion to the Rank of Associate Professor with Tenure	School of Communication Studies	Scripps College of Communication
ڍ	C. Bergmeier	Promotion to the Rank of Full Professor	Department of Chemistry and Biochemistry	College of Arts and Sciences
		Promotion to the Rank of Associate Professor with Tenure	Department of Philosophy	College of Arts and Sciences
ardine	G. Botte	Promotion to the Rank of Full Professor	Department of Chemical and Biomolecular Engineering	Russ College of Engineering and Technology
QD	Braslavsky	Promotion to the Rank of Associate Professor with Tenure	Department of Physics and Astronomy	College of Arts and Sciences
Koder	Braun	Promotion to the Rank of Full Professor	School of Music	College of Fine Arts
Vichelle	Brown	Promotion to the Rank of Associate Professor with Tenure	Department of Sociology and Anthropology	College of Arts and Sciences
		Promotion to the Kank of Associate Professor with Tenure	Department of Physics and Astronomy	College of Arts and Sciences
İ	Baumgratz Chimeli	Promotion to the Rank of Associate Professor with Tenure	Department of Economics	College of Arts and Sciences
Š		Promotion to the Rank of Associate Professor with Tenure	Department of Economics	College of Arts and Sciences
100	Collins	Promotion to the Kank of Full Professor	Department of Classics and World Religions	College of Arts and Sciences
		Tenure	School of Electrical Engineering and Computer Science	Russ College of Engineering and Technology
	r. raber	Promotion to the Rank of Associate Professor with Tenure	Ohio University Lancaster	Regional Campuses
Кореп	Galbreath	Promotion to the Rank of Associate Professor with Tenure	Ohio University Eastern	Regional Campuses
Alexandra	Hibbitt	Promotion to the Rank of Associate Professor with Tenure	School of Art	College of Fine Arts
		Promotion to the Rank of Associate Professor with Tenure	Department of Sociology and Anthropology	College of Arts and Sciences
	Kobert Holmes	Promotion to the Rank of Full Professor	Department of Biological Sciences	College of Arts and Sciences
	Ingram	Promotion to the Rank of Associate Professor with Tenure	Department of History	College of Arts and Sciences
		Promotion to the Rank of Associate Professor with Tenure	Department of Chemistry and Biochemistry	College of Arts and Sciences
		Promotion to the Kank of Full Professor	School of Music	College of Fine Arts
Sandra	A. Jayasuriya	Promotion to the Kank of Associate Professor with Tenure	Department of Economics	College of Arts and Sciences
	W. Kfein	Promotion to the Dank of Enil Drofessor with Tenure	Unio University Zanesviile	Regional Campuses
L		Promotion to the Rank of Associate Professor with Tenura	Department of Management Systems	Kuss College of Engineering and Technology
_		Promotion to the Rank of Associate Professor with Tenure	Department of Recreation and Sport Sciences	College of Basilth and Linnar Socioon
Camille	Leadingham	Promotion to the Rank of Associate Professor with Tengre	Ohio University Chillicothe	Regional Campuses
Chao-Yang	Pee	П	Department of Hearing, Speech and Language Sciences	College of Health and Human Services
Kjerste	Lester-Moratzka	\neg	School of Theater	College of Fine Arts
Michael	Lincoln	Tenure	School of Theater	College of Fine Arts
ē		Promotion to the Rank of Associate Professor with Tenure	School of Electrical Engineering and Computer Science	Russ College of Engineering and Technology
		Promotion to the Rank of Full Professor	School of Electrical Engineering and Computer Science	Russ College of Engineering and Technology
James		Promotion to the Rank of Associate Professor with Tenure	Ohio University Chillicothe	Regional Campuses
ula (Zake		Tenure	Department of English	College of Arts and Sciences
Priadeep In	Merchall	Promotion to the Rank of Associate Professor with Tenure	Ohio University Southern	Regional Campuses
auton D.		Promotion to the Rank of Associate Professor with Lenure	Onio University Lancaster	Regional Campuses
Ghirmai		Promotion to the Bank of Associate Professor with Tenure	Department of Industrial Technology	Kuss College of Engineering and Technology
Doth Both	Nous	Promotion to the Rank of Associate Professor With Lenure	Department of English	College of Arts and Sciences
Datrick		Promotion to the Rank of Associate Professor with Tenure	Department of Media Arts and Studies	Scripps College of Communication
		Promotion to the Rank of Euril Professor	Department of Division and Astronomy	College of Arth and Spignor
Nicole	Revnolds	Promotion to the Rank of Associate Professor with Tenure	Department of English and Women's and Gender Studies	College of Arts and Sciences
100	Jay	Promotion to the Rank of Associate Professor with Tenure	Department of Political Science	College of Arts and Sciences
		Promotion to the Rank of Full Professor	Ohio University Chilifcothe	Regional Campuses
lay	Shubrook	Promotion to the Rank of Associate Professor with Tenure	Department of Family Medicine	College of Osteopathic Medicine
her		Promotion to the Rank of Full Professor	Department of Family Medicine	College of Osteopathic Medicine
7	Scott Springer	Promotion to the Rank of Associate Professor with Tenure	Department of Geological Sciences	College of Arts and Sciences
Alycia	Stigali	Promotion to the Rank of Associate Professor with Tenure	Department of Geological Sciences	College of Arts and Sciences
ulie	Suhr	Promotion to the Rank of Full Professor	Department of Psychology	College of Arts and Sciences
Deborah	Thorne	Promotion to the Rank of Associate Professor with Tenure	Department of Sociology and Anthropology	College of Arts and Sciences
Maarten	Uit de Haag	Promotion to the Rank of Full Professor	School of Electrical Engineering and Computer Science	Russ College of Engineering and Technology
Jaconsline	Vancouver	Promotion to the Rank of Full Professor	Department of Psychology	College of Arts and Sciences
מכלכת	502			

Promotion and Tenure Historical Data	Awarded 2009	Awarded 2008	Awarded 2007	Awarded 2006	Awarded 2005
Tenure Only	3	4	6	5	4
Promotion to the Rank of Full Professor	16	13	9	15	24
Promotion to the Rank of Full Professor with Tenure	0	2	0	0	1
Promotion to the Rank of Associate Professor	0	1	0	1	1
Promotion to the Rank of Associate Professor with Tenure	35	33	35	38	36
Total	54	53	50	59	66

NEW PROGRAM PROPOSAL

Ph.D.

 $_X_Masters$

__Undergraduate

Program Title: Master of Engineering Management

___Certificate**

Degree to be Conferred: Ma	aster of Engineering Management	
Administrative Unit Proposi		
Date of Submission: 1/30/09	9	
Brief Summary of Proposed	d Program:	
experience to produce an eff of our Master of Science in new degree would allow us on the technical education of	uilds upon a student's existing Bachelors of Science do ffective engineering manager. Since 1998, we have do in Industrial and Systems Engineering via distance edu is to differentiate and specialize this degree as well. The of students by including mathematics-based courses so and operations research. The target students are practice ons) who seek to build their career, while remaining in	cation; this ne MEM builds uch as cing engineers
Signatures:) W Department/School Curriculum	Jasel Chair*	2-2-69 Date
Department: School Chair	life -	Daje 27/09
College Curreulum Chair	1/Q	3/2/09
College Dean		pate v
Approved by: University Curriculum Conneil	Program Chair	5/12/09 Date
University Curriculum Connect	Chair	$\frac{5/12/05}{\text{Nate}}$
Protost	T. Tur	1) 1/4 0 9 Date
CURRICULUM **Unbridisciplinary Certificate P	s within a department or school Programs should append memos of approval	April 2006
MAY 1 2 2009		

OHIO UNIVERSITY GRADUATE COUNCIL

TO:

David Thomas, Chair, University Curriculum Council

FROM:

David Juedes, Chair, Graduate Council

DATE:

Friday, May 8th, 2009

SUBJECT:

Master of Engineering Management Degree Proposal

At the May 8th, 2009 meeting of the Graduate Council, the Council voted to recommend the approval of the Master of Engineering Management degree program proposed by the Department of Industrial and Systems Engineering in the Russ College of Engineering and Technology.

Sincerely,

David Juedes, Ph. D.

Chair, Graduate Council

Associate Professor

School of Electrical Engineering and Computer Science

Havid W. Judo

Ohio University

Campus

FULL PROPOSAL

MASTER OF ENGINEERING MANAGEMENT

OHIO UNIVERSITY

This document contains a full proposal for a master's degree program within the Department of Industrial and Systems Engineering. This plan is organized in accordance with the guidelines set forth by the Ohio Board of Regents in Guidelines and Procedures for Review and Approval of Graduate Degree Programs (1). In Vision Ohio, the Ohio University strategic plan adopted in 2004, a priority for Distinctive Graduate Education. Since the state of Ohio has no public university with a graduate degree in Engineering Management (2), Ohio University will be able to provide a unique program across the state. In addition, through a formal on-line offering, this program will be available to practicing professionals across the United States and beyond.

DESIGNATION, RATIONALE, DEFINITION AND DESCRIPTION OF THE PURPOSE

The Department of Industrial and Systems Engineering proposes the establishment of a professional degree with the title of Master of Engineering Management (MEM). The rationale of this program is to create a degree which builds upon a student's existing Bachelors of Science degree and experience to produce an effective engineering manager. Since 1998, we have delivered a track of our Master of Science in Industrial and Systems Engineering via distance education; this new degree would allow us to differentiate and specialize this degree as well.

While Engineering Management is not a traditional engineering discipline, it is an established field of engineering which combines technical aspects of engineering and with management skills. A web search survey of graduate programs across the United States shows that many universities now have degree programs titled Master of Engineering Management, Master of Science in Engineering Management or a very similar name. A large number of universities also offer degrees with a similar focus (e.g. Master of Science in Technology Management) or offer an Engineering Management focus in traditional degrees like Master of Science in Industrial Engineering or Civil Engineering. At Ohio University, we have been offering an Engineering Management focus with our Master of Science in Industrial and Systems Engineering to cohorts of students studying via live video distance education since 1998.

Although MEM may sound similar to an MBA, there are very significant differences. We differentiate the two by the course of study and the student base. The MEM builds on the technical education of students by including mathematics-based courses such as statistics, quality control, and operations research. The target students are practicing engineers (and other technical positions) who seek to build their career, while remaining in their technical field.

Given the broad range of engineering disciplines, the Accreditation Board for Engineering and Technology (ABET) has established accreditation criteria for programs in engineering management. Engineering Management focuses on: 1) the engineering relationships between the management tasks of planning, organization, leadership, control, and the human element in production, research, and service organizations, and 2) an understanding of and dealing with the stochastic nature of management systems (3). These criteria are maintained by the Institute of Industrial Engineers, with support from the professional societies from Chemical, Civil, Mechanical, Electrical, Manufacturing and Petroleum engineering. Under these criteria ABET, which primarily accredits undergraduate programs, has accredited 9 Bachelor of Science degrees in the United States as meeting these criteria. Of note, the AFIT (Air Force Institute of Technology) Master of Science in Engineering Management is the only graduate program receiving accreditation.

DESCRIPTION OF THE PROPOSED CURRICULUM

This degree will provide students with a combination of foundation courses in statistics, information systems and quality, not typically provided in most engineering and science disciplines. Building on that foundation, advanced courses in project management and operations research will help produce graduates capable of managing technical development projects. The special disciplinary purpose and significance of this program is that it provides a foundation in the practice of management to engineers and scientists who find they are directing teams of other engineers and scientists.

The following curriculum is based on our current academic quarter system and is a projection of the curriculum we intend to offer.

- A. **Statistics (12 Hours)** As the stochastic nature of management is highlighted in the ABET criteria, statistics, probability and quality will be a significant part of the curriculum. Specific topics will include: engineering statistics, design of experiments, quality control and six sigma.
- B. **Information Systems (8 Hours)** As management and control of systems is now performed via data contained in information systems, students will take courses in information systems and database systems.
- C. **Engineering Management (19 Hours)** To add breadth to the foundation, courses in engineering writing, engineering economic analysis, project management, operations research foundations in engineering management, engineering law and policy and management decision making will be delivered.
- D. **Engineering (8 Hours)** We expect our students to be engineers as a primary occupation and some may choose to continue graduate study in their discipline. As such, we will allow up to 8 hours of graduate engineering course work from engineering classes distinct from the EM courses. If students choose not to apply courses outside EM, we will provide additional courses which fit within the EM domain.
- E. **Project (4 Hours)** Students will complete a four (4) hour individualized project which will culminate in a submitted written report.

With this program, we will replace the current specialization in Engineering Management in our Master of Science in Industrial and Systems Engineering with this cohort-based distance education MEM. We believe that with a professional degree, we can create a more accessible degree for practicing professionals. At present, courses designed to level students with various engineering

and science degrees are very challenging for students. With a non-research based program, courses like statistics and probability theory can be refocused to cover more statistical analysis. And while offering the MS degree has been a success over the last 9 years, a professional degree will reach a broader audience. We have also contracted with Compass Knowledge Group to investigate a nationwide online delivery of this program.

ADMINISTRATIVE ARRANGEMENTS FOR THE PROPOSED PROGRAM

Ohio University's Russ College of Engineering and Technology, as well as the Department of Industrial and Systems Engineering, will oversee the degree of Master of Engineering Management. The chief administrative officer will be the Dean of the College. The degree program will adhere to the policies and procedures of the University Curriculum Council and the Graduate Council of Ohio University. The program will function under the Director of Engineering Management, as appointed by the Dean, and under the supervision of the Graduate Chair of the Department of Industrial and Systems Engineering and the Chair of the Department.

EVIDENCE OF NEED FOR THE NEW DEGREE PROGRAM

The Department of Industrial and Systems Engineering has been offering a specialized non-thesis track for its existing Master of Science in Industrial and Systems Engineering with a similar curriculum since 1998. Offered through distance education to the Ohio University regional campuses and Lorain County Community College, the program has graduated over 100 students and currently enrolls 20 students.

NATIONAL NEED

The Bureau of Labor Statistics' 2006-2007 Occupational Outlook Handbook (4), projects the long term need for employees in most occupations. In their most recent report, they project the employment need, over the next 8 years, for 14 major engineering disciplines, as well as engineering managers (termed Engineering and Natural Sciences Managers). Of those 15 disciplines, many are projected to have employment which remains the same or declines. Only Engineering Managers and the engineering disciplines of Agricultural, Biomedical, Environmental and Industrial are projected to grow at or above the economy (increase by 10% or more). In their November 2004 National Occupational Employment and Wage Estimates, the BLS project Engineering Management as having 188,620 members, which is larger than all but the engineering disciplines of Mechanical, Electrical and Civil (5). The small number of ABET accredited BS Engineering Management programs, combined with fact that most Engineering Management degree programs are at the Masters level makes it clear that programs like the proposed MEM are the primary method for training engineering. In their analysis of market potential for this degree, Compass Knowledge Group (6) identified a population of 750,000 eligible engineers, with another 500,000 eligible technical managers with math and science backgrounds. Based on aggressive marketing, they project an enrolment of over 150 students.

One concern with a practicing discipline relying on a graduate education to produce the workforce is the pedagogical mechanism by which practitioners matriculate. Since engineering managers are

primarily classically trained engineers who advance into management positions, a residential full-time graduate degree program is not feasible. These engineering managers are often mid career and cannot afford professionally or financially to leave their career for a full time educational commitment. Programs such as the MEM provide a professional degree that can be earned during a career.

OHIO NEED

The State of Ohio has no graduate degree specifically titled Master of Engineering Management (2). The University of Akron has a Master of Science in Engineering with an Engineering Management Specialization. The University of Dayton and the Air Force Institute of Technology have Master of Science in Engineering Management degrees, and Case Western Reserve has Master of Science in Engineering and Management. Ohio University offers a Master of Science in Industrial and Systems Engineering with a track in Engineering Management.

In 2007, the BLS estimated that the state of Ohio had 6,080 engineering managers (7). Our successful distance education program offering the Master of Science in Industrial and Systems Engineering with a track in Engineering Management demonstrates a need for graduate engineering management education.

PROSPECTIVE ENROLLMENT

The current distance education program delivering the Master of Science in Industrial and Systems Engineering to the target audience has averaged 20-35 enrolled students since 2000. Run as a cohort, students from all over southern and eastern Ohio have enrolled. The Master of Engineering Management will serve these students via distance education. In this way, we can tailor the degree to the typical student, a full-time employed engineer. We expect the degree in the first year to enroll 15 to 20 students, the typical cohort size, and add an additional 15 to 20 per year, with each cohort taking two years to complete. Through an online offering, partnered with Compass Knowledge Group, this number is projected to increase by an order of magnitude. Compass has helped other programs manage this growth while maintaining academic quality and rigor.

We have also seen interest from recent Ohio University graduates in several engineering disciplines, as well as from applicants outside of Ohio. Several students have been enrolled in Engineering Management track under the Master of Science in Industrial and Systems Engineering. While we have not typically enrolled local campus students into the Engineering Management track, this option is available and a separate degree program may better suit those students. Marketing this program to Athens campus students should increase enrollment by 5-10 per year. However, with a nationally marketed online program, we anticipate over 75 new students per year.

SPECIAL EFFORTS TO ENROLL AND RETAIN UNDERREPRESENTED GROUPS

Many companies and organizations provide accelerated leadership programs which provide engineers from underrepresented groups access to management positions. As with many engineers and scientists, members of these groups many not be prepared for the engineering management

role. The Master of Engineering Management degree will provide engineers and scientists with an applied degree that will help them develop their engineering management skills. It may also be possible to work with companies throughout the region to help with their engineering leadership programs.

Ohio University has a number of special programs dedicated recruiting and retaining graduate students from underrepresented groups. The office of Graduate Studies at Ohio University encourages students from underrepresented groups to attend Ohio University graduate programs through a variety of programs run through the Multicultural Graduate Affairs offices (8). For example, in 2007, this office sponsored a Multicultural Graduate Students Visitation event that brought prospective multicultural graduate students from a variety of institutions across the U.S. (including a number of historically black colleges and universities) to Ohio University. The Russ College of Engineering and Technology participated in this event by hosting at least 10 students during this 2 day event. The Department of Industrial Systems Engineering will coordinate its efforts to recruit and retain students from underrepresented groups with the Russ College of Engineering and Technology and the office of Multicultural Graduate Affairs at Ohio University.

FACULTY AND FACILITIES AVAILABLE FOR THE DEGREE PROGRAM

The Industrial and Systems Engineering Department has eight full-time faculty members and a department chair. All faculty members posses Ph.D.'s in Industrial Engineering, Systems Engineering or closely related disciplines from respected engineering schools. The current faculty members have doctorates from: Louisiana State, Cincinnati, Oakland, Penn State, University of Southern California, West Virginia University, Wichita State, Tennessee and Soul National University. All are members of the Russ College Graduate Faculty and actively publish and conduct research.

The Industrial and Systems Engineering Department has delivered the Master of Science in Industrial and Systems Engineering degree to the same audience targeted by the MEM program since 1998 using the distance education facilities available at Ohio University. The Ohio University Learning Network maintains two live-video distance education classrooms on each regional campus as well as four classrooms on the Athens campus. Ohio University has contracted with Compass Knowledge, of Orlando, FL to help develop the MEM program into a nationally marketed online degree program. Compass Knowledge has been developing online degree programs since 1993 and has developed over 50 online programs for major public and private universities. Some of their partner schools include: Boston University, Northwestern University and the University of Florida.

NEED FOR ADDITIONAL FACILITIES AND STAFF AND THE PLANS TO MEET THIS NEED.

Since the Industrial and Systems Engineering Department has been offering a track within the Master of Science in Industrial and Systems Engineering degree to a similar audience, we anticipate no additional facilities or faculty will be required. The Russ College has supported the development of a new live-video distance education classroom within the department of Industrial and Systems

Engineering to aid in delivery of the proposed program. If on-line classes are offered, a facilitator will be provided to assist faculty in delivering the courses.

PROJECTED ADDITIONAL COSTS ASSOCIATED WITH THE PROGRAM AND EVIDENCE OF INSTITUTIONAL COMMITMENT AND CAPACITY TO MEET THESE COSTS.

The current enrollment in the Engineering Management track of the ISE MS program has varied between 10 and 17 students per cohort. The enrollment is expected to remain steady. Currently, six of the 13 courses taught each year are delivered in-load, while the other seven are delivered as overload. Table 1 shows the income and expenses for the current delivery structure. The salaries include the cost of one group I faculty, seven overload contracts and a salary increment for the director. About \$5000 is required to maintain the video classroom, and the director uses about \$7500 for promotion and travel. A 3% inflationary factor is used for all expenses and income calculations. There will be no incremental cost associated with program.

Table 1 Income and Expenses for Traditional Cohort Program

	Video					
	Year 1	Delivery Year 2	Year 3	Year 4	Year 5	
Number of students	25	27	27	30	30	
Expenses						
Salaries and Benefits	177,042	182,353	187,824	193,459	199,262	
Promotion and Travel	7,500	7,725	7,957	8,195	8,441	
Equipment	5,000	5,150	5,305	5,464	5,628	
Income					÷	
Tuition	235,950	262,471	270,345	309,395	318,677	
Subsidy	202,222	224,952	231,701	265,168	273,123	
Income minus Expenses	248,630	292,195	300,960	367,445	378,469	

Table 2 shows the revenue projections if the program is converted to an on-line delivery mode in collaboration with CompassKnowledge. The enrollment projects where taken from the marketing report developed by CompassKnowledge (6), base on a national demand for the degree. It is still expected that six courses will be delivered in-load and seven overload. To assist in the large class enrollments facilitators will be assigned to the aid the faculty. These will either Ph.D. students or Group II faculty. A Group II faculty will be assigned 60 students. Salary and benefits for a Group II faculty is estimated to be \$64,000/year. The same cost estimated is used for Ph.D. students, under

the assumption that they would be assigned 30 students to facilitate and would receive \$32000/year in stipend and fee waivers. \$5000 per course expense is added to account for the conversion of existing courses to an on-line format. CompassKnowledge fees include a \$75,000 initial payment in the first year and then 55% of the tuition charged. Once the enrollment exceeds 100 students, the cost of a half-time administrative assistant is included to help manage the paperwork. The major incremental cost is the course conversion and the one-time fee to CompassKnowledge. The cost of the facilitators and administrative assistant is dependent on enrollment and is far less than the increase income due to that enrollment.

Table 2 Income and Expenses for On-Line Program

	7					
	On-Line Delivery					
	Year 1	Year 2	Year 3	Year 4	Year 5	
Number of students	30	111	162	162	162	
P						
Expenses						
Salaries and Benefits	177,042	182,353	187,824	193,459	199,262	
Compass Fees	230,727	593,476	892,138	918,902	946,469	
Course Development	30,000	35,000				
Facilitators	32,000	131,840	203,693	209,804	216,098	
1/2 Administrative Assist.		22,500	23,175	23,870	24,586	
•						
Income						
Tuition	283,140	1,079,047	1,622,069	1,670,732	1,720,853	
Subsidy	242,667	924,803	1,390,203	1,431,909	1,474,867	
Income minus Expenses	56,038	1,061,180	1,728,618	1,780,476	1,833,891	

ENTRY LEVEL GRADUATE DEGREE / PROFESSIONAL DEGREE JUSTIFICATION

The Master of Engineering Management degree utilizes graduate coursework from the Department of Industrial and Systems Engineering and the Russ College of Engineering and Technology to provide graduates of engineering and technical bachelors' programs with a set of skills appropriate for managing teams of engineers and directing technical projects. The substantial differences from the Bachelor of Science in Industrial and Systems Engineering degree are the: 1) increased emphasis on probability, statistical analysis and quality, 2) de-emphasis on manufacturing and 3) focus on managing teams and projects. These differences allow an engineer or other trained technical person to develop a better understanding of the variability of the processes they are directing.

As a professional degree, the Master of Engineering Management program will draw the majority of its students from full-time employees. Experience with delivering the Master of Science in Industrial and Systems Engineering degree to a similar audience has shown that students with technical or engineering degrees can successfully complete the courses and graduate. As such, we will restrict admission to applicants holding a bachelors of science degree. Regardless of

undergraduate degree, all applicants must have earned a GPA of 2.75 to be admitted into the program. Applicants without a degree in engineering or a mathematics intensive discipline will be required to submit GRE test scores. All applicants will be required to submit transcripts, as well as two letters of recommendation. With respect to theory and practice in the curriculum, several courses in the degree (see section I) will focus only on theory (Engineering Statistics, Design of Experiments) or a combination of theory and practice (Quality Control, Six Sigma, Database Information Systems, Engineering Economic Analysis, Operations Research and Foundations in Engineering Management

As a culminating experience, students will demonstrate in a final project the application of a topic or topics covered in the program.

- Possible Final projects might be:
- designing a database system to manage engineering change orders,
- developing a plan to address supplier quality problems, or
- implementing an ergonomics program.

CHANGES TO ADDRESS COMMENTS FROM REVIEWERS

There were several responses we felt should be addressed by changes in the proposal. We have adjusted the course content, admissions requirements and potential audience to address these comments.

- A. One comment points out that their specialization in EM contains a portion of courses from their College of Business. In examining our proposed curriculum, we have identified the courses which focus on management topics. Those are formalized into the Information Systems and Engineering Management Areas. In our most recent cohort of the EM track of the MSISE degree, we hired a faculty member from the College of Business to teach a course in Engineering Law and Policy. This course was well received and we will formalize this experimental course as a new course offering. However, we consider this degree to be, fundamentally, an engineering degree, built within the structure of a professional degree. Our intention is to market it primarily to engineers and similar science and technical workers. We believe that a professional degree, with a focus on engineering, provides these individuals with the best opportunity. Beyond that, ABET considers EM an engineering discipline which focuses on management. Our program builds upon their characterization.
- B. A related comment asks what differentiates the proposed degree from our existing ISE degree. First, ISE is similar to EM, witnessed by the Institute of Industrial Engineers developing and administering the ABET accreditation of EM. Notwithstanding, the courses in this degree program compose topics beyond the BSISE degree, including courses in management, decision making and law. At the graduate level, students focus on specific areas, including our present EM track. This program will formalize this track and provide a professional degree program.
- C. Another comment concerned the vagueness of the admission requirements. To address this, we have added the following requirements to the text. All students must have a

- Bachelors of Science degree. Those without a BS degree in engineering, math or a natural science must take the GRE and meet all Russ college guidelines for admission. Also, all students must also have earned a 2.75/4.0 GPA in their undergraduate degree.
- D. One comment concerned the structure and order of the courses in the program, as some perceived prerequisite sequences are apparent in the course titles. We envision only two courses serving as prerequisites to other courses. Those courses are engineering statistics and engineering writing. Those courses serve as the foundation in communication and mathematics for all other courses. When appropriate, we will offer those courses more frequently to meet demand for students entering the program.
- E. A final comment inquired as to the applicability of engineering coursework from outside EM. On review, we agree that engineers moving into management positions may need to cover more advanced technical topics within their discipline to become more effective. We will give credit towards those courses for a limited number of hours. We believe this will increase the audience for the MEM. However, we will not commit to offering any courses outside of the EM courses we have proposed to offer herein.

BIBLIOGRAPHY

- 1. **Ohio Board of Regents.** *Regents' Advisory Committee on Graduates Study (RACGS).* [Online] [Cited: January 21, 2009.] http://regents.ohio.gov/rgp/pdfs/RACGS%20Guidelines%20Approved%20102403.pdf.
- 2. —. Academic Programs Query web page query. *Ohio Board of Regents.* [Online] Ohio Board of Regents, 2005. http://qry.regents.state.oh.us/cgi-pub/acad_pgrm_query.
- 3. Accreditation Board for Engineering and Technology. CRITERIA FOR ACCREDITING ENGINEERING PROGRAMS. *ABET*. [Online] [Cited: 1 21, 2009.] http://www.abet.org/Linked%20Documents-UPDATE/Criteria%20and%20PP/E001%2008-09%20EAC%20Criteria%2012-04-07.pdf.
- 4. **Bureau of Labor Statistics.** Occupational Outlook Handbook, 2006-07 Edition. *BLS.* [Online] [Cited:] http://www.bls.gov/oco/home.htm.
- 5. —. November 2004 National Occupational Employment and Wage Estimates. *BLS.* [Online] 2004. [Cited:] http://www.bls.gov/oes/current/oes_17Ar.htm.
- 6. **Compass Knowledge Group.** 2008Master of Engineering Management Marketplace Viability & Institutional Readiness Assessment. 2008Master of Engineering Management Marketplace Viability & Institutional Readiness Assessment. Orlando, Florida: Compass Knowledge Group, 2008.
- 7. **Bureau of Labor Statistics.** May 2007 State Occupational Employment and Wage Estimates Ohio. *BLS.* [Online] 2007. [Cited: 1 21, 2009.] http://www.bls.gov/oes/current/oes_oh.htm.
- 8. **Ohio University.** Office of Multicultural Graduate Affairs. *Office of Multicultural Graduate Affairs.* [Online] 2007. http://www.ohio.edu/graduate/minaff.cfm.

ACADEMIC PROGRAM REVIEW

Name of Program: Department of Chemical and Biomolecular Engineering			
Program Type (check all that app	ly):		
undergraduate certificate graduate certificate associate degree	x bachelor's degree X master's degree X doctoral degree		
Report prepared by:	Jeff Connor and David Ingram		
External Reviewer:	Glenn Lipsomb, Professor of Chemical Engineering, University of Toledo		
	,		
Approved by UCC chair:	(cignature) 6/9/01		

* the word "DRAFT" $\underline{\text{must}}$ appear on each page of the review until it has been formally approved by the University Curriculum Council.



University Curriculum Committee

Department of Chemical Engineering

Seven Year Review¹

The Department of Chemical Engineering resides in the Russ College of Engineering and Technology, and offers Bachelor of Science, Masters' of Science and the Doctor of Philosophy in Chemical Engineering. These programs provide a foundation for either entry or advancement in the profession. Bachelor of Science students can pursue the general chemical engineering major or specialize in materials, biological or energy & the environment applications of chemical engineering via targeted selection of technical electives. During the review period the Department developed and extensive process for reviewing and monitoring the undergraduate program as part of the ABET accreditation process. The faculty engages in research and scholarship and has either attained or is gaining national and international prominence in their specialties. On the whole, the program is viable.

Commendations

During the review period, the Department developed and implemented a thorough and ongoing review process for its undergraduate program. The Department receives input from an active Board of Advisors, and has made curriculum changes based on its program assessment and recommendations of the Board of Advisors. The graduate program makes effective use of available resources and has collaborates extensively with faculty from other departments and University centers and institutes. Two of the departmental foci have been identified as areas for strategic research investment areas in University planning documents. Faculty members have been successful in securing external funding and maintaining scholarship.

Concerns and Recommendations

- Staffing at the Institute for Corrosion and Multiphase Technology. At the end of the review period, Dr. Nesic was supervising 15 students; almost half of the students in the graduate program. The external reviewer recommends, and the internal reviewers concur, that it is important to provide additional faculty support to the Institute for Corrosion and Multiphase Technology.
- Decline in undergraduate enrollment. During the review period, undergraduate enrollment declined from 192 students to 61 students. It is also noteworthy that

¹ Prepared by Jeff Connor, Department of Mathematics (<u>connor@math.ohiou.edu</u>) and David Ingram, Department of Physics and Astronomy (ingram@ohio.edu). The outside reviewer was Glenn Lipsomb, Professor of Chemical Engineering, University of Toledo. The self-study primarily addressed the academic years 1997-2004, with some data from 2005. The site visit by the external reviewer took place in February, 2007.

undergraduate student body in not very diverse, and became less diverse during the review period. While this may be part of a national trend, it is important for the Department to recruit students more aggressively than in the past. Current faculty workload, however, may be an impediment to further recruiting efforts.

- Laboratory space for undergraduate and graduate program. A lack of space could impede the growth of the program. Some recent loss of space could negatively impact undergraduate research opportunities.
- Growth is limited by administrative staffing needs, especially for the graduate program. The program only has one full time administrative assistant, and much of the administrative work of the graduate program falls upon the graduate chair/coordinator.
- The general day-to-day cost of administering the Department is currently handled by 'taxing' revenues coming from external sources, e.g. grants. The Department is not allocated funds for '3's 9's expenditures by the College.

Department of Chemical Engineering: Internal Reviewers Report

Introduction

The Department of Chemical Engineering resides in the Russ College of Engineering and Technology and offers the Bachelor of Science, Master's of Science and the Doctor of Philosophy in Graduate Engineering degrees. These programs provide a foundation for either entry or advancement in the profession. The Bachelor of Science students can pursue the general chemical engineering major or specialize in materials, biological or energy & the environment applications of chemical engineering via targeted selection of technical electives.

Faculty Profile

The faculty of the Department of Chemical Engineering consists of ten group I faculty. The Department had one visiting scholar during the review period. The range of faculty specializations allows the Department to offer courses necessary for its programs of study and faculty have received teaching awards or external recognition for their teaching activity. The current faculty includes two females, one of which is Hispanic.

Research

The faculty is active in scholarship and has been successful in securing funding from industry and government agencies. During the review period, the faculty, as a whole, published at an average rate of approximately twenty publications per year and 28.5 presentations per year. The faculty has actively sought and secured external funding. During the review period, faculty members submitted approximately 175 proposals as principal investigators, of which just over half were funded, with a high success rate for the last two years of the review period. As evidenced by book, paper, and proposal reviews, the faculty's role in the broader chemical engineering community is increasing.

Programmatic Practices

Teaching load varies between six and twenty-four hours, depending upon the faculty member's total teaching, research and service load. Faculty members are expected to teach core courses in the undergraduate and graduate program. Elective courses are taught by faculty members with aligned research interests. Approximately 75% of the credit hours are taught in departmental courses to Chemical Engineering majors. The Department contributes to general education by offering Tier III courses and one Tier II Applied Science and Technology course.

Each student is assigned and advisor and each faculty member has advisees; advising duties are distributed according to faculty availability. Some graduate students have advisors from outside the Department (advisors have graduate faculty status within the department.)

Noteworthy teaching innovations include developing a course that ties a project to a plant site visit, a move from the use of formal computer languages to Matlab, which required

the development of tutorials, and the development of a software package (Chenco) that is used in undergraduate education. Five faculty members have also made presentations at the national American Society of Engineering Education conference; a joint paper by Department faculty members won a Best Paper Award at the 2002 meeting.

Research and scholarly activity is supported in a variety of ways. Start-up funds are negotiated at the time of hire. New faculty members are given lower teaching loads. Research intensive faculty members continue to receive lighter teaching loads. There is not an official mentoring program; but senior faculty members are available to review and edit proposals and papers. The Department has an annual research award and nominates one publication for the College's best research paper award.

All faculty members are expected to provide service to the Department, University, or profession; a typical faculty member should spend 10 - 20% of their time in service activities. Professional activities that enhance national presence are given extra weight. The faculty also is active in the broader community. Faculty members participated in activities such as working with undecided University College students, international student organizations, extra-university communities, and participated in K-12 activities.

The Department participates in a number of interdisciplinary partnerships. These include:

- Institute for Corrosion and Multi-phase Technology, in association with Mechanical Engineering and approximately a dozen industry sponsors.
- Institute for Sustainable Energy and the Environment, in association with Mechanical Engineering and Environmental Studies.
- Ohio Coal Research Center, in association with Mechanical Engineering, Civil Engineering, and Environmental and Plant Biology.
- Center for Air Quality Environmental Studies, Voinovich Center.
- Edison Biotechnology Institute, in association with the College of Osteopathic Medicine, Biological Sciences, Chemistry and Biology.
- Center for Advanced Material Processing, in association with Physics and Astronomy and Mechanical Engineering.

Additionally, three faculty members have active collaborations with faculty from other institutions.

The Department's three-member peer review committee uses sample guidelines to determine subjective scores rating teaching, research, and service. In the research, scores are based on directing students, publications, presentations, funding, and any other related activities; both quantity and quality are assessed. The assessment of teaching is primarily based on teaching evaluations administered by the College, with additional or separate course specific evaluations where needed. Service is also factored into the overall evaluation.

Undergraduate Review

The Department of Chemical Engineering's undergraduate educational objectives are: 1) "graduates will have a strong foundation in chemical engineering theory and practice;" 2) "graduates will have the communication and interpersonal skills needed to succeed in a professional environment;" and 3) "graduates will be scholars and professionals and dedicated to the betterment of themselves and society."

These objectives were designed to conform to the ABET accreditation guidelines with substantial input from the Department's Board of Advisors. During the review period, the Department further refined these objectives to a set of program and course outcomes, and established performance criteria for each outcome. There is a close correspondence between these outcomes and ABET accreditation criteria and, at the undergraduate level, a careful mapping between these outcomes, criteria and the curriculum. Several program outcomes are addressed by a number of undergraduate courses.

The typical plan of study has a student acquire the necessary background in physics, chemistry, biology, writing skills, and mathematics, along with an introduction to engineering, during their freshman and sophomore years. Students then take chemical engineering analysis and synthesis courses in their junior and senior years. The major design experience for seniors is a two-quarter sequence that has students develop a preliminary process design and cost estimate to meet a market opportunity, whilst taking into account economic, environmental, health and safety and social and political issues.

The Department values high quality teaching, as is evidenced by the high level of scrutiny with which it reviews its undergraduate program. The Department regularly reviews its performance with respect to course outcomes, gathering data from the Graduating Senior Exit Survey, the Career and Further Study Survey, the Survey of Alumni, and the assessment of student work. The survey results are regularly reviewed by program faculty the Board of Advisors on annual basis. The assessment procedures have led to curriculum changes. In particular, there is now a stronger emphasis on the use of statistical tools to analyze data and the solution of long multi-step problems.

The majors' standardized test scores (ACT, SAT) are higher than the average for Ohio University, with an overall improvement during the review period. Reflecting a national trend in engineering, the number of degrees awarded has declined from 43 in 1997 to 15 in 2004, the number of majors declining from 192 to 61 during the same period. The percentage of male students majoring in Chemical Engineering varied between 64.9 and 86.9, and, amongst the same group, the percentage of white students varied between 91 and 96.7. Recruiting services for undergraduate students are provided by the Office of Admissions.

Undergraduates commented very favorably on faculty being accessible and the supportive environment within the Department. Opportunities for undergraduate research are available and students take advantage of them. There is a high retention rate from freshman to sophomore year. Level of on-campus recruiting could be higher. The Department appears to have adequate resources for the current undergraduate population.

Graduate Program Review

The Department offers a Masters of Science and a Doctor of Philosophy in Chemical Engineering. A dissertation is required for the Ph.D. and the M.S. may be completed with or without a thesis; the non-thesis option is only available to part-time students with substantial or ongoing industrial experience. A student pursuing a thesis option must prepare and orally defend a research proposal as well as the final thesis. Ph.D. students must also pass a Comprehensive Exam, which involves preparing a research proposal for a topic independent of the dissertation topic.

The program objectives, which describe a graduate's characteristics 2-3 years after graduation are:

- "A graduate will be a skilled and knowledgeable researcher in an area of specialization."
- "A graduate will be well-versed in the application of fundamental chemical engineering principles to the solution of a variety of problems."
- "A graduate will have the communication skills needed to succeed in an English-speaking professional environment."

The major foci of the program are Energy and the Environment, Materials, Bioengineering. These are rapidly growing, active areas of chemical engineering. The program has specialized facilities to support these particular specializations. These include the Institute for Corrosion and Multiphase Technology, the Center for Air Quality and the Bioengineering program that works closely with the Edison Biotechnology Institute

Each student must prepare an individualized Course of Study which satisfies minimum course requirements, remedies deficiencies in prior preparation (e.g., computer programming or statistical analysis, for which the Department has implemented assessment exams during the review period), and shows competence the areas of chemical engineering addressed in the four core graduate courses. Master's students are required to successfully complete the four core courses, and doctoral students must pass a Qualifying Exam which covers these areas. Students must also participate in a weekly seminar. The Department has recently added five graduate level elective courses.

The general level of research and funding are appropriate for the program, all students are advised, and funding is sufficient to support the current students. Additionally, three faculty members from outside the Department that have close links to the Department have been given Graduate Faculty status; this designation allows these faculty members to direct Chemical Engineering theses and dissertations and increases the Department's capacity to support students.

The Department reports that, given its current level of teaching and research, it could increase its graduate enrollment by 20 to 25 percent. It could not, however, handle the corresponding increase in administrative load. The Department only has one administrative assistant to handle all office functions, which includes supporting the graduate program and doing the accounting for non-Center grants and research incentive accounts. As a consequence, the Graduate Chair needs to tend to many administrative duties while maintaining a full research and teaching load. The lack of administrative support limits the ability of the Department to recruit more graduate students.

The Department attracts "good quality applicants" and the total enrollment for the program has varied between 29 and 39 students. The Department has awarded 4-11 degrees per year. Twenty to thirty percent of the students are female and approximately 80% of the students are international, primarily from India and China. The Department is attempting to increase the geographic diversity of its students.

Almost all students are supported and receive tuition support. Stipends are an important recruiting tool and 70 - 80% of the funding for stipends comes from external funds. Students not receiving funding seldom enroll. The use of external funding for stipends creates some difficulties in recruiting in that there is some insecurity as to whether or not funds will be available.

The students the reviewers met were quite positive about the Departmental environment and the quality of education. They were satisfied with the level of interaction achieved by seminars, classes, and other activities. The students felt included in Departmental life and, even though often working in non-contiguous buildings, student felt that they were members of the Department.

Commendations

Throughout the review period, the Department has demonstrated a strong commitment to education and research. During the review period, the Department developed and implemented a thorough and ongoing review process for its undergraduate program. The Department receives input from an active Board of Advisors, and has made curriculum changes based on its program assessment and recommendations of the Board of Advisors. The graduate program makes effective use of available resources and has collaborates extensively with faculty from other departments and University centers and institutes. Two the departmental foci have been identified as areas for strategic research investment areas in University planning documents. Faculty members have been successful in securing external funding and maintaining scholarship.

Concerns and Recommendations

• Staffing at the Institute for Corrosion and Multiphase Technology. At the end of the review period, Dr. Nesic was supervising 15 students; almost half of the students in the graduate program. The external reviewer recommends, and the

internal reviewers concur, that it is important to provide additional faculty support to the Institute for Corrosion and Multiphase Technology.

- Decline in undergraduate enrollment. During the review period, undergraduate enrollment declined from 192 students to 61 students. It is also noteworthy that undergraduate student body in not very diverse, and became less diverse during the review period. While this may be part of a national trend, it is important for the Department to recruit students more aggressively than in the past. Current faculty workload, however, may be an impediment to further recruiting efforts.
- Laboratory space for undergraduate and graduate program. A lack of space could impede the growth of the program. Some recent loss of space could negatively impact undergraduate research opportunities.
- Administrative staffing needs. These limit growth, especially for the graduate program. The program only has one full time administrative assistant, and much of the administrative work of the graduate program falls upon the graduate chair/coordinator.
- The general day-to-day cost of administering the Department is currently handled by 'taxing' revenues coming from external sources, e.g. grants. The Department is not allocated funds for '3's 9's expenditures by the College.

Ohio University Department of Chemical Engineering Seven-Year Program Review

Background

Professor Glenn Lipscomb (University of Toledo) was asked to serve as the external reviewer for the seven-year review of Ohio University's Chemical Engineering Department. Prior to a site visit, the Department provided a self study document that described the Department's undergraduate and graduate programs and summarized faculty educational and scholarly activity as well as Departmental programmatic practices. The Department also provided a copy of the 1990-1997 seven-year review and the College and Department responses to it.

Professor Lipscomb arrived in Athens for the site visit the evening of February 5, 2007. He had dinner that evening with the internal review committee members, David Ingram (Physics) and Jeff Conner, (Mathematics), to discuss the review process. Valerie Young, Department Chair, and Ken Sampson, Associate Dean for Undergraduate Studies, also attended the dinner.

The schedule for the visit on February 6, 2007 is reproduced in Appendix A. This schedule was adhered to except for the 4 PM meeting with junior and senior undergraduates in 175 Stocker and a meeting with extradepartmental graduate faculty including Professors Alam (Mechanical Engineering), Bayless (Mechanical Engineering, Director of the Ohio Coal Research Center), Stuart (Civil Engineering), Tees (Physics), and Womeldorf (Mechanical Engineering). The review committee missed these appointments due to a longer than expected undergraduate and graduate lab tour. The committee was able to talk with undergraduates in the undergraduate lab which compensated in part for missing the scheduled student discussion time.

Dean Irwin had a scheduling conflict and was unable to meet with the review committee during the site visit. However, the committee held a conference call with the Dean on February 19, 2007 to discuss their observations and obtain his input for the review.

This report summarizes Professor Lipscomb's observations and recommendations for the Department.

Undergraduate Program

Commendations and Concerns

The Department offers a Bachelor of Science degree in Chemical Engineering. Students may choose from four curricular tracks: general chemical engineering, materials, biological, and energy & the environment. Each track requires the completion of 192 quarter credit hours.

The commitment of the Department to their undergraduate educational mission is readily apparent. The faculty are energetic and pride themselves on the quality of the educational experience they provide. The students are bright and speak highly of the instruction they have received.

The Department has embraced changes in the accreditation process used by the Accreditation Board for Engineering and Technology (ABET) that require the adoption of program objectives and outcomes as well as procedures to assess attainment and revision of both. The processes for

course, outcome, and objective assessment are well documented. The results of assessments dating back to the 2000-2001 are available to reviewers through a secure web site.

The assessment reports document numerous examples of how assessment led to curricular changes to improve achievement. For example, in the 2002-2003 assessment report the faculty recommended inclusion of multi-step problems in classes prior to the senior design sequence to improve the ability of students to solve multi-step problems. This was implemented and significant improvement noted in the subsequent year's report. Another notable example is changes made in statistics instruction to address weakness in the use of statistical tools to analyze and interpret data. With input from the Department's Board of Advisors, extensive changes were made that resulted in greater student satisfaction with their statistics preparation.

Undergraduates commented very favorably on faculty accessibility and the family-like environment in the Department. The students liked having four curricular tracks. However, they felt the biological track was more difficult to pursue due to class pre-requisites. Opportunities for undergraduate research appear to be well publicized and a relatively high percentage of students participate (~30%).

The students expressed concern about the level of on-campus recruiting. They rely primarily on internet-based job search engines, such as Monster.com, and their own personal contacts for employment. No data was provided on student placement.

Undergraduate enrollment is a concern. The number of students that enroll in the Department has dropped from 36 in 1997-8 to 8 in 2004-5. A similar percentage drop in applications and admissions has occurred. The University's adoption of responsibility based budgeting likely will lead to budget adjustments based on enrollment or an enrollment-related metric such as credit hours taught. Therefore, the establishment of enrollment targets and enrollment management will be required to maintain the Department budget.

The students that enroll are well qualified. The composite ACT score for students entering in 2004-5 was 28.5, a significant increase from 25.3 in 1997-8. The student's average high school rank has varied from 80-85% during this time.

Students are not required to declare a major when admitted. However, undecided students that have expressed an interest in Chemical Engineering are assigned a Departmental advisor. The College retention rate from the freshman to sophomore year of over 80% is excellent.

The Department possesses adequate resources, staff and space, for the current undergraduate population. However, additional space would facilitate the growth of undergraduate research especially those activities that are not affiliated with graduate level research such as the American Institute of Chemical Engineers car competition and the WERC environmental design contest. OU has been competitive in both contests and in the past had dedicated space to support the activities; this space was lost recently.

Recommendations

- 1. The review of the undergraduate program duplicates that required for accreditation from the Accreditation Board for Engineering and Technology (ABET). Ohio University should evaluate the suitability of this review, the document itself and the external evaluator's comments, for the seven-year review of the undergraduate program. The creation of a separate document and the evaluation of it might not be necessary.
- 2. The Department should increase its recruitment activities to meet enrollment targets. While Department resources are adequate to support its current educational mission, resources are not available for recruiting. An increase in recruitment will require identifying new resources.

Two potential mechanisms are:

- a. College-led recruiting effort. By sending recruiters to visit high school science and math classrooms, the College could recruit for all Departments. This would provide the most efficient use of new resources.
- b. Student-led recruiting effort. The Department and College could encourage its student organizations (for example AIChE and Omega Chi Epsilon in Chemical Engineering) to undertake recruiting as a service activity. With recruiting material developed at the Department or College level, students could recruit at their hometown and nearby high schools.

The Department's Board of Advisors has offered numerous suggestions as well but all would require identification of new resources to support the effort.

Graduate Program

Commendations and Concerns

The Department offers a Masters of Science (M.S.) and a Doctor of Philosophy (Ph.D.) in Chemical Engineering. Starting Fall 2007, students also may pursue a Masters of Science in Biomedical Engineering. This new program is a joint effort with the Colleges of Osteopathic Medicine, Heath & Human Services, and Arts & Sciences.

The Department has well defined objectives for the M.S. and Ph.D. programs. However, mechanisms for assessment and evaluation of the objectives, comparable to those used for the B.S., do not exist.

During the past eight years, graduate enrollments have averaged ~30 and ~10 degrees have been awarded each year. Recruitment of new students has relied primarily on word of mouth and personal contact with Departments from which students have been recruited.

Competition for students has increased in recent years due to tighter immigration regulations and a competitive market for B.S. graduates. However, based on undergraduate standing and standardized test scores, the Department appears able to attract good quality students. Placement and alumni survey data is limited and does not permit assessment of student performance after graduation.

Departmental research focuses on three areas: 1) energy & the environment, 2) materials, and 3) bioengineering. These areas compliment the three specialty tracks offered at the undergraduate level. Moreover, the "Energy & the Environment" area has been identified explicitly as one of five strategic research areas for selective investment in the Vision OHIO planning document. The materials (nanoscience) and bioengineering areas have been grouped into another strategic area, "New Technologies: Basic Research and Development", in past versions of Vision OHIO.

The graduate program benefits from extensive collaboration with faculty in other departments as well as University institutes and centers. Particularly noteworthy are interactions with the Institute for Corrosion and Multiphase Technology (directed by Chemical Engineering Professor Srdjan Nesic), the Center for Air Quality (associated with the Institute for Sustainable Energy and the Environment), the Edison Biotechnology Institute, and the Ohio Coal Research Center.

The graduate students were very positive about their educational experiences and the Departmental environment. Virtually all are supported and receive tuition reimbursement. Although stipend levels are not uniform, students were not concerned about the differences.

The students working outside the Department did not feel separated from the Department. They are satisfied with the level interaction that occurs through seminar, classes, and other common activities.

The students expressed a desire for more electives, especially in the biomolecular engineering area. They also wanted to see more faculty actively involved in research.

Opportunities for growth in the graduate program appear to be resource limited. Laboratory space for growth does not exist so Departments must compete for existing space; a planned new building may alleviate this concern. The new Biomedical M.S. program will lead to a small increase in the graduate student population, ~10 students in total spread out over the four supporting colleges. Additionally, faculty workloads do not permit significant increases in graduate student recruitment efforts.

The University has commendable safety programs. The University requires a safety training class before students may work in the lab and offers haz-op inspections of equipment prior to operation.

Recommendations

1. Professor Nesic supervises over 15 students, nearly half of the students in the Department. Such a disproportionate advisory load poses significant risks to the Department. The Department should evaluate how to provide additional faculty support to the Institute for Corrosion and Multiphase Technology and thereby distribute the advising load. This support could take the form of a new faculty hire to work in the Institute or increased participation of current faculty. In either case, the additional faculty must be capable of supporting the missions of both the Institute and the undergraduate program.

- 2. Given current staffing levels, the Department is stretched to meet both its undergraduate and graduate educational objectives. While it is commendable that the Department wishes to support three thematic research areas, the Department should evaluate if current resources and opportunities for additional resources will provide adequate support for all three. Focusing on fewer areas may strengthen the Department's activities at both the undergraduate and graduate levels and improve the Department's ability to bargain for incentive based budget enhancements.
- 3. The Department and College should establish a long range plan for laboratory space. New or renovated space is essential to growth of both graduate and undergraduate research activities.

Faculty and Programmatic Profiles

Commendations and Concerns

Department faculty are actively involved in both education and research. Virtually all of the faculty teach one or more classes per quarter, chair or serve on multiple thesis and dissertation committees, and publish or present their work at least once a year.

During the review period, the majority of the faculty sought funding for their research and educational activities by authoring a proposal as either a Principal Investigator or co-PI. Additionally, faculty reviews of scholarly activity (books, papers, and proposals) increased by a factor of four from 1997 to 2004.

The service engagements of the faculty are appropriate and range from activities in the local community to national leadership responsibilities in professional organizations or as a member of an editorial board.

The younger faculty were very complimentary of the support they received during their probationary period. The Department provided the financial, teaching, and mentoring support required for the faculty to become successful.

Recommendations

1. No recommendations

Appendix A. Site Visit Schedule.

Monday, February 5, 2007		
5:00 pm	Arrive at OU Inn Internal and external	
5:00 pm - 5:30 pm	reviewers meet at OU Inn	Valerie Young, Chair of ChBE Ken Sampson, Associate Dean for
5:30 pm - 7:00 pm	Dinner at the OU Inn	Academics
Tuesday, February 6, 2007		
	Breakfast Tour of Konnecker	unaccompanied
9:00 am - 10:00 am	research facility (bioengineering research)	Doug Goetz, Professor & Director of the Biomedical Engineering program
10:00 am - 10:30 am	Meet with graduate students Tour Stocker research	ChBE Conference Room 175 Stocker
10:30 am - 11:15 am	labs	Valerie Young ChBE Conference Room
11:15 am - noon	Meet with ChBE faculty	175 Stocker
noon - 1:30 pm	Lunch	David Ingram and Jeff Connor
1:30 pm - 2:30 pm	Tour of ICMT research facility Tour of undergraduate	Bruce Brown, Research Engineer and Ph.D. student
2:30 pm - 3:30 pm 3:30 pm - 4:00 pm	laboratories; speak with senior undergraduates Open	Valerie Young and Jim Caesar, Department Technician
4:00 pm - 4:30 pm	Meet with junior and senior undergraduates Meet with chair, assistant	ChBE Conference Room 175 Stocker
4:30 pm - 5:00 pm	chairs for graduate and undergraduate studies Return to OU Inn to	Valerie Young, Darin Ridgway, Daniel Gulino
5:00 pm	retrieve car	



Interoffice Communication

Russ College of Engineering and Technology DEPARTMENT OF CHEMICAL AND BIOMOLECULAR ENGINEERING 740-593-1492

Stocker Center 172

Date: 05 January 2009

To: UCC Academic Program Review Committee

From: Valerie L. Young, Chair, Chemical and Biomolecular Engineering

Re: Chemical Engineering 7 Year Review Report Response

cc: Dennis Irwin, Dean, Russ College of Engineering and Technology

The Department of Chemical and Biomolecular Engineering submitted its self-study for review in Fall 2005 and recommended Dr. Glenn Lipscomb as the external reviewer. The site visit took place in February 2007 and the external reviewer's report was submitted on 14 March 2007. The draft review report was received on 1 October 2008.

Below, I provide further information regarding our commendations and concerns. In general, we have ourselves generated the needed resources to make progress in the interval between the self-study and the draft review report. The most important remaining concerns are the need for additional faculty in the growing Institute for Corrosion and Multiphase Technology, and the limited suitable space for our expanding chemical engineering research and projects at the undergraduate and graduate level. We are hopeful that the latter concern will be alleviated as space is reallocated following current construction and renovation projects.

I submit that the Department of Chemical and Biomolecular Engineering has continuously demonstrated dedication to and excellence in both undergraduate and graduate education, continues to grow steadily in scholarly productivity and reputation, and makes significant leadership and financial contributions to the Russ College and Ohio University. Our undergraduate students earn awards for research and design projects, and are employed in their field upon graduation. Our graduate students present their work at national and international meetings, publish in peer-reviewed journals, and are employed in their field upon graduation. I am proud of the contributions of our faculty and the successes of our students. I look forward to favorable responses in the next stage of the Seven Year Review process.

Commendations – Further Information

- The department thanks the reviewers for commending the review process for our undergraduate program. Our undergraduate program is due for its next visit by the Accreditation Board for Engineering and Technology programs in 2010.
- Our graduate program has grown steadily to 57 graduate students enrolled in Fall 2008, up from 36 in 2004, 39 in 2005, 48 in 2006, and 49 in 2007. ChBE now has the second-largest graduate student enrollment in the Russ College. We anticipate holding at this level, assuming no change in our faculty numbers. I note that typically 100% of our graduate students are funded, and the dollar amount of our general fund allocation for graduate stipends has actually shrunk during this period of our graduate program growth. This growth is entirely externally funded.
- The interdisciplinary nature of our graduate and research programs has further strengthened with the new (2007) MS in Biomedical Engineering program. The program is housed in Chemical

- and Biomolecular Engineering, with graduate faculty from multiple departments in the Russ College of Engineering and Technology, the College of Arts & Sciences, and the College of Osteopathic Medicine. The program enrolled 3 students in 2007 and 5 in 2008.
- Success in external funding has further improved. Eight of our ten faculty have had external research support in the past 3 years, and our three-year running average of external support awarded consistently exceeds \$2 million. As of November 1, 2008, awards are already in excess of \$2 million for FY 2009.

Concerns & Recommendations – Further Information

- Staffing at the Institute for Corrosion and Multiphase Technology (ICMT). An additional permanent faculty member is still badly needed. For academic years 2009 and 2010, we are fortunate to have Stocker Visiting Professor Brian Kinsella from Curtin University in Australia in the ICMT. In AY 2008, the departments of Chemical and Biomolecular Engineering and Mechanical Engineering carried out a joint search for a faculty member at advanced rank with research interests in corrosion and/or multiphase flow. The Dean of the Russ College committed a future open faculty line to the position, with funding in the interim to come from external research support. Two candidates were interviewed, but neither ultimately hired. Our request to continue the search was denied due to the budget situation, but the need remains. The Institute already has in excess of \$1.2 million in external awards for FY 2009, supporting about 50 students and staff.
- Undergraduate enrollment. Our undergraduate student enrollment in 2004 reached a 30-year low of 61 students, following a national trend in chemical engineering enrollment. Since then, it has steadily increased, and is now at 104. Department faculty have been active in recruiting events such as OHIO Up-Close and Stocker Scholars Day, and have been enthusiastic instructors for the Engineering Learning Community. We have had a department "flatsheet" and trifold brochure printed. We would welcome further assistance in targeted recruiting from Admissions. Our department enrollment target is 150 200 undergraduates.
- Undergraduate Diversity. Our percentage of women enrolled hit an all-time, one-time low of 13% in AY 2005. This may have appeared to indicate a trend. However, except for that single year, our female undergraduate enrollment has held between 25 % and 35 %. This is comparable with chemical engineering programs nationwide, and high for engineering programs in general and in the Russ College.
- Laboratory Space. Lack of suitable laboratory space continues to impede growth. Chemical engineering laboratories require ventilation and utilities that are available only in limited areas of current engineering space.
 - O The Institute for Sustainable Energy & the Environment has new research space on West State Street, space formerly occupied by Diagnostic Hybrids. However, the space requires significant renovation, and Facilities does not seem to share the sense of urgency that we feel. At the current rate of progress, it will probably be summer 2009 before this space is fully functional, and several projects have been delayed as a result.
 - O The Institute for Corrosion and Multiphase Technology has reached capacity in its research space, and is now over capacity in space for personnel. Students and staff are sharing desks and some have been set up in a conference room because there is no more office space. Our proposal to erect a temporary office building was rejected by Facilities. At this time, we cannot point to a plan to resolve this problem.

- O We currently have no space dedicated to undergraduate design competition projects. Teams use space in teaching or research labs, depending on the project. This is less than ideal, because design teams cannot interfere with instruction, and a wider range of potential safety issues exists in research labs as compared to a dedicated lab housing only equipment and experiments related to the design competition. We believe that some projects will be able to use the "hangar space" in the new Academic and Research Center (ARC) when it is completed, and that others can be accommodated as space in Stocker is reallocated following completion of the ARC and renovation of the ISEE space.
- Administrative staffing. Using a combination of Foundation and Research Incentive funds, a part-time staff person has been hired to assist with graduate program record keeping. This has eased some of the burden on the full-time administrative associate and on the graduate chair, and made it feasible for the department to take on administration of the new MS in Biomedical Engineering program. With the current market situation, Foundation funds can no longer be relied upon. We expect that we can continue to cover this part time position via research incentive funds for the next 1 2 years, at least.
- Operating costs. The department's base budget covers payroll for all full-time faculty and staff, but does not cover other operating expenses. The department has been fortunate to be able to cover these via a combination of Research Incentive funds, faculty release paid on external grants, and Foundation funds. However, further cuts to the base budget will be difficult to absorb. The department already runs lean, with no Group 2 or 4 faculty, and only two staff members on the base budget (one laboratory technician, one classified administrative associate). It is difficult to imagine further expansion of our funded research in the current economic situation, though we hope to hold steady.

Please contact me if further clarification is required.

Regards,

Valerie L. Young, Chair



Interoffice Communication

Russ College of Engineering and Technology

OFFICE OF THE DEAN 740-593-1479 Stocker 155

Date: January 22, 2009

To: Laura Tuck, UCC

From: Dennis Irwin, Dean and Moss Professor of Engineering Education

Re: Seven Year Review of the Department of Chemical and Biomolecular Engineering

Copy: File, J. Connor, V. Young

In general, I am in agreement with the responses to the review by Dr. Young, Chair of the Department of Chemical and Biomolecular Engineering (ChBE); however there is one item that deserves elaboration. The student complaint about on-campus recruiting is one heard often from Russ College students. However, the Russ College and the Office of Career Services have improved the coordination of their services since the review was conducted. This past academic year the college began providing placement assistance within the college to supplement the services provided by Career Services. During AY 2007-2008 180 companies interviewed, or expressed an interest in interviewing, Russ College students. So far in AY 2008-2009, 124 companies have been in contact with the Russ College, Career Services, or both. In addition, the Russ College has offered to pay its students' Career Services registration fee and has worked effectively with that office to remove scheduling and other barriers to student registration.

OHIO UNIVERSITY GRADUATE COUNCIL

TO:

David Thomas, Chair, University Curriculum Council

FROM:

David Juedes, Chair, Graduate Council

DATE:

Friday, May 8th, 2009

SUBJECT: Academic Program Review for the Department of Chemical and

Biomolecular Engineering

On April 28th, 2009, Curriculum Committee of the Graduate Council reported to me that it recommended an expedited review of the graduate portion of the Academic Program Review of the Department of Chemical and Biomolecular Engineering. Since I agree with their recommendation that the Graduate Council approve of the graduate portion of the program review, I am forwarding their recommendation directly to you. I have attached their report.

Sincere

David Juedes, Ph. D.

Chair, Graduate Council

Associate Professor

School of Electrical Engineering and Computer Science

Ohio University

Campus

Graduate Council Recommendation

Seven Year Review- Department of Chemical Engineering

The Graduate Council Curriculum committee recommends this seven year for expedited approval. With respect to graduate programs, the committee considered the following findings from the review as being relevant:

Strengths noted in the Program Review: The department effectively utilizes its limited resources to support its graduate program and collaborates with other departments and centers on research. The department also has a strong record in the area of external funding.

Concerns and Weaknesses noted in the Program Review: The concerns related to graduate programs primarily involve resources which place a limit on the ability of the program to grow. Laboratory space and administrative support are both limiting factors.

Recommendations of the Graduate Council Curriculum Committee: Accept the Program Review.

Academic Program Review Committee report to UCC – June 9, 2009

Department of Chemical and Biochemical Engineering

The program is viable and plays a substantial role in the University's overall mission. As noted in the reviews, some aspects of the program are to be commended. The reviewers also express some concerns and make some recommendations; these should be addressed prior to the next regularly scheduled review.

TIM HANSLEY

Tim Hansley was appointed as City Manager in 2008. He was appointed in July 2006 as the Director of Development. In that role he had responsibility for Engineering, Planning, Building Regulation, Code Enforcement, and Economic Development.

He received a Bachelor of Arts degree from Capital University and a Masters

degree from the University of Oklahoma; his major was Public Administration in both programs.

Beavercreek, Conneaut, Dublin, Lebanon, and Tipp City. He also was the Director of Finance in Westerville and served in Cincinnati as a Management Analyst. Tim was an

adjunct professor at Capital University and also taught Public Safety Administration as

an adjunct professor in the graduate program at Wright State University.

Prior to coming to Pickerington, Tim served as City Manager in the Ohio cities of

DENISE D. CALLIHAN, Ph.D. 50 LeLar Street Tiffin, Ohio 44883 419-447-0369

Current Position: Superintendent, Lancaster City Schools, since August, 2004.

EDUCATION

- Honorary Doctorate. Heidelberg College, Tiffin, Ohio. June, 2003.
- Doctorate of Philosophy in Educational Administration Emphasis in Bilingual Education from Ohio University, 1994.
- M.E.D. Degree in Middle School Education/Emphasis in Talented and Gifted Education, Ohio University, Athens, Ohio. August, 1981.
- B.S. Degree in Elementary Education/Minor in Psychology with honors, Ohio University, Athens, Ohio. June, 1978.

HIGHLIGHTS OF QUALIFICATIONS

- Twenty-six years of experience in education.
- Ten years teaching experience includes grade one through graduate studies. Extracurricular experience includes coaching of girls' basketball, softball, and cheerleading sponsor.
- Sixteen years of administrative experience includes Assistant Principal, Principal, Assistant Superintendent and Superintendent.
- Strong practical and theoretical background in public education with research completed in leadership, teacher selection, and school climate studies while at Ohio University.



Office of Information Technology

Progress Update Board of Trustees University Academics Committee

J. Brice Bible Chief Information Officer Office of Information Technology June 25, 2009

Agenda

- Dashboard Reports
 - SIS Implementation
 - Next Generation Network
 - University-Wide IT Alignment
- University-Wide IT Assessment Results (Preliminary)
- OIT Staffing Levels

Student Information System Program Update

Quick Facts:

- SIS Program named "Rufus Initiative"
- The Rufus Initiative consists of several related projects:
 - SIS Replacement PeopleSoft Campus Solutions implementation to replace current SIS
 - SIS configuration for quarter to semester conversion
 - Transfer articulation
 - Service Integration Strategy and Development
 - Data Warehouse and Reporting Solutions
 - Data Vault (privacy of sensitive data)
 - Identity Management (IdM)
 - University Portal
 - Constituent Relationship Management (CRM)

Rufus Initiative Update

Initiative	Progress	Status
Approve Project Funding	Project is funded \$19M bond and \$4m non-bond. Bond funds have been allocated, awaiting notification of non-bond allocation.	
Negotiate PeopleSoft Implementation Services Contract	Negotiations completed successfully. ISP vendor, CIBER began onsite activities May 4.	
3. Transition OHIO Staff	Core staff have been aligned. Awaiting Project Administrator hiring approval.	
4. Establish Project Governance	Established: Executive Steering Committee, Advisory Committee, Faculty Special Interest Group, Project Management Team, Communication, Change Management and Training Team.	
	Working: Resource Management Assurance Group, Student Special Interest Group	

Completed On Schedule Funding Issue or Delays

Rufus Initiative Update

Initiative	Progress	Status
5. Prepare PeopleSoft Campus Solutions Environments	Environments installed and ready for use (effective June 19). This includes DEMO, DEV, and TEST instance installation and patching to the latest release of PS 9.0. CIBER team has lead and mentored OHIO team. OHIO team will lead the effort on installing the next series of instances.	
6. Revise Implementation Plan	Team preparing revised implementation plan based upon phased go-live approach.	
6. Service Integration Strategy	Roadmap under construction and scheduled for mid July delivery.	
7. Data Vault (Master Data Management)	Oracle has re-focused data vault product toward the Master Data Management product (acquisition of Siebel). Team is studying the MDM product as a potential solution for a secure, consolidated repository of person data.	

Completed On Schedule Funding Issue or Delays

Rufus Initiative Update

Initiative	Progress	Status
8. IdM Implementation	RFP delivered to vendors. RFP evaluations to occur in June.	
9. CRM Implementation	Top three vendors have been selected. Vendors are scheduled for onsite, scripted product demonstrations in July.	
10. University Portal	Requirement gathering sessions have been scheduled and will occur during June/early July. RFP to purchase portal software and implementation services will be issued after requirements are defined.	
11. Reporting and Data Warehouse	Requirement gathering sessions scheduled to begin June 22 nd .	

Completed On Schedule Funding Issue or Delays

Next Generation Network Update

	Initiative	Progress	Status
1.	Design Status	Network Design 100% complete.	
2.	Network Equipment Provider	Juniper was selected as the manufacturer for network equipment.	
3.	Bond Approval	Bond approved in May and funded at \$9M for the first-half of the Network Upgrade.	
4.	OU Procurement	Bid process initiated for network gear and wiring	
5.	Multi-campus Procurement	Discussions between CIO's of various state institutions are in progress.	
6.	Regional Campus Network Upgrades	Working Plan completed and detailed design underway with each campus.	

Completed On Schedule Funding Issue or Delays Stopped

IT Alignment Update

Initiative	Progress	Status
Kickoff Meetings	Completed 20 Kickoff meetings with each of the planning units.	
2. Assessment Surveys	Issued 34 IT Assessment Surveys. • Received 30 completed Surveys	
3. Memorandums of Understanding	Memorandum of Understanding discussions underway with 7 planning units.	

Completed On Schedule Funding Issue or Delays Stopped

IT Staffing & Expenditures - Preliminary Report

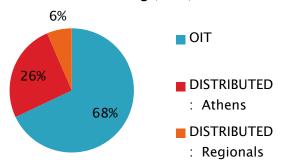
IT Personnel Expenditures

Area	FTE	\$ Amt
ОІТ	157	\$11,743,200.00
DISTRIBUTED: Athens	59	\$4,159,480.00
DISTRIBUTED: Regionals	15	\$981,536.00
TOTAL	231	\$16,884,216.00

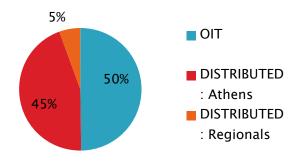
IT Good & Services Expenditures

Area	\$ Amt	
ОІТ	\$8,065,629.08	
DISTRIBUTED: Athens	\$7,229,424.95	
DISTRIBUTED: Regionals	\$904,123.22	
TOTAL	\$16,199,177.25	

IT Staffing (FTEs)



IT Goods & Services (\$ spent)



GRAND TOTAL:\$33,083,393.25

Distributed IT Staff: % of time devoted to IT-related effort

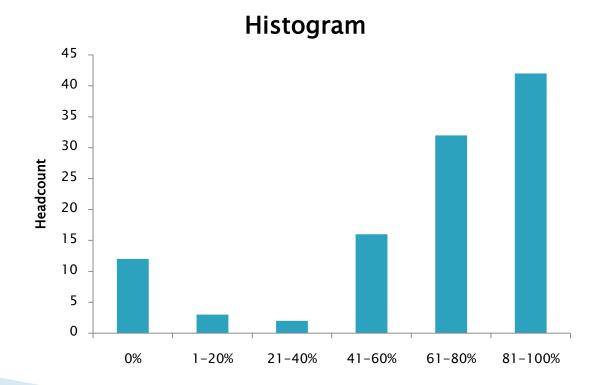
Chart Data

Citar t Bata	
% IT	Headcount
0%	12
1-20%	3
21-40%	2
41-60%	16
61-80%	32
81-100%	42
Total	107

Cumulative data

Cumulative data			
% IT	Headcount	Cumulative	
81-100%	42	42	
61-80%	32	74	
41-60%	16	90	
21-40%	2	92	
1-20%	3	95	
0%	12	107	
T-4-1	407		

Total 107

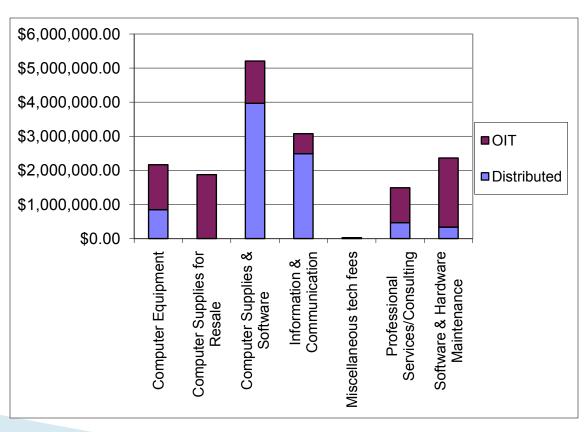


Ohio University Office of Information Technology

Type of Spend Comparison: OIT, Outside OIT

01-MAY-2008 thru 30-APR-2009

Type of Spend	Distributed	OIT	Total
Computer Equipment	\$847,840.36	\$1,320,003.55	\$2,167,843.91
Computer Supplies for Resale	\$0.00	\$1,872,430.88	\$1,872,430.88
Computer Supplies & Software	\$3,965,628.87	\$1,240,294.04	\$5,205,922.91
Information & Communication	\$2,490,377.53	\$586,103.07	\$3,076,480.60
Miscellaneous tech fees	\$26,490.59	\$0.00	\$26,490.59
Professional Services/Consulting	\$468,852.11	\$1,019,712.10	\$1,488,564.21
Software & Hardware Maintenance	\$334,358.71	\$2,027,085.44	\$2,361,444.15
Grand Total	\$8,133,548.17	\$8,065,629.08	\$16,199,177.25
	50%	50%	100%



HIGHER EDUCATION - Benchmarking data for IT Expenditures

Mean IT Expenditures Outside Centralized IT*

	MEAN		Distributed IT-OHIO	
Dist IT compensation	\$10,301,000	52%	\$5,141,016	39%
Other Dist IT Expenditures	\$9,680,000	48%	\$8,133,548	61%
TOTAL	\$19,981,000	100%	\$13,274,564	100%

Total Centralized IT Funding as

a percent of Total Campus IT Expenditures*

Mean	65%
Median	66%
ОНІО	60%

Percent of Total Budget spent on Information Technology **

Average	between 4 and 6 %
ОНІО	5.5%

OHIO data

OIT Funding-FY09	\$19,808,829
Total Expenditures-May08-Jun09	\$33,083,393
Total Budget-FY09	\$598,836,000

^{*}data per EDUCAUSE Core Data Service, Fiscal Year 2007 Summary Report

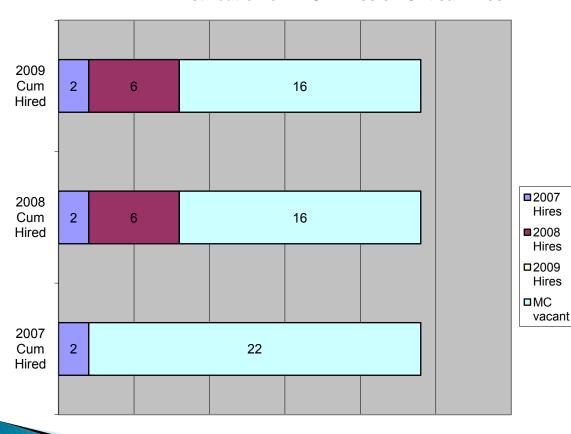
(http://campustechnology.com/articles/2004/09/it-spending-wheres-the-value.aspx)

 $[\]ensuremath{^{**}}\text{data}$ per Bennett Voyles, "IT Spending: Where's the Value?", 29-SEP-2004

Status of Critical IT Staffing Levels

Based on 2007 Board of Trustees Approved Improvement Plan

Distribution of 24 OIT Mission Critical Hires



Total OIT Positions (Adjusted for Reorganizations)

157

2006

2007	154
2008	157
2009	145



GOVERNANCE COMMITTEE MEETING

Ohio University, Athens Campus Margaret M. Walter Hall, Room 125 June 25, 2009, 4:00 p.m.

In attendance were Committee Chair Sandra Anderson, Trustee Jean Harris, Trustee Yvette McGee Brown, Student Trustee Chauncey J. Jackson, Alumni Representative Dennis Minichello, National Trustee Frank P. Krasovec, Trustee Larry Schey, Secretary to the Board Tom Davis, and General Counsel John J. Biancamano.

1. Student Trustee Selection Committee

Sally Neidhard, Former Vice President of the Student Senate presented a proposed amendment to the rule governing the Standing Committee on the Board of Trustees Student Member Nominee Selection Process. The amendment would change the composition of this Committee with a goal of increasing the number of candidates for the Board of Trustees Student Member position.

After discussion, the Committee voted to recommend that the amendment be adopted by the full Board. A resolution to this effect will be presented at the meeting on June 26, 2009.

2. Changes to Board of Trustees Bylaws

John Biancamano reviewed proposed amendments to the Board of Trustees Bylaws. Under this proposal Article V, Section 6, Order of Business, would be deleted and changes would be made to the responsibilities of the Governance and the Executive Committees. It was pointed out that a change to Article VI, Section (c), Audit

Committee, appearing on the document before the Committee had been approved by the full Board at its previous meeting.

After discussion, the Committee voted to recommend that the amendments to the Bylaws be adopted by the full Board. A resolution to this effect will be presented at the meeting on June 26, 2009.

3. Trustee Selection Process

Secretary to the Board Tom Davis gave a report on his research relating to the process for selection of trustees in Ohio as well as in other states. He has had discussions with a representative of the Governor's Office who said that the Governor is open to recommendations regarding the selection process. There was discussion of the importance of having a local trustee and a suggestion that national trustees should have voting positions. It was pointed out that this would require a change in Ohio law. Discussion on these issues will continue in the future.

4. Board Retreat

Secretary Davis reported on the status of the agenda for the Board retreat in August. Trustee Kidder has been working with President McDavis on this. Topics currently under consideration are the strategic plan, the financial health of the university, organizational issues and communication. A draft agenda will be shared with the board in July.

The meeting adjourned at 4:45.

30.09 Standing Committee on the Board of Trustees Student Member Nominee Selection Process

- (a) There shall be a Standing Committee charged with selecting nominees for the position of Student Trustee. This Student Trustee Search Committee shall be charged with reviewing applications for the vacant student trustee position each year. From this pool of applicants, they shall forward five (5) candidates to the Office of the President for final approval. If approved, the candidates' applications will be sent to the Governor's Office for final consideration.
- (b) The Committee shall be comprised of eight permanent positions and six appointed positions. The eight permanent positions shall consist of: the Vice President of Student Senate, the two current Student Trustees, the President of Student Senate, the President of Graduate Student Senate, one non-executive member of Student Senate, one non-executive member of Graduate Student Senate, and the Vice President for Student Affairs. The Vice President of Student Senate shall chair the Committee. The Vice President for Student Affairs shall serve on the Committee in an ex officio capacity and shall have no vote on the Committee.
- (c) Six non-Student Senate and non-Graduate Student Senate representatives shall be appointed through an applications process, and shall be appointed by the Chair. Candidates for these positions shall be in good academic and judicial standing. Preference for these positions shall be given to former Student Trustees at the discretion of the Chair. An effort shall be made to maintain a two to one, undergraduate student to graduate student ratio in these six positions. Should six or fewer people apply for these positions, all applicants who meet these requirements shall be appointed to the Committee.
- (d) As Chair of the Committee, the Vice President shall be responsible for soliciting and appointing members to the Committee. Applications for the non-Student Senate and non-Graduate Student Senate representatives shall be determined by the Chair, and will include an essay explaining the applicant's desire to serve on the Committee. These applications shall be made available and well-advertised no later than week 3 of Fall Quarter and shall be due to the Vice President no later than week 5 of Fall Quarter. Committee Members shall be notified of their status on the Committee no later than the last week of Fall Quarter. The Committee shall convene no later than the second week of Winter Quarter.
- (e) The Committee will be authorized under Article III, section 8 of the Constitution.
- (f) The Chairperson shall schedule all meetings and interviews. The Committee shall work in conjunction with the Secretary of the Board of Trustees in the formulation of the application packet. In addition, the Committee shall be responsible for all advertising for the position. Ideally, the office of the Vice President for Student Affairs shall assist with coordination and funding.

- (g) The Committee shall solicit nominations from various registered student organizations, preferably_those which are leadership/scholarship oriented.
- (h) The Committee shall also accept applications from other interested and qualified students who are in good standing with the University.
- (i) The Chairperson and the two current Trustees shall hold at least two (2) information sessions for interested students prior to the application deadline. Here, they will lay out the responsibilities of the position and go over the application process in detail.
- (j) While the number of applicants/nominees will vary from year to year, the committee reserves the right to grant only as many interviews as it sees fit. If a need arises, the Committee may determine criteria for an initial screening process, so as to limit the amount of interviews to a manageable number.
- (k) There shall be a statement of non-discrimination included within the application that reads as follows: Student Senate, its Executive Officers, Senators, staff, officials, or subdivisions, including this committee, shall not engage in discrimination on the basis of race, religion, color, sex, sexual orientation, national origin, ancestry, age, gender, gender identity or expression, mental or physical disability, or veteran status in its programs and activities.

DRAFT Board Bylaws 09 Amd v2

The Ohio University Board of Trustees By-Laws as Amended

Formatted: Font: Italic

Revised January 23, 2009

Revised February 8, 2008

Revised July 14, 1989

Revised June 23, 1990

Revised September 20, 2002

Revised December 17, 2004

Article I. Corporate Authority and By-Laws

<u>Section 1</u>. Since by Federal and State law, there shall be and forever remain in the said university, a body politic and corporate, by the name and state of The President and Trustees of the Ohio University in the name and style of The Ohio University. The Ohio University Board of Trustees, hereinafter referred to as the Board, chooses to be governed by these By-Laws and the applicable provisions of Ohio law.

<u>Section 2</u>. The adoption of these By-Laws by the Board automatically nullifies all previous By-Laws.

<u>Section 3</u>. No By-Laws shall be enacted, amended, or repealed, except by a majority vote (5 votes) of the Board, and then only after thirty (30) days notice of a proposed change has been given to all members.

<u>Section 4</u>. The two student trustees, serving in accordance with Section 3337.01 (B) Ohio Revised Code (ORC), and the two national trustees and the chair/representative of the Ohio University Alumni Association Board of Directors, who are appointed by the Board, may not vote on Board matters but their opinions and advice will be actively solicited and welcomed in Board deliberations.

Article II. Officers of the Board

Section 1. Officers of the Board shall be as follows:

- (a) Chairperson
- (b) Vice-Chairperson

1

- (c) Secretary
- (d) Treasurer

<u>Section 2</u>. The Chairperson shall preside at all meetings of the Board, and unless otherwise directed by the Board, shall have the authority to appoint members of and fill vacancies on all standing and special committees. He or she shall serve as Chairperson of the Executive Committee. Subject to these By-Laws, he or she shall fix the date and time of all regular, special, and emergency meetings, and perform such other duties as may be pertinent to the office of the Chairperson.

<u>Section 3</u>. The Vice-Chairperson, in the absence or incapacity of the Chairperson, shall assume the duties and obligations of the Chairperson.

<u>Section 4</u>. The Secretary shall keep minutes of all Board meetings and shall promptly distribute copies to all Board members. He or she shall be responsible for the orderly preservation of all records pertaining to Board business, and shall perform all other duties usual to the office or imposed by the Chairperson or by Board action.

<u>Section 5</u>. The Treasurer shall be responsible for the fiscal management of the University, including supporting budget preparation, the preparation of all officially required financial reports, investments, coordination of audits with auditors, including federal and state auditors, relationships with financial reporting agencies, and all other financial responsibilities generally or specifically assigned by the Board or the President.

Article III. Election of Officers

<u>Section 1</u>. The Chairperson, Vice-Chairperson, Secretary, and Treasurer shall be elected annually by the Board.

<u>Section 2</u>. The Chairperson and Vice-Chairperson shall each serve for one year and shall be eligible for re-election to their respective offices for a period up to three (3) years. The Secretary and the Treasurer shall be eligible for annual election to these offices without a yearly limitation.

Article IV. The President and Presidential Duties

<u>Section 1</u>. On the basis of mutual good faith and any contractual relationship pointing to continuous service, the President of the University shall be elected from year to year, and shall be entitled at all times to one (1) year severance notice or one (1) year salary if terminated.

<u>Section 2</u>. The President shall attend all meetings of the Board and shall, in an advisory capacity, have a voice in its deliberations. He or she shall have the authority to initiate any subject at Board meetings.

<u>Section 3</u>. The President shall be responsible to the Board for the administration and discipline of the University.

Article V. Meetings

<u>Section 1</u>. Regular Meetings. The Board shall hold no fewer than four (4) regular meetings a year, with the date and time fixed in accordance with the provisions of Article II. Section 2.

<u>Section 2</u>. Special and Emergency Meetings. Special and emergency meetings may be held upon the call of the Chairperson or upon the written request of three (3) Board members to the Secretary.

<u>Section 3</u>. Notice of Meetings. The Secretary shall notify all Board members and the President at least five days in advance of all regular and special meetings and at least one day in advance of all emergency meetings. The policy designated "Notification Procedures for Meetings," which has been adopted by the Board pursuant to Section 121.22 (P) ORC, is hereby incorporated by reference into this section, and the Secretary shall carry out his or her responsibilities under that policy in accordance with its provision for all meetings.

<u>Section 4</u>. Attendance. It shall be the policy of the Board to require full attendance at all meetings of the Board and committees in accordance with Section 3.17 ORC. Excuses for absence from meetings shall be communicated to the Secretary at least two (2) days before meetings. Persistent unreasonable absences in violation of Ohio law shall be cause, at the pleasure of the Chairperson, for reporting such delinquency to the appropriate authority of the State of Ohio.

<u>Section 5</u>. Quorum. For the purpose of doing business, a majority (5 members) of the Board membership shall constitute a quorum; however, a vote of two-thirds (6 votes) of the Board members shall be necessary to elect or remove a President; and a vote of a majority (5 votes) of the Board members shall be necessary to authorize the sale or lease of a University building or the planned demolition of a University building.

<u>Section 6</u>. Order of Business. The order of business unless otherwise suggested as necessary by the Chairperson or President pursuant to Section 7, shall be as follows:

- (a) Roll call
- (b) Action on preceding meeting minutes
- (c) Communication, petitions, memorials
- (d) Report of the Chairperson
- (e) Comments and reports of the President
- (f) Reports of the Executive Vice President and Provost
- (g) Reports of Committees

- (h) Unfinished business
- (i) New business
- (i) Announcement of next stated meeting date
- (k) Adjournment

Section 67. Agenda. The Chairperson of the Board or the President of the University, except for emergency meeting, shall prepare and place in the hands of the Secretary a suggested agenda of each Board meeting in time for the Secretary to include it in the notice provided for in Section 3 of this Article.

Article VI. Standing and Special Committees

<u>Section 1</u>. Standing Committees of the Board, consisting of no fewer than three (3) members each, shall be appointed annually or for longer terms by the Chairperson of the Board, and each Standing Committee shall consider and make recommendations for action by the Board on the various policy matters enumerated below as follows:

- (a) University Academics. Responsibilities will include the academic plan; enrollment management; student life; intercollegiate athletics; diversity; research and technology transfer policies and activities; information technology; communications and marketing; academic appointments; promotion and tenure policies and procedures; academic program reviews; and awarding of degrees.
- (b) University Resources. Responsibilities will include financial operations; business organization and practices; human resources; university advancement; relations with local, state, and federal legislative and administrative agencies; recommending of the schedule of tuition and fees; borrowing of funds; naming, location, planning, construction, and maintenance and renovation of the University's facilities and grounds; the purchase, sale and lease of lands and buildings; reviewing and monitoring of all investments including the endowment; contract oversight on public utilities and other large contracts; and recommending of investment policy, advising the Board on investments and appointment of investment advisors to assure compliance with Section 3345.05 ORC.
- (c) Audit. Responsibilities will include the oversight of the internal audit functions, annual or other periodic audits of financial operations, the recommendation of the appointment of an external audit firm to the Board of Trustees, the receipts of the reports of the internal auditor and the external audit firm, and the university's whistleblower policy accountability and compliance procedures.
- (d) Governance. Responsibilities will include the recommendation of general governance policies and procedures, including amendments to the By Laws the nomination of Board officers and recommendation of candidates for future trustees and national trustees. At the last meeting

in each fiscal year, the Committee shall review these Bylaws to determine whether any changes are appropriate and shall recommend any such changes to the Board of Trustees.

(e) Executive. Responsibilities will include consulting with the President on the appointment of executive officers, election of Board officers, and business not specifically assigned to another Standing or Special Committee.

<u>Section 2</u>. The Executive Committee shall be made up of the Chair and Vice Chair of the Board of Trustees and the Chairs of University Academics and University Resources Committees and have broad powers to act in all matters not deemed by the Chairperson of the Board and the President of the University as of importance to command the immediate attention of the entire Board. All actions of the Executive Committee shall be subject to approval by the Board, except those wherein the Board has delegated to the Executive Committee or the President full power to act for the Board.

<u>Section 3</u>. Special committees may be appointed by the Chairperson of the Board for the purpose as the Board may deem necessary.

<u>Section 4</u>. The Chairperson of the Board and the President or designee shall be ex-officio members of all Standing Committees and Special Committees; however, neither is eligible to serve as a voting member of a Standing or Special Committee, in his or her ex-officio capacity.

Article VII. Parliamentary Authority

<u>Section 1</u>. When not in conflict with any of the provisions of these By-Laws, the Robert's Rules of Order Newly Revised shall govern the proceedings of the Board.

02.08.08



AUDIT COMMITTEE MEETING OHIO UNIVERSITY - ATHENS CAMPUS Margaret M. Walter Hall, Room 127 June 25, 2009 - 4:00 PM

C. Robert Kidder, Chair of the Audit Committee, called the meeting to order at 4:00pm. Other Committee Member present was Trustee David Wolfort. President McDavis was also present.

• FY10 Annual Audit Plan

Kathy Gilmore, Chief Audit Executive, presented on the FY10 audit plan. Seven assurance audits are included as well as nine follow-up audits. Ms. Gilmore discussed the risk assessment process used to prepare the annual audit plan. The committee members discussed the audit plan; discussed the SIS project and Internal Audits role in the internal control process. Trustee Kidder made a motion to approve a resolution accepting the annual audit plan. The motion was seconded by Trustee Wolfort. Vote was unanimous.

Audit Recommendation Update

Chief Information Officer, Brice Bible, provided an update on the status of prior audit recommendations issued by the external auditor, Plante Moran. A detailed discussion was held. Questions for clarity were posed, answers were responded to in detail.

There was no unfinished business.

Meeting adjourned at 4:40p.m.

Ohio University Internal Audit Office Annual Audit Plan



1st level risk ranking: 3.45 +									
2nd level risk ranking: 3.30 - 3.44	Risk Assessment Template								
3rd level risk ranking: 3.15 - 3.29									
4th level risk ranking: 3.05 - 3.14									
5th level risk ranking: 0 - 3.04									
9						Internal/			
	Outside	Personnel &		Control	Inherent	External		Total	
Auditable Units	Influence	Management	Systems	Environment	Risk	Audits	Materiality	Rating	
	10%	15%	5%	20%	15%	15%	20%	100%	
Admissions	5	3	3	3	3	3	4	3.40	
Airport	4	3	3	4	5	4	1	3.35	
Baker University Center & University Events	5	3	3	3	3	3	4	3.40	
Chief Information Officer	4	2	5	3	5	1	4	3.25	
Chillicothe Campus	3	2	3	2	3	1	3	2.35	
College of Arts & Sciences	3	3	3	3	3	1	5	3.10	
College of Business	3	3	3	3	3	1	4	2.90	
College of Education	3	3	3	3	3	4	3	3.15	
College of Fine Arts	3	2	3	3	3	1	4	2.75	
College of Health & Human Services	3	3	3	3	3	3	4	3.20	
College of Osteopathic Medicine	5	3	4	3	3	4	5	3.80	
Communications & Marketing	5	3	2	3	3	3	2	2.95	
Controller - Plant Funds, Grants, General Accounting & Reporting	4	3	3	2	4	3	4	3.25	
Dining Services	4	3	4	3	3	3	4	3.35	
Eastern Campus	3	3	3	4	3	4	3	3.35	
Facilities Management & Auxiliaries	4	4	4	4	4	4	5	4.20	
Honors Tutorial College	3	3	3	3	2	3	1	2.45	
Human Resources	4	3	3	3	4	3	5	3.65	
Intercollegiate Athletics	5	3	3	4	5	1	3	3.40	
Lancaster/Pickerington Campuses	3	3	3	3	3	1	3	2.70	
President's Office, Secretary to the Board,									
Cutler Scholars, Government Relations, & Legal Affairs	4	3	3	3	3	3	3	3.10	

FY 10 Audit Plan

Audits

- Advancement
- · College of Osteopathic Medicine
- Foundation Accounting
- Human Resources
- · Residential Housing
- Shared Services (Business Service Center and University Disbursements)
- Southern and Proctorville Campuses



3

FY 10 Audit Plan

Follow-up Audits

- · Campus Recreation
- · College of Education
- College of Health and Human Services
- · Dining Services
- Eastern Campus
- Facilities Management
- Treasury Management
- University Outreach Operations
- · Zanesville Campus



4

Other Audit Time

- Investigations
 - 9% of audit time
- Risk Assessment
- Ohio Compliance Supplement Chapter 7 testing for Plante and Moran
 - Related to proper stewardship of public money
 - Travel reimbursement, credit cards, vehicles, and cell phones
 - Our testing results in reduced external audit costs



5

Other Time

- Special Projects
 - Consulting activities such as providing internal control advice
 - Internal Audit Tips in the Outlook
 - Service such as Identify Management, Sensitive Data Handling and Internal Control Environment committees
 - Educational efforts



6

Plante Moran Management Response Update

June, 2009

Segregation of Duties and Change Management

- Plante Moran Finding #1: Certain statements in the client self-assessment do raise concern such as "Application Administrators currently don't have rights (to post transactions in the core financial applications) but can evaluate own rights" (section 1.5). And "Potential for others within Sys / Ops to have access to OS" (section 1.6). Such control improvements are being developed however these should become a priority and compliance should be monitored.
- Management Response: Ohio University is undergoing a remediation process in the next 120 days. This includes segregation of duties and change management appropriate to the technical capabilities of the system and business processes. However, one limitation is that by design within the Oracle E-Business Suite (EBS), there is an application administrator who can grant privileges to application users. Anyone with this role/responsibility will typically be able to elevate their own privileges. Our E-Business administrators are unaware of any software delivered segregation of duties capabilities beyond this within the core EBS. A monthly audit report of changes to user access within EBS comes to the application administrator for review and could easily go to someone else for additional review.

Update

- Audit report currently generated and reviewed by OIT.
- OIT is currently identifying the functional users for report receipt (i.e. Controller, Oracle reporting, etc.)
- Security Information and Event Manager (SIEM) is being configured to log user access changes.
- Current Identity and Access Management Project has this within scope, and will address Segregation of Duties and Change Management for all systems within 2 years.

Network Authentication Controls

- **Plante Moran Finding #2:** For passwords we recommend a password expiration of a maximum of 90 days, the current setting is 365 days.
- Management Response: Ohio University's Office of Information Technology (OIT) is currently investigating 3 technical options for addressing this. In addition, OIT is undertaking the task of revamping the Identity Management services as a part of the Student Information Systems Project, which will allow for the granularity of control this requires.

Update

- Authentication: Through the IdM project, levels of access and appropriate authentication methods will be identified for all. This includes two-factor authentication for privileged users (if feasible).
- Network Access: Through the Network Upgrade project, all users will be required to authenticate prior to being allowed on the University Network.

Information Security Program

- Plante Moran Finding #3: For the information security program, all users should be required to sign and acknowledge that they have read and understood the information security program. This acknowledgement should be signed annually and retained in the employees personnel file. If any third parties have network access then, like employees, all third-parties accessing the organizations information systems should follow the information security program. Prior to giving them access, third parties should be required to sign the information security program and confidentiality agreement. It is our understanding that such controls are in development.
- Management Response: OHIO IT staff is currently undergoing training on sensitive data handling, including digitally acknowledging the code of responsibility. This training is also available to all members of the University Community, and could easily be extended to third parties that the functional unit identifies.

Update

- The current Sensitive Data Handling Course is being required of all E-Biz users.
- Identity Theft Prevention Program under development pursuant to the Federal Trade Commission (FTC) Red Flags Rule
 - Required by Fair & Accurate Credit Transaction Act of 2003
 - Will be included in sensitive data handling training
 - Proposed to Board of Trustees for action at Fall 2009 meeting

Back-up control procedures

- Plante Moran Finding #4: Backup tapes should be rotated to an off-site location at least five miles away to protect the backup tapes from the disaster at the primary location. The tapes should be clearly marked as to date version and off-site tape rotation should be clearly documented to show which tapes are at the off-site location. The client has indicated an on-line solution is being investigated which would address these concerns.
- Management Response: All off site backups are currently ~300 feet away, with investigations actively continuing on how to move them to Columbus (approximately 75 miles away).

Update

- Most necessary components for the HR/FMS cold site are awaiting shipment to OSU's Data Center with a few components yet to be procured: (i.e. cabling, 5 disk drives, etc.)
- OSU is authoring an updated Service Level
 Agreement. This document is intended to be signed
 no later than mid-June for an SLA term of July 1, 2009
 to June 30, 2010; renewable yearly.



Ohio University Board of Trustees

Executive Committee Meeting

June 26, 2009

Ohio University Inn

Present- Chair C. Robert Kidder; Vice Chairperson M. Marnette Perry; Trustees Sandra J. Anderson, David Brightbill, Gene T. Harris, Larry L. Schey, David A. Wolfort, and Yvette McGee Brown; Student Trustees Chauncey Jackson and Kyle Triplett; and National Trustees Frank P. Krasovec and Charles R. Stuckey.

Not Present- Trustee Norman E. "Ned" Dewire

Also attending were President Roderick J. McDavis, Board Secretary Thomas E. Davis, Chair of the National Alumni Board of Directors Dennis Minichello, and General Counsel John Biancamano.

The meeting was called to order at 7:35 a.m. by Chair Kidder.

Chair Kidder offered opening comments on the upcoming year indicating that his hope is for the Trustees to focus on two major areas: first, to focus on strategic priorities with the goal of consistency of purpose on the part of the Board, and second, to improve communications across the various constituents across campus including the Board, administration, and faculty.

Trustee Kidder distributed a list of Committee assignments for the 2009-10 year. Chairs of the four committees will be as follows:

University Academics: Trustee Harris

Finance Committee: Trustee Perry

Governance Committee: Trustee Anderson

Audit Committee: Trustee Wolfort

Chair Kidder announced that the date for the Board of Trustees Retreat would be August 21, 2009. The focus of the Retreat will address three major themes including the academic health, financial health, and communication health of the institution. More information will be forthcoming from Secretary Davis concerning preparation materials for the retreat.

An update was offered on a recent dinner meeting that was held with Chair Kidder, Secretary Davis, and Executive Committee members of the Faculty Senate. A presentation and question and answer session at the June Faculty Senate meeting followed the dinner meeting. Areas of concern were raised about the Centers of Excellence relative to content and process, and a lack of clarity in priorities of Vision Ohio.

Chair Kidder then invited General Counsel John Biancamano to offer a brief update. He indicated that he would make himself available in the future to offer necessary updates on pending cases and other legal matters that would be important for the Board to be aware of. He indicated that at the current time there are 16 pending law suits in varied stages of litigation.

Chair Kidder invited President McDavis to give a brief report. He announced that Dr. Pam Benoit had accepted the position of Executive Vice President and Provost and would begin her duties on July 1, 2009.

President McDavis gave a brief report on recent efforts around health care services and collaborative efforts that are taking place on the part of such groups as the College of Osteopathic Medicine, O'Bleness Hospital, and local physicians. He will continue to report to the Board on medical service updates as they evolve.

He offered an update on a recent conference held by Dr. Terry MacTaggart attended by President McDavis, Trustees Perry and Dewire, and National Trustee Stuckey. This was a follow-up to the comprehensive presidential evaluation and subsequent action planning. A topic that Trustee Perry indicated came out of the discussions emphasized the importance of improved communications between the President and the university community. At that time President McDavis shared with the Trustees efforts that he has engaged in to address the issue of better communications. These efforts include holding open office hours, attending more campus functions, and holding meetings with faculty. Trustee McGee Brown raised the possibility of utilizing the services of the office of University Communications and Marketing to enhance lines of communication. Trustee Kidder followed up on this to emphasize the importance that university leadership have a common message to be shared with both internal and external constituents.

Finally, President McDavis highlighted his performance objectives which he indicated were being formed around year two of the Vision Ohio strategic plan. General discussion ensued on the topic. This will be a focus on discussion during the August Retreat.

Chair Kidder adjourned the meeting at 9:40 am.