

**MINUTES OF THE MEETING OF
THE BOARD OF TRUSTEES OF OHIO UNIVERSITY**

Thursday, September 27 and Friday, September 28, 2001

Ohio University, Athens Campus

THE OHIO UNIVERSITY BOARD OF TRUSTEES
MINUTES OF September 28, 2001 MEETING

TABLE OF CONTENTS

Prologue	1
Roll Call	1
Approval of June 29, 2001 Minutes	1
Communications, Petitions, and Memorials	2
Announcements	2
Reports	2
Unfinished Business	7
New Business	7
Budget, Finance, and Physical Plant Committee	8
Naming of Gallery in Grover Center for Marion Parson Alden – Resolution 2001 – 1793	9
Approval to Hire an Associate Architect and Develop Plans and Specifications for a New Campus Access Road from Stimson Avenue to East Green – Resolution 2001 – 1794	12
Approval of Construction Documents and Authorization to Bid and Award Construction Contracts for the Rehabilitation of Brasee Hall at the Lancaster Campus – Resolution 2001 – 1795	15
2001 Bond Series Conversion – Variable to Fixed – Resolution 2001 – 1796	19
Educational Policies Committee.....	24
Review of Centers and Institutes – Resolution 2001 – 1797	25
Review of Academic Programs – Resolution 2001 – 1798	30
Approval of New Doctoral Program – Doctor of Audiology – Resolution 2001 – 1799	33
Approval of New Doctoral Program – Doctor of Physical Therapy – Resolution 2001 – 1800	44
Appointment to Regional Coordinating Council for Eastern Campus – Resolution 2001 – 1801	53

Board Administration Committee/Committee of the Whole.....	55
Security Agreement Update – Resolution 2001 – 1802	56
Fiscal Years 2003-2008 Capital Improvements Plan for Athens and Regional Campuses – Resolution 2001 – 1803	57
General Discussion - Call of Members.....	64
Announcement of Next Stated Meeting	65
Adjournment.....	65
Certification of Secretary	65
Executive Session	66



2

1

1

1

1

1

1

1

PROLOGUE

Following the committee meetings on Thursday, September 27, 2001, members of the Board of Trustees, and guests, were hosted by the dean, faculty, staff, and students of the College of Health and Human Services for a reception and dinner. After dinner, the group toured the newly remodeled Grover Center. During the tour, presentations were made by students and faculty of the College. A copy of the program and names of the presenters are included with the official minutes. During the Friday meetings, Trustees expressed their appreciation for the efforts of those hosting and presenting from the College of Health and Human Services.

Prior to the roll call, Chairwoman Ong asked for a moment of silence and reflection given the horrific tragedy our nation experienced on September 11. She commented the University has been a place of both strength and diversity during the time since, and she was both proud of and grateful for the way the campus has responded.

I. ROLL CALL

Seven members were present—Chairwoman M. Lee Ong, Patricia A. Ackerman, Larry L. Schey, R. Gregory Browning, Brandon T. Grover, C. Daniel DeLawder, and Robert D. Walter. This constituted a quorum. Trustees Gordon F. Brunner and C. David Snyder were unable to attend.

Student Trustees Amy Vargas-Tonsi and Barry Spurlock also attended, as did President Robert Glidden and Secretary Alan H. Geiger.

This was the first meeting for newly appointed Trustees Larry Schey of Athens, Ohio and Barry Spurlock of Napoleon, Ohio. Trustee Schey, replaces retiring Trustee N. Victor Goodman. His term expires May 12, 2010. Student Trustee Spurlock replaces retiring Student Trustee Deland Basora, and his term expires May 12, 2003.

II. APPROVAL OF THE MINUTES OF THE MEETING OF June 29, 2001 (Previously distributed)

Mr. Grover moved approval of the previously distributed minutes. Dr. Ackerman seconded the motion. All agreed.

III. COMMUNICATIONS, PETITIONS, AND MEMORIALS

Secretary Geiger stated there were none to report.

IV. ANNOUNCEMENTS

Secretary Geiger stated there were no announcements.

V. REPORTS

President Robert Glidden introduced Tina M. Abdella, director, internal audit; Gary M. Schumacher, interim provost; N. Kip Howard, assistant vice president for administration for enrollment services; Sondra R. Williams, director of student financial aid; and Valerie K. Miller, associate director of scholarships and client services.

Internal Audit Proceedings Report, Ms. Tina Abdella, Internal Audit

Ms. Abdella thanked Vice President Dick Siemer for establishing and nurturing the Internal Audit Office and noted after three years of existence, the operation now reports to the Office of the President. She presented Trustees a summary report of activity, both completed and planned. Ms. Abdella, in response to questions, noted the major issue to be tackled is one of contracting authority and that those responsible for the purchasing card function were now doing their own review and audit. She indicated to Trustees there were no major issues confronting them. Ms. Abdella thanked her staff and noted much was being done with three staff and four graduate students. A copy of Ms. Abdella's report was distributed at the meeting and a copy is included with the official minutes.

President Glidden thanked Vice President Siemer for his foresight in recommending and creating the Internal Audit Office.

Reports given on enrollment, admissions, financial aid, and housing and food services, provided overwhelming data for consideration. Rather than attempt to highlight presentations or sections, all presentations are included with the minutes. It should be noted that due to time constraints, the housing and food service report was not given orally, but is included here for reference.

All power point materials are included with the official minutes.

Enrollment

Interim Provost Schumacher reported headcount enrollment for the Athens Campus at 19,650 students; 16,655 undergraduates and 2,905 graduate students. He stated the additional undergraduates will find new faculty in target areas; on the other hand the loss of graduate students is troubling, but may be the result of cyclical programs. Dr. Schumacher suggested one way of dealing with declining graduate enrollments is to reconsider the handling of the general fee.

Dr. Schumacher stated the first-year class totaled 3,777 for a yield rate of 38.7 percent. He noted first-year multicultural enrollment dropped from 211 to 194 this year. The drop was in the enrollment of first year African-American students. President Glidden noted that Erek Perry had recently been hired as an Assistant to the President to lead the effort as increasing the number of multi-cultural students enrolled. President Glidden noted Mr. Perry will report on his efforts to the Trustees at their December meeting. Dr. Schumacher also noted the numbers of out-of-state students continues to decline.

Interim Provost Schumacher concluded by stating the number of transfer students, academic profiles of entering class and first to second year retention rates were all positive.

Admissions

Enrollment of new freshmen for Fall 2001 exceeded the goal set by the provost of 3,700 when 3777 new freshman enrolled. This was made possible by 12,435 applications an increase of 140 applications. While applications of transfer students increased to 1241 up 105, enrollment increased to 493 up 38 yielding 61.3% of the 804 admitted. Multicultural enrollment decreased 9 to 203 with the percentage of African American comprising the freshman class falling by half a percent to 2.9%. The average freshman had a mean class rank of 72.5, an ACT score of 23.7, an SAT score of 549 on the Verbal and 551 on the Math, and 18% were in the top 10% of their high school graduating class.

The Office of Admissions office has expanded its use of technology by making its services available on the World Wide Web. Prospective students can now go on-line to schedule a campus visit, to fill out an application, or to check on the status of his or her application. 21.6% of all applications were filled electronically and 42% of web visitors have registered for tours and information sessions on-line.

Ohio University when compared to other Ohio public universities was fourth in the overall number of freshman and transfer applications received in 1999 but was eighth in its non-personnel operating budget and had the lowest cost per application.

The Office of Admissions is continuing to improve the service provided to prospective and current students to increase Ohio University's name recognition and

recruitment initiatives. One such initiative is to increase the applicant base with a primary focus on multicultural students by summer search mailings, developing a mailing list to which applications and recruitment literature will be sent and by forwarding information to community colleges across the U.S.

Looking towards the future, the Office of Admissions is initiating a military recruitment program. This program will focus on attracting veterans and ROTC candidates. The Office of Admissions is also working closely with Alumni Relations and the recently formed alumni recruitment committee to explore the possibilities for using international alumni in the recruitment process.

Financial Aid

In the 2000-01 academic year, the Office of Student Financial Aid and Scholarships, with a staff of 28 full time employees and 24 student employees, served approximately 26,000 students and parents in person, answered approximately 40,000 telephone calls, prepared award offers for over 24,000 students and disbursed over \$120 million in aid. The aid was distributed in the forms of grants, loans, employment, and scholarships. Grants are considered gift aid and are primarily need based. They represent 18.2% of all financial aid disbursed and total \$21,947,741. There are 12 different types of grants awarded: Federal Pell, Federal SEOG, OU Grant, OU Access Grant, George Womack Grant, Ohio Instructional Grant (OIG), Part Time OIG, Ohio War Orphans, Ohio National Guard, PHEAA (Pennsylvania), Ohio 12th Grade Proficiency, and Athletics. Loans represent 65% of all financial aid disbursed and total \$78,212,648. 59% of the undergraduate graduating class has borrowed and the average accumulative undergraduate indebtedness is approximately \$14,000. The federal undergraduate loan maximum is \$23,000. OU's default rate is 5.3% compared to the national average of 6.9%. Employment represents 7.7% of all financial aid disbursed and totals \$9,290,536. Students can work on campus through the Federal Work Study Program, the Program to Aid Career Exploration (PACE) or through the Centralized Student Employment Program. The Federal Work Study Program accounts for approximately \$1 million in aid and the PACE program accounts for approximately \$600,000 in aid. Centralized Student Employment, at \$7.6 million, is funded by the University, representing OU's commitment to its students. Scholarships are primarily based on academic performance. They include a combination of renewable and one-time awards that represent 9.1% of all financial aid disbursed and total \$10,904,821. Funding sources for scholarship awards include the OU General Fund, OU Foundation, state, and outside agencies. In 2000-01, the OU General Fund contributed approximately \$4 million in aid, OU departments contributed approximately \$350,000 in aid, OU endowed or restricted scholarships consisted of approximately \$3 million in aid, the State of Ohio contributed approximately \$400,000 in aid, and outside agencies contributed approximately \$3 million in aid.

In 2001-02, the new Student Achievement Award Program was implemented to attract a larger number of high achievers. Automatic offers were made to incoming freshmen students based on ACT or SAT scores and rank in graduating high school class, with special offers being made to Valedictorians. In total, 749 scholarship offers were made with 287 or 38.3% of these top students accepting the offers and enrolling at OU this fall.

Housing

Residence hall renovations including upgrades in residence hall room amenities are enabling Ohio University to be more competitive in attracting prospective students. Johnson Hall was the first residence hall to be renovated on a 13-year plan to upgrade East & West Greens. The renovations to Johnson Hall were completed this summer. This project brought new amenities such as central air-conditioning, lavatory units in each room, individual showers, individual temperature controls in each room, and electronic access to the residence hall. These renovations have contributed to the retention of approximately 220 upperclassmen to university owned housing. The next residence hall to be identified for renovation is Read Hall, planned to begin construction in December 2002.

Bromley Hall was purchased in February, 2001 for \$6 million. Renovations costing \$2 million were completed this summer to include roof replacement, asbestos abatement, a complete kitchen renovation, new chlorination and filtration system for the swimming pool, business office upgrades, and handicap accessibility. Bromley provided an increase of 553 beds to campus housing inventory without which the increased numbers of resident students would not have been accommodated.

The marketability of Ohio University to prospective students has been enhanced by the addition of the Residential Computer Program. This program provides every dorm room on campus a computer with the addition of a printer. The computers are on a four-year replacement cycle with 4,463 have been installed to date. The student reaction to this initiative has been very positive and can perhaps best be captured by the comment of one student during the second focus group of winter 2000, "We're here for academics. What better tool could they give us?"

This summer Housing initiated an Air Conditioning plan for the residence halls. Sixteen residence halls on East and West Greens were air-conditioned comprising approximately 50% of current room inventory. Each room has individually controlled units that allow residents to control their own climate. This upgrade addressed the number one issue of comfort for student and conference guests. It allows housing to be competitive in the market for adults during summer conferences and it has increased Precollege satisfaction. The plan calls for the completion of West Green in 2003-2004 and the completion of East Green by 2004-2005.

Technology has increased efficiency and customer service in the Housing office. Nineteen information documents are now digitally processed through document imaging to include housing contracts, leases, correspondence and room change requests. Housing information is now available electronically on the desktop, which reduces hard file storage and phone calls. Parents and students can now go on-line to see residence hall shapes and sizes, building profiles and housing information. In addition, they can make payments for room and board fees or add funds to Bobcat Cash using Smart Pay.

The automating of assigning student rooms was successfully used for the first time within the new Informs System. Over 56% received their first choice and approximately 80% were assigned. The remaining 20% were completed manually which was necessary to accommodate programs such as the learning communities, athletics, special needs and contracts that were received late.

The housing deposit was increased from \$100 to \$200. There was no impact on the number of applications and the larger financial commitment reduced the number of early withdraws. Students are committing to Ohio University before turning in the housing deposit.

Residential Learning Communities give all first-year students the advantages of a small college atmosphere while providing the opportunities of Ohio University's large campus culture. Students become part of a group of no more than twenty students who have similar educational interests, live in the same residential area, and are enrolled in two or more linked courses. First year students can choose from five different communities: Individual in Society, From the Reel World to the Real World, Campus Today, Women in Science, and Exploring Your Environment. Participation in such communities is up 68% to 148. Upperclassmen can join the Global Learning Community, which concentrates in multicultural issues. There are currently 85 students registered in Global Learning Communities' courses.

Food Service

The Food Service department is currently undertaking initiatives to improve the student dining experience and its relationship to the local community. Food Service is providing the addition of branded concepts and quick serve units to meet changing student dining patterns. They are renovating all dining facilities and they are creating partnerships within the community and campus groups in support of the dining program. This summer Nelson Dining Hall underwent an expansion project to meet the changing student dining patterns. A student lounge area, local video store, student run copy center, Sunset Strips Chicken and Smart Mouth Pizza are being added.

The Farm-to-Market program allows Ohio University to contract with Southeastern Ohio vendors to provide locally grown or produced products. Currently local growers provide 20 different items and area food manufacturers supply 15 different products. This program is a partnership between OU Dining Services, Rural Action, Good Food Direct and AceNet. This initiative helps keep money locally and supports the Athens economy as well as bringing a significant increase to the quality of products used in Food Service.

Dining Services began a program in partnership with ATCO to develop employment opportunities for impaired or disabled individuals. The program currently employs 12-15 individuals in 4 dining halls and is being reviewed for implementation at our Housing office. The Dining Services program has won two major awards for this program. Jim Yute, General Manager of Shively Dining Hall, won the "Best Practices" award for the State of Ohio for his work with placing employees with disabilities from ATCO, Inc. In addition, Ohio University Dining Services was awarded the "Large Employer of the Year" award by the Governor's Council on People with Disabilities.

Looking towards the future, the department of Food Services is developing a 7-year plan to renovate and upgrade all dining halls and all dining hall kitchens. They are working to create a new student union dining program as well as redesigning and upgrading student meal plans to improve student satisfaction.

VI. UNFINISHED BUSINESS

Secretary Geiger reported no unfinished business.

VII. NEW BUSINESS

Chairwoman Ong reported that the Budget, Finance, and Physical Plant and Educational Policy committees had, at their respective meetings, discussed matters being presented to the Board. Items for action will be presented by the committee chairperson or a committee member as designated by the chairwoman.

BUDGET, FINANCE, AND PHYSICAL PLANT COMMITTEE

President Robert Glidden served as acting chairman of the committee and reported the Committee received reports dealing with financing considerations for the proposed university center, heating plant replacement, and the development of a new student information system. Presentations were given to inform committee members of potential and substantial cost items affecting our bond capacity. Copies of materials presented and distributed are included with the official minutes.

President Glidden asked Student Trustee Vargas-Tonsi to review and present by consensus, three of the four resolutions before the Trustees. On a motion by Mr. Browning, with a second by Mr. DeLawder, all Trustees voted aye to approve the following resolutions:

Naming of Gallery in Grover Center for Marion Parson Alden – Resolution 2001 – 1793
Approval to Hire an Associate Architect and Develop Plans and Specifications for a New
Campus Access Road from Stimson Avenue to East Green – Resolution 2001 – 1794
Approval of Construction Documents and Authorization to Bid and Award Construction
Contracts for the Rehabilitation of Brasee Hall at the Lancaster Campus –
Resolution 2001 – 1795

**NAMING OF THE
MARION PARSON ALDEN GALLERY
IN MEMORY OF MARION PARSON ALDEN**

RESOLUTION 2001- 1793

WHEREAS, Marion Parson Alden was a deeply loyal advocate and friend of Ohio University since her time as first lady during the tenure of President Emeritus Vernon R. Alden. Their generous philanthropic naming gift to the College of Health and Human Services made the gallery for the School of Human and Consumer Sciences a reality; and

WHEREAS, (1) she was the president and owner of Creative Concepts, Ltd., an interior design firm, (2) served as first lady of Ohio University from 1962 to 1969, (3) was recognized for her outstanding contributions to and continued support of many areas at Ohio University; and

WHEREAS, her generosity and support of Ohio University and her talent as a designer help carry forward the strong tradition of academic and artistic excellence at Ohio.

NOW, THEREFORE, BE IT RESOLVED, that the gallery space in the newly renovated Grover Center henceforth be named the **Marion Parson Alden Gallery**.



Office of the Dean

College of Health and Human Services
Grover Center W379
Athens OH 45701-2979

tel 740.593.9336
fax 740.593.0285
web www.ohiou.edu/healthandhuman

September 5, 2001

Robert Glidden, Ph.D.
President
Ohio University
Cutler Hall
Athens, OH 45701

Dear President Glidden:

It is with great joy that I recommend the approval of the enclosed resolution to name the Marion Parson Alden Gallery in the renovated Grover Center. The gallery will serve as a beautiful educational space in which design students from the School of Human and Consumer Sciences Interior Architecture program will showcase student work.

In addition to student contributions, exhibits by professional artists and designers will be displayed to afford students the benefit of seeing design concepts presented on a variety of media. The Marion Parson Alden Gallery was made possible by a generous gift from Vernon and Marion Alden. Marion Alden worked closely with the Interior Architecture program in planning this beautiful space.

Through the generosity of the Aldens, alumni will proudly exhibit displays from their design firms, accreditation teams will be impressed, and future designers will be able to share their work in a quality space, while being reminded of and inspired by the creativity and life of Marion Parson Alden.

The Interior Architecture Program, the School of Human and Consumer Sciences, the College of Health and Human Services, and Ohio University are grateful for this gift, and look forward to dedicating this space in Grover Center this fall.

Sincerely,

Gary S. Neiman, Ph.D.
Dean

PRESIDENT'S OFFICE

SEP 6 2001

The Marion Parson Alden Gallery

Located in Grover Center for the School of Human and Consumer Sciences

The *Marion Parson Alden Gallery* in the College of Health and Human Services has custom-made, secure exhibition cases; pedestals; cork flooring; a raised ceiling; and, special lighting for the display of Interior Design projects as well as a variety of artwork including freestanding pieces of sculpture. The endowed account, established with a gift from President Emeritus Vernon Alden in 2000, provides for gallery maintenance and professional exhibit operations. The School anticipates that alumni will proudly display the work of their design firms, advisory board members will hail the gallery as a significant boost to the program and accreditation teams will continue to be impressed – not only with the quality of student work exhibited – but the manner in which it is displayed. The gallery is the first named area in the newly renovated Grover Center. The gallery is a beautiful space, reflective of the woman for whom it is named.

With the *Marion Parson Alden Gallery*, the School of Human and Consumer Sciences can showcase student artwork that reflects the educational environments of a variety of academic programs. In addition, the works of professional artists and designers affords students in the School the benefit of seeing design concepts presented on a variety of media. The physical entrance of the School is highlighted with the stunning aesthetics of the *Marion Parson Alden Gallery* space.

The endowment fund earmarked for maintenance of the *Marion Parson Alden Gallery* is used to support the cost of gallery shows (which may include but are not limited to transportation costs, postage, printing, and insurance), repair and maintenance of the physical space, and purchase of display accessories as needed.

About Marion Parson Alden

Marion Parson Alden was deeply committed to Ohio University. As the University's First Lady from 1962 through 1969, she handled the responsibilities of a president's wife with warmth and style. She redesigned the interior of the president's home while also participating in administrative planning and strategy sessions, recruiting and fund raising. She served on the Kennedy Museum Board and contributed to the School of Interior Design, the College of Fine Arts and the Athletics program. At the Libraries, her name honors the Southeast Asian Fine Arts Collection, the Center for International Collections, and the Marion Parson Alden Permanent Endowment established by President Emeritus Vernon Alden in her memory.

Marion was involved in many philanthropic activities as a member of the Boston Symphony Orchestra Volunteers, a trustee of the American Repertory Theatre, a member of the Arts Council at MIT, a board member for the Art Advisory Group at the Harvard Graduate School of Education, as vice-president and member of the board of the Boston Children's Service Association. She was also president of her own design firm, Creative Concepts, Ltd., and a member of the Odd Lot, an investment Group.

CONSULTANT APPROVAL FOR THE EAST CAMPUS ACCESS ROAD PROJECT

RESOLUTION 2001--- 17~~94~~

WHEREAS, Ohio University, utilizing the services of NBBJ Design, Inc, and in the close coordination with the East Campus River Corridor Planning Committee, in 2000 completed a land use study for the Ohio University East Green and surrounding properties, and

WHEREAS, in 1994, NBBJ, Inc. completed an extensive Campus Master Plan which identified the need for multiple campus access points, and

WHEREAS, both of these studies recommended that the Ohio University Campus would best be used if provided with multiple avenues for ingress and egress, and

WHEREAS, the City of Athens and the local realty commission has approved improved zoning regulations in order to promote urban renewal projects in close proximity to the planned East Campus River Corridor, and

WHEREAS, the planned East Campus River Corridor Access Road project has been estimated to cost \$200,000 for design fees, the University has funds available to initiate this design project.

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does hereby empower the President or his designee to interview and select a consulting, planning and engineering firm for the East Campus River Corridor Access Road Project.

BE IT FURTHER RESOLVED that the Ohio University Board of Trustees does hereby authorize the preparation of construction plans and specifications for the East Campus River Corridor Access Road Project.



Vice President for Administration
Cutler Hall 209
Athens OH 45701-2979

tel 740.593.2556
fax 740.593.2124

August 21, 2001

Dr. Robert Glidden
President
Cutler Hall
Ohio University

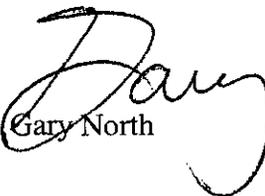
Dear Bob:

A review of campus traffic patterns suggests that an alternate roadway system designed to move vehicles from north to south through the river corridor area, provide improved access from Route 33, and to allow for a by-pass of downtown Athens is needed.

John Kotowski has requested authorization to hire a consultant to plan a new campus access road beginning on Stimson Avenue and extending to East Green. The estimated cost for planning the project is \$200,000. Funds from the parking lot repair account will be used to finance the planning project.

I recommend approval.

Sincerely,


Gary North

INTEROFFICE MEMORANDUM

OHIO UNIVERSITY FACILITIES PLANNING OFFICE

Building 19, The Ridges

Athens, Ohio 45701

TELEPHONE: (740) 593-2727

FAX: (740) 593-4081

John Kotowski

ASSISTANT VICE PRESIDENT FOR FACILITIES PLANNING

E-MAIL: kotowski@ohio.edu



TO: Dr. Gary B. North, Vice President for Administration

DATE: August 20, 2001

SUBJECT: **APPROVAL TO HIRE AN ASSOCIATE ARCHITECT AND DEVELOP PLANS AND SPECIFICATIONS FOR A NEW CAMPUS ACCESS ROAD FROM STIMSON AVE. TO EAST GREEN**

Enclosed please find a resolution for the Stimson Ave. Access Road to the East Green. This resolution is in anticipation of funds becoming available to acquire the services of a firm specializing in urban planning and roadway design. In order to proceed with the planned East Campus River Corridor development, this campus access road design project should be approved by the Board of Trustees at their regular meeting scheduled for September 28, 2001. As you know, this project was initiated last fall, when the East Campus River Corridor Study Committee consulted with NBBJ Design, Inc. This project will build on their plans and design the access road, which will serve as the foundation for the remainder of the East Campus River Corridor program.

This Campus Access Road Project is currently estimated at \$1,886,610 for construction costs. We have estimated \$200,000 for design fees. These costs do not include any property acquisition, owner's contingency or additional insurance costs. Approximately 300 feet of the new access road will extend from East Union St. to a planned apartment complex. The cost for this portion of the road is estimated at \$120,000 and will be assessed to the apartment complex.

Additionally, the preliminary research indicates that WOUB-AM radio transmitting tower will likely be impacted by this access road, and may require relocation. This impact, in terms of licensing and relocation costs is currently being investigated. Additional study by the selected planning firm will necessarily determine these unknown costs.

Thank you for your consideration of these items. If you have any questions or concerns, please do not hesitate to contact me.

JKK/tpk/STIM01.GBN

enclosure

pc: Dr. Gary M. Schumacher

Mr Sherwood G. Wilson

Dr. Gary S. Neiman

**PLAN APPROVAL FOR THE
BRASEE HALL REHABILITATION PROJECT
FOR TEACHING LABS & CLASSROOM RENOVATIONS
LANCASTER CAMPUS**

RESOLUTION 2001 --- 1795

WHEREAS, the 123rd General Assembly, Regular Session, 1999-2000 has introduced House Bill Number 640, and

WHEREAS, House Bill Number 640 does include funding totaling \$1,100,521.00 for the Brasee Hall Rehabilitation, Phase II on the Ohio University Lancaster Campus, and

WHEREAS, these funds are for the second phase of a building systems project for Brasee Hall, which will renovate the classrooms and teaching laboratories, located on the second floor, and

WHEREAS, final plans and specifications have been prepared for advertisement on the rehabilitation of Brasee Hall,

WHEREAS, this project is under the four million dollar threshold for local administration and the University has the support of the Ohio Board of Regents to proceed with this project locally.

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does approve construction documents for the Brasee Hall Rehabilitation Project.

BE IT FURTHER RESOLVED that the Ohio University Board of Trustees does hereby authorize the advertisement for and the receipt of bids on the Brasee Hall Project, and does hereby empower the president or his designee to accept and award construction contracts provided total bids do not exceed available funds.

BRASEE2001.RES



Vice President for Administration
Cutler Hall 209
Athens OH 45701-2979

tel 740.593.2556
fax 740.593.2124

August 21, 2001

Robert Glidden
President
Cutler Hall
Ohio University

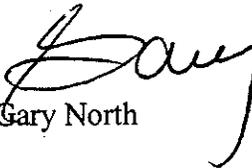
Dear Bob:

John Kotowski and his staff have worked with the Lancaster Campus staff to complete construction documents for the second phase renovation of Brasee Hall.

John is seeking approval to bid the project and award a construction contract.

I recommend approval.

Sincerely,


Gary North

INTEROFFICE MEMORANDUM

OHIO UNIVERSITY FACILITIES PLANNING OFFICE

Building 19, The Ridges
TELEPHONE: (740) 593-2727

FAX: (740) 593-4081

John Kotowski

Assistant Vice President for Facilities Planning

E-MAIL: kotowski@ohio.edu



TO: Dr. Gary B. North, V.P. For Administration

DATE: August 20, 2001

SUBJECT: APPROVAL OF CONSTRUCTION DOCUMENTS AND
AUTHORIZATION TO BID AND AWARD CONSTRUCTION
CONTRACTS FOR THE REHABILITATION OF BRASEE HALL
AT THE LANCASTER CAMPUS

House Bill Number 640, the capital improvements bill for FY 2001-2002, will be making \$1,100,521.00 available to the University for the Brasee Hall Rehabilitation, Phase II Project on the Ohio University Lancaster Campus. This project is the second phase of a building systems project for Brasee Hall, which will renovate the classrooms and teaching laboratories located on the second floor. This project involves replacement of the existing HVAC distribution system and the building's fire alarm system. Improvements will be made to the teaching laboratories and classrooms themselves. The rooms, in some cases, will be reconfigured, lighting improvements made, finishes upgraded, lab tables replaced, new classroom seating purchased and audio/visual systems incorporated along with other needed technological advances.

This project is under the four million dollar threshold for local administration and Ohio University has the support of the Ohio Board of Regents to manage this project in-house. The project is ready for advertisement to seek construction bids. In order that I may proceed, I have enclosed a resolution for consideration by the Board of Trustees at their September 28, 2001 meeting, which seeks approval of plans and specifications and to provide authority to award construction contracts so long as total bids do not exceed available funding.

I will provide you with a set of construction documents early the week of September 24, 2001 for use at the Board meeting. If I can be of further assistance with this matter, please let me know. Thank you.

RJS/sw/BRASEE2001.GBN

enclosure

pc: Dr. Gary M. Schumacher
Dr. Charles P. Bird

On a separate motion by Mr. Walter with a second by Mr. Browning, Trustees unanimously voted to approve the following resolution:

2001 Bond Series Conversion – Variable to Fixed – Resolution 2001 – 1796

Vice President Dick Siemer presented the resolution with support from staff from Banc One Capital Markets, Inc., and Peck, Schaeffer and Williams.

Following discussion about the amount of the interest to be paid for a fixed rate of interest, Mr. DeLawder moved to amend the original motion and resolution, page 20, section 2, lines 8 and 9 to state that the excess be stated as “not in excess of five hundredths (5.0%) per annum.” Mr. Grover seconded the motion to amend. All voted aye.

The question was then called on the original motion, as now amended. All voted for approval.

RESOLUTION 2001- 1796

Authorizing an interest rate swap transaction in connection with \$48,025,000 Subordinated Variable Rate General Receipts Bonds, Series 2001, of The Ohio University dated May 3, 2001, authorizing a supplement to the First Supplemental Trust Agreement securing such bonds, and authorizing matters related thereto

WHEREAS, The Ohio University (herein called the "University"), a state university of the State of Ohio created and existing under Chapter 3337 of the Ohio Revised Code, by Resolution No. 2000-1744 adopted by its Board of Trustees (herein called the "Board") on December 8, 2000 (herein called the "General Bond Resolution") and by a Trust Agreement dated as of May 1, 2001 (the "Original Trust Agreement", and, together with amendments and supplements thereto, the "Trust Agreement"), comprised in part of the General Bond Resolution, has provided for the issuance from time to time of Obligations (as defined in the General Bond Resolution) of the University, each such issue to be authorized by a Series Resolution adopted by the Board pursuant to the Trust Agreement; and

WHEREAS, the General Bond Resolution was adopted and the Trust Agreement was authorized by the Board pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), enacted by the General Assembly of Ohio under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, which authorizes the University to issue its Obligations from time to time to pay costs of certain capital facilities, defined as "auxiliary facilities" or "education facilities" in Section 3345.12 of the Ohio Revised Code and called "University Facilities" in the General Bond Resolution and in this Resolution, and to refund, fund or retire such Obligations or other obligations previously issued for such purpose; and

WHEREAS, the University has heretofore issued Obligations in the form of its \$48,025,000 Subordinated Variable Rate General Receipts Bonds, Series 2001 dated May 3, 2001 (the "Series 2001 Bonds") pursuant to the Trust Agreement, Resolution No. 2000-1754 adopted by the Board on February 2, 2001, and a First Supplemental Trust Agreement dated as of May 1, 2001 (the "First Supplemental Trust Agreement"); and

WHEREAS, the interest rate on the Series 2001 Bonds is subject to adjustment from time to time in accordance with their terms; and

WHEREAS, the Board considers it appropriate, economic and in the best interest of University to enter into an interest rate swap transaction with respect to all or a portion of the Series 2001 Bonds under which the University would be obligated to pay to the swap counterparty a fixed rate of interest on a notional amount equal to the principal amount of the affected Series 2001 Bonds in exchange for the receipt from the counterparty of a variable interest rate on the same notional amount; and

WHEREAS, Section 3345.12(B) of the Act and the Sections of the Ohio Revised Code referred to therein authorize the use of interest rate hedges in connection with Obligations; and

WHEREAS, implementing such an interest rate swap transaction may require an amendment or supplement to the Trust Agreement and the First Supplemental Trust Agreement;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO UNIVERSITY, as follows:

Section 1. Capitalized terms used herein and not otherwise defined shall have the meanings assigned in the Trust Agreement.

Section 2. The Board hereby authorizes the issuance, execution and delivery of an interest rate swap transaction or transactions (collectively, the "Swap Transaction"), as described herein, to serve as an interest rate hedge with respect to all or a portion of the Series 2001 Bonds, in the aggregate notional amount to be established by an Authorized Officer, but not to exceed \$31,020,000. The notional amount of the Swap Transaction shall be amortizing, on a schedule related to the expected amortization of the Series 2001 Bonds, and in any event, the Swap Transaction termination date shall be on or before December 1, 2026. The Swap Transaction shall provide for the University to pay a fixed rate of interest not in excess of six and fifty hundredths (6.50%) per annum and receive a variable rate of interest measured by either the variable rate of interest to be paid by the University on the Series 2001 Bonds, or a variable rate interest index such as The Bond Market Association Municipal Swap Index or a percentage of a taxable index. The Swap Transaction may provide for a payment to be received by the University upon execution of the Swap Transaction, and may also provide for the payment of certain amounts ("Early Termination Payments") either by the University or the Swap Transaction counterparty in the event the Swap Transaction is terminated prior to its stated termination date. The Swap Transaction may be made subject to a call on the part of the swap counterparty, if deemed appropriate by an Authorized Officer. The Swap Transaction is hereby determined to constitute an "interest rate hedge" within the meaning of Sections 9.98 et seq. of the Ohio Revised Code.

Section 3. The Swap Transaction may be secured by a pledge of the General Receipts, either on a parity basis with the outstanding Obligations payable from the same sources as the Series 2001 Bonds, or on a subordinated basis payable as provided in the documentation for the Swap Transaction (the "Swap Documents").

Subject to the provisions of the Prior Indenture and any Superior Obligations, the University's obligations under the Swap Transaction shall be special obligations of the University payable solely from the General Receipts, as provided in the Swap Documents. Nothing in this Resolution or the Swap Documents gives the swap counterparty or any other person the right to have the Board, the General Assembly of the State of Ohio or the legislative authority of any political subdivision levy any excises or taxes for the payment of any obligations of the University under the Swap Transaction. The right of the swap counterparty to the payment of amounts owed by the University under the Swap Transaction shall be limited to the payment thereof from the General Receipts as provided in this Resolution and the swap documents. However, nothing herein or in the Swap Documents shall be deemed to prohibit the University, of its own volition, from using to the extent lawfully authorized to do so any other resources for the fulfillment of the terms, conditions or obligations of this Resolution or the Swap Transaction.

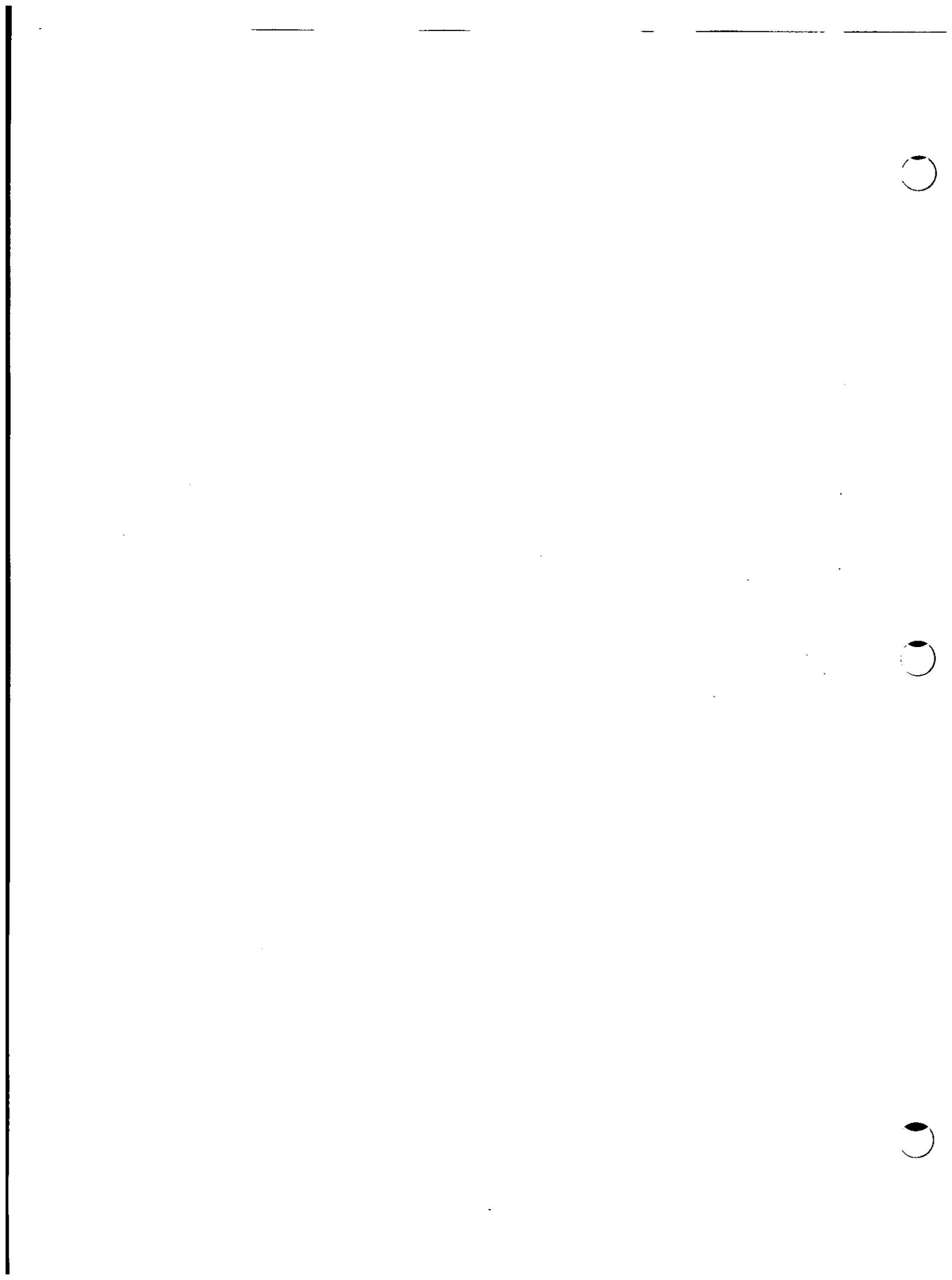
Notwithstanding any other provision of this Resolution or the Swap Documents to the contrary, the pledge of and lien on the General Receipts authorized and granted by this Resolution and the Swap Documents shall at all times be subordinate to the pledge of and lien on the General Receipts securing the Superior Obligations granted by the Prior Indenture and the resolutions of the Board and proceedings authorizing the Superior Obligations, but only so long as any Superior Obligations are outstanding thereunder. In each Fiscal Year, the University shall provide for the payment of all amounts payable under the Prior Indenture and the resolutions and proceedings authorizing the Superior Obligations during such Fiscal Year before providing for the payment of amounts payable under the Swap Transaction. The amounts of General Receipts remaining in each Fiscal Year after providing for the payment of all amounts payable under the Prior Indenture and the resolutions authorizing the Superior Obligations shall nevertheless be available for the payment of amounts payable pursuant to the Swap Transaction.

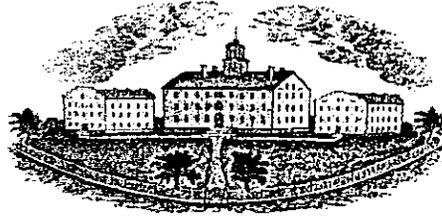
Section 4. Any Authorized Officer is hereby authorized to specify whether the identity of the counterparty to the Swap Transaction, and the market-based terms of such transaction, within the limitations described above, are to be determined by negotiation or by a bidding process, based on such officer's best judgment as to which approach best serves the interest of the University. If a negotiated process is to be used, any Authorized Officer is hereby authorized to select the counterparty and to approve the market-based terms. Any Authorized Officer is authorized to retain such consultants and professional advisors as deemed necessary to accomplish the Swap Transaction in the manner most beneficial to the University. The payment of the fees and expenses of such consultants and advisors or otherwise related to matters authorized by this Resolution from the moneys in the Series 2001 Projects Account is hereby authorized.

Section 5. Any Authorized Officer is hereby authorized and directed, in the name and on behalf of the University, and as its corporate act and deed, to negotiate, execute and deliver the Swap Documents, and any other documents necessary and appropriate to accomplish the Swap Transaction, but all within the limitations set forth herein. The Swap Documents may contain such covenants on behalf of the University and terms, and otherwise be in such form not substantially adverse to the University as may be permitted by the Act, the Prior Indenture and the Trust Agreement and approved by the Authorized Officer executing the same on behalf of the University. The approval of such forms by the Board and such officer or officers, and the fact that such forms are not substantially adverse to the University, shall be conclusively evidenced by the execution of the Swap Documents by such Authorized Officer.

Section 6. Any action required under the Swap Documents, or other instrument related thereto, to be taken by the University may be taken by and on behalf of the University by an Authorized Officer without further action of the Board. Any such actions heretofore taken by officials and employees of the University are hereby approved, ratified and confirmed.

Section 7. Consistent with Sections 8.02 and 10.02 of the Original Trust Agreement, the University and the Trustee, without the consent of or notice to any of the Bondholders but with the consent of any applicable Financial Institutions, may enter into agreements supplemental or amendatory to the Trust Agreement and the First Supplemental Trust Agreement to the extent necessary or desirable to permit the Swap Transaction to take place upon terms





HDL Center
Athens, OH 45701-2979

OHIO UNIVERSITY

September 17, 2001

MEMORANDUM:

To: Members of the Ohio University Board of Trustees

Fr: Dick Siemer

A handwritten signature in black ink, appearing to read "Dick Siemer".

Re: Series 2001 Interest Rate Swap

The current low interest rate environment is providing Ohio University with an excellent opportunity to mitigate the interest rate exposure associated with a portion of our Series 2001 Variable Rate General Receipts Bonds by using an *Interest Rate Swap*. In an interest rate swap, Ohio University enters into an agreement with a swap counterparty. The counterparty pays the University a floating rate of interest, based on the Bond Market Association (BMA) index, and the University pays a fixed rate to the swap counterparty. The BMA index is the most frequently used tax-exempt index for interest rate swaps and closely matches the seven-day variable rate now paid by the University on its Series 2001 Bonds. The swap counterparty will be selected through a competitive bid process available only to potential counterparties with a minimum credit rating of "AA".

We carefully reviewed the projects in the Series 2001 Bonds and identified \$31,020,000 of principal that would be offered to swap. The projects include:

<u>Project</u>	<u>Amount</u>	<u>Project</u>	<u>Amount</u>
1. Classroom/Lecture Hall	\$3,500,000	7. Human Resources and Training Center	2,425,000
2. Bentley Hall Rehabilitation	8,000,000		
3. Utility Infrastructure Upgrade	3,545,000	8. King Air 90	1,520,000
4. Airport Terminal Building	1,115,000	9. Motor Pool Facility	505,000
5. Athena Theatre	2,480,000		
6. Bromley Hall	7,930,000	Total	<u>\$31,020,000</u>

Projects 1-3 are funded through the State capital appropriation process by which one-tenth of the project amount is paid on an annual basis to the University over a 15-year period. Taking the market opportunity to fix the interest rate at the low levels currently offered will allow us to insure that the payments supplied by the State will meet or exceed our debt liability.

The other projects are funded over periods ranging from 15 to 25 years, with debt service being funded through a combination of operating support and revenues generated by the facility. Fixing the interest at current levels will relieve the projects from the potential upside rate exposure.

We feel confident that the University is positioned well to receive a very competitive fixed rate, currently projected to be in the 4.1-4.2% range. As a measure of the magnitude of the drop in rates, had we gone with a fixed rate last May when we issued the variable rate bonds, the fixed rate would have been approximately 5.2-5.3%.

Enclosure: Resolution

EDUCATIONAL POLICIES COMMITTEE

Committee Chairman DeLawder expressed his appreciation on behalf of committee members for the efforts of the many persons involved in the comprehensive presentations of issues relating to the review of centers and institutes and academic programs. He reminded everyone of the need to relate this information to the allocation of University resources.

Mr. DeLawder presented and moved approval, by consensus, of the resolutions before the committee. Dr. Ackerman seconded the motion and the Trustees unanimously approved the following resolutions:

Review of Centers and Institutes – Resolution 2001 – 1797

Review of Academic Programs – Resolution 2001 – 1798

Approval of New Doctoral Program, Doctor of Audiology – Resolution 2001 – 1799

Approval of New Doctoral Program, Doctor of Physical Therapy – Resolution 2001 – 1800

Appointment to Regional Coordinating Council for Eastern Campus –
Resolution 2001 – 1801

REVIEW OF CENTERS AND INSTITUTES

RESOLUTION 2001 - 1797

WHEREAS, the continued review of academic programs is essential to the maintenance of quality within an educational institution, and

WHEREAS, Ohio University has had for many years a rigorous program of internal review.

WHEREAS, Section 67 of House Bill 694 provides for the review and evaluation of all programs of instruction conducted by state institutions.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University accepts the 2000-2001 Reviews of Centers and Institutes, which recommend that the following centers and institutes be continued, discontinued, or granted extensions to the review period as noted:

Avionics Engineering Research Center - Continue
Center for Advanced Materials Processing - Continue
Center for Advanced Software Systems Integration - Continue
George Hill Center for Counseling and Research - Continue
Institute for Applied and Professional Ethics - Continue
Institute of International Journalism - Continue
Institute for the Empirical Study of Language - Continue
Institute of Nuclear & Particle Physics - Continue
Tropical and Geographical Disease Institute - Continue

Ohio University Edison Biotechnology Institute - to be presented in December
Robe Leadership Institute - One-Year Extension

Institute of Motion Picture Development - Discontinue

REVIEW OF CENTERS AND INSTITUTES 2000-2001

COLLEGE OF ARTS AND SCIENCES

Institute of Nuclear and Particle Physics: The Institute promotes the activities in theoretical and experimental subatomic physics, and to pro-actively educate graduate and undergraduate students in this field. Faculty and students have exclusive access to the most sophisticated particle accelerator in the state of Ohio, and also have access to national and international accelerators at higher energies. Dean Flemming recommends continuation of the Institute. Vice President Bantle supports the recommendation for the continuation of the Institute of Nuclear and Particle Physics.

COLLEGE OF COMMUNICATION

Institute of International Journalism: The Institute prepares students to work as international journalists; supports research on international journalism; enhances communication among journalists, students, and media scholars; and cooperates with global partners in journalism education. Dean Krendl recommends continuation of the Institute. Vice President Bantle supports the recommendation for the continuation of the Institute of International Journalism.

COLLEGE OF EDUCATION

George Hill Center for Counseling and Research: The Center provides research consultation, professional counseling and diagnostic services to individuals, families, and groups and provides training and supervision to enhance clinical skills, competency development, and academic excellence of master's and doctoral level counselor education students. Dean Heap recommends continuation of the center. Vice President Bantle supports the recommendation for the continuation of the George Hill Center for Counseling and Research.

RUSS COLLEGE OF ENGINEERING AND TECHNOLOGY

Avionics Engineering Center: The Center conducts research in advanced communications, navigation, and surveillance systems to improve the safety and efficiency of the National Airspace System. The Center is focused on educating undergraduate and graduate students in Avionics Engineering for industry and government. Interim Dean Mitchell recommends continuation of the Center. Vice President Bantle supports the recommendation for the continuation of the Avionics Engineering Center.

Center for Advanced Materials Processing: The Center provides a focal point for advanced materials processing research activities taking place at Ohio University and to promote interdisciplinary research among faculty and students from different departments. Interim Dean Mitchell recommends continuation of the Center. Vice President Bantle supports the recommendation for the continuation of the Center for Advanced Materials Processing.

Center for Advanced Software Systems Integration: The Center is successfully serving the manufacturing community, government, and the people of Ohio by developing world class manufacturing software integration strategies, systems, and technologies and by disseminating the knowledge gained from their evaluation and synthesis. Interim Dean Mitchell recommends continuation of the Center. Vice President Bantle supports the recommendation for the continuation of the Center for Advanced Software Systems Integration.

Robe Leadership Institute: There was little activity by the Institute during the first year of operation. In order to provide the University and the Board of Trustees with the best perspective of the Institute, a one year extension is requested by Interim Dean Mitchell. Vice President Bantle supports this request for an extension.

COLLEGE OF FINE ARTS

Institute of Motion Picture Development: The Board of Trustees approved a two-year continuance of the Institute in 1999, with a review scheduled for 2000-01. Based on the recommendation of the Institute Director, Dean Tymas-Jones recommends discontinuance of the Institute. Vice President Bantle supports the recommendation for the discontinuance of the Institute of Motion Picture Development.

COLLEGE OF OSTEOPATHIC MEDICINE

Tropical & Geographical Disease Institute: The Institute promotes understanding of emerging and re-emerging infectious diseases that primarily afflict population in tropical regions, and constitute a threat to populations in both developing and developed nations. Interim Dean Marazon recommends continuation of the Institute. Vice President Bantle supports the recommendation for the continuation of the Tropical & Geographical Disease Institute.

VICE PRESIDENT FOR RESEARCH

Institute for Applied and Professional Ethics: The Institute serves to advance ethical decision making, the teaching of ethics across the curriculum and in particular “ethical adeptness” both in the university and the community at large. Based on the review committee’s recommendation, Vice President Bantle recommends the continuation of the Institute for Applied and Professional Ethics.

Institute for the Empirical Study of Language: The Institute conducts empirical research on the perception, production, and acquisition of language as well as on the diagnosis and treatment of language disorders. Based on the review committee’s recommendation, Vice President Bantle recommends the continuation of the Institute for the Empirical Study of Languages.

Ohio University Edison Biotechnology Institute: Because of challenges convening the review committee, the review committee’s report could not be completed for presentation at this time. Vice President Bantle requests permission to present this review to the Board of Trustees for their action at the December meeting.



Office of the Vice President for Research
Research & Technology Center 120, Athens OH 45701-2979

tel 740 593 0370
fax 740 593 0380
research@ohio.edu

DATE: September 10, 2001
TO: Robert Glidden, President
FROM: Jack Bantle, Vice President for Research
SUBJECT: Review of Centers and Institutes - 2000-2001

Reviews conducted during 2000-2001 included:

Avionics Engineering Research Center
Center for Advanced Materials Processing
Center for Advanced Software Systems Integration
George Hill Center for Counseling and Research
Institute for Applied and Professional Ethics
Institute for the Empirical Study of Language
Institute of International Journalism
Institute of Motion Picture Development
Institute of Nuclear & Particle Physics
Ohio University Edison Biotechnology Institute
Robe Leadership Institute
Tropical and Geographical Disease Institute

Attached are reports of these reviews with a brief summary and, in some cases, requests for extension of the review period. I concur with the recommendation associated with each reviewed Center or Institute. I suggest that these recommendations be presented to the Board of Trustees for their action at their September meeting.

bv
Enclosures

MAJOR AND DEGREE PROGRAM REVIEWS

RESOLUTION 2001 — 1798

WHEREAS, the continuous review of academic programs is essential to the maintenance of quality within an educational institution, and

WHEREAS, Ohio University has had for many years a rigorous program of internal review, and

WHEREAS, Section 67 of Am. Sub. H.B. 694 requires the college and university Board of Trustees “shall during the 1981-83 biennium initiate on-going processes for the review and evaluation of all programs of instruction presently conducted by the institutions for which they are responsible.”

THEREFORE, BE IT RESOLVED, that the Board of Trustees of Ohio University accepts the 2000-2001 reviews and approves the recommendations for the following:

School of Dance
Department of Economics
Department of Geography
Center for International Studies
College of Osteopathic Medicine
Political Communication Certificate
Political Science
Department of Psychology
Department of Sociology and Anthropology

2000 - 2001 Program Review Results

Program Name	General Department Information			Undergraduate Program Review				Graduate Program Review				Overall Evaluation Rating	University Curriculum Council	Graduate Council Rating
	Faculty Profile	Programmatic Practices	Adequacy of Resources	Program Goals and Curriculum	Faculty	Students	Graduates	Program Goals and Curriculum	Faculty	Students	Graduates			
Sociology and Anthropology	Exceeds Expectations	Exceeds Expectations	Falls to Meet Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Approved	Acceptable* (see note below)
Dance	Exceeds Expectations	Exceeds Expectations	Meets Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	N/A	N/A	N/A	N/A	Exceeds Expectations	Approved	N/A
Economics	Meets Expectations	Meets Expectations	Falls to Meet Expectations	Meets Expectations	Exceeds Expectations	Meets Expectations	Meets Expectations	Meets Expectations	Exceeds Expectations	Meets Expectations	Meets Expectations	Meets Expectations	Approved	Acceptable
Geography	Exceeds Expectations	Exceeds Expectations	Falls to Meet Expectations	Exceeds Expectations	Exceeds Expectations	Meets Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Approved	Acceptable
International Studies	Exceeds Expectations	Meets Expectations	Meets Expectations	Exceeds Expectations	Exceeds Expectations	Meets Expectations	Meets Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Approved	Acceptable
Political Communication Certificate	Meets Expectations	Meets Expectations	Falls to Meet Expectations	Meets Expectations	Meets Expectations	Meets Expectations	Meets Expectations	N/A	N/A	N/A	N/A	Meets Expectations	Approved	N/A
Political Science	Exceeds Expectations	Meets Expectations	Falls to Meet Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Meets Expectations	Falls to Meet Expectations	Exceeds Expectations	Meets Expectations	Falls to Meet Expectations	Meets Expectations	Approved	Acceptable
Psychology	Meets Expectations	Meets Expectations	Falls to Meet Expectations	Exceeds Expectations	Meets Expectations	Meets Expectations	Meets Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Exceeds Expectations	Approved	Outstanding
Osteopathic Medicine	Meets Expectations	Exceeds Expectations	Meets Expectations	N/A	N/A	N/A	N/A	Exceeds Expectations	Meets Expectations	Meets Expectations	Meets Expectations	Meets Expectations	Approved	Acceptable
History														
Social Science, Master of														
Telecommunications														

* The Department of Sociology and Anthropology's self-study will have a focused review by Graduate Council in 2 years regarding the use of untenured faculty members in tenure and promotion decisions

Office of the Provost
Cutler Hall
Athens OH 45701-2979



OHIO UNIVERSITY
1804

DATE: August 31, 2001
TO: Robert Glidden, President
FROM: Gary M. Schumacher, Interim Provost
SUBJECT: Seven-Year Program Reviews

Attached are summaries of the seven-year reviews of academic programs completed during 2000-2001 by the University Curriculum Council. These reviews provide a useful self-examination of our programs.

**SCHOOL OF HEARING, SPEECH, AND LANGUAGE SCIENCES
DOCTOR OF AUDIOLOGY**

RESOLUTION 2001 — 1799

WHEREAS, the School of Hearing, Speech, and Language Sciences, has proposed the Doctor of Audiology, in Hearing, Speech, and Language Sciences as a replacement for the Master's in Audiology in the School of Hearing, Speech, and Language Sciences, and

WHEREAS, this proposed degree program will satisfy the American Speech – Language and Hearing Association's new certification requirements, and

WHEREAS, the proposal has the support of the dean and faculty of the School of Hearing, Speech, and Language Sciences, the College of Health and Human Services, and the University Curriculum Council, and

WHEREAS, the proposed degree will allow the School of Hearing, Speech, and Language Sciences to deliver a quality program based on the faculty and curricular strength currently existing in the School of Hearing, Speech, and Language Sciences, and

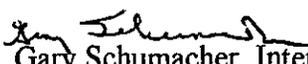
WHEREAS, the proposed degree will provide students with the knowledge and skills essential to evaluate, interpret, synthesize, and apply the findings of current research to problems in a clinical practice.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University approves offering a Doctor of Audiology.

Office of the Provost
Cutler Hall 306
Athens OH 45701-2979
740-593-2600 phone
740-593-9191 fax



OHIO UNIVERSITY
1804

DATE: September 5, 2001
TO: Robert Glidden, President
FROM: 
Gary Schumacher, Interim Provost
SUBJECT: Proposed Doctor of Audiology

I support the proposal for a new degree to be offered by the School of Hearing, Speech, and Language Sciences in the College of Health and Human Services. The Doctor of Audiology is designed to provide intensive study in audiology and meet new certification standards set by the American Speech – Language and Hearing Association. The proposed new degree is endorsed by the faculty and administration of the College of Health and Human Services and will serve to strengthen their curriculum offerings to their students.

RG/jt

Abstract
The Doctorate of Audiology
School of Hearing, Speech and Language Sciences
Ohio University

This program development plan is a proposal to replace the current master's degree in audiology with the professional degree of Doctor of Audiology (Au.D.) offered by the School of Hearing, Speech and Language Sciences (SHSLS) at Ohio University. The American Speech-Language and Hearing Association's (ASHA) mandate that expanded the certification requirements takes effect January 1, 2007 when current master's degree programs will no longer meet the expanded standard.

In contrast to the doctor of philosophy (Ph.D.), the Au.D. is a clinical doctorate degree that is designed to produce audiologists functionally competent to assess hearing and balance function and manage the non-medical aspects of hearing and balance disorders consistent with the expanded scope of practice in evolution since 1962. In addition, audiologists must have the knowledge and skills essential to evaluate and interpret related research literature and be able to synthesize and apply pertinent research knowledge to the problems of clinical practice.

The proposed four-year curriculum described in this document was designed to address four program components while at the same time meet and/or exceed the new certification standards. The elements are 1) 154 required quarter-hours of didactic course work which addresses the competency criteria of the ASHA standard and produces a student with the breadth of knowledge necessary for a practicing audiologist, 2) a minimum of 400 clock-hours of clinical practicum experience prior to beginning externship in Year IV, 3) a clinical research project completed with faculty guidance, a project of satisfactory caliber for dissemination at a national conference and/or publication, and 4) a three-quarter full-time supervised clinical externship during the fourth year.

With one additional audiology faculty (search in progress), our current audiology and speech-language pathology faculty and clinical staff will be sufficient to provide the necessary academic and clinical education for students while meeting the clinical service needs of the community and region. The Hearing, Speech and Language Clinic within the SHSLS has provided a wide range of high-quality services to the community for over 60 years, a connection to the community that is an important strength that will serve the Au.D. program well. And, in its new location in Grover Center, the clinic will provide a state of the art, interdisciplinary educational and clinical training setting for our students.

September, 2001

DEGREE PROGRAM APPROVAL FORM

Degree:

Doctor of Audiology (Au.D.)

School:

School of Hearing and Speech Sciences
(Name change to School of Hearing, Speech and Language Sciences approved, effective July 1, 2001)

College:

College of Health and Human Services

Date of submission:

February 2001

Date of proposed implementation:

Fall 2002

Recommended by Dept./School Curriculum Chair

Bridget Hallowell

Date 2/13/01

Recommended by Dept. Chair/School Director

Warren Jahn

Date 2/14/01

Recommended by College Curriculum Chair

Bette VanDusen

Date 4-PASSED

Recommended by College Dean Date

Amermi

BY CURRICULUM COUNCIL

Approved by University Curriculum Council Date

Michael Kellogg

Date 6/5/01

DATE
JK
INITIAL

School of Hearing, Speech and Language Sciences, College of Health and Human Services Proposal for a Doctor of Audiology (Au.D.) Program at Ohio University

The following program development plan is a proposal to replace the current master's degree in audiology with the professional degree of Doctor of Audiology (Au.D.) in the School of Hearing, Speech and Language Sciences at Ohio University (SHSLs). As mandated by our accrediting agency, the American Speech-Language-Hearing Association (ASHA)¹, this change is necessary due to the expansion in the scope of practice of audiology. This has led to a revision in the certification standards for audiology², last adopted in 1988. The new standard requires applicants for the certificate of clinical competence to complete a minimum of 112.5-quarter credit hours of post baccalaureate study that culminates in an Au.D. or other recognized doctoral degree. ASHA, the American Academy of Audiology (AAA)³ and the Veterans Administration (VA)⁴ support the earned professional doctorate (Au.D.) as the minimum entry-level requirement for practicing audiologists. Together, these agencies' memberships encompass virtually all board-certified public- and private-sector audiologists in the United States, the VA being the single largest employer of these professionals.

The mandate that expanded the certification requirements takes effect for candidates applying for certification after January 1, 2007. By this date, current master's degree programs will no longer meet this standard. In order for the School of Hearing and Speech Sciences to provide training in audiology that meets the new requirements for certification, the proposed, 4-year Au.D. program must admit its first class in fall quarter of 2003 to produce audiologists who can meet the new standards by Jan 1, 2007.

1. Designation of and rationale for new degree program, and brief description of its purpose.

The proposed degree program is the Doctor of Audiology (Au.D.), a clinical doctorate degree. This is opposed to the Doctor of Philosophy Degree (Ph.D.) which prepares a person for a research career rather than a clinical career. The purpose of the Au.D. is to produce audiologists who are functionally competent to assess hearing and balance function and manage the non-medical aspects of hearing and balance disorders consistent with the expanded scope of practice⁵ in evolution since 1962. In addition, audiologists must have the knowledge and skills essential to evaluate and interpret related research literature and be able to synthesize and apply pertinent research knowledge to the problems of clinical practice. A 1995 executive summary⁶ of the practice of audiology which addressed the clinical activities and knowledge areas for certified audiologists found the current two-year master's program inadequate to provide training for entry-level competence. The proposed curriculum meets the new certification standards by addressing the need for training across the expanded scope of practice, in addition to including the clinical fellowship year experience in the degree program for quality assurance of the clinical education component.

¹ The professional, scientific, and credentialing association for more than 98,000 audiologists, speech-language pathologists, and speech, language, and hearing scientists.

² Appendix B for ASHA's Certification Standards for Audiology.

³ Appendix C for AAA's Au.D. position statement. The world's largest professional organization of audiologists.

⁴ Appendix D for the initiative of the Veterans Administration on the Au.D.

⁵ Appendix E for a comparison of 1962 vs. 2001 audiology scope of practice.

⁶ Appendix F for the executive summary of the study of clinical activities and knowledge areas for certified audiologists.

2. Description of the proposed curriculum (see Appendix A)⁷.

Applicants for the program must meet the prerequisites presently required for the master's degree. These are: a) a bachelor's degree in hearing and speech sciences, or in a related field, such as psychology or linguistics, and b) core prerequisite courses in hearing and speech sciences: anatomy/physiology of speech and hearing, speech and hearing science, aural rehabilitation, basic audiology, language development and disorders, phonetics, and sign language, c) minimum overall undergraduate grade-point average of 3.0 (4.0 scale) and d) satisfactory Graduate Record Education scores. The Au.D. curriculum proposed within this document was designed to meet, and in some cases exceed, the new certification standards. There are four elements to the proposed four-year curriculum. First, 154 required quarter-hours in course work would be required. This is necessary to ensure that the curriculum sufficiently addresses the competency criteria of the ASHA standard and produces a student with the breadth of knowledge necessary for a practicing audiologist. Second, we will require a minimum of 400 clock-hours of clinical practicum experience prior to beginning externship in Year IV. Third, a clinical research project will be completed with faculty guidance. Academic preparation for the project will be completed during Years I and II. The research will be conducted and written during Year III. The resulting project will be of satisfactory caliber for dissemination at a national conference and/or publication if the student opts to do so. The fourth element is a three-quarter full-time supervised clinical externship. This externship replaces the current nine-month full-time clinical fellowship. The student clinicians can apply for externships at sites in our current database.

3. Administrative arrangements for the proposed program: department and school involved.

The Au.D. will be offered in the School of Hearing, Speech and Language Sciences in the College of Health and Human Services.

4. Evidence of the need for the new degree program.

National Need for the New Degree Program:

As the profession of audiology transitions to a doctoral degree as the entry-level credential, current programs must either be expanded in scope of training to meet the new standards, continue without accreditation, or be shut down. Fewer accredited programs will result in fewer audiologists trained to meet certification requirements. This comes at a time when the need for audiologists is predicted to increase. U.S. News & World Report predicted that the number of jobs in audiology would grow by 46% (>8000 jobs) by the year 2005⁸. And, the National Institutes on Aging has estimated that by the year 2020, the need for audiologists will increase by another 50% over the current estimated need.

This growth is due to the rapid growth in the 75 years and older population as well as legislation for the hearing screening of all newborns. Hearing impairment is the third most commonly reported chronic problem affecting the aged population. About 35% of adults between the 65 and 75 year have a hearing loss and 40-50% of people 75 and older have a hearing loss⁹. Given the rapid growth in the population over 75 years, it is projected that more than 11 million persons of this age group will have significant hearing impairments.

As of spring of 2000, more than half of the States in the U.S. has enacted legislation supporting universal newborn hearing screening (Ohio House Bill 480, pending). ASHA¹⁰

⁷ Appendix A for detailed description of the proposed curriculum.

⁸ Appendix G for U.S. News & World Report, 1998.

⁹ Appendix H for NIDCD report on Presbycusis, 1997

¹⁰ Other organizations that support this position: American Academy of Audiology, American Academy of Pediatrics, Council on Education of the Deaf, Directors of Speech and Hearing Programs in State Health and Welfare Agencies,

recommends universal newborn hearing screening since high-risk-factor screenings identify only 40-50% of infants with significant hearing loss (Fiener et al., 1996; Mauk, White, Mortensen, & Behrens, 1991; Elssman, Matkin, & Sabo, 1987; Pappas, 1983). The prevalence of newborn and infant hearing loss is estimated to be between 1.5 to 6.0 per 1,000 live births (Parving, 1993; White, Vohr & Behrens, 1993). Failure to identify the remaining 50-60% of children with hearing loss results in diagnosis and intervention at an unacceptably late age.

State and Local Need for the New Degree Program:

Most audiologists serving southeast Ohio were trained at Ohio University. As the number of persons identified with hearing loss increases in our state and across the country, it becomes critical that Ohio University continue to train audiologists that can provide quality hearing care services to this region. Of the over 300 undergraduate programs, the Gourman Report¹¹ ranked the School of Hearing and Speech Sciences at Ohio University as 26th in academic excellence, placing the undergraduate program in the top 8% of all programs and is ranked first in the state of Ohio. Additionally, the U.S. News and World Report's 2001 Graduate Rankings¹² lists Ohio University's audiology program among the top 50 in the nation and second in Ohio.

If Ohio University is to continue to train audiologists to meet the need for clinical audiologists in our state and the surrounding area, the SHSLS must move forward and offer the Doctor of Audiology (Au.D.) degree. Nationally, there are 11 programs with this degree in place and many others in development. Currently, there are no programs offering an Au.D. in Ohio, although the University of Akron and Kent State University are working on a joint proposal. The SHSLS at Ohio University is in a unique position to provide this degree and clinical training experience, as it will be moving into a state-of-the-art facility within the College of Health and Human Services that will facilitate interdisciplinary health care service delivery to southeast Ohio and the surrounding Appalachian area. Vehicles for interdisciplinary outreach programs are already in place (e.g. Center for Appalachian and Rural Health Research¹³, Pediatric Otologic, Diagnostic Clinic Program described below).

While Ohio has yet to pass legislation for the universal hearing screening (screening hearing tests), it has utilized a universal high-risk questionnaire for hearing loss since 1988. This program has resulted in the follow-up testing of 32,000 children. Five percent of these children fail follow-up hearing tests per year¹⁴. Additionally, the Pediatric Otologic, Diagnostic (POD) Clinic Program is conducted throughout southeast Ohio under the direction of state and local health departments. The POD clinic database, maintained by the Ohio Department of Health, shows an enormous need to provide hearing care in this area¹⁵. Over 4000 children from birth to 21 years are tested each year in these clinics, and over 3500 of these children were identified as having a hearing impairment¹⁶. Locally, 6% of 313 elementary school children participating in a summer program for kids on campus failed a hearing screening¹⁷. Clearly, pediatric hearing assessment and hearing health care

Joint Committee on Infant Hearing, National Institutes of Health (NIH) Consensus Panel in 1993, and U.S. Public Health Service's Healthy People 2000 Initiative and 2010 Health Objectives.

¹¹ This report provides the only rating of undergraduate major programs in American and international universities that includes the study of audiology and speech-language pathology. It evaluates academic excellence by student performance in graduate study and in practice, curricular content, quality of instruction and administration, and faculty professional productivity.

¹² Appendix I for U.S. News & World Report: 2001 Graduate School Rankings.

¹³ Appendix J for Prospectus and Strategic Plan of the Center for Appalachian and Rural Health Research.

¹⁴ Appendix K for a review of Ohio's statewide infant hearing screening program.

¹⁵ Appendix L for detailed figures from the past three years.

¹⁶ Numbers obtained by personal communications with Kimberly Sayers, Public Health Audiologist for ODOH, 11/00.

¹⁷ From Pat Lohman-Hawk, speech-language pathology supervisor for Kids on Campus hearing screenings (2000).

services are greatly needed in this area. Our recently hired clinical audiology supervisor and pediatric audiologist, Tim Becker, has 15 years of experience in serving the pediatric population. He was also instrumental in formalizing legislation for the universal hearing-screening program in Wisconsin.

For 60 years, the Ohio University Hearing and Speech Clinic has been a leading provider of hearing care services to Athens and the surrounding area, as well as a training facility for audiology students in our school. Through supervised clinics, up to 1000 Athens area residents per year receive the highest quality state-of-the-art hearing health care ranging from hearing screenings and full diagnostic evaluations, to digital hearing aid prescriptions and fittings, and balance assessments. It is through the combination of didactic coursework and direct clinical experiences that the SHSLS has been training audiologists to enter the field. Without updating the masters program to the clinical doctorate, the audiology program in SHSS will eventually be shut down and the Hearing and Speech Clinic will lose its professionals-in-training who provide a critical community service.

5. Prospective Enrollment.

The SHSLS currently admits an average of six new students for the two-year master's program in audiology each year for a total of 12 students. The pool of applicants for the master's program in audiology is typically 30% from Ohio University undergraduates and 70% from other schools. By 2003, we expect applications for master's programs in audiology to decline across the country since students will need to be enrolled in a 4-year Au.D. program or other doctoral program by then to meet the 2007 ASHA guidelines.

We intend to maintain enrollment at six to eight per class in the Au.D. program, which replaces our master's program. However, since the Au.D. program is a four-year program, the total enrollment in the audiology program would double to 24 students by the fourth year of the program. This translates into eight students per faculty member (six if including an additional faculty member as proposed in this document). We intend to begin admitting students in the Au.D. program by 2002 and no later than 2003. Existing master's students will have the option of rolling into the Au.D. program and will provide a useful source for the first Au.D. students. The cap on a maximum enrollment of eight is justified since each student would complete a research project directed by a faculty advisor in the third year; and with four full-time Ph.D. audiology faculty as proposed, each faculty member would supervise two research projects per year. We feel that based on this requirement, a maximum of eight students could be admitted to the program each year without compromising the quality of mentorship per student.

6. Special efforts to enroll and retain minority students and women, if women are considered an underrepresented group in the given discipline.

We have been active in recruiting minority students into the master's program in SHSLS through efforts at the School, College and University levels. We currently have four minority graduate students in SHSLS. In addition, we have six international students. Current initiatives to recruit graduate minority students includes the following:

- 1) Summer Scholars Program – This is a grant-funded program through the Health Careers Opportunity Grant. It allows minority students that are majoring in allied health disciplines to take enrichment course work in a variety of areas, including introduction to hearing and speech sciences. Upon completion of the eight-week summer program, students are given the opportunity to interview for admission for the following year's graduate program.
- 2) Graduate Assistantship and Office of Graduate Student Services Assistantship - These assistantships are awarded based upon availability. Currently, one minority graduate speech-language pathology student is receiving an assistantship that includes a scholarship and tuition

waiver from the College of Health and Human Services. She will also receive a \$1000 incentive award from the Office of Minority Graduate Students.

3) Participation in conference activity - Currently the office sponsors both undergraduate and graduate students that participate in NBSLAH (National Speech Language and Hearing Association). This is a cooperative effort along with Janice Wright, Speech-Language Pathology Clinical Supervisor for the Ohio University Hearing and Speech Clinic. She serves as Associate Director of NBSLHA. In this capacity, she is instrumental in educating students nationwide about the programs we provide in speech-language pathology and audiology.

4) Targeted Recruitment Activity - Annual visits are scheduled to Xavier University in Louisiana to recruit students for graduate programs in the College of Health and Human Services.

5) Graduate Recruitment Weekend - The College of Health and Human Services participates in the recruitment weekend, sponsored by Dan Williams, who is the assistant to the provost for minority graduate students.

These minority recruitment efforts will continue with the Au.D. program in audiology. Currently, women are a majority in the field of audiology.

7. Faculty¹⁸ and facilities available for the new degree program and their adequacy.

We have eight full-time tenure-track faculty in SHSS. Three of these are audiology faculty (Christopher Dean, Ph.D, Erika Zettner, Ph.D., and Ayaskanta Rout, Ph.D.). In addition, there are five retired/part-time professors and professor emeriti, one of who is in audiology (Ronald Isele, M.A.). We have six clinical supervisors; one is an audiologist (Timothy Becker, M.S.) who serves as a clinical supervisor and instructor for a number of courses. He will obtain an Au.D. through distance learning by 2002. In addition, an audiologist (Steve Rizzo, Ph.D.) from the VA Hospital in Chillicothe, teaches one class per year. He also conducts a weekly VA clinic from our Speech and Hearing Clinic providing students with additional clinical experience. An additional lecturer (Shruti Mehta, M.A.) teaches one to two classes per year.

By fall quarter 2001, the SHSLS will move into a new facility, part of a \$25 million renovation project in Grover Center, that will house the entire College of Health and Human Services. The state-of-the-art hearing and speech clinical facility will house three new audiometric test suites with audiometric test equipment, with separate rooms and equipment for evoked potentials, otoacoustic emissions, electronystagmography, platform posturography, immittance measures, and real-ear hearing aid analysis and fitting equipment. New research laboratories, including three new test suites, and offices for faculty will be housed in the same building. The result of this relocation will provide audiology students with the unique experience of an interdisciplinary team approach to hearing health care which includes Health Sciences, Nursing, Physical Therapy, Recreation and Sport Sciences, and Human and Consumer Sciences. In addition, it will provide the students with ample opportunity for collaborative clinical and research efforts with these related disciplines.

We have established off-campus sites where our students also receive supervised clinical experiences¹⁹. These sites include Karr Audiology, Department of ENT at Ohio University Osteopathic Medical Center, VA Hospital in Chillicothe, Audiology Associates of Lancaster, Marietta Memorial Hospital, Camdon Clark Hospital in Parkersburg, and Meigs County Regional Speech and Hearing Clinic. Together these settings provide a wide range of clinical experiences for our students including private practice, ENT, hospital, schools, and regional clinics. In addition, a

¹⁸ Appendix M: Key Faculty and Clinical Supervisory Resumes.

¹⁹ Appendix N: Sites Providing Supervised Clinical Experiences.

universal newborn hearing-screening program is being developed at O'Bleness Memorial Hospital in Athens, Ohio.

8. Need for additional facilities and staff along with the plans for meeting this need.

The new Grover clinical facility as well as the current contracts we have with audiology facilities throughout central and southeastern Ohio will initially be adequate for the needs of the Au.D. program to provide clinical supervision for our students prior to leaving on full-time externship. We currently have plans to expand our clinical services by developing integrated clinics and other off-campus satellite clinics that would include audiology and other medical and rehabilitative services.

To meet the didactic needs of the proposed Au.D. program, we need one additional tenure-track faculty position in audiology who will teach courses and guide student research projects. Gary Neiman, Ph.D., Dean of the College of Health and Human Services, supports the need for the addition of the tenure-track faculty position. *In addition, we will require a second full-time clinical supervisor position to support the larger number of students in the Au.D. program vs. the current number in the master's program.* The salary of the second clinical supervisor will come from the clinic budget, paid for by the projected increase in audiology revenue with the move to Grover Center in 2001.

9. Projected additional costs associated with the program and adequacy of expected subsidy and other income to meet this cost.

Since the Au.D. program will replace the existing master's program in audiology, *the major need is an increase in one tenure-track faculty position as described above.* The current audiology faculty has been successful in securing both internal and external grant support with over \$200,000 in grant awards over the past three years. This is expected to increase with the addition of a fourth faculty member who will be expected to pursue internal and external grants to support his or her research program. These grant funds will support the top Au.D. students with stipend dollars, as well as serve as a funding source for the Year III research projects.

10. Information from consultants or advisory committees in development of the proposal.

We will obtain a site-visit report from an external reviewer with experience in this area. Dr. Robert Margolis, Professor and Director of Audiology at the University of Minnesota, has agreed to serve as external reviewer of this proposed program and is scheduled to come March 2, 2001. He has been involved in the process at State University of New York at Buffalo as well as at East Tennessee State University. He prepared reports that dealt with facilities, faculty, students, curricula, university and community support, etc. He has also been working on the Au.D. proposal at the University of Minnesota. We also plan to submit this proposal to the SHSS Alumni Advisory Board for review in spring 2001. The Advisory Board consists of speech-language and hearing professionals from across the country.

Erika Zettner, Assistant Professor in SHSS, attended a workshop entitled "Development of the Clinical Doctoral Degree Program in Audiology: Strategies for Meeting (and Exceeding) the 2007 ASHA Standards" on November 15th, 2000 at Gallaudet University in Washington DC. At this conference, current and proposed Au.D. programs were presented and discussed.

References

- Elssman, S., Matkin, N., & Sabo, M. (1987).** Early identification of congenital sensorineural hearing impairment. *The Hearing Journal*, 40, 9, 13-17.
- Fiener, M. V., Parue, K. M., Raffin, M. J. M., and Matz, G. J. (1996).** Infant Hearing screening program: high risk factors for hearing loss. *Seminars in Hearing*, 17, 2, 165-170.
- Mauk, G.W., White, K.R., Mortensen, L.B., & Behrens, T.R. (1991).** The effectiveness of screening programs based on high-risk characteristics in early identification of hearing impairment. *Ear and Hearing*, 12, 312-319.
- Pappas, D. (1983).** A study of the high-risk registry for sensorineural hearing impairment. *Archives of Otolaryngology—Head and Neck surgery*, 91, 41-44.
- Parving, A. (1993).** Congenital hearing disability—epidemiology and identification: a comparison between two health authority districts. *International Journal of Pediatric Otorhinolaryngology*, 27, 1, 29-46.
- White, K. R., Vohr, B. R., and Behrens, T. R. (1993).** Universal newborn hearing screening using transient evoked otoacoustic emissions: the Rhode Island hearing assesement project. *Seminars in Hearing*, 14, 1, 18-32.

**SCHOOL OF PHYSICAL THERAPY
DOCTOR OF PHYSICAL THERAPY**

RESOLUTION 2001 — 1800

WHEREAS, THE School of Physical Therapy, has proposed the Doctor of Physical Therapy as replacement for the current Master's in Physical Therapy, and

WHEREAS, the transition to a Doctor of Physical Therapy is a national movement endorsed by the profession, and

WHEREAS, the proposal has the support of the dean and faculty of the School of Physical Therapy, the College of Health and Human Services, and the University Curriculum Council, and

WHEREAS, the proposed degree will allow the School of Physical Therapy to continue as leaders and provide an excellent program based on the faculty and curricular strengths currently existing in the School of Physical Therapy, and

WHEREAS, the proposed degree will provide students with the knowledge and skills essential to practice in a clinical setting.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Ohio University approves offering a Doctor of Physical Therapy.

Office of the Provost
Cutler Hall 306
Athens OH 45701-2979
740-593-2600 phone
740-593-9191 fax



OHIO UNIVERSITY
1804

DATE: September 5, 2001
TO: Robert Glidden, President
FROM: *Gary Schumacher*
Gary Schumacher, Interim Provost
SUBJECT: Proposed Doctor of Physical Therapy

I support the proposal for a new degree to be offered by the School of Physical Therapy in the College of Health and Human Services. The Doctor of Physical Therapy is designed to provide intensive study in physical therapy. The proposed new degree is endorsed by the faculty and administration of the College of Health and Human Services and will serve to strengthen their curriculum offerings to their students.

RG/jt

Summary: Development of a Doctor of Physical Therapy (DPT) Program at Ohio University

Prepared by: Averell S. Overby, Dr.P.H., P.T., Director, School of Physical Therapy

Date: August 27, 2001

The School of Physical Therapy in the College of Health and Human Services at Ohio University is proposing to replace the existing master's degree in physical therapy (MPT) with a professional doctoral degree (DPT). Since 1993, physical therapy has been offered as a DPT program and currently 16 programs have accredited DPT programs with at least 70 additional programs in the development phase. Recently, the American Physical Therapy Association (APTA) endorsed "Vision 2020" (Appendix A) which states that, "By 2020, physical therapy will be provided by physical therapists who are doctors of physical therapy and who may be board-certified specialists." Although not mandating the change to an entry-level DPT, the APTA is certainly encouraging the transition.

Ohio University was granted the first MPT program in a public institution in the state of Ohio and we would like to continue to be leaders in physical therapy education by providing the best education in the best format possible. The DPT will considerably expand the depth and breadth of our current program. We propose that students will already have a baccalaureate degree when they enroll in our program. The same prerequisite coursework will be required; however, the DPT (Appendix B) will consist of 188 quarter hours spread over three years, as compared with the current MPT program that has 116 quarter hours in the same period of time. The required number of hours is consistent with other accredited DPT programs across the country (Appendix D) and with other professional doctoral programs.

The need for the development of a DPT program is driven by four major factors: the expansion of managed care programs; the national move toward practice without physician referral; the expansion of physical therapy practice; and the location of our program in a predominantly rural setting. The expansion of managed care has resulted in a situation in which physical therapists often see patients who are more fragile due to their being released from acute care facilities sooner. To be more effective, the therapist needs a deeper understanding of pathology, laboratory medicine, imaging, pharmacology, medical management, etc. These will be enhanced in the DPT program. Due to time constraints imposed by managed care and the fact that 46 states allow practice without physician referral, therapists must have greater technical expertise and greater clinical decision-making capabilities so that they can accurately determine the causes of dysfunction to plan an appropriate and timely intervention. Evidence-based practice will be enhanced in the DPT program. Because rural therapists often practice in relative isolation from the larger medical centers and specialists, a greater burden is placed upon them to keep abreast of current treatments; therefore, our program will uniquely address the issues of practice in areas with limited resources through the coursework and clinical opportunities that are offered to students.

We intend to enter 36 students each year for a total of 108 students in the program, which is the same as the current MPT program. The faculty is uniquely qualified to accomplish this program with 7/9 doctorally prepared which is the highest of any school in the state of Ohio, according to CAPTE statistics. We have increased our teaching and research space with the move to Grover Center and we have current technology including a state-of-the-art postural control lab and motor control lab. The College has an active recruitment and retention program for multicultural students and currently 6% of our student body is multicultural, although more students of color are accepted. Nationally, the percentage of multicultural students in physical therapy programs is about 10% according to CAPTE statistics. The additional resources needed for the program is an addition of three faculty members, which the increase in tuition and subsidy should be more than adequate to cover.

In summary, the DPT would replace the existing MPT program. The transition to a DPT is a national movement which has been enthusiastically embraced by the profession. We have the resources necessary to deliver the program and would like to maintain our position of leadership in the state of Ohio.

DEGREE PROGRAM APPROVAL FORM

Degree:

Doctor of Physical Therapy (DPT)

School:

School of Physical Therapy

College:

College of Health and Human Services

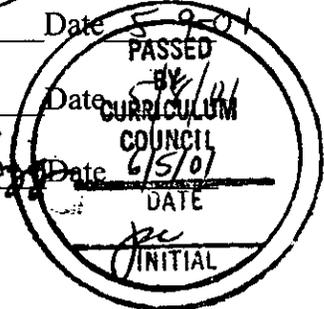
Date of submission:

April 2001

Date of proposed implementation:

Summer 2003

Recommended by Dept./School Curriculum Chair [Signature] Date 5-8-01
Recommended by Dept. Chair/School Director [Signature] Date 5-8-01
Recommended by College Curriculum Chair [Signature] Date 5-9-01
Recommended by College Dean [Signature] Date 5/11/01
Approved by University Curriculum Council [Signature] Date 6/5/01



The following program development plan is a proposal to replace the existing master's degree in physical therapy (MPT) with a professional doctoral degree, the Doctor of Physical Therapy (DPT), in the School of Physical Therapy at Ohio University. Since 1993 physical therapy has been offered as a professional doctoral program at the entry-level in the United States. Presently, the Commission on Accreditation of Physical Therapy Education (CAPTE) accredits 11 DPT programs. However, 71 additional programs are in the development or implementation phase, with the majority of the schools anticipating a transition to the DPT during 2002 or 2003.

Recently, the American Physical Therapy Association (APTA) endorsed "Vision 2020" (Appendix A). "Vision 2020" refers to practitioners by the year 2020 as "doctors of physical therapy". However, the APTA did not initiate the transition nor has the association mandated the transition to doctoral level training. Rather, over the past decade individual schools, faculty, and clinicians have recognized the need for entry-level doctoral training. The result has been a ground swell of support. The enthusiasm stems from the realization that doctoral training will enable practitioners to provide advanced-skilled practice, to be viewed by other practitioners as colleagues, and to play an effective role in a vast and complex health care arena.

1. Designation of the new degree program, rationale for that designation, and a brief description of its purpose.

The School of Physical Therapy at Ohio University plans to develop a clinical doctoral program, the Doctor of Physical Therapy degree (DPT) to replace the School's existing Master of Physical Therapy (MPT) degree. The DPT program will considerably expand the breadth and depth of the current master's program (Appendix B). Four issues necessitate this expansion: the managed health care movement, the national move to practice without referral, the needs of rural physical therapist practice, and the expansion of practice into many areas. Clinical doctoral training better meets the needs of today's graduates, the clientele that they serve, the Appalachian region, and the profession of physical therapy.

2. Description of the proposed curriculum.

Prior to enrollment applicants will complete a baccalaureate degree. Typically, students complete a degree in Biology, Psychology, Exercise Physiology, or related discipline, although students may have a major in any field as long as prerequisites are met. The same prerequisite coursework will be required for the DPT as is currently required for the MPT degree (Appendix C). The DPT curriculum (Appendix B) consists of 180-184 quarter hours of instruction spread over 12 quarters of study as compared to the current MPT degree, which has 117-119 quarter hours. Each quarter has a substantial increase in content. This can be allowed, particularly in the first year of the curriculum, since students will already have a baccalaureate degree and will not need to take courses toward their undergraduate degree as is the case in the present 3+3 curricular design. The required number of quarter hours is consistent with other DPT programs in the country and with other doctoral level professional programs (Appendix D). A new cohort will begin the program in the summer of each academic year. We have woven six major curricular

threads through the program as sequences: professional development, orthopedic physical therapy, neurological physical therapy, medical science, research, and case-based synthesis. Each sequence affords a greater depth of material than has been possible in the MPT, a greater integration of material, and an innovative educational approach.

3. Administrative arrangements for the proposed program: department and school involved.

The DPT will be offered in the School of Physical Therapy within the College of Health and Human Services.

4. Evidence of the need for the new degree program.

Health care, and the practice of physical therapy, is experiencing a transformation that will provide improved care at a more affordable cost. The managed care movement, to better control costs, has made the business of health care a paramount concern of all involved. At the same time, practitioners forge innovative practice patterns with diminishing resources to better meet society's needs. Managed care has sharpened the focus of physical therapy on cost effective evidence-based service and brought about significant change in the delivery of care. The impact, particularly on the rural, Appalachian practice of physical therapists, is the reason for this program proposal. Following is a brief synopsis of the many issues confronting the new practitioner.

First, recent changes in reimbursement for rehabilitation services are force cost control measures that limit the amount of resources and time allowed to achieve optimal patient outcomes. This places demands on practitioners to be effective in a timely manner, necessitating greater technical expertise and rehabilitation skills. Therapists must provide an accurate examination of the patient that leads to an appropriate prognosis and a timely intervention program to rapidly improve his/her function. To be more effective, the practitioner needs a deeper understanding of pathology, laboratory medicine, imaging, the natural history of the disease or impairment, the medical management involved, and the ability to formulate techniques that clarify and focus the rehabilitation course of action.

Second, to better manage costs, patients are released from acute care settings early resulting in more fragile medical patients being seen by physical therapists in community settings, without the support of physicians on-site. Thus, entry-level practitioners working in the community are confronted with more complex medical conditions demanding greater clinical decision-making and application of knowledge. This places even greater demands on rural practitioners who, of necessity, function more independently than their urban counterparts.

Third, changes in health policy and reimbursement have placed greater supervisory and leadership demands on clinicians to both understand and promulgate the need for administrative change among colleagues. These changes in health policy and reimbursement have resulted in corporate mergers, buy-outs, bankruptcies, structural reorganizations and the emergence of specialized niche market practices. Course

work is needed to teach basic principles of business management, fiscal management, personnel management, organizational structures, business planning, marketing and forecasting. This will enable the next generation of physical therapists to successfully adapt to change and to maintain and develop viable business structures.

Fourth, health care facilities continually strive to expand service delivery areas. As a result, some physical therapists now routinely offer services that a few years ago were specialty practices occupied by highly trained therapists with considerable expertise. The expanded program we are proposing introduces those areas such as prevention and rehabilitation of industrial injuries, women's health, and temporomandibular joint more completely thus enabling practitioners to provide better service to the public.

Fifth, a growing expectation in health care is that practitioners must provide evidence-based practice as grounds for treatment reimbursement, and rightly so. However, not only does this require the time to retrieve and interpret the professional literature but it also requires increased skill and knowledge essential to generate the outcomes data and treatment efficacy studies to provide the foundation for evidence-based practice. Our proposed curriculum places considerable emphasis on clinical research tools to facilitate the process.

Sixth, most states (46 of 50) have enacted into state law a variety of definitions of practice, without referral from a physician. Presently, the Ohio Legislature is considering HB 121 that will, if passed, allow the Ohio public direct access to physical therapy services. Practice without referral places greater demands on educational programs to prepare practitioners to engage in the clinical decision making process in an ever more rigorous fashion. This is especially true of clinicians working in rural Appalachian areas where resources remain scarce. An expanded scope of practice is the foundation of the DPT curriculum.

Ohio University is located in the midst of Ohio's 29 Appalachian counties. Physical therapists in the area practice as generalists, in relative isolation from other therapists and from many medical specialists. While remaining a generalist by necessity, a rural therapist must meet an ever-increasing level of practice expertise in many and diverse specialized areas if they are to attend to the needs of their clientele in a responsible fashion. There is a need to produce therapists who can provide for a continuity of high-level care in many and diverse practice areas.

5. Prospective Enrollment.

The School of Physical Therapy typically has a class size of 36 students each year for the 3 year master's program, or a total of 108 students. Although the number of students has declined somewhat in the last two years with class sizes of 30-32, due to proliferation of physical therapy programs and changes in the reimbursement regulations that resulted in loss of jobs in the health care market, the decline is not expected to continue. With the increasing need for health care services due to the aging of American society, and the advances in technology that prolong life for even the frailest of infants and the elderly, the need for physical therapy services will

continue to grow in the next few years. With more medical advances on the horizon, such as the improvement in care for persons with spinal cord injury and brain injury, the need for physical therapists in rehabilitative care will also increase. The *Occupational Outlook Handbook* (Appendix E) from the Bureau of Labor Statistics (2000-2001 edition) states that "although there will be keen competition for jobs during the first half of the projection period, employment is expected to increase over the 1998-2008 period". It also states that "employment for physical therapists is expected to grow faster than the average for all occupations through 2008". Therefore, we expect that the class size of 36-40 students will be maintained. We would like to begin to enroll students for the doctoral program in Summer 2003 and would begin with a class of 32 students, which would increase to 36-40 by the year 2005. Based on the current facilities, equipment, and space, this would most likely be the largest class size.

6. Special efforts to enroll and retain minority students and women, if women are considered an underrepresented group in the given discipline.

Women constitute approximately 70% of the profession. However, the profession has lagged behind, as have most health professions, in attracting minority students to the field. The College of Health and Human Services has an active recruitment and retention program for students of color and the Assistant to the Dean, Terrence Brown, works closely with program directors in identifying qualified students and in promotion of our programs in cooperation with the Ohio University multicultural affairs office and with Dan Williams, the Assistant to the Provost for Minority Graduate Students. Programming is available each quarter to assist students in maintaining a high GPA and recognition programs for students who have done well scholastically end each quarter. Through active student support, we have been able to maintain 100% of those multicultural students who enroll in the physical therapy program, although the numbers who enroll is not that large. Generally, we have 1-2 students who enroll per year in our program, although more are accepted.

7. Faculty and facilities available for the new program and their adequacy.

The facilities available for the new program are quite adequate with the renovation of Grover Center and move-in expected June 2001. Our lab space increases by 100% for student instruction and we have added 5 research labs that occupy approximately 2600 square feet for faculty and student research. In addition, state-of-the-art classrooms are available for instruction with the most up-to-date instructional technology. We are also in the process of expanding Therapy Associates to provide additional part-time experiences for our students and students will be able to practice as part of a multidisciplinary team in the Grover Center Therapy Associates Clinic. We have 7/9 doctorally prepared faculty members in the School, which is the highest percentage of any school in the state of Ohio, according to CAPTE statistics. Our Clinical Education Coordinator is currently enrolled in a doctoral program in Interpersonal Communications. Our Group II faculty member has 30 years of experience and is an expert in management of physical therapy services. We have three full time tenured faculty members, and the Director, with each having between 15-30 years of broad and specialized experience. We also have three tenure track

Group I faculty members who have an excellent depth of experience; one of these is an orthopedic clinical specialist recognized by the American Physical Therapy Association. All have significant research agenda. All of these will contribute in a significant manner to the new curriculum.

8. Need for additional facilities and staff along with plans for meeting this need.

There is no need for additional facilities at this time; however, an additional three Group I faculty positions are anticipated with the extra courses that have been added. These would be phased in gradually as new students and courses are added. We currently have 102 didactic quarter hours and 17 clinical education quarter hours in the MPT program. Faculty in Biological and Biomedical Sciences teach 11 quarter hours. The new curriculum will contain 148 didactic quarter hours and 32-36 clinical education quarter hours (total of 180-184 quarter hours) with only 7 hours taught by faculty outside of the School. To maintain the current faculty workload of approximately two courses per quarter, which includes supervision of student research, and to maintain the level of research productivity among faculty and students, three additional faculty members are required (Appendix F).

9. Projected additional cost associated with the program and adequacy of expected subsidy and other income to meet this cost.

Additional costs in salary and benefits would be approximately \$210,000. Assuming current levels of student tuition, with the addition of courses to the curriculum (Appendix G), students would pay \$780,288 minimally (96 students * \$8,128). Students currently pay an average of \$6,925/year or \$664,800 (96 students * \$6,925); therefore, just with in-state students paying for the increased credit hour load, the additional tuition would be \$115,488. We are assuming that the subsidy level for entry-level doctoral students will be either at or above the level for our current master's program. With the increase in student credit hours, each student would be considered 4.0 FTE rather than the current 2.62 FTE, resulting in a marked increase in subsidy. In addition, research productivity of the faculty has increased and we have written several training grants, as well as research grants, which should support some students. We will also pursue an endowed professorship, as well as extramural funding, to assist with funding for faculty positions.

10. Information about the use of consultants or advisory committees in development of the degree program proposal. (Reports attached)

One consultant report is attached (Appendix H) and the other one is expected shortly. Both consultants were extremely knowledgeable about DPT curricula. Jan Richardson, Ph.D., P.T., the immediate past-president of the American Physical Therapy Association, has started two DPT programs, one at Slippery Rock College and the other at Duke University. She is very much aware of the national climate. The other, Karen Paschal, M.S., P.T., is the Academic Coordinator at Creighton University, home of the first DPT program in physical therapy in the United States. Both reviewers enthusiastically supported the proposed curriculum and felt that Ohio University School of Physical Therapy was uniquely poised, in terms of faculty, facilities, and innovative strategies, to move in this direction.

Appointment to Regional Coordinating Council

RESOLUTION 2001 — 1801

BE IT RESOLVED BY the Board of Trustees of Ohio University that the following person be appointed to membership on the Coordinating Council at the Regional Campus of Ohio University - Eastern.

Ohio University - Eastern

George A. Carroll, Jr.

For a nine-year term beginning July 1, 2001, and ending at the close of business June 30, 2010, vice John J. Shannon, who resigned.

George A. Carroll, Jr.

Personal Info

Birth Date October 14, 1946, Wheeling, WV

Marital Status Married, Wife Deborah

Children Corianne, Melissa

Professional Experience

1979 – present Jarvis, Downing & Emch, Inc. Wheeling, WV
President & CEO

1976 – 1979 Consolidated Engineering Co. Wheeling, WV
Vice President

1972 – 1976 Engstrom & Wynn, Inc. Wheeling, WV
Vice President / Office Engineer / Estimator

1969 – 1972 Ohio Department of Health Nelsonville, OH
Sanitary Engineer

Education

1969 Ohio University Athens, OH

- B.S., Civil Engineering
- Registered Professional Engineer, WV #9266; Ohio #41539

Memberships

WV Contractors Association
National Society of Professional Engineers
WV Society of Professional Engineers
Wheeling Area Chamber of Commerce
St. Clairsville Chamber of Commerce
Ohio Valley Industrial and Business Development Council – Board of Directors
Project Best – Board of Directors
Ohio Valley Construction Employers Council – Board of Directors
Carpenters Joint Apprenticeship Training Committee
Ironworkers Joint Apprenticeship Training Committee
St. Clairsville Library – Board of Directors
Ironworkers Pension (Locals 549 & 550) – Board of Directors

Interests

Sports, Hunting, Fishing, Travel, Nascar

BOARD ADMINISTRATION COMMITTEE/COMMITTEE OF THE WHOLE

Committee Chairman Walter stated the Committee was meeting as a committee-of-the-whole and had two matters for consideration. The committee also received a follow-up report on the proposed University center project.

University Center Project Report

Richard Carpenelli, director of Baker Center and assistant vice president for student affairs, presented a statement of need for the project along with recommended plans and site concept. He also presented the program summary of spaces to be included in the project. The cost of the project is approximately \$50 million.

Jim Hintz, president of Student Senate, enthusiastically described the project and how it would meet the current needs of student organizations and activities. He noted students were prepared to financially support the project. Students Amanda Cunningham, Mattie White, and Ken Brown spoke briefly in favor of the project and what it would mean to future students and their lives on the campus.

Trustees thanked those presenting for their time and for the quality of their leadership for the project.

A copy of their materials was distributed at the meeting and is also included with the official minutes.

Vice President Jack Bantle presented the resolution. Mr. Grover moved approval of the resolution and Mr. DeLawder seconded the motion. All voted aye.

SECURITY AGREEMENT UPDATE

RESOLUTION 2001— 1802

WHEREAS, Ohio University periodically has faculty and staff involved in research activity that requires them to have access to classified information, and

WHEREAS, the United States Government requires that the university obtain security clearance as a precondition of its and staff having access to classified information necessary for their research and

WHEREAS, the university has a Managerial Group, as described in the Industrial Security Manual for Safeguarding Classified Information, consisting of the persons occupying the following positions: Robert Glidden, President; Gary Schumacher, Interim Provost; John Bantle, Vice President for Research, and Richard Siemer, Treasurer; and

WHEREAS, the Board of Trustees delegates to this Managerial Group all of its duties and responsibilities pertaining to the protection of classified information under classified contracts awarded to Ohio University, and

WHEREAS, members as named below, of the Board of Trustees and all officers of the university not named as members of the Managerial Group shall be effectively excluded from access to all classified information in the possession of Ohio University and shall not be processed for personnel clearance, and

WHEREAS, the Managerial Group shall review and approve any classified research proposals at the university.

NOW, THEREFORE, BE IT RESOLVED that Ohio University, Cutler Hall, Athens, Ohio, 45701, authorizes the President to take all necessary steps for designating replacements to the Managerial Group and to indicate replacement members of the Board of Trustees for the herein described Board of Trustees exclusion status: Patricia A. Ackerman; R. Gregory Browning; Gordon F. Brunner; C. Daniel DeLawder; Brandon T. Grover; M. Lee Ong; Larry L. Schey, C. David Snyder; and Robert D. Walter

Vice President Gary North reviewed the resolution before the Trustees and commented on its impact on the physical development of the campus. Mr. Grover moved approval with a second by Mr. Browning. Approval was unanimous.

CAPITAL IMPROVEMENTS PLAN ATHENS AND REGIONAL CAMPUSES

FISCAL YEARS 2003 THROUGH 2008

RESOLUTION 2001 --- 1803

WHEREAS, the Ohio Board of Regents has notified Ohio University that the Capital Improvements Plan for the Fiscal Years 2003-2008 must be submitted in September 2001, and

WHEREAS, the University established in the late 1990's the Space Executive Committee to review proposed capital expenditures on the Athens Campus for the Provost's Office, and

WHEREAS, the Capital plan for fiscal years 2003 through 2008 was reviewed, discussed and approved by the Space Executive Committee in August, 2001, and

WHEREAS, the Deans of the Ohio University Branch Campuses have reviewed, discussed, and approved their respective capital plans,

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does hereby approve the attached Summary of Capital Improvements Projects on the Athens and Regional Campuses for the period beginning on July 1, 2002 and ending on June 30, 2008.

BE IT FURTHER RESOLVED that the Ohio University Board of Trustees does hereby empower the President or his designee to submit to the Ohio Board of Regents and other state officials a Fiscal Year 2003-2008 Capital Improvements Plan for Ohio University.

CPLN2003.RES



Vice President for Administration
Cutler Hall 209
Athens OH 45701-2979

tel 740.593.2556
fax 740.593.2124

August 21, 2001

Dr. Robert Glidden
President
Cutler Hall
Ohio University

Dear Bob:

John Kotowski has consulted with the provost, vice presidents, deans, planning unit heads and the Space Advisory Committee to develop a capital plan for the period of 2003 to 2008 which will guide university investment in state funded renovation and new construction projects. I recommend that you and members of the Board of Trustees approve the plan and authorize its submission to the Ohio Board of Regents.

Sincerely,

Gary North

O H I O U N I V E R S I T Y

Office of Facilities Planning and Construction

Interoffice Communication

August 20, 2001

TO: Dr. Gary B. North, Vice President for Administration

FROM: John K. Kotowski, Director of Facilities Planning 

SUBJECT: FISCAL YEARS 2003- 2008 CAPITAL IMPROVEMENTS
PLAN FOR ATHENS AND REGIONAL CAMPUSES

Enclosed please find a resolution for consideration by the Ohio University Board of Trustees at their regular meeting of September 28, 2001. In addition to the resolution, I have included a project summary for the period FY 2003-2008 for both the Athens and Regional Campuses.

The information regarding capital improvements for the Regional Campuses is self explanatory. This document was prepared with the Dean's of the various campuses as well as the Vice President for Regional Higher Education's Office.

As a result of capital planning submissions from various Deans, Directors and Department Heads on the Athens Campus, the Capital Improvements Plan for the FY 2003-2008 period is the plan that the Space Executive Committee and myself recommend be forwarded to the Ohio Board of Regents and other state officials.

JKK/slw/CPLN2003.GBN

enclosures

pc: Dr. Gary M. Schumacher
Dr. Charles P. Bird
Dr. John A. Bantle
Dr. Shawn D. Osterman
Mr. Richard P. Siemer
Staff Members

Ohio University

Capital Improvements Plan

ATHENS CAMPUS

FY 2003 to 2008

FY 2003 - 2004 BIENNIUM

PROJECT OR ITEM	FUNDING REQUESTED
1. Basic Renovations-Formula Funding	4,032,073
2. Child Development Center (Re-Payment)	265,115
3. Supplemental Basic Renovations	1,000,000
4. Bentley Hall Completion	7,903,220
5. Lecture Hall/Smart Classroom Facility	5,000,000
6. Utilities Infrastructure Upgrades	3,500,000
7. Student Center	4,429,702
8. Performing Arts Center	<u>25,000,000</u>
TOTAL FY 2003 - 2004 BIENNIUM	\$ 51,130,110
9. Southeastern Regional Depository	2,626,400

FY 2005 - 2006 BIENNIUM

PROJECT OR ITEM	FUNDING REQUESTED
1. Basic Renovations - Formula Funding	4,032,073
2. Child Development Center (Re-Payment)	265,115
3. Supplemental Basic Renovations	1,000,000
4. Student Center	10,570,298
5. President Street Academic Center-Construction	6,000,000
6. Services Facility (EHS/Campus Safety)	4,262,624
7. Lausche Heating Plan Revitalization	<u>25,000,000</u>
TOTAL FY 2005 - 2006 BIENNIUM	\$ 51,130,110

FY 2007 - 2008 BIENNIUM

PROJECT OR ITEM	FUNDING REQUESTED
1. Basic Renovations - Formula Funding	4,032,073
2. Child Development Center (Re-Payment)	265,115
3. Supplemental Basic Renovations	1,000,000
4. Irvine/Wilson Renovation	6,000,000
5. McCracken Hall	10,000,000
6. Graduate Studies Consolidation	4,532,922
7. College of Business	10,000,000
8. Tupper Hall Rehabilitation	<u>9,000,000</u>
TOTAL FY 2007 - 2008 BIENNIUM	\$ 44,830,110

CAPLAN04.EXC

August 29, 2001

**PRIORITY LIST OF INDIVIDUAL PROJECTS
FOR EACH BIENNIUM
REGIONAL CAMPUSES**

FY 2003 - 2004 BIENNIUM

<u>PROJECT OR ITEM</u>	<u>FUNDING REQUESTED</u>
1 Basic Renovations	
A Chillicothe Campus	\$ 183,172.00
B Eastern Campus	\$ 135,160.00
C Lancaster Campus	\$ 182,651.00
D Southern Campus	\$ 92,699.00
E Zanesville Campus	\$ 201,122.00
2 Critical Need	
A Exterior Site Improvements Chillicothe Campus	\$ 354,928.00
B Bennett Hall Interior Renovation, Phase II Chillicothe Campus	\$ 828,166.00
C Science/Fine Arts Renovation, Phase II Eastern Campus	\$ 830,201.00
D Elevator Completion Lancaster Campus	\$ 70,000.00
E Brasee Hall Interior Renovations Lancaster Campus	\$1,153,601.00
F Daycare Center Southern Campus	\$ 447,950.00
G Proctorville Master Plan Southern Campus	\$ 200,000.00
H Campuswide HVAC/Electrical/Data Improvements Zanesville Campus	\$1,182,538.00
TOTAL FY 2003-2004 BIENNIUM	\$ 5,862,188.00

FY 2005 - 2006 BIENNIUM

PROJECT OR ITEM

FUNDING REQUESTED

1 Basic Renovations	
A Chillicothe Campus	\$ 183,172.00
B Eastern Campus	\$ 135,160.00
C Lancaster Campus	\$ 182,651.00
D Southern Campus	\$ 92,699.00
E Zanesville Campus	\$ 201,122.00
2 Critical Need	
A Parking & Roadway Improvements Chillicothe Campus	\$ 591,547.00
B Campuswide Infrastructure Improvements Chillicothe Campus	\$ 591,547.00
C Science/Fine Arts Renov., Phase III Eastern Campus	\$ 430,201.00
D Grounds Maintenance Building, Phase I Eastern Campus	\$ 400,000.00
E Herrold Hall Improvements Lancaster Campus	\$ 873,601.00
F Parking Facility Improvements Lancaster Campus	\$ 350,000.00
G Proctorville Center Development Southern Campus	\$ 647,950.00
H Elson Hall Renovation, Phase III Zanesville Campus	\$ 1,182,538.00
TOTAL FY 2005-2006 BIENNIUM	\$ 5,862,188.00

FY 2007 - 2008 BIENNIUM

<u>PROJECT OR ITEM</u>	<u>FUNDING REQUESTED</u>
1 Basic Renovations	
A Chillicothe Campus	\$ 183,172.00
B Eastern Campus	\$ 135,160.00
C Lancaster Campus	\$ 182,651.00
D Southern Campus	\$ 92,699.00
E Zanesville Campus	\$ 201,122.00
2 Critical Need	
A Stevenson Center Roof Replacement Chillicothe Campus	\$ 400,000.00
B Campuswide Infrastructure Improvements Chillicothe Campus	\$ 783,094.00
C Grounds Maintenance Building, Phase II Eastern Campus	\$ 300,000.00
D Parking Improvements Eastern Campus	\$ 530,201.00
E Library Addition Lancaster Campus	\$1,223,601.00
F Proctorville Center Development Completion Southern Campus	\$ 647,950.00
G Infrastructure Improvements Zanesville Campus	\$ 1,182,538.00
TOTAL FY 2007-2008 BIENNIUM	\$ 5,862,188.00

VIII. GENERAL DISCUSSION - CALL OF MEMBERS

Members, in turn, warmly welcomed new trustees Larry Schey and Barry Spurlock. Each noted their interest in working with them on matters of importance to the University.

Dr. Ackerman complimented President Glidden, Student Senate President Jim Hintz, and many others for their efforts in dealing with the tragic events of September 11. She noted her appreciation for the dinner and tour of the new Grover Center and thanked Dean Gary Neiman for his efforts. Dr. Ackerman thanked all those responsible for the presentations on centers and institutes and academic program reviews.

Mr. Spurlock thanked all for their warm welcome. He noted the good relationship between student trustees and Student Senate, thanked Amy Vargas-Tonsi and Jim Hintz for their support and expressed his appreciation for the dinner at Grover Center.

Mr. Grover echoed comments of others and thanked all responsible for the good school opening.

Dr. Burke indicated his pleasure in representing the university's 160,000 alumni and stated the Ohio University Alumni Association Board's support for the proposed University Center. He noted the Association's fall alumni awards celebration honoring alumnus of the year Richard H. Brown, BSC '69, is scheduled for October 26.

Mr. DeLawder thanked Vice President Jack Bantle and Interim Provost Gary Schumacher for their assistance with presentations on centers and institutes and academic program reviews. He noted the importance of this review to issues of budget and space.

Ms. Vargas-Tonsi jokingly stated that after the evening at Grover Center she might rethink her major. She heartily thanked all the students for the efforts in bringing the University Center project to the Trustees for future consideration.

Mr. Schey thanked Trustee members and President Glidden for making him feel at home. He commented on the importance of program reviews and thanked President Glidden for his University leadership. He also noted the positive impact the University has economically on this community and region.

Mr. Browning thanked everyone making presentations for their assistance in enabling Trustees to better understand issues before the University.

Mr. Walter reflected that this Trustees' meeting focused on the physical environment of the campus, e.g., new housing, new stadium, new University Center, new entrance to the campus, and new Grover Center – a renaissance period requiring operating efficiencies.

President Glidden thanked Vice President Sostarich and those working with him for their leadership on the campus in dealing with the horrific events of September 11. He thanked Rick Carpenelli, director of Baker Center, Jim Hintz, and others for their leadership on the proposed University Center project.

Chairwoman Ong thanked members for their comments and for their good attention to matters before the Trustees and University. She noted her pleasure with how well the campus dealt with the tragedy of September 11.

IX. ANNOUNCEMENT OF NEXT STATED MEETING

Chairwoman Ong announced the Board of Trustees would meet next on Friday, December 7, 2001 for committee/study sessions and for the formal board meeting.

X. ADJOURNMENT

Determining there was no further business to come before the Board, Chairwoman Ong adjourned the meeting at noon and the Trustees voted to move to an executive session.

XI. CERTIFICATION OF SECRETARY

Notice of this meeting and its conduct was in accordance with Resolution 1975 – 240 of the Board, which resolution was adopted on November 5, 1975, in accordance with Section 121.22(F) of the Ohio Revised Code and of the State Administration Procedures Act.

M. Lee Ong
Chairwoman

Alan H. Geiger
Secretary

EXECUTIVE SESSION

12:10 pm, Friday, September 28, 2001
McGuffey Hall Conference Room
Ohio University, Athens Campus

On a motion by Mrs. Ong and a second by Mr. Browning, the Ohio University Trustees resolved to hold an executive session to consider personnel matters under Section 121.22(G)(1), real estate matters under Section 121.22(G)(2), and litigation or the threat thereof under Section 121.22(G)(3) of the Ohio Revised Code on the 28th day of September 2001.

On a roll call vote Dr. Ackerman, Mr. Browning, Mr. Walter, Mr. Grover, Mr. DeLawder, Mr. Schey, and Mrs. Ong voted aye. This constituted a quorum.

Also attending the session were Gerald Mollica, outside counsel; John F. Burns, counsel; Student Trustees Ms. Vargas-Tonsi and Mr. Spurlock, Dr. William Burke and guest Alan Boyette, ACE Fellow.

Real Estate

Counselor Burns reported on the status of the Courtyard Project noting the University is a defendant in legal matters relating to the project, but not a party to any findings.

Outside Counsel Gerald Mollica reviewed the public policy and legal issues of prevailing wages on the type of project being developed by Continental Properties on East State Street. A copy of Mr. Mollica's opinion is included with the official minutes.

Litigation

Legal Counselor Burns reviewed matters from the litigation report and commented on settlements and areas of potential liability to the University.

Personnel

President Glidden excused all but Trustees from the meeting to discuss a personnel matter.