

OHIO UNIVERSITY  
INTER-OFFICE COMMUNICATION

DATE October 27, 1976

TO Those Listed Below\*

FROM Robert E. Mahn, Secretary, Board of Trustees



SUBJECT Minutes of July 31, 1976 meeting of Board

The July 31 minutes were approved without change on October 16, 1976. You may wish to substitute the attached signature sheet for the unsigned sheet in the copy of the minutes sent to you on August 18.

REM:cs

Attachment

\*Dr. Ping  
Dr. Bucklew  
Mr. Peebles  
Mr. Hecht  
Mrs. Harter  
Mr. Kennard  
Archivist  
Resident Auditor  
Mr. Burns

OHIO UNIVERSITY  
ATHENS, OHIO 45701

BOARD OF TRUSTEES

August 18, 1976

TO: Those listed below\*

FROM: Robert E. Mahn, Secretary to the Board

*Robert E. Mahn*

SUBJECT: Minutes of July 31, 1976, Meeting of the Board

Enclosed for your file is a copy of the July 31 minutes.  
This draft will be presented for approval at the next regular  
meeting of the Board.

REM:cs

\* Chairman and Members of the Board

Mr. Aspengren

Dr. Ping

✓ Mr. Mahn (2)

Dr. Bucklew

Mr. Peebles

Mr. Hecht

Mrs. Harter

Mr. Kennard

Archivist (2)

Resident Auditor

Mr. Burns

MINUTES OF THE MEETING OF  
THE BOARD OF TRUSTEES OF OHIO UNIVERSITY

Saturday, July 31, 1976, 9:30 a.m.  
Room 319, Vernon R. Alden Library  
Athens, Ohio

I. ROLL CALL

Members present were Fred H. Johnson, Chairman, Mrs. Dorothy Johns, Vice Chairman, G. Kenner Bush, Charles E. Holzer, Jr., William A. Lavelle, Mrs. J. Wallace Phillips, Donald A. Spencer, and Milton J. Taylor. This constituted a quorum. Only J. Grant Keys was unable to attend.

President Charles J. Ping and Secretary Robert E. Mahn also were present. Arthur E. Aspengren, who sits with the Board by invitation as President of the Ohio University Alumni Board, was unable to attend.

Chief administrative officers present, and introduced by President Ping, were Provost Elect Neil Bucklew and Vice President for Operations Elect Gene Peebles. Dean of Students Carol Harter also attended.

Present to give reports were Associate Provost Joseph B. Tucker, Vice Provost for Regional Higher Education James Bryant and Director of Information Systems Charles F. Harrington.

Chairman Johnson was unavoidably delayed. Vice Chairman Johns convened the meeting at 9:40 a.m. and presided through Item VI of the Agenda.

II. ACTION ON MINUTES OF MEETING OF MAY 15, 1976  
(previously distributed)

No corrections were offered for the minutes as distributed. The Chairman declared them approved.

### III. COMMUNICATIONS, PETITIONS AND MEMORIALS

The Secretary stated that none had been received.

### IV. ANNOUNCEMENTS

The Secretary reported that there were no announcements.

### V. REPORTS

#### A. PLANNING GOALS

President Ping

The President outlined the major projects. During 1976-77 the Educational Plan for 1977-87 and the Residence Halls Plan for 1977-83 will be completed.

The Educational Plan will be the basic document for all institutional planning. A September 9-10 Retreat on "Perspectives on the Future" will be the first major effort in the development of the Plan.

The Residence Halls Plan will be the basic document describing program and operational aspects of the dormitory system. Visits by consultants have already initiated action in the development of the Plan.

Institutional Planning will involve the development of a Campus Master Plan relating to basic design of campus development and space utilization; a Campus Facility Plan relating to building utilization and alteration and capital requests through 1983; Staffing Plan through 1983; and Financial Plan through 1983.

The overall effort is viewed as an ongoing process for rational analysis, planning and budget development. All segments of the University will be involved in the process, with the Board approving for implementation the several steps.

#### B. PROJECTIONS OF ENROLLMENT AND RESIDENCE HALL OCCUPANCY

Dr. Harrington

Slides were employed in the presentation. Noted were an expected increase of 21 per cent in transfer students, recovery of the

participation rate by recent high school graduates lost in recent years in various sections of the state, additional students due to the medical school and 2 + 2 programs, and a consistent increase in the regional campuses.

The report appears as Attachment 1, page **440**.

#### C. FACULTY SALARY ANALYSIS

##### 1. Dr. Tucker

This report appears as Attachment 2, page **456**. Dr. Tucker acknowledged with gratitude the help he received from Dean John Jewett in preparing the report.

In response to questions from Board members, Dr. Tucker stated that faculty with substantial salaries had not been evaluated in respect to whether they still merited those salaries. Many senior faculty were found to have relatively low salaries, which were adjusted. Announcement of adjustments will be made on August 2. Although adjustments to senior faculty are not viewed as retirement incentives, it is possible that the retirement system will view it as that. This is the first time that a review of this nature has been undertaken.

##### 2. Dr. Bryant

Dr. Bryant stated that guidelines used in the campus review had been applied to the regional campuses. The report appears as Attachment 3, page **457**.

#### VI. UNFINISHED BUSINESS

The Secretary reported no unfinished business.

#### VII. NEW BUSINESS

Chairman Johnson stated that agenda items had been discussed by the respective Board committees. He asked the respective chairmen to read the resolutions and report the recommendations of their committees for discussion and action.

#### A. EDUCATIONAL POLICIES COMMITTEE MATTERS

Mr. Johnson asked Committee Chairman Spencer to present matters considered by the Committee and recommendations relative to them.



1. Program in Design Technology in Lancaster

Educational Policies Committee Chairman Spencer stated that his committee recommended approval of this program. He moved approval of the resolution, which Mr. Lavelle seconded. Approval was unanimous.

RESOLUTION 1976--287

WHEREAS, the new program leading to the degree Associate in Applied Science in Design Technology has been recommended for approval by the President, and the Board, after study of the program, believes it is sound and in the best interests of Ohio University,

NOW, THEREFORE, BE IT RESOLVED that the Board approves the program and directs the President to take the necessary steps to secure approval of this program by the Ohio Board of Regents.

A statement relative to the Design Technology Program appears as Attachment 4, page ~~459~~.

2. Student Code of Conduct

a. Athens Campus

Mr. Spencer reported excellent presentations of the proposed code by Deans Harter and Hartman. He stated the Committee's view that it was needed and moved approval of the resolution. Mr. Lavelle seconded the motion, which was approved unanimously.

RESOLUTION 1976--288

WHEREAS, Section 3345.21 of the Ohio Revised Code requires the Board of Trustees of Ohio University to adopt rules and regulations which are applicable to all members of the Ohio University community, to preserve the good order of a campus,

WHEREAS, the present Ohio University Code of Conduct has been revised to apply specifically to students by the Ohio University Student Code of Conduct,

WHEREAS, the regulations and procedures affecting the conduct of faculty, staff and classified employees are most appropriately governed by the Faculty Handbook, Administrator's Handbook, and state civil service law,

NOW, THEREFORE, BE IT RESOLVED that former Resolution 68-6 adopted September 19, 1968, be rescinded.

BE IT FURTHER RESOLVED that the Board of Trustees adopt the Ohio University Student Code of Conduct, which will be applicable to the students of Ohio University; and recognize that the appropriate provisions of the Faculty Handbook, Administrator's Handbook and civil service law are applicable to other members of the University community in order to preserve the good order of the University.

Documentation appears as Attachment 5, page **462**

b. Regional Campuses

Mr. Spencer moved approval of the resolution, which Mr. Lavelle seconded. Approval was unanimous.

RESOLUTION 1976--289

WHEREAS, the Board of Trustees of Ohio University is empowered by statute to establish rules and regulations for the governance of the Ohio University community,

WHEREAS, the Deans of the Regional Campuses are the appropriate officers of the University to establish and enforce rules and regulations on the Regional Campuses,

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees hereby adopts the pertinent provisions of the Ohio University Student Code of Conduct as applicable to the Regional Campuses and empowers the Dean of each campus in consultation with the local campus advisory committee to establish and enforce, as applicable, rules and regulations on their campuses.

Documentation appears as Attachment 6, page **484**.





### 3. Master of Arts in Social Sciences Program

Mr. Spencer reported that Professor George Lobdell had presented the program to the Committee, and that it was recommended for approval. He so moved. Mr. Lavelle seconded the motion, which was approved unanimously.

#### RESOLUTION 1976--290

WHEREAS, the new program leading to the Master of Arts in Social Sciences has been carefully reviewed by the University Curriculum Council and whereas the President recommends its approval and the Board, after studying the program, believes it is sound and in the best interests of Ohio University,

NOW, THEREFORE, BE IT RESOLVED that the Board approves the program and directs the President to take the necessary steps to secure approval of this program by the Ohio Board of Regents.

The description of the program appears as Attachment 7, page **485**.

### B. BUDGET, FINANCE AND PHYSICAL PLANT COMMITTEE MATTERS

Chairman Johnson asked Committee Chairman Taylor to present matters considered by the Committee and recommendations relative to them.

#### 1. Budget Adjustment Recommendation

Mr. Taylor explained that the Committee had on three occasions considered the problem resulting from the Governor's 2 per cent reduction in state subsidy appropriations. He called on Mr. Bush to elaborate.

Mr. Bush placed in perspective the problem by citing the May 15 budget allocations. A reserve of only \$211,000 was provided. The budget reflected several years of retrenchment and increasing costs. The question that presented itself was whether further

retrenchment was possible or whether fees must be increased. The concern with increase in fee was the amount, the nearness of school opening and the fact that students were not on hand. The consensus, he said, was reflected in the resolution which he read and for which he moved approval.

#### RESOLUTION 1976--291

WHEREAS, The Governor, by Executive Order dated July 8, 1976, has directed a 2 per cent reduction in state subsidy appropriations,

WHEREAS, this will result in an estimated income reduction to Ohio University of \$490,000 during the 1976-77 academic year,

WHEREAS, enrollment will be up significantly this fall but from all indications not beyond the increases reflected in the current income projection, and

WHEREAS, the budget adopted May 15 reflects repeated curtailments and retrenchments over the past several years to the point that further reduction in funding at this time and of this magnitude, coupled with continuing inflationary cost increases and a very limited cash reserve for unanticipated costs, would seriously undermine educational programs and services,

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Instructional Fee be increased by \$15 per quarter for the purpose of covering the loss in income of the 2 per cent subsidy reduction, that said increase be effective the Fall Quarter 1976-77, and that the attached Schedules of Fees reflecting these changes be adopted.

Mr. Taylor seconded the motion. Approval was unanimous.

# PROPOSED FEES

EFFECTIVE FALL QUARTER, 1976-77

	<u>Current</u>	<u>Proposed</u>
<u>Undergraduate Fees</u> (11-18 hours inclusive)		
Athens Campus:		
Instructional	220	235
General	55	55
Non-Resident Surcharge	400	400
<u>Graduate Fees</u> (9-16 hours inclusive)		
Instructional	270	285
General	55	55
Non-Resident Surcharge	400	400

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	<u>Part-Time</u>		<u>Excess Hours</u>	
	<u>Current</u>	<u>Proposed</u>	<u>Current</u>	<u>Proposed</u>
<u>Part-Time and Excess Hours Charges</u>				
Undergraduates				
Ohio Residents:				
Athens Campus	27	28	14	15
Non-Resident:				
Athens Campus	67	68	36	37
Graduates				
Ohio Residents	39	41	27	28
Non-Residents	89	91	52	53

PROPOSED FEES

EFFECTIVE FALL QUARTER, 1976-77

REGIONAL CAMPUSES AND IRONTON

	<u>Current</u>	<u>Proposed</u>
<u>Undergraduate Fees</u> (11-18 hours inclusive)		
Regional Campus:		
Instructional	210	225
General	30	30
Non-Resident Surcharge	400	400
Ironton:		
Instructional	210	225
General	0	
Non-Resident Surcharge	30	30

\* \* \* \* \*

	<u>Part-Time</u>		<u>Excess Hours</u>	
	<u>Current</u>	<u>Proposed</u>	<u>Current</u>	<u>Proposed</u>
<u>Part-Time and Excess Hours Charges</u>				
Undergraduate				
Ohio Resident:				
Regional Campus	22	24	12	13
Ironton	22	22	12	13
Non-Resident:				
Regional Campus	64	66	34	35
Ironton	23	24	12	13

2. Correction of Resolution 1976--256: Contract Award for Renovations and Razings, Meeting of February 14, 1976, page 45

Mr. Taylor explained that the figure \$358,224 in paragraph 2 of the resolution should have been \$658,224, the first figure not having included the Grover Center renovation figure. He moved approval of the following resolution to correct this oversight.

RESOLUTION 1976--292

WHEREAS, in Resolution 1976--256, May 15, 1976, the estimated figure for renovation was indicated to be \$358,224, and

WHEREAS, this figure excluded the estimated renovation figure for Grover Center,

THEREFORE, BE IT RESOLVED that the Board alters its previous resolution to substitute \$658,224 for \$358,224 to reflect the total estimated costs of renovations and razings.

Dr. Holzer seconded the motion. Approval was unanimous.

3. Resolutions on Long-Term Financing of the Convocation Center

Mr. Taylor explained that, in connection with the special legislative subsidy issue, the University had been urged to seek long term financing of the Convocation Center. The resolutions and supplemental trust agreements being presented, he stated, represented the initial steps in that process. He moved approval of the following resolution, which Mr. Bush seconded. Approval was unanimous.

RESOLUTION 1976--293

RESOLVED that the Series 1976 Resolution and the Third Supplemental Trust Agreement be approved as submitted. Final approval of the issuing of the Bonds will require action by the Board of Trustees.

## **SERIES 1976 RESOLUTION**

Providing for the authorization, issuance and sale of \$5,875,000 General Receipts Bonds, Series 1976, of The President and Trustees of the Ohio University, pursuant to Trust Agreement dated as of June 1, 1972.

WHEREAS, The President and Trustees of the Ohio University (herein called the "University"), a state university of the State of Ohio created and existing under Chapter 3337 of the Ohio Revised Code, by resolution adopted by its Board of Trustees (herein called the "Board") on April 14, 1972 (herein called the "General Bond Resolution") and by a Trust Agreement dated as of June 1, 1972 (herein called the "Trust Agreement"), comprised in part of the General Bond Resolution, has provided for the issuance from time to time of General Receipts Bonds of the University, with each such issue to be authorized by a Series Resolution adopted by the Board pursuant thereto; and

WHEREAS, the General Bond Resolution was adopted and the Trust Agreement was authorized by the Board pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code, enacted by the General Assembly of Ohio under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, which authorize the University to issue its Bonds to pay costs of certain capital facilities, defined as "auxiliary facilities" in Section 3345.12 of the Ohio Revised Code and called "University Facilities" in the General Bond Resolution and in this Series Resolution, and to refund or retire such Bonds or other obligations previously issued for such purpose; and

WHEREAS, there are presently outstanding \$24,345,000 principal amount of The President and Trustees of the Ohio University General Receipts Bonds, Series 1972, and \$15,175,000 principal amount of The President and Trustees of the Ohio University General Receipts Bonds, Series 1974, heretofore issued and delivered pursuant to the Trust Agreement; and

WHEREAS, the University has previously determined, and does hereby confirm that it has been and is necessary to provide additional student facilities and, therefore, to construct and equip certain facilities to be used for and in connection with an auditorium and athletic and recreational purposes and related facilities on its campus all being University Facilities and herein collectively called the "Series 1976 University Facility Project" or "Project", the cost of which as completed was \$7,490,000; and

WHEREAS, bond anticipation notes, the most recent of which, outstanding in the principal amount of \$5,875,000 and designated The President and Trustees of the Ohio University Convocation Center Notes of 1976 and dated May 14, 1976 (herein called the "Notes"), have been issued to pay part of the costs of the Series 1976 University Facilities Project, and the balance of the costs of the Project have been paid from other funds of the University available therefore, and it is necessary to issue bonds to retire the Notes; and

WHEREAS, pursuant to the foregoing, the University has determined to issue, as the third issue of Bonds under the Trust Agreement, \$5,875,000 principal amount of General Receipts Bonds, to be designated General Receipts Bonds, Series 1976, to pay part of the costs of the Series 1976 University Facilities Project and to retire the Notes, and desires to provide therefor by this Resolution of its Board;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE PRESIDENT AND TRUSTEES OF THE OHIO UNIVERSITY, as follows:

**Section 1. Definitions and Interpretations.** All words and terms defined in Section 1 of the General Bond Resolution and all interpretations therein provided shall have the same meanings, respectively, and be subject to the same interpretations as therein provided where used in this Resolution, unless the context or use clearly indicates another or different meaning or intent, except that this Resolution, together with the Certificate of Award, if any, as provided for in Section 5 hereof, is sometimes herein called and may be known as the "Series 1976 Resolution", the Bonds authorized by this Resolution are referred to herein and in the Third Supplemental Trust Agreement hereby authorized as the "Series 1976 Bonds", and the terms "hereof", "hereby", "hereto", "herein", and "hereunder", and similar terms, mean this Resolution. As used in this Resolution, "Series 1976 University Facilities", shall have the meaning provided in the preambles hereto; and "Outstanding Notes" shall mean the Notes, designated "Convocation Center Notes of 1976", referred to in the preambles hereto.

**Section 2. Authority.** This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement, and the Act.

**Section 3. Authorization, Designation and Purpose of Series 1976 Bonds.** It is hereby declared to be necessary to, and the University shall issue, sell and deliver, as provided and authorized herein, \$5,875,000 principal amount of General Receipts Bonds of the University, which shall be designated "General Receipts Bonds, Series 1976", for the purpose of paying part of the costs of the University Facilities that comprise the Series 1976 University Facilities Project and to retire obligations previously issued for such purpose. For such purpose the proceeds from the sale of the Series 1976 Bonds shall be allocated and deposited as provided in Section 6 hereof.

**Section 4. Terms and Provisions Applicable to the Series 1976 Bonds.**

(a) **Form and Numbering.** The Series 1976 Bonds shall be issued initially in the form of coupon Bonds registrable as to principal only or of fully registered Bonds as requested by the Original Purchaser thereof, shall be exchangeable for Series 1976 Bonds in coupon form or fully registered form as provided in the Trust Agreement, and shall be numbered as determined by the Fiscal Officer.

(b) **Denomination and Dates.** The Series 1976 Bonds in coupon form shall be in the denomination of \$5,000 each, and shall be dated as of the first day of the month in which the Series 1976 Bonds are awarded to the Original Purchaser in accordance with Section 5 hereof, or as of such other date as may be determined by the Board. The Series 1976 Bonds in fully registered form shall be in the denomination of \$5,000 and any multiple thereof authorized by the Trust Agreement, and shall be dated as of the date of Series 1976 Bonds in coupon form if authenticated prior to the first interest payment date, and otherwise shall be dated as of the last interest payment date preceding the date of their authentication except that if authenticated on an interest payment date they shall be dated as of such date of authentication; provided that if at the time of authentication, interest thereon is in default, they shall be dated as of the date to which interest has been paid. Each Series 1976 Bonds shall have only one principal maturity date, except for interim certificates or receipts which may be issued pending preparation of definitive Bonds.

(c) **Interest.** The Series 1976 Bonds shall bear interest from their respective dates payable semi-annually on June 1 and December 1 of each year, beginning with the first such date occurring at least six months after the date of the Series 1976 Bonds issued initially in coupon form, at the respective rates per annum set forth in the Certificate of Award provided for in Section 5 hereof or, if no award is made pursuant to paragraph (a) of said Section 5, at such respective rates per annum as may be approved by this Board.



(d) **Maturities.** The Series 1976 Bonds shall mature on December 1 in the years and in the principal amount set opposite the year as follows:

<u>Year</u>	<u>Principal</u>	<u>Year</u>	<u>Principal</u>
1978	\$ 55,000	1988	\$ 115,000
1979	55,000	1989	120,000
1980	60,000	1990	130,000
1981	65,000	1991	140,000
1982	70,000	1992	150,000
1983	75,000	1996	740,000
1984	85,000	2000	1,005,000
1985	90,000	2004	1,375,000
1986	95,000	2007	1,345,000
1987	105,000		

(e) **Redemption (Mandatory and Optional) Terms and Prices.** The Series 1976 Bonds maturing after December 1, 1992, are subject to redemption.

The Series 1976 Bonds maturing December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, are subject to mandatory redemption, pursuant to the mandatory sinking fund requirements provided in Section 8 hereof, at 100% of the principal amount thereof, plus accrued interest to the redemption date, on December 1 in the years and in the principal amount set opposite the year as follows for the respective maturities:

<u>Series 1976 Bonds Maturing in 1996</u>		<u>Series 1976 Bonds Maturing in 2000</u>	
<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>	<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
1993	\$165,000	1997	\$225,000
1994	180,000	1998	240,000
1995	190,000	1999	260,000
1996	205,000	2000	280,000
<u>Series 1976 Bonds Maturing in 2004</u>		<u>Series 1976 Bonds Maturing in 2007</u>	
<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>	<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
2001	\$305,000	2005	\$415,000
2002	330,000	2006	445,000
2003	355,000	2007	485,000
2004	385,000		

The Series 1976 Bonds maturing after December 1, 1992 are further subject to redemption, from funds other than those deposited in accordance with the aforesaid mandatory sinking fund requirements, at the option of the University prior to their stated maturities, in whole or in part, on June 1, 1993, or on any interest payment date thereafter at redemption prices equal to the following percentages of the principal amount redeemed plus in each case accrued interest to the date fixed for redemption:

<u>If Redeemed (dates inclusive)</u>	<u>Redemption Price</u>
June 1, 1993 to December 1, 1996	103%
June 1, 1997 to December 1, 2000	102%
June 1, 2001 to December 1, 2004	101%
June 1, 2005 and thereafter	100%

If less than all of the outstanding Series 1976 Bonds are called for redemption at one time, they shall be called in inverse order of the maturities of the Series 1976 Bonds outstanding, and if less than all of the outstanding Series 1976 Bonds of one maturity are to be called, the selection of such Series 1976 Bonds, or portions of fully registered Bonds, of such maturity to be called shall be made by lot in the manner provided in the Trust Agreement. If optional redemption at a redemption price exceeding 100% of the principal amount redeemed is to take place as of December 1 in any of the years 1993 to 2004, both inclusive, the Series 1976 Bonds to be so redeemed by optional redemption shall be selected prior to the selection of the Series 1976 Bonds to be redeemed on the same date by operation of the mandatory redemption provisions hereof. Notice of call for redemption of Series 1976 Bonds shall be given in the manner provided in the Trust Agreement.

(f) **Place of Payment and Paying Agents.** The Bond service charges, including principal, interest and any redemption premium, on the Series 1976 Bonds in coupon form, other than principal of or any redemption premium on coupon bonds registered as to principal (except to bearer), shall be payable at the office of the Trustee, or, at the option of the holder, at the principal office of a bank or trust company designated by the Original Purchaser and approved by the Fiscal Officer, such approval to be evidenced by a certificate, a copy of which certificate shall be made a part of the Third Supplemental Trust Agreement, authorized in Section 10 hereof. The principal of and any redemption premium on registered Series 1976 Bonds (except coupon Bonds registered as to principal to bearer) shall be payable at the office of the Trustee and the interest on fully registered Series 1976 Bonds shall be payable by check or draft, as provided in the Trust Agreement.

(g) **Execution.** The Series 1976 Bonds and the coupons pertaining thereto shall be executed in the manner provided in the General Bond Resolution.

#### **Section 5. Sale of Series 1976 Bonds.**

(a) **Sale and Award Upon Bids Received.** The Fiscal Officer of the University is hereby authorized to offer the Series 1976 Bonds for sale, by solicitation of proposals from investment bankers and others or by advertisement, or both, to the highest bidder based on the lowest interest cost determined as hereinafter described, at such time, in such manner and on such terms as determined by the Fiscal Officer, and to award the Series 1976 Bonds as provided herein. Solicitations for proposals or Notices of Sale, over the name of the Fiscal Officer on behalf of the University, shall be given in such manner as determined by the Fiscal Officer and shall set forth the terms pertaining to bids requested and other terms and conditions of the sale of the Series 1976 Bonds as the Fiscal Officer shall determine, provided that the purchase price therefor as prescribed in such solicitations or notices shall not be less than par plus accrued interest; the highest single interest rate that may be proposed or bid may not exceed 8%; and the maximum difference between the highest and lowest interest rate proposed or bid shall not exceed 2%. Upon the receipt of proposals or bids, the Fiscal Officer, acting on behalf of the University, may award the Series 1976 Bonds to the best proposal or highest bidder therefor based on the lowest interest cost to absolute maturity of the Bonds maturing through December 1, 1992, and, as to the Bonds maturing

December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, to the respective dates of the applicable mandatory redemptions pursuant to the mandatory sinking fund requirements, such lowest interest cost to be determined by taking the aggregate amount of interest to the several maturity and mandatory redemption dates of the Series 1976 Bonds at the rate or rates specified and if a premium is offered by deducting therefrom the amount of the premium offered. The cost of printing the Series 1976 Bonds shall not be considered in determining the lowest interest cost. If an award of the Series 1976 Bonds is made pursuant to this paragraph (a), such award shall be made by a certificate of the Fiscal Officer, herein called the "Certificate of Award" which shall identify the purchaser of the Series 1976 Bonds (called "Original Purchaser" in this Resolution and the Trust Agreement), the interest rate or rates, and such other data or provisions as the Fiscal Officer determines consistent with this Resolution and the Trust Agreement. Such Certificate of Award shall be incorporated in and form a part of the Supplemental Trust Agreement authorized by Section 10 hereof.

(b) **Sale and Award by Negotiated Sale.** The Fiscal Officer of the University is also hereby authorized, in lieu of the procedures set forth in paragraph (a) of this Section, to arrange for the award of the Series 1976 Bonds through negotiated sale, upon such terms and conditions as may be further approved by this Board.

(c) **Official Statement.** In connection with the sale of the Series 1976 Bonds, the Fiscal Officer may cause to be prepared and, with the further approval of this Board, to be printed and shall sign, an official statement of the University setting forth appropriate information pertaining to the University and to the Series 1976 Bonds in sufficient number for distribution to prospective purchasers.

**Section 6. Allocation of Proceeds of Series 1976 Bonds.** All of the proceeds from the sale of the Series 1976 Bonds shall be received and receipted by the Fiscal Officer, and shall be allocated, deposited and credited as follows:

(a) To the Bond Service Account in the General Receipts Bond Service Fund, the portion of such proceeds representing accrued interest and premium, if any;

(b) To the 1976 University Facilities Project Escrow Fund (hereinafter called the "1976 Escrow Fund") hereby established, the balance of the proceeds from the sale of the Series 1976 Bonds; provided, however, appropriate portions of the proceeds may, instead of such deposit to the 1976 Escrow Fund, be paid at the option of the University directly to the obligees of the University, or to the University for prompt payment to such obligees, in the amounts necessary to retire the Notes.

Any unexpended proceeds from the Outstanding Notes shall be deposited to the credit of the 1976 Escrow Fund except to the extent applied to retire the Notes.

The 1976 Escrow Fund hereby established shall be held by the University in a separate deposit account or accounts (except when invested as hereinafter provided) set up in a bank or banks that are members of the Federal Deposit Insurance Corporation, and used to retire the Notes.

Moneys on deposit in the 1976 Escrow Fund may be invested by or at the direction of the Fiscal Officer in eligible investments, as defined in the General Bond Resolution, maturing or redeemable at the option of the holder prior to the time needed for the purposes hereof. Such investments and the proceeds of sale thereof shall constitute part of the 1976 Escrow Fund, and earnings from any such investments shall be deposited in said Fund. The said investments may be sold, exchanged or collected from time to time by or at the direction of the Fiscal Officer.

Any balance remaining in the 1976 Escrow Fund after payment of costs described in this section shall be promptly transferred to the Bond Service Reserve Account in the Bond Service Fund.

**Section 7. Application of Proceeds.** The University hereby covenants that it will restrict the use of the proceeds of the Series 1976 Bonds in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time the Series 1976 Bonds are issued and delivered, so that they will not constitute arbitrage bonds under Section 103(d) of the Internal Revenue Code and the regulations prescribed under that section. The Fiscal Officer or any other officer, including the Secretary to the Board, having responsibility with respect to the issuance of these Bonds is authorized and directed to give an appropriate certificate on behalf of the University, for inclusion in the transcript of proceedings, setting forth the facts, estimates and circumstances and reasonable expectations pertaining to said Section 103(d) and regulations thereunder. The Fiscal Officer shall furnish to the Original Purchaser a true certified transcript of all proceedings had with reference to the issuance of the Series 1976 Bonds, together with such other information as is necessary or proper with respect to said Bonds.

**Section 8. Mandatory Sinking Fund Requirements.**

(a) **Requirements.** As and for the mandatory sinking fund requirements for the retirement, by mandatory redemption pursuant to Section 4 hereof, of the Series 1976 Bonds which mature on December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, but subject to paragraph (b) below, the amounts required to be paid to the Bond Service Account, determined in accordance with Section 7 of the General Bond Resolution, shall include amounts sufficient to redeem (less the amount of any credit as provided in paragraph (b) below) on each December 1 as set forth below the following principal amounts of Series 1976 Bonds of the respective maturities designated:

<u>Series 1976 Bonds Maturing in 1996</u>		<u>Series 1976 Bonds Maturing in 2000</u>	
<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>	<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
1993	\$165,000	1997	\$225,000
1994	180,000	1998	240,000
1995	190,000	1999	260,000
1996	205,000	2000	280,000
<u>Series 1976 Bonds Maturing in 2004</u>		<u>Series 1976 Bonds Maturing in 2007</u>	
<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>	<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
2001	\$305,000	2005	\$415,000
2002	330,000	2006	445,000
2003	355,000	2007	485,000
2004	385,000		

For the purpose of effecting the mandatory redemption of the Series 1976 Bonds maturing December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, the Trustee, on behalf of the University and without necessity for further action by the University, shall cause to be redeemed on December 1 in each of the years from 1993 to 2007, both inclusive, in the

manner provided in the General Bond Resolution such an aggregate principal amount of the Series 1976 Bonds of the applicable maturity as equals the mandatory sinking fund requirements for such maturity, as provided in this Section 8, for the applicable year.

(b) **Credits Against Mandatory Sinking Fund Requirements.** At its option, to be exercised on or before the forty-fifth day next preceding any December 1 in the years from 1993 to 1996 both inclusive, with respect to the Series 1976 Bonds maturing on December 1, 1996, in the years from 1997 to 2000, both inclusive, with respect to the Series 1976 Bonds maturing on December 1, 2000, in the years from 2001 to 2004, both inclusive, with respect to the Series 1976 Bonds maturing on December 1, 2004 and in the years from 2005 to 2007, both inclusive, with respect to the Series 1976 Bonds maturing on December 1, 2007 the University may (i) deliver to the Trustee for cancellation Series 1976 Bonds of the applicable maturity, in any aggregate principal amount desired, with, if coupon bonds, all unmatured coupons attached, or (ii) receive a credit in respect to the mandatory sinking fund requirement (and corresponding mandatory redemption obligation) of the University under paragraph (a) above for any Series 1976 Bonds of the applicable maturity, which prior to such date have been redeemed (other than through the operation of the mandatory sinking fund requirements provided for in this Section) or purchased for cancellation and cancelled by the Trustee and not theretofore applied as a credit against any mandatory sinking fund requirement (and corresponding mandatory redemption obligation) under said paragraph (a). Each Series 1976 Bond maturing on December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, so delivered or previously redeemed shall be credited by the Trustee at 100% of the principal amount thereof against the mandatory sinking fund requirement for the applicable maturity (and corresponding mandatory redemption obligation) of the University on such redemption date. If the University intends to avail itself in any year of the provisions of this paragraph (b), the University will on or before the forty-fifth day next preceding each December 1 of the applicable year furnish the Trustee with a certificate, signed by the Fiscal Officer, stating the extent to which the provisions of (i) and (ii) of this paragraph (b) are to be availed of with respect to such mandatory sinking fund requirement (and corresponding mandatory redemption obligation); unless such certificate is so timely furnished by the University, the mandatory sinking fund requirement (and corresponding mandatory redemption obligation) provided for in paragraph (a) above for such year shall not be reduced.

**Section 9. Funding of Required Reserve.** In accordance with and consistent with clause (2)(i) of Section 7(b) of the General Bond Resolution, the portion of the Required Reserve necessitated by reason of the Series 1976 Bonds, including the interest rates as set forth in the Certificate of Award or, if no award is made pursuant to paragraph (a) of Section 5 of this Resolution, as approved by this Board in connection with the award of the Series 1976 Bonds pursuant to paragraph (b) of said Section 5, shall be funded as provided in this Section 9.

At least five business days before each June 1 and December 1, commencing December 1, 1977, the University shall pay over to the Trustee from the Bond Pledge Fund and, if necessary, from any other General Receipts of the University, to the credit of the Bond Service Reserve Account, at least one-ninth of such portion of the Required Reserve, after deducting from such portion the portion of the Required Reserve necessitated by reason of the Series 1976 Bonds funded pursuant to Section 6 of this Resolution. Such payments to the Trustee shall, subject to clause (2)(iii) of Section 7(b) of the General Bond Resolution, be made and continue at the times and in the amounts aforesaid until the aforesaid portion of the Required Reserve is fully funded.

**Section 10. Third Supplemental Trust Agreement.** The Chairman of the Board, the President of the University, the Secretary to the Board, and the Fiscal Officer, or any one or more

of them are authorized and directed to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, a Third Supplemental Trust Agreement pursuant to the Trust Agreement and in connection with the issuance of the Series 1976 Bonds, in substantially the form submitted to this Board with such changes therein not substantially adverse to the University as may be permitted by the Act and the Trust Agreement or this Resolution and approved by the officer or officers executing the same on behalf of the University. The approval of such changes, and that such changes are not substantially adverse to the University, shall be conclusively evidenced by the execution of the Third Supplemental Trust Agreement by such officer or officers.

Section 11. **Determinations.** It is found and determined that all formal actions of this Board concerning and relating to the adoption of this resolution were adopted in an open meeting of this Board, and that all deliberations of this Board and of any of its committees that resulted in such formal actions, were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Adopted: July 31, 1976

**\$5,875,000 GENERAL RECEIPTS BONDS, SERIES 1976**

**THIRD SUPPLEMENTAL TRUST AGREEMENT**

**between**

**THE PRESIDENT AND TRUSTEES OF THE OHIO UNIVERSITY**

**and**

**THE OHIO NATIONAL BANK OF COLUMBUS,**

**Trustee**

**Dated**

**as of**

**1, 1976**

---

**Supplementing Trust Agreement dated June 1, 1972**

**Securing**

**THE PRESIDENT AND TRUSTEES OF THE OHIO UNIVERSITY  
GENERAL RECEIPTS BONDS**

### THIRD SUPPLEMENTAL TRUST AGREEMENT

Pertaining to

THE PRESIDENT AND TRUSTEES OF THE OHIO UNIVERSITY

\$5,875,000 GENERAL RECEIPTS BONDS, SERIES 1976

This Third Supplemental Trust Agreement, dated as of the first day of , 197 (hereinafter called the "Supplement"), by and between The President and Trustees of the Ohio University (hereinafter called the "University"), a state university of the State of Ohio created and existing under and by virtue of Chapter 3337 of the Ohio Revised Code, and The Ohio National Bank of Columbus, a bank organized and existing under and by virtue of the laws of the United States of America and duly authorized to exercise corporate trust powers in the State of Ohio, with its principal place of business located in Columbus, Ohio (hereinafter, with any successors, called the "Trustee"), as Trustee under the Trust Agreement hereinafter mentioned.

#### W I T N E S S E T H:

WHEREAS, the University, pursuant to the General Bond Resolution adopted by its Board of Trustees (hereinafter called the "Board") on April 14, 1972, has entered into a Trust Agreement dated as of June 1, 1972 (of which the General Bond Resolution constitutes a part) with the Trustee providing for the issuance from time to time of General Receipts Bonds of the University, with each such issue to be authorized by a Series Resolution adopted by the Board pursuant thereto, which Series Resolution shall authorize a Supplemental Trust Agreement, supplementing such Trust Agreement, pertaining to such issue of Bonds; and

WHEREAS, the Board adopted the Series Resolution, designated and hereinafter referred to as the "Series 1976 Resolution", providing for the herein described Series 1976 Bonds and this Supplement, which Series 1976 Resolution is incorporated herein, constituting an integral part of this Supplement, and provides, in its entirety, as follows:



## **SERIES 1976 RESOLUTION**

Providing for the authorization, issuance and sale of \$5,875,000 General Receipts Bonds, Series 1976, of The President and Trustees of the Ohio University, pursuant to Trust Agreement dated as of June 1, 1972.

WHEREAS, The President and Trustees of the Ohio University (herein called the "University"), a state university of the State of Ohio created and existing under Chapter 3337 of the Ohio Revised Code, by resolution adopted by its Board of Trustees (herein called the "Board") on April 14, 1972 (herein called the "General Bond Resolution") and by a Trust Agreement dated as of June 1, 1972 (herein called the "Trust Agreement"), comprised in part of the General Bond Resolution, has provided for the issuance from time to time of General Receipts Bonds of the University, with each such issue to be authorized by a Series Resolution adopted by the Board pursuant thereto; and

WHEREAS, the General Bond Resolution was adopted and the Trust Agreement was authorized by the Board pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code, enacted by the General Assembly of Ohio under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, which authorize the University to issue its Bonds to pay costs of certain capital facilities, defined as "auxiliary facilities" in Section 3345.12 of the Ohio Revised Code and called "University Facilities" in the General Bond Resolution and in this Series Resolution, and to refund or retire such Bonds or other obligations previously issued for such purpose; and

WHEREAS, there are presently outstanding \$24,345,000 principal amount of The President and Trustees of the Ohio University General Receipts Bonds, Series 1972, and \$15,175,000 principal amount of The President and Trustees of the Ohio University General Receipts Bonds, Series 1974, heretofore issued and delivered pursuant to the Trust Agreement; and

WHEREAS, the University has previously determined, and does hereby confirm that it has been and is necessary to provide additional student facilities and, therefore, to construct and equip certain facilities to be used for and in connection with an auditorium and athletic and recreational purposes and related facilities on its campus all being University Facilities and herein collectively called the "Series 1976 University Facility Project" or "Project", the cost of which as completed was \$7,490,000; and

WHEREAS, bond anticipation notes, the most recent of which, outstanding in the principal amount of \$5,875,000 and designated The President and Trustees of the Ohio University Convocation Center Notes of 1976 and dated May 14, 1976 (herein called the "Notes"), have been issued to pay part of the costs of the Series 1976 University Facilities Project, and the balance of the costs of the Project have been paid from other funds of the University available therefore, and it is necessary to issue bonds to retire the Notes; and

WHEREAS, pursuant to the foregoing, the University has determined to issue, as the third issue of Bonds under the Trust Agreement, \$5,875,000 principal amount of General Receipts Bonds, to be designated General Receipts Bonds, Series 1976, to pay part of the costs of the Series 1976 University Facilities Project and to retire the Notes, and desires to provide therefor by this Resolution of its Board;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE PRESIDENT AND TRUSTEES OF THE OHIO UNIVERSITY, as follows:

**Section 1. Definitions and Interpretations.** All words and terms defined in Section 1 of the General Bond Resolution and all interpretations therein provided shall have the same meanings, respectively, and be subject to the same interpretations as therein provided where used in this Resolution, unless the context or use clearly indicates another or different meaning or intent, except that this Resolution, together with the Certificate of Award, if any, as provided for in Section 5 hereof, is sometimes herein called and may be known as the "Series 1976 Resolution", the Bonds authorized by this Resolution are referred to herein and in the Third Supplemental Trust Agreement hereby authorized as the "Series 1976 Bonds", and the terms "hereof", "hereby", "hereto", "herein", and "hereunder", and similar terms, mean this Resolution. As used in this Resolution, "Series 1976 University Facilities", shall have the meaning provided in the preambles hereto; and "Outstanding Notes" shall mean the Notes, designated "Convocation Center Notes of 1976", referred to in the preambles hereto.

**Section 2. Authority.** This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement, and the Act.

**Section 3. Authorization, Designation and Purpose of Series 1976 Bonds.** It is hereby declared to be necessary to, and the University shall issue, sell and deliver, as provided and authorized herein, \$5,875,000 principal amount of General Receipts Bonds of the University, which shall be designated "General Receipts Bonds, Series 1976", for the purpose of paying part of the costs of the University Facilities that comprise the Series 1976 University Facilities Project and to retire obligations previously issued for such purpose. For such purpose the proceeds from the sale of the Series 1976 Bonds shall be allocated and deposited as provided in Section 6 hereof.

**Section 4. Terms and Provisions Applicable to the Series 1976 Bonds.**

(a) **Form and Numbering.** The Series 1976 Bonds shall be issued initially in the form of coupon Bonds registrable as to principal only or of fully registered Bonds as requested by the Original Purchaser thereof, shall be exchangeable for Series 1976 Bonds in coupon form or fully registered form as provided in the Trust Agreement, and shall be numbered as determined by the Fiscal Officer.

(b) **Denomination and Dates.** The Series 1976 Bonds in coupon form shall be in the denomination of \$5,000 each, and shall be dated as of the first day of the month in which the Series 1976 Bonds are awarded to the Original Purchaser in accordance with Section 5 hereof, or as of such other date as may be determined by the Board. The Series 1976 Bonds in fully registered form shall be in the denomination of \$5,000 and any multiple thereof authorized by the Trust Agreement, and shall be dated as of the date of Series 1976 Bonds in coupon form if authenticated prior to the first interest payment date, and otherwise shall be dated as of the last interest payment date preceding the date of their authentication except that if authenticated on an interest payment date they shall be dated as of such date of authentication; provided that if at the time of authentication, interest thereon is in default, they shall be dated as of the date to which interest has been paid. Each Series 1976 Bonds shall have only one principal maturity date, except for interim certificates or receipts which may be issued pending preparation of definitive Bonds.

(c) **Interest.** The Series 1976 Bonds shall bear interest from their respective dates payable semi-annually on June 1 and December 1 of each year, beginning with the first such date occurring at least six months after the date of the Series 1976 Bonds issued initially in coupon form, at the respective rates per annum set forth in the Certificate of Award provided for in Section 5 hereof or, if no award is made pursuant to paragraph (a) of said Section 5, at such respective rates per annum as may be approved by this Board.

(d) **Maturities.** The Series 1976 Bonds shall mature on December 1 in the years and in the principal amount set opposite the year as follows:

<u>Year</u>	<u>Principal</u>	<u>Year</u>	<u>Principal</u>
1978	\$ 55,000	1988	\$ 115,000
1979	55,000	1989	120,000
1980	60,000	1990	130,000
1981	65,000	1991	140,000
1982	70,000	1992	150,000
1983	75,000	1996	740,000
1984	85,000	2000	1,005,000
1985	90,000	2004	1,375,000
1986	95,000	2007	1,345,000
1987	105,000		

(e) **Redemption (Mandatory and Optional) Terms and Prices.** The Series 1976 Bonds maturing after December 1, 1992, are subject to redemption.

The Series 1976 Bonds maturing December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, are subject to mandatory redemption, pursuant to the mandatory sinking fund requirements provided in Section 8 hereof, at 100% of the principal amount thereof, plus accrued interest to the redemption date, on December 1 in the years and in the principal amount set opposite the year as follows for the respective maturities:

Series 1976 Bonds Maturing in 1996

<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
1993	\$165,000
1994	180,000
1995	190,000
1996	205,000

Series 1976 Bonds Maturing in 2000

<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
1997	\$225,000
1998	240,000
1999	260,000
2000	280,000

Series 1976 Bonds Maturing in 2004

<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
2001	\$305,000
2002	330,000
2003	355,000
2004	385,000

Series 1976 Bonds Maturing in 2007

<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
2005	\$415,000
2006	445,000
2007	485,000

The Series 1976 Bonds maturing after December 1, 1992 are further subject to redemption, from funds other than those deposited in accordance with the aforesaid mandatory sinking fund requirements, at the option of the University prior to their stated maturities, in whole or in part, on June 1, 1993, or on any interest payment date thereafter at redemption prices equal to the following percentages of the principal amount redeemed plus in each case accrued interest to the date fixed for redemption:

<u>If Redeemed (dates inclusive)</u>	<u>Redemption Price</u>
June 1, 1993 to December 1, 1996	103%
June 1, 1997 to December 1, 2000	102%
June 1, 2001 to December 1, 2004	101%
June 1, 2005 and thereafter	100%

If less than all of the outstanding Series 1976 Bonds are called for redemption at one time, they shall be called in inverse order of the maturities of the Series 1976 Bonds outstanding, and if less than all of the outstanding Series 1976 Bonds of one maturity are to be called, the selection of such Series 1976 Bonds, or portions of fully registered Bonds, of such maturity to be called shall be made by lot in the manner provided in the Trust Agreement. If optional redemption at a redemption price exceeding 100% of the principal amount redeemed is to take place as of December 1 in any of the years 1993 to 2004, both inclusive, the Series 1976 Bonds to be so redeemed by optional redemption shall be selected prior to the selection of the Series 1976 Bonds to be redeemed on the same date by operation of the mandatory redemption provisions hereof. Notice of call for redemption of Series 1976 Bonds shall be given in the manner provided in the Trust Agreement.

(f) **Place of Payment and Paying Agents.** The Bond service charges, including principal, interest and any redemption premium, on the Series 1976 Bonds in coupon form, other than principal of or any redemption premium on coupon bonds registered as to principal (except to bearer), shall be payable at the office of the Trustee, or, at the option of the holder, at the principal office of a bank or trust company designated by the Original Purchaser and approved by the Fiscal Officer, such approval to be evidenced by a certificate, a copy of which certificate shall be made a part of the Third Supplemental Trust Agreement, authorized in Section 10 hereof. The principal of and any redemption premium on registered Series 1976 Bonds (except coupon Bonds registered as to principal to bearer) shall be payable at the office of the Trustee and the interest on fully registered Series 1976 Bonds shall be payable by check or draft, as provided in the Trust Agreement.

(g) **Execution.** The Series 1976 Bonds and the coupons pertaining thereto shall be executed in the manner provided in the General Bond Resolution.

#### **Section 5. Sale of Series 1976 Bonds.**

(a) **Sale and Award Upon Bids Received.** The Fiscal Officer of the University is hereby authorized to offer the Series 1976 Bonds for sale, by solicitation of proposals from investment bankers and others or by advertisement, or both, to the highest bidder based on the lowest interest cost determined as hereinafter described, at such time, in such manner and on such terms as determined by the Fiscal Officer, and to award the Series 1976 Bonds as provided herein. Solicitations for proposals or Notices of Sale, over the name of the Fiscal Officer on behalf of the University, shall be given in such manner as determined by the Fiscal Officer and shall set forth the terms pertaining to bids requested and other terms and conditions of the sale of the Series 1976 Bonds as the Fiscal Officer shall determine, provided that the purchase price therefor as prescribed in such solicitations or notices shall not be less than par plus accrued interest; the highest single interest rate that may be proposed or bid may not exceed 8%; and the maximum difference between the highest and lowest interest rate proposed or bid shall not exceed 2%. Upon the receipt of proposals or bids, the Fiscal Officer, acting on behalf of the University, may award the Series 1976 Bonds to the best proposal or highest bidder therefor based on the lowest interest cost to absolute maturity of the Bonds maturing through December 1, 1992, and, as to the Bonds maturing

December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, to the respective dates of the applicable mandatory redemptions pursuant to the mandatory sinking fund requirements, such lowest interest cost to be determined by taking the aggregate amount of interest to the several maturity and mandatory redemption dates of the Series 1976 Bonds at the rate or rates specified and if a premium is offered by deducting therefrom the amount of the premium offered. The cost of printing the Series 1976 Bonds shall not be considered in determining the lowest interest cost. If an award of the Series 1976 Bonds is made pursuant to this paragraph (a), such award shall be made by a certificate of the Fiscal Officer, herein called the "Certificate of Award" which shall identify the purchaser of the Series 1976 Bonds (called "Original Purchaser" in this Resolution and the Trust Agreement), the interest rate or rates, and such other data or provisions as the Fiscal Officer determines consistent with this Resolution and the Trust Agreement. Such Certificate of Award shall be incorporated in and form a part of the Supplemental Trust Agreement authorized by Section 10 hereof.

(b) **Sale and Award by Negotiated Sale.** The Fiscal Officer of the University is also hereby authorized, in lieu of the procedures set forth in paragraph (a) of this Section, to arrange for the award of the Series 1976 Bonds through negotiated sale, upon such terms and conditions as may be further approved by this Board.

(c) **Official Statement.** In connection with the sale of the Series 1976 Bonds, the Fiscal Officer may cause to be prepared and, with the further approval of this Board, to be printed and shall sign, an official statement of the University setting forth appropriate information pertaining to the University and to the Series 1976 Bonds in sufficient number for distribution to prospective purchasers.

**Section 6. Allocation of Proceeds of Series 1976 Bonds.** All of the proceeds from the sale of the Series 1976 Bonds shall be received and receipted by the Fiscal Officer, and shall be allocated, deposited and credited as follows:

(a) To the Bond Service Account in the General Receipts Bond Service Fund, the portion of such proceeds representing accrued interest and premium, if any;

(b) To the 1976 University Facilities Project Escrow Fund (hereinafter called the "1976 Escrow Fund") hereby established, the balance of the proceeds from the sale of the Series 1976 Bonds; provided, however, appropriate portions of the proceeds may, instead of such deposit to the 1976 Escrow Fund, be paid at the option of the University directly to the obligees of the University, or to the University for prompt payment to such obligees, in the amounts necessary to retire the Notes.

Any unexpended proceeds from the Outstanding Notes shall be deposited to the credit of the 1976 Escrow Fund except to the extent applied to retire the Notes.

The 1976 Escrow Fund hereby established shall be held by the University in a separate deposit account, or accounts (except when invested as hereinafter provided) set up in a bank or banks that are members of the Federal Deposit Insurance Corporation, and used to retire the Notes.

Moneys on deposit in the 1976 Escrow Fund may be invested by or at the direction of the Fiscal Officer in eligible investments, as defined in the General Bond Resolution, maturing or redeemable at the option of the holder prior to the time needed for the purposes hereof. Such investments and the proceeds of sale thereof shall constitute part of the 1976 Escrow Fund, and earnings from any such investments shall be deposited in said Fund. The said investments may be sold, exchanged or collected from time to time by or at the direction of the Fiscal Officer.

Any balance remaining in the 1976 Escrow Fund after payment of costs described in this section shall be promptly transferred to the Bond Service Reserve Account in the Bond Service Fund.

**Section 7. Application of Proceeds.** The University hereby covenants that it will restrict the use of the proceeds of the Series 1976 Bonds in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time the Series 1976 Bonds are issued and delivered, so that they will not constitute arbitrage bonds under Section 103(d) of the Internal Revenue Code and the regulations prescribed under that section. The Fiscal Officer or any other officer, including the Secretary to the Board, having responsibility with respect to the issuance of these Bonds is authorized and directed to give an appropriate certificate on behalf of the University, for inclusion in the transcript of proceedings, setting forth the facts, estimates and circumstances and reasonable expectations pertaining to said Section 103(d) and regulations thereunder. The Fiscal Officer shall furnish to the Original Purchaser a true certified transcript of all proceedings had with reference to the issuance of the Series 1976 Bonds, together with such other information as is necessary or proper with respect to said Bonds.

**Section 8. Mandatory Sinking Fund Requirements.**

(a) **Requirements.** As and for the mandatory sinking fund requirements for the retirement, by mandatory redemption pursuant to Section 4 hereof, of the Series 1976 Bonds which mature on December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, but subject to paragraph (b) below, the amounts required to be paid to the Bond Service Account, determined in accordance with Section 7 of the General Bond Resolution, shall include amounts sufficient to redeem (less the amount of any credit as provided in paragraph (b) below) on each December 1 as set forth below the following principal amounts of Series 1976 Bonds of the respective maturities designated:

<u>Series 1976 Bonds Maturing in 1996</u>		<u>Series 1976 Bonds Maturing in 2000</u>	
<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>	<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
1993	\$165,000	1997	\$225,000
1994	180,000	1998	240,000
1995	190,000	1999	260,000
1996	205,000	2000	280,000
<u>Series 1976 Bonds Maturing in 2004</u>		<u>Series 1976 Bonds Maturing in 2007</u>	
<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>	<u>Year of Redemption on December 1</u>	<u>Principal Amount to be Redeemed</u>
2001	\$305,000	2005	\$415,000
2002	330,000	2006	445,000
2003	355,000	2007	485,000
2004	385,000		

For the purpose of effecting the mandatory redemption of the Series 1976 Bonds maturing December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, the Trustee, on behalf of the University and without necessity for further action by the University, shall cause to be redeemed on December 1 in each of the years from 1993 to 2007, both inclusive, in the

manner provided in the General Bond Resolution such an aggregate principal amount of the Series 1976 Bonds of the applicable maturity as equals the mandatory sinking fund requirements for such maturity, as provided in this Section 8, for the applicable year.

(b) **Credits Against Mandatory Sinking Fund Requirements.** At its option, to be exercised on or before the forty-fifth day next preceding any December 1 in the years from 1993 to 1996 both inclusive, with respect to the Series 1976 Bonds maturing on December 1, 1996, in the years from 1997 to 2000, both inclusive, with respect to the Series 1976 Bonds maturing on December 1, 2000, in the years from 2001 to 2004, both inclusive, with respect to the Series 1976 Bonds maturing on December 1, 2004 and in the years from 2005 to 2007, both inclusive, with respect to the Series 1976 Bonds maturing on December 1, 2007 the University may (i) deliver to the Trustee for cancellation Series 1976 Bonds of the applicable maturity, in any aggregate principal amount desired, with, if coupon bonds, all unmatured coupons attached, or (ii) receive a credit in respect to the mandatory sinking fund requirement (and corresponding mandatory redemption obligation) of the University under paragraph (a) above for any Series 1976 Bonds of the applicable maturity, which prior to such date have been redeemed (other than through the operation of the mandatory sinking fund requirements provided for in this Section) or purchased for cancellation and cancelled by the Trustee and not theretofore applied as a credit against any mandatory sinking fund requirement (and corresponding mandatory redemption obligation) under said paragraph (a). Each Series 1976 Bond maturing on December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, respectively, so delivered or previously redeemed shall be credited by the Trustee at 100% of the principal amount thereof against the mandatory sinking fund requirement for the applicable maturity (and corresponding mandatory redemption obligation) of the University on such redemption date. If the University intends to avail itself in any year of the provisions of this paragraph (b), the University will on or before the forty-fifth day next preceding each December 1 of the applicable year furnish the Trustee with a certificate, signed by the Fiscal Officer, stating the extent to which the provisions of (i) and (ii) of this paragraph (b) are to be availed of with respect to such mandatory sinking fund requirement (and corresponding mandatory redemption obligation); unless such certificate is so timely furnished by the University, the mandatory sinking fund requirement (and corresponding mandatory redemption obligation) provided for in paragraph (a) above for such year shall not be reduced.

**Section 9. Funding of Required Reserve.** In accordance with and consistent with clause (2)(i) of Section 7(b) of the General Bond Resolution, the portion of the Required Reserve necessitated by reason of the Series 1976 Bonds, including the interest rates as set forth in the Certificate of Award or, if no award is made pursuant to paragraph (a) of Section 5 of this Resolution, as approved by this Board in connection with the award of the Series 1976 Bonds pursuant to paragraph (b) of said Section 5, shall be funded as provided in this Section 9.

At least five business days before each June 1 and December 1, commencing December 1, 1977, the University shall pay over to the Trustee from the Bond Pledge Fund and, if necessary, from any other General Receipts of the University, to the credit of the Bond Service Reserve Account, at least one-ninth of such portion of the Required Reserve, after deducting from such portion the portion of the Required Reserve necessitated by reason of the Series 1976 Bonds funded pursuant to Section 6 of this Resolution. Such payments to the Trustee shall, subject to clause (2)(iii) of Section 7(b) of the General Bond Resolution, be made and continue at the times and in the amounts aforesaid until the aforesaid portion of the Required Reserve is fully funded.

**Section 10. Third Supplemental Trust Agreement.** The Chairman of the Board, the President of the University, the Secretary to the Board, and the Fiscal Officer, or any one or more

of them are authorized and directed to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, a Third Supplemental Trust Agreement pursuant to the Trust Agreement and in connection with the issuance of the Series 1976 Bonds, in substantially the form submitted to this Board with such changes therein not substantially adverse to the University as may be permitted by the Act and the Trust Agreement or this Resolution and approved by the officer or officers executing the same on behalf of the University. The approval of such changes, and that such changes are not substantially adverse to the University, shall be conclusively evidenced by the execution of the Third Supplemental Trust Agreement by such officer or officers.

Section 11. **Determinations.** It is found and determined that all formal actions of this Board concerning and relating to the adoption of this resolution were adopted in an open meeting of this Board, and that all deliberations of this Board and of any of its committees that resulted in such formal actions, were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Adopted: July 31, 1976



WHEREAS, said Series 1976 Bonds were duly offered for sale and on , 1976, the Fiscal Officer duly executed his certificate awarding the Series 1976 Bonds (hereinafter called the "Certificate of Award"), which Certificate of Award is incorporated herein, constituting an integral part of this Supplement, and provides, in its entirety, as follows:

[To be included if the Series 1976 Bonds are sold pursuant to Section 5(a) of the Series 1976 Resolution]

THE PRESIDENT AND TRUSTEES OF THE OHIO UNIVERSITY

GENERAL RECEIPTS BONDS, SERIES 1976

Dated 1, 1976

CERTIFICATE OF AWARD

The undersigned, Fiscal Officer of The Ohio University, as authorized by the resolution duly adopted by the Board of Trustees of The President and Trustees of the Ohio University on July 31, 1976 providing for the issuance, sale and award of \$5,875,000 General Receipts Bonds, Series 1976 (the "Series 1976 Resolution"), has caused said Bonds to be advertised for sale (proposals for due purchase of said Bonds to be obtained) in a manner and upon terms and conditions not inconsistent with the Series 1976 Resolution, has received bids (proposals) therefor on this date until 12 o'clock noon E.D.S.T., and did thereupon open and publicly read said bids (proposals), and does hereby, on behalf of The President and Trustees of the Ohio University, award said Series 1976 Bonds to the "Original Purchaser" below identified, whose bid (proposal) for the Bonds at the price and interest rates below stated is hereby determined, in accordance with the Series 1976 Resolution, to be the highest bid (proposal) based on the lowest interest cost to absolute maturity of the Bonds maturing through December 1, 1995, and, as to the Bonds maturing December 1, 1996, December 1, 2000, December 1, 2004 and December 1, 2007, to the respective dates of the mandatory redemptions pursuant to the mandatory sinking fund requirements of the Series 1976 Resolution, which bid (proposal) is hereby accepted:

Original Purchaser:

Purchase Price:

Interest Rates:

<u>Maturity Year</u>	<u>Principal Amount</u>	<u>Interest Rate</u>	<u>Maturity Year</u>	<u>Principal Amount</u>	<u>Interest Rate</u>
1978	\$ 55,000		1989	\$ 120,000	
1979	55,000		1990	130,000	
1980	60,000		1991	140,000	
1981	65,000		1992	150,000	
1982	70,000		1996	740,000	
1983	75,000		2000	1,005,000	
1984	85,000		2004	1,375,000	
1985	90,000		2007	1,345,000	
1986	95,000				
1987	105,000				
1988	115,000				

Dated: , 1976

-----  
Vice President and Treasurer  
The Ohio University

[To be included if the Series 1976 Bonds are sold pursuant to Section 5(a) of the Series 1976 Resolution]



WHEREAS, the bank at which the Series 1976 Bonds are to be alternately payable has been designated by the Original Purchaser and approved by the Fiscal Officer pursuant to Section 4(f) of the Series 1976 Resolution, such approval being evidenced by a certificate in the following form:

FISCAL OFFICER'S  
CERTIFICATE OF APPROVAL OF ALTERNATE  
PAYING AGENT WITH RESPECT TO  
GENERAL RECEIPTS BONDS, SERIES 1976

The undersigned Vice President and Treasurer of The Ohio University, being the "Fiscal Officer" thereof as that term is defined in the Trust Agreement dated as of June 1, 1972, by and between The President and Trustees of the Ohio University and its Board of Trustees and The Ohio National Bank of Columbus, as supplemented, hereby approves, pursuant to Section 4(f) of the Series 1976 Resolution forming part of the Third Supplemental Trust Agreement dated as of 1, 1976, the following bank as alternate Paying Agent with respect to the General Receipt Bonds, Series 1976, to be issued pursuant to said Trust Agreement as supplemented:

This       day of       , 197

-----  
Vice President and Treasurer  
The Ohio University

[To be included if an alternate paying agent is designated pursuant to Section 4(f) of the Series 1976 Resolution]

1. The first part of the document discusses the importance of maintaining accurate records of all transactions. It emphasizes that proper record-keeping is essential for the integrity of the financial system and for the ability to detect and prevent fraud.

2. The second part of the document outlines the specific requirements for record-keeping. It states that all transactions must be recorded in a timely and accurate manner, and that the records must be maintained for a minimum of five years.

3. The third part of the document discusses the role of the auditor in verifying the accuracy of the records. It states that the auditor must perform a thorough review of the records and must report any discrepancies to the appropriate authorities.

4. The fourth part of the document discusses the consequences of failing to maintain accurate records. It states that individuals who fail to comply with the requirements may be subject to fines and penalties.

5. The fifth part of the document discusses the importance of training and education for individuals involved in record-keeping. It states that individuals must be properly trained and educated in order to ensure the accuracy of the records.

WHEREAS, the texts of the Series 1976 Bonds, the coupons to be attached thereto, the form of assignment of fully registered Bonds, the certificate of authentication of the Trustee to be endorsed thereon and other provisions to be included therein are to be substantially in the following forms with appropriate omissions, insertions and variations as in the Agreement provided or permitted:

**Coupon Bond Form**

United States of America

State of Ohio

The President and Trustees of the Ohio University

General Receipts Bond, Series 1976

No. - \$5,000

The President and Trustees of the Ohio University (hereinafter called the "University"), a state university of the State of Ohio created and existing pursuant to Chapter 3337 of the Ohio Revised Code, for value received, promises to pay to bearer, or, if this Bond be registered, to the registered holder hereof, from the sources and in the manner hereinafter referred to, the principal sum of

**FIVE THOUSAND DOLLARS (\$5,000)**

on December 1, \_\_\_\_\_, and to pay from said sources interest thereon from the date hereof at the rate of \_\_\_\_\_ per centum ( \_\_\_\_\_ %) per annum semi-annually on June 1 and December 1 of each year, commencing \_\_\_\_\_ 1, 197 \_\_\_\_\_, as evidenced by the coupons hereto attached, until payment of such principal sum has been made or duly provided for. Principal and interest are payable in lawful money of the United States of America, without deduction for the services of the Paying Agent, at the principal corporate trust office of the Trustee, presently The Ohio National Bank of Columbus, Columbus, Ohio [or, at the option of the holder, at the principal corporate trust office of \_\_\_\_\_,] (such agent(s) for payment including the Trustee being herein called the "Paying Agent(s)"), upon presentation and surrender of this Bond and the coupons attached hereto as they respectively mature, provided that if this bond is registered as to principal other than to bearer then the principal hereof is payable only at said office of the Trustee.

This Bond is one of the General Receipts Bonds (hereinafter collectively called the "Bonds") authorized and from time to time to be authorized in various series under and pursuant to Section 2i of Article VIII, Ohio Constitution, Sections 3345.11 and 3345.12 of the Ohio Revised

Code, the General Bond Resolution (hereinafter called the "General Bond Resolution") adopted by the Board of Trustees of the University (hereinafter called the "Board") on April 14, 1972, a Series Resolution authorizing each issue, and the Agreement described below, for the purpose of paying costs of University Facilities (as defined in the General Bond Resolution) and to refund, fund or retire obligations issued for such purpose. As provided in and subject to said Agreement, the Bonds may be issued from time to time in one or more series, in various principal amounts, with different maturities and interest rates, and may otherwise vary. The aggregate principal amount of Bonds which may be issued is not limited except as provided in the Agreement or as may hereafter be provided by law, and all Bonds will be equally and ratably secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Agreement.

The Bonds are all to be issued under the Trust Agreement (of which the General Bond Resolution is a part) dated as of June 1, 1972, between the University and the above-named Trustee, as the same has been or may be supplemented or amended in accordance with its terms. Such Trust Agreement and amendments thereto and any applicable Supplemental Trust Agreement, including the Series Resolution constituting part thereof, are herein referred to collectively as the "Agreement". The term "Trustee" as used herein refers to said Trustee or any successor Trustee appointed pursuant to the Agreement. Reference is made to the Agreement for a more complete description of the provisions, among others, with respect to the nature and extent of the security, the rights, duties and obligations of the University, the Trustee and the holders of the Bonds and coupons, and the terms and conditions upon which the Bonds are issued and secured, to all of the provisions of which Agreement each holder, by the acceptance hereof, assents.

This Bond is one of a series of the Bonds, designated General Receipts Bonds, Series 1976 (hereinafter called the "Series 1976 Bonds"), in the aggregate principal amount of \$5,875,000, authorized by and issued pursuant to the Series 1976 Resolution adopted by the Board on July 31, 1976, (hereinafter called the "Series 1976 Resolution"), and the Agreement, including the Third Supplemental Trust Agreement thereto dated as of                      1, 1976, of which the Series 1976 Resolution is a part, for the purpose of paying part of the costs of certain University Facilities, consisting of facilities to be used for and in connection with an auditorium and athletic and recreational purposes and to retire obligations heretofore issued for such purpose.

The Series 1976 Bonds are issuable as coupon bonds, registrable as to principal, in the denomination of \$5,000, and as fully registered bonds in denominations of \$5,000 and any authorized multiple thereof. Coupon Bonds and fully registered Bonds are interchangeable in equal

aggregate principal amounts and in authorized denominations at the aforesaid office of the Trustee, in the manner and subject to the limitations provided in the Agreement.

This Bond and appurtenant coupons are negotiable instruments but this Bond may be registered as to principal in accordance with the provisions endorsed hereon and the terms and conditions provided in the Agreement.

The Series 1976 Bonds are not callable for redemption prior to June 1, 1993. The Series 1976 Bonds maturing on December 1, in the years 1996, 2000, 2004 and 2007, respectively, are subject to mandatory redemption pursuant to the terms of the mandatory sinking fund requirements and mandatory redemption obligations provided in the Series 1976 Resolution on December 1, 1993 and on each December 1 thereafter to and including December 1, 1996 in the case of Series 1976 Bonds maturing December 1, 1996, on December 1, 1997 and on each December 1 thereafter to and including December 1, 2000 in the case of Series 1976 Bonds maturing December 1, 2000, on December 1, 2001 and on each December 1 thereafter to and including December 1, 2004 in the case of Series 1976 Bonds maturing December 1, 2004 and on December 1, 2005 and on each December 1 thereafter to and including December 1, 2007 in the case of Series 1976 Bonds maturing December 1, 2007, at a redemption price of 100% of the principal amount thereof, plus accrued interest to the redemption date; the Series 1976 Bonds maturing on December 1 in the years 1996, 2000, 2004 and 2007 to be so redeemed prior to such respective dates shall be selected by lot in such manner as may be determined by the Trustee. Series 1976 Bonds maturing after December 1, 1992 are also subject to redemption, from funds other than those deposited in accordance with the aforesaid mandatory sinking fund requirements, at the option of the University prior to stated maturity on any interest payment date on or after June 1, 1993, in whole or in part and in inverse order of their maturity (less than all of such Bonds of a single maturity to be selected by lot in such manner as may be determined by the Trustee), at the respective redemption prices (expressed as percentages of the principal amount redeemed) set forth below, plus accrued interest to the redemption date:

<u>If Redeemed (dates inclusive)</u>	<u>Redemption Price</u>
June 1, 1993 to December 1, 1996	103%
June 1, 1997 to December 1, 2000	102%
June 1, 2001 to December 1, 2004	101%
June 1, 2005 and thereafter	100%

If such optional redemption is to take place as of December 1 in any of the years from 1993 to 2004, inclusive, the Series 1976 Bonds to be so redeemed shall be selected by lot prior to the



selection by lot of Series 1976 Bonds to be redeemed on the same date by operation of the aforesaid mandatory redemption provisions.

Such right of redemption shall be exercised by notice, specifying the Bonds or portions of fully registered Bonds to be called, the date fixed for redemption and the places where the amounts due upon such redemption are payable, which notice, subject to the provisions of the Agreement therefor, shall be published at least twice in a newspaper or financial journal of national circulation published in the City and State of New York, the first such publication to be not less than thirty days prior to the redemption date. If Bonds or portions of fully registered Bonds are duly called for redemption and if on such redemption date moneys for the redemption thereof, together with interest thereon to the redemption date, shall be held by the Trustee or Paying Agents so as to be available therefor, then from and after such date such Bonds or portions of fully registered Bonds shall cease to bear interest and any coupons for interest thereon maturing subsequently shall be void, and said Bonds, portions of fully registered Bonds, and coupons shall not be deemed to be outstanding under the Agreement.

Principal, interest, and any call premium on the Bonds (hereinafter collectively called the "Bond service charges") are payable solely from, and such payment is secured by a first pledge of and a lien on, the gross amount of the General Receipts of the University and the Bond Pledge Fund and the Bond Service Fund, all as defined, and to the extent and in the manner provided, in the Agreement; provided, however, that nothing herein or in the Agreement shall be deemed to prohibit the University, of its own volition, from using to the extent lawfully authorized to do so any other resources for such payment. Such General Receipts are more particularly defined in the General Bond Resolution as all moneys received by the University including, among other receipts, all gross fees, deposits, charges, receipts and income from all or any part of the students of the University, whether designated as tuition, instructional fees, tuition surcharges, general fees, activity fees, or other special purpose fees, or otherwise designated, and all gross income, revenues and receipts from University Facilities; and as excluding certain receipts as provided in the General Bond Resolution, among which are moneys raised by taxation and state appropriations until and unless the pledge thereof to the payment of Bond service charges is authorized by law and provided for by Supplemental Trust Agreement approved by the Board and specified receipts pledged to secure other bonds of the University. The Bond Pledge Fund is a special trust fund held in the custody of the University into which the University shall pay at specified times in each fiscal year, as provided in the General Bond Resolution, from its collections of General Receipts moneys sufficient in time

and amount to meet all payments required to be made in such fiscal year to the Bond Service Fund. The Bond Service Fund, comprised in part of the Bond Service Account and the Bond Service Reserve Account, is held in the custody of the Trustee, and is pledged to the payment of Bond service charges on all Bonds as provided in the Agreement. As required by the Agreement, the University shall make payments to the Bond Service Fund from the Bond Pledge Fund and, if necessary, from other General Receipts, of amounts sufficient to pay Bond service charges as they come due and to establish and maintain the Required Reserve in the Bond Service Reserve Account. The holders or owners of the Bonds or appertaining interest coupons shall have no right to have excises or taxes levied by the General Assembly of Ohio for the payment of Bond service charges.

Except as provided in the Agreement, the holders or registered holders of the Bonds are not entitled to enforce the provisions of the Agreement or to institute, appear in or defend any suit, action or proceeding to enforce any provisions of the Agreement or to take any action with respect to any event of default under the Agreement.

In addition to the provisions contained in the Agreement authorizing the University and the Trustee, without the consent of or notice to any bondholder, to enter into Supplemental Trust Agreements not inconsistent with the Agreement and for certain purposes specified therein, the Agreement contains provisions authorizing such parties, with the consent of the holders of not less than 66-2/3% in aggregate principal of the Bonds at the time outstanding, exclusive of any Bonds then held or owned by the University, to enter into Supplemental Trust Agreements for the purpose of modifying, altering, amending, adding to or rescinding, in any particular, any of the terms and provisions of the Agreement; provided, however, that no such Supplemental Trust Agreement shall extend the maturity of the principal of or the interest on any Bond or reduce the principal amount of any Bond or the rate of interest or redemption premium thereon without the consent of the holder of each Bond so affected, or reduce the aggregate principal amount of the Bonds required for consent to such Supplemental Trust Agreement without the consent of the holders of all Bonds then outstanding.

If an event of default in payment of Bond service charges or other default shall occur, as defined in the Agreement, the principal of Bonds then outstanding may be declared due and payable in the manner and with the effect provided by the Agreement, but subject to waiver of such event of default or rescission of such declaration as provided in the Agreement.

This Bond shall not constitute the personal obligation, either jointly or severally, of the members or officers of the Board or the officers of the University.

Neither this Bond nor any of the appertaining coupons shall be entitled to any security or benefit under the Agreement or become valid or obligatory for any purpose until the certificate of authentication hereon shall have been signed by the Trustee.

It is hereby certified and recited that all acts, conditions and things necessary to be done by the University precedent to and in the issuing of the Series 1976 Bonds in order to make them legal, valid and binding obligations of the University in accordance with their terms, have been done and performed and have happened in regular and due form as required by law; that payment in full for the Series 1976 Bonds has been received; and that the Series 1976 Bonds do not exceed or violate any constitutional or statutory limitation.

IN WITNESS WHEREOF, The President and Trustees of the Ohio University and its Board of Trustees has caused this Bond to be executed by [the facsimile signature of] the \_\_\_\_\_ of the University, and by the facsimile signature of the \_\_\_\_\_ of the University, and the facsimile seal of the University to be imprinted hereon, and the interest coupons attached hereto to be executed by the facsimile signature of the \_\_\_\_\_ of the University, all as of \_\_\_\_\_ 1, 1976.

\_\_\_\_\_  
THE PRESIDENT AND TRUSTEES OF  
THE OHIO UNIVERSITY AND THE  
BOARD OF TRUSTEES OF THE  
PRESIDENT AND TRUSTEES OF  
THE OHIO UNIVERSITY

[Seal]

By: \_\_\_\_\_  
\_\_\_\_\_ of The Ohio  
University

And by: \_\_\_\_\_  
\_\_\_\_\_ of The Ohio  
University

## PROVISIONS FOR REGISTRATION

This Bond may be registered as to principal alone on the registration books of the University kept by the Trustee as Bond Registrar, upon presentation hereof to the Trustee which shall make notation of such registration in the registration blank below, and this Bond may thereafter be transferred only upon an assignment duly executed by the registered holder or his attorney in such form as shall be satisfactory to the Bond Registrar, such transfer to be made on such books and endorsed hereon. Such transfer may be to bearer, and thereby transferability by delivery shall be restored, subject, however, to successive registrations and transfers as before. The principal of this Bond, if registered, unless registered to bearer, shall be payable only to or on the order of the registered holder or his attorney. Notwithstanding the registration of this Bond as to principal alone as aforesaid, the coupons will remain payable to bearer and shall continue to be transferable by delivery.

Date of Registration	Name of Registered Holder	Signature of Authorized Officer of Bond Registrar
-----	-----	-----
-----	-----	-----
-----	-----	-----

## FORM OF INTEREST COUPON

No.

\$

[Unless the Bond described below shall have been duly called for previous redemption and payment of the redemption price duly made or provided for,] \* on June 1/December 1, \_\_\_\_\_, The President and Trustees of the Ohio University and its Board of Trustees, will pay to bearer, from the sources and in the manner provided in the Trust Agreement referred to in the Bond described below, on presentation and surrender of this coupon at the principal corporate trust office of the Trustee, presently The Ohio National Bank of Columbus, Columbus, Ohio, [or of \_\_\_\_\_] dollars

(\$ \_\_\_\_\_) being interest then due on its General Receipts Bond, Series 1976, dated 1, 1976, No.

----- [facsimile signature] -----

\*Bracketed clause appears on coupons maturing after the first call date shown on the Bond face.

**Single Maturity Fully Registered Bond Form**

United States of America

State of Ohio

The President and Trustees of the Ohio University

General Receipts Bond, Series 1976

No. R -

\$

The Presidents and Trustees of the Ohio University (hereinafter called the "University"), a state university of the State of Ohio created and existing pursuant to Chapter 3337 of the Ohio Revised Code, for value received, promises to pay to \_\_\_\_\_, or registered assigns, from the sources and in the manner hereinafter referred to, the principal sum of

DOLLARS (\$ )

on December 1, \_\_\_\_\_ and to pay from said sources interests thereon from the date hereof at the rate of \_\_\_\_\_ per centum ( %) per annum semi-annually on June 1 and December 1 of each year until payment of such principal sum has been made or duly provided for. Principal and interest are payable in lawful money of the United States of America, without deduction for the services of the Paying Agent. Principal is payable upon presentation and surrender of this Bond at the principal corporate trust office of the Trustee, presently The Ohio National Bank of Columbus, Columbus, Ohio. Interest on this Bond will be paid by check or draft mailed to the registered holder hereof at his address as it appears on the registration books of the University.

This Bond is one of the General Receipts Bonds (hereinafter collectively called the "Bonds") authorized and from time to time to be authorized in various series under and pursuant to Section 2i of Article VIII, Ohio Constitution, Sections 3345.11 and 3345.12 of the Ohio Revised Code, the General Bond Resolution (hereinafter called the "General Bond Resolution") adopted by the Board of Trustees of the University (hereinafter called the "Board") on April 14, 1972, a Series Resolution authorizing each issue, and the Agreement described below, for the purpose of paying costs of University Facilities (as defined in the General Bond Resolution) and to refund, fund or retire obligations issued for such purpose. As provided in and subject to said Agreement, the Bonds may be issued from time to time in one or more series, in various principal amounts, with different maturities and interest rates, and may otherwise vary. The aggregate principal amount of Bonds which may be issued is not limited except as provided in the Agreement or as may hereafter be provided by law, and all Bonds will be equally and ratably secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Agreement.

The Bonds are all to be issued under the Trust Agreement (of which the General Bond Resolution is a part) dated as of June 1, 1972, between the University and the above-named Trustee, as the same has been or may be supplemented or amended in accordance with its terms. Such Trust Agreement and amendments thereto and any applicable Supplemental Trust Agreement, including the Series Resolution constituting part thereof, are herein referred to collectively as the "Agreement". The term "Trustee" as used herein refers to said Trustee or any successor Trustee appointed pursuant to the Agreement. Reference is made to the Agreement for a more complete description of the provisions, among others, with respect to the nature and extent of the security, the rights, duties and obligations of the University, the Trustee and the holders of the Bonds and coupons, and the terms and conditions upon which the Bonds are issued and secured, to all of the provisions of which Agreement each holder, by the acceptance hereof, assents.

This Bond is one of a series of the Bonds, designated General Receipts Bonds, Series 1976 (hereinafter called the "Series 1976 Bonds"), in the aggregate principal amount of \$5,875,000, authorized by and issued pursuant to the Series 1976 Resolution adopted by the Board on July 31, 1976, (hereinafter called the "Series 1976 Resolution") and the Agreement, including the Third Supplemental Trust Agreement thereto dated as of                      1, 1976, of which the Series 1976 Resolution is a part, for the purpose of paying part of the costs of certain University Facilities, consisting of facilities to be used for and in connection with an auditorium and athletic and recreational purposes and to retire obligations heretofore issued for such purpose.

The Series 1976 Bonds are issuable as coupon bonds, registrable as to principal, in the denomination of \$5,000, and as fully registered bonds in denominations of \$5,000 and any authorized multiple thereof. Coupon Bonds and fully registered Bonds are interchangeable in equal aggregate principal amounts and in authorized denominations at the aforesaid office of the Trustee, in the manner and subject to the limitations provided in the Agreement.

This Bond is transferable by the registered holder hereof in person or by his attorney duly authorized in writing at the principal corporate trust office of the Trustee as Bond Registrar, upon presentation hereof to the Trustee, all subject to the terms and conditions provided in the Agreement.

The Series 1976 Bonds are not callable for redemption prior to June 1, 1993. The Series 1976 Bonds maturing on December 1, in the years 1996, 2000, 2004 and 2007, respectively, are subject to mandatory redemption pursuant to the terms of the mandatory sinking fund requirements and mandatory redemption obligations provided in the Series 1976 Resolution on

December 1, 1993 and on each December 1 thereafter to and including December 1, 1996 in the case of Series 1976 Bonds maturing December 1, 1996, on December 1, 1997 and on each December 1 thereafter to and including December 1, 2000 in the case of Series 1976 Bonds maturing December 1, 2000, on December 1, 2001 and on each December 1 thereafter to and including December 1, 2004 in the case of Series 1976 Bonds maturing December 1, 2004 and on December 1, 2005 and on each December 1 thereafter to and including December 1, 2007 in the case of Series 1976 Bonds maturing December 1, 2007, at a redemption price of 100% of the principal amount thereof, plus accrued interest to the redemption date; the Series 1976 Bonds maturing on December 1 in the years 1996, 2000, 2004 and 2007 to be so redeemed prior to such respective dates shall be selected by lot in such manner as may be determined by the Trustee. Series 1976 Bonds maturing after December 1, 1992 are also subject to redemption, from funds other than those deposited in accordance with the aforesaid mandatory sinking fund requirements, at the option of the University prior to stated maturity on any interest payment date on or after June 1, 1993, in whole or in part and in inverse order of their maturity (less than all of such Bonds of a single maturity to be selected by lot in such manner as may be determined by the Trustee), at the respective redemption prices (expressed as percentages of the principal amount redeemed) set forth below, plus accrued interest to the redemption date:

<u>If Redeemed (dates inclusive)</u>	<u>Redemption Price</u>
June 1, 1993 to December 1, 1996	103%
June 1, 1997 to December 1, 2000	102%
June 1, 2001 to December 1, 2004	101%
June 1, 2005 and thereafter	100%

If such optional redemption is to take place as of December 1 in any of the years from 1993 to 2004, inclusive, the Series 1976 Bonds to be so redeemed shall be selected by lot prior to the selection by lot of Series 1976 Bonds to be redeemed on the same date by operation of the aforesaid mandatory redemption provisions.

Such right of redemption shall be exercised by notice, specifying the Bonds or portions of fully registered Bonds to be called, the date fixed for redemption and the places where the amounts due upon such redemption are payable, which notice, subject to the provisions of the Agreement therefor, shall be published at least twice in a newspaper or financial journal of national circulation published in the City and State of New York, the first such publication to be not less than thirty days prior to the redemption date. If Bonds or portions of fully registered Bonds are duly called for redemption and if on such redemption date moneys for the redemption thereof, together with

interest thereon to the redemption date, shall be held by the Trustee or Paying Agents so as to be available therefor, then from and after such date such Bonds or portions of fully registered Bonds shall cease to bear interest and any coupons for interest thereon maturing subsequently shall be void, and said Bonds, portions of fully registered Bonds, and coupons shall not be deemed to be outstanding under the Agreement.

Principal, interest, and any call premium on the Bonds (hereinafter collectively called the "Bond service charges") are payable solely from, and such payment is secured by a first pledge of and a lien on, the gross amount of the General Receipts of the University and the Bond Pledge Fund and the Bond Service Fund, all as defined, and to the extent and in the manner provided, in the Agreement; provided, however, that nothing herein or in the Agreement shall be deemed to prohibit the University, of its own volition, from using to the extent lawfully authorized to do so any other resources for such payment. Such General Receipts are more particularly defined in the General Bond Resolution as all moneys received by the University including, among other receipts, all gross fees, deposits, charges, receipts and income from all or any part of the students of the University, whether designated as tuition, instructional fees, tuition surcharges, general fees, activity fees, or other special purpose fees, or otherwise designated, and all gross income, revenues and receipts from University Facilities; and as excluding certain receipts as provided and defined in the General Bond Resolution, among which are moneys raised by taxation and state appropriations until and unless the pledge thereof to the payment of Bond service charges is authorized by law and provided for by Supplemental Trust Agreement approved by the Board and specified receipts pledged to secure other bonds of the University. The Bond Pledge Fund is a special trust fund held in the custody of the University into which the University shall pay at specified times in each fiscal year, as provided in the General Bond Resolution, from its collections of General Receipts moneys sufficient in time and amount to meet all payments required to be made in such fiscal year to the Bond Service Fund. The Bond Service Fund, comprised in part of the Bond Service Account and the Bond Service Reserve Account, is held in the custody of the Trustee, and is pledged to the payment of Bond service charges on all Bonds as provided in the Agreement. As required by the Agreement, the University shall make payments to the Bond Service Fund from the Bond Pledge Fund and, if necessary, from other General Receipts, of amounts sufficient to pay Bond service charges as they come due and to establish and maintain the Required Reserve in the Bond Service Reserve Account. The holders or owners of the Bonds or appertaining interest coupons shall have no right to have excises or taxes levied by the General Assembly of Ohio for the payment of Bond service charges.



Except as provided in the Agreement, the holders or registered holders of the Bonds are not entitled to enforce the provisions of the Agreement or to institute, appear in or defend any suit, action or proceeding to enforce any provisions of the Agreement or to take any action with respect to any event of default under the Agreement.

In addition to the provisions contained in the Agreement authorizing the University and the Trustee, without the consent of or notice to any bondholder, to enter into Supplemental Trust Agreements not inconsistent with the Agreement and for certain purposes specified therein, the Agreement contains provisions authorizing such parties, with the consent of the holders of not less than 66-2/3% in aggregate principal of the Bonds at the time outstanding, exclusive of any Bonds then held or owned by the University, to enter into Supplemental Trust Agreements for the purpose of modifying, altering, amending, adding to or rescinding, in any particular, any of the terms and provisions of the Agreement; provided, however, that no such Supplemental Trust Agreement shall extend the maturity of the principal of or the interest on any Bond or reduce the principal amount of any Bond or the rate of interest or redemption premium thereon without the consent of the holder of each Bond so affected, or reduce the aggregate principal amount of the Bonds required for consent to such Supplemental Trust Agreement without the consent of the holders of all Bonds then outstanding.

If an event of default in payment of Bond service charges or other default shall occur, as defined in the Agreement, the principal of Bonds then outstanding may be declared due and payable in the manner and with the effect provided by the Agreement, but subject to waiver of such event of default or rescission of such declaration as provided in the Agreement.

This Bond shall not constitute the personal obligation, either jointly or severally, of the members or officers of the Board or the officers of the University.

This Bond shall not be entitled to any security or benefit under the Agreement or become valid or obligatory for any purpose until the certificate of authentication hereon shall have been signed by the Trustee.

It is hereby certified and recited that all acts, conditions and things necessary to be done by the University precedent to and in the issuing of the Series 1976 Bonds in order to make them legal, valid and binding obligations of the University in accordance with their terms, have been done and performed and have happened in regular and due form as required by law; that payment in full for the Series 1976 Bonds has been received; and that the Series 1976 Bonds do not exceed or violate any constitutional or statutory limitation.

IN WITNESS WHEREOF, The President and Trustees of the Ohio University and its Board of Trustees has caused this Bond to be executed by the facsimile signature of the \_\_\_\_\_ of the University, and by [the facsimile signature of] the \_\_\_\_\_ of the University, and the facsimile seal of the University to be imprinted hereon, all as of the first day of \_\_\_\_\_.

THE PRESIDENT AND TRUSTEES OF  
THE OHIO UNIVERSITY AND THE  
BOARD OF TRUSTEES OF THE  
PRESIDENT AND TRUSTEES OF  
THE OHIO UNIVERSITY

By: \_\_\_\_\_  
\_\_\_\_\_ of The Ohio  
University

And by: \_\_\_\_\_  
\_\_\_\_\_ of The Ohio  
University

**Form of Assignment to be Printed on Each Fully Registered Bond**

**ASSIGNMENT**

For value received, the undersigned hereby sells, assigns and transfers unto

\_\_\_\_\_

the within Bond and does hereby irrevocably constitute and appoint

\_\_\_\_\_

attorney to transfer the said Bond on the books kept for registration of the within Bond, with full power of substitution in the premises.

Dated: \_\_\_\_\_

In the presence of:

\_\_\_\_\_

Form of Trustee's Authentication  
Certificate to be Endorsed on all  
Series 1976 Bonds

This Bond is one of the Bonds issued under the provisions of the within mentioned Agreement and Third Supplemental Trust Agreement thereto.

THE OHIO NATIONAL BANK OF COLUMBUS, Trustee

By: \_\_\_\_\_  
Authorized Officer

WHEREAS, the University has, or will have, in all respects complied with the provisions of the Agreement so as to be entitled to execute and to have authenticated and delivered by the Trustee \$5,875,000, General Receipts Bonds, Series 1976, being the third issue of Bonds pursuant to the Agreement; and

WHEREAS, pursuant to the applicable provisions of Article VIII of the Agreement and particularly Section 8.01 (vi) thereof, the University desires by this Supplement and the Series 1976 Resolution comprising part thereof to provide for the issuance pursuant to the Agreement of said Series 1976 Bonds;

NOW, THEREFORE, THIS THIRD SUPPLEMENTAL TRUST AGREEMENT, WITNESSETH that in order to secure the payment of the Bond service charges on the Series 1976 Bonds according to their true intent and meaning, and to secure the performance and observance of all covenants and conditions therein, herein, and in the Agreement contained, and for and in consideration of the premises and of the purchase and acceptance of the Series 1976 Bonds by the holders thereof from time to time, and the acceptance by the Trustee of the further trusts hereby created, and for other good and valuable consideration, the receipt of which is hereby acknowledged, the University has executed and delivered this Third Supplemental Trust Agreement.

IN TRUST, NEVERTHELESS, upon the terms and trusts in the Agreement and this Supplement set forth for the security of all present and future holders of the Bonds and appurtenant coupons issued or to be issued under and secured by the said Agreement, without priority of any one Bond or coupon over any other by reason of series designation, number, date of authorization, issuance, sale, execution or delivery, date of the Bonds or of maturity, except as may be otherwise permitted by the General Bond Resolution.

Section 1. **Incorporation of Resolution and Certificate.** The terms and provisions of the Series 1976 Resolution and the Certificate of Award, as set forth in the preambles hereto, constitute part of this Supplement as if such terms and provisions were here set forth.

Section 2. **Form, Execution and Delivery.** The Series 1976 Bonds shall be executed, authenticated and delivered as provided herein and in the Agreement, and said Series 1976 Bonds, the coupons to be attached thereto, the form of assignment of fully registered Bonds, and the certificate of authentication of the Trustee to be endorsed thereon, shall be substantially in the forms provided in the preambles hereto with any necessary modifications to conform hereto.

Section 3. **Concerning the Trustee.** The Trustee hereby accepts the trusts hereby declared and provided and agrees to perform the same upon the terms and conditions in the Agreement and in this Second Supplement set forth.

The Trustee shall not be responsible in any manner whatsoever for or in respect of the validity or sufficiency of this Supplement or the due execution thereof by the University, nor for or in respect of the recitals herein contained, all of which recitals are made by the University solely.

IN WITNESS WHEREOF, the University has caused this Third Supplemental Trust Agreement to be executed by its duly authorized officers and the seal of the University to be hereunto affixed, and The Ohio National Bank of Columbus, Columbus, Ohio, as Trustee, in token of its acceptance of the trusts created hereunder, has caused this Third Supplemental Trust Agreement to be executed in its name by its duly authorized officers and has caused its seal to be hereunto affixed, all as of the day and year first above written, but actually on the dates of the respective acknowledgments.

THE PRESIDENT AND TRUSTEES  
OF THE OHIO UNIVERSITY

[Seal]

By: \_\_\_\_\_  
President of the University

And by: \_\_\_\_\_  
Vice President and Treasurer  
of the University

THE OHIO NATIONAL BANK OF COLUMBUS,  
Trustee

[Seal]

By: \_\_\_\_\_

Attest: \_\_\_\_\_

STATE OF OHIO            )  
                              ) SS.  
COUNTY OF ATHENS        )

On this \_\_\_\_\_ day of \_\_\_\_\_, 1976, before me a Notary Public in and for said County and State personally appeared \_\_\_\_\_ and \_\_\_\_\_, the President and Vice President and Treasurer, respectively, of The President and Trustees of the Ohio University, and acknowledged the execution of the foregoing instrument, and that the same is their voluntary act and deed on behalf of said University and the voluntary act and deed of said University.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my official seal on the day and year aforesaid.

(Seal)

-----  
Notary Public

STATE OF OHIO            )  
                              ) SS.  
COUNTY OF FRANKLIN     )

On this \_\_\_\_\_ day of \_\_\_\_\_, 1976, before me a Notary Public in and for said County and State personally appeared \_\_\_\_\_ and \_\_\_\_\_, respectively, of The Ohio National Bank of Columbus, the bank which executed the foregoing instrument as Trustee, who acknowledged that the seal affixed to said instrument is the seal of said bank, that they did sign said instrument as such officers, respectively, for and on behalf of said bank and by authority granted in its rules and regulations and by its Board of Directors; that the same is their free act and deed as such officers, respectively, and the free act and deed of said bank.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my official seal on the day and year aforesaid.

(Seal)

-----  
Notary Public

4. Change in East State Street Easement to Southern Ohio  
Electric Company

Mr. Taylor invited Mr. Bush to handle the discussion of this. Mr. Bush explained that the requirements imposed by the University had been designed to protect University interests in the former airport area and not to impede commercial development of the first portion of that area. Those conditions, he stated, had been met. He moved approval of the resolution. Mr. Taylor seconded the motion. Approval was unanimous.

RESOLUTION 1976--294

WHEREAS, the Board of Trustees previously authorized the Secretary to the Board of Trustees, on May 21, 1974, to execute an easement document which granted the Columbus and Southern Ohio Electric Company permission to construct a power line across University owned property located on East State Street when certain conditions had been met, and

WHEREAS, these conditions stipulated that the easement from Ohio University would only become effective after the Columbus and Southern Ohio Electric Company had obtained 100 per cent of the proposed right-of-way for the project inside the corporate limits of the City of Athens and 90 per cent of the proposed right-of-way outside the corporate limits of the City of Athens, and

WHEREAS, the Columbus and Southern Ohio Electric Company notified Ohio University on June 4, 1976, that all easements, except one, within the corporate limits of the City of Athens have been acquired and that ten of twelve easements outside the corporate limits have been acquired, and

WHEREAS, the Columbus and Southern Ohio Electric Company has asked the Board of Trustees to rescind its previous stipulations for the granting of the easement and to authorize execution of said easement,

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees does hereby rescind the previous stipulations placed on the granting of easement to Columbus and Southern Ohio Electric Company and authorizes the Secretary to the Board of Trustees to execute the easement document.

5. Joint Access Easement with Commissioners of Fairfield  
County on Lancaster Campus (Extension of February action)

Mr. Taylor asked Mrs. Phillips to present this matter. She recommended approval of the covering resolution and so moved. Dr. Holzer seconded the motion. Approval was unanimous.

RESOLUTION 1976--295

WHEREAS, Ohio University and the County Commissioners of Fairfield have previously granted each other a joint easement of right-of-way for purposes of constructing a common access road, and

WHEREAS, the County Commissioners now wish to extend the original easement and roadway because of the construction of a new facility at the rear of their property, and

WHEREAS, the Fairfield County Commissioners will construct, at their sole expense, the necessary bridge, drainage facilities and roadway improvements, said improvements to be used by Ohio University and

WHEREAS, the University agrees to share in the future cost, using state capital improvement monies when available, of any necessary additional roadway or related improvements, and

WHEREAS, the Fairfield County Commissioners have indicated that the roadway will be maintained by the County until it is dedicated for public use to the City of Lancaster, Ohio,

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does hereby authorize the President to execute an easement for joint right-of-way.

Documentation appears as Attachment 9, page 509.

6. Right of Way Easement to Le-Ax Water  
Distribution Corporation

Mr. Taylor asked Mr. Bush to present this. Mr. Bush, citing the advantages to the University of water extension in the airport area, moved approval of the resolution. Mrs. Phillips seconded the motion. Approval was unanimous.

RESOLUTION 1976--296

WHEREAS, Ohio University and the Le-Ax Water Distribution Corporation have previously entered into joint easements for the installation and maintenance of a water distribution system serving the University and others, and

WHEREAS, the Le-Ax Water Distribution Corporation now wishes to extend water lines to serve additional users, and

WHEREAS, the installation of additional water lines by the Le-Ax Water Distribution Corporation insures water service to all Ohio University owned land in the area of Bush Airport, making said lands more valuable,

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees does hereby authorize the President to execute an easement for right-of-way.

Documentation appears as Attachment 10, page 511.

7. Approval of Plans and Specifications and Recommendation of Contract Award for the College of Osteopathic Medicine, Grosvenor Hall Renovation, Phase II

Mr. Taylor recognizing Mrs. Phillips as Temporary Chairman of the Advisory Board of the College of Osteopathic Medicine, invited her to present this resolution. She moved approval. Mr. Bush seconded the motion. Approval was unanimous.

RESOLUTION 1976--297

WHEREAS, the 111th General Assembly, Regular Session, 1975-76, did pass Amended House Bill 229 creating the Ohio University College of Osteopathic Medicine, said Bill signed by the Governor, August 18, 1975, and



WHEREAS, Amended House Bill 1241 has been duly passed and signed which does provide capital funding in the amount of \$3,785,000 for the complete Grosvenor Renovation and \$2,985,000 for the Phase II Project, and

WHEREAS, the Ohio University Board of Trustees, meeting in Regular Session February 14, 1976, did approve the selection of an architect and did authorize the development of plans and specifications for the Phase II Project, and

WHEREAS, university officials have proceeded with the development of plans and specifications, said documents to be submitted to State Architects Office July 12, 1976, for final approval and permission to seek competitive bids.

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees hereby approves the final plans and specifications as prepared by Dan A. Carmichael Architect, Columbus, Ohio, for the College of Osteopathic Medicine, Grosvenor Hall Renovation, Phase II.

BE IT FURTHER RESOLVED that the Ohio University Board of Trustees hereby empowers the President, or his designee, in consultation with the Budget, Finance and Physical Plant Committee to accept and recommend to the Deputy Director, Division of Public Works, construction bids for the Grosvenor Hall Renovation, Phase II, providing total bids do not exceed available funds.

Mrs. Phillips stated that Phase I construction was ahead of schedule. Mr. Johnson congratulated all those associated with the new College, stating that the Board was well pleased with its progress.

Documentation appears as Attachment 11, page 512.

8. Approval of Plans and Specifications and Recommendation of Contract Award for the Central Environmental Control System

Mr. Taylor moved approval of the resolution, which Mrs. Phillips seconded. Approval was unanimous.

RESOLUTION 1976--298

WHEREAS, the 111th General Assembly appropriated \$2,458,224 under Amended Substitute House Bill 687 for Utilities and Renovations on the Athens Campus of Ohio University, and

WHEREAS, it is proposed that \$700,000 of this amount be expended for the installation of a Central Environmental Control System which would be capable of continuously monitoring and controlling basic utility systems, and

WHEREAS, the Ohio University Board of Trustees, meeting in Regular Session November 15, 1975, did approve the firm of Automated Construction Technology, Inc. of Dayton, Ohio, as consultants and did authorize university officials to proceed with the development of plans and specifications for the system, and

WHEREAS, university officials have proceeded with the development of plans and specifications, said documents to be submitted to State Architects Office July 1976 for final approval and permission to seek competitive bids,

NOW, THEREFORE, BE IT RESOLVED that the Ohio University Board of Trustees hereby approves the final plans and specifications as prepared by Automated Construction Technology, Inc. for the Athens Campus Central Environmental Control System.

BE IT FURTHER RESOLVED that the Ohio University Board of Trustees hereby empowers the President, or his designee, in consultation with the Budget, Finance and Physical Plant Committee, to accept and recommend to the Deputy Director, Division of Public Works, construction bids for the Central Environmental Control System, provided total bids do not exceed available funds.

Documentation appears as Attachment 12, page **513**.

9. Raising Limit on Hours Student may take Without  
Extra Payment

Mr. Taylor asked Mr. Bush to present the resolution.  
Mr. Bush explained that 1977 had been specified as the effective

date because the change would entail loss of revenue which had not been provided for in the current budget. In response to a question by Mrs. Johns, he stated that the Committee had not considered the merits of removing the limit entirely; that the consensus of the University Curriculum Council had been accepted. He moved approval of the resolution. Mr. Taylor seconded the motion. Approval was unanimous.

#### RESOLUTION 1976--299

WHEREAS, the University Curriculum Council believes the charging of an extra fee for undergraduate credit hours exceeding 18 is not an educationally sound policy and whereas the President recommends a raising of the limit and the Board has studied the issue,

NOW, THEREFORE, BE IT RESOLVED that the Board approves raising the number of credit hours an undergraduate student can take before an extra fee is charged from 18 to 20, effective Fall Quarter, 1977.

Background information on the proposal appears as Attachment 13, page 514.

#### 10. Approval to Engage Investment Firm to Manage Endowment Funds and to Employ a Bank as Custodian of Those Funds

Mr. Taylor moved approval of the resolution, which Mr. Bush seconded. Approval was unanimous.

#### RESOLUTION 1976--300

WHEREAS, Ohio University had endowment funds amounting to \$1,806,771 at market value on June 30, 1976, and

WHEREAS, it is desirable for these funds and others as received to be invested in a professional manner,

NOW, THEREFORE, BE IT RESOLVED that the Budget, Finance and Physical Plant Committee be empowered to select and employ a professional investment firm to manage said endowment funds and to select and employ a bank to act as custodian of the endowment funds.



In response to a question by Mr. Spencer, Mr. Taylor said that the Committee would take into consideration local, as well as other agencies. He welcomed suggestions.

A listing of Ohio University Pooled Endowed Accounts appears as Attachment 14, page **517**.

#### 11. Authorization to Ohio University Fund to Sell Land

Mr. Johnson, who was asked by Mr. Taylor to present this, stated that the Land Committee of the Ohio University Fund recommended the following resolution for Board consideration. Mr. Taylor moved approval of the resolution, which Mrs. Phillips seconded. Approval was unanimous.

#### RESOLUTION 1976--301

WHEREAS, the following described property held by the Ohio University Fund is no longer considered to be vital to the long range needs of Ohio University,

THEREFORE, BE IT RESOLVED that approval is given to the Ohio University Fund to dispose of said property, i.e. Berry Hall lot, Taylor lots and Albany lands.

#### C. BOARD-ADMINISTRATION COMMITTEE MATTERS

Chairman Johnson asked Dr. Holzer, Committee member, to report the recommendations of the Committee.

##### 1. Election of Treasurer

Dr. Holzer moved approval of the resolution, which Mrs. Johns seconded. Approval was unanimous.

#### RESOLUTION 1976--302

RESOLVED that to accord recognition to the Treasurer as a responsible officer of the Board of Trustees, the Board elect the Treasurer on an annual basis.

RESOLVED further that William L. Kennard be elected Treasurer of Ohio University effective August 2, 1976, for the fiscal year ending June 30, 1977.

1. The first part of the document is a letter from the President of the United States to the Congress, dated January 1, 1861. It is a very important document, as it sets out the policy of the new administration.

2. The second part of the document is a report from the Secretary of the Treasury, dated January 1, 1861. It contains a detailed account of the financial state of the country at the beginning of the year.

3. The third part of the document is a report from the Secretary of the Interior, dated January 1, 1861. It contains a detailed account of the state of the public lands and the progress of the various departments under his control.

4. The fourth part of the document is a report from the Secretary of the Navy, dated January 1, 1861. It contains a detailed account of the state of the navy and the progress of the various departments under his control.

5. The fifth part of the document is a report from the Secretary of the War, dated January 1, 1861. It contains a detailed account of the state of the army and the progress of the various departments under his control.

6. The sixth part of the document is a report from the Secretary of the State, dated January 1, 1861. It contains a detailed account of the state of the foreign relations of the country and the progress of the various departments under his control.

7. The seventh part of the document is a report from the Secretary of the Education, dated January 1, 1861. It contains a detailed account of the state of the public schools and the progress of the various departments under his control.

8. The eighth part of the document is a report from the Secretary of the Agriculture, dated January 1, 1861. It contains a detailed account of the state of the agriculture of the country and the progress of the various departments under his control.

9. The ninth part of the document is a report from the Secretary of the Commerce, dated January 1, 1861. It contains a detailed account of the state of the commerce of the country and the progress of the various departments under his control.

10. The tenth part of the document is a report from the Secretary of the Marine, dated January 1, 1861. It contains a detailed account of the state of the marine service and the progress of the various departments under his control.

11. The eleventh part of the document is a report from the Secretary of the Ordnance, dated January 1, 1861. It contains a detailed account of the state of the ordnance service and the progress of the various departments under his control.

12. The twelfth part of the document is a report from the Secretary of the Artillery, dated January 1, 1861. It contains a detailed account of the state of the artillery service and the progress of the various departments under his control.

13. The thirteenth part of the document is a report from the Secretary of the Cavalry, dated January 1, 1861. It contains a detailed account of the state of the cavalry service and the progress of the various departments under his control.

14. The fourteenth part of the document is a report from the Secretary of the Infantry, dated January 1, 1861. It contains a detailed account of the state of the infantry service and the progress of the various departments under his control.

## 2. Proposed Sick Leave Policy for Faculty and Twelve-Month Administrative Personnel

Dr. Holzer reported that the University, under mandate of law, is in the process of developing a formal sick leave policy. Discussions are proceeding within the faculty and administrative senates.





Res. 1976-303 Deleg. 7

Authority to Officers 7 U.

XVII 436 7-31-74

I made a  
copy for  
Bill K.

M,

Copy for John Burns  
1/6/87

first of the month next following the commencement of construction plus any time that may be lost through circumstances beyond Lessee's control. If the Lessee does not begin or complete construction in accordance with the respective timetable the premises will revert to the Lessor and Lessee shall forfeit all advance rent payments under Article III. The premises shall be 43.3584 acres in accordance with Article II; and any and all buildings, structures and other improvements hereafter erected, constructed, or situated upon the demised premises, and hereinafter referred to as the "Improvements" are the property of the Lessee, subject to the provisions of this Lease. The Improvements are to be used and occupied as outlined in Appendix A and as provided in plans and specifications approved by the Lessor or as changes may be approved by the President of Ohio University from time to time. The plans and specifications for the development contemplated herein shall, prior to commencement of construction thereof be on an agreed upon schedule for construction of the Improvements, which are to be submitted to the President of Ohio University for approval, in accordance with Articles III, V and XVIII. The Lessee has the option of proposing additional Improvements on the premises to be approved by the President of Ohio University and the Director, Department of Administrative Services in accordance with Articles III, V and XVIII; and such approval or rejection will be made within ninety (90) days of receipt of a proposal. The demised premises and the Improvements may hereinafter be referred to collectively as the "Property".

3. Delegation of Authority to Certain Officers of the University  
Dr. Holzer moved approval of the resolution, which Mrs. Johns  
seconded. Approval was unanimous.

RESOLUTION 1976--303

WHEREAS, The Ohio University Board of Trustees are the governing  
authority of the Ohio University as set forth in Chapters 3337 and 3345 of  
the Ohio Revised Code,

WHEREAS, the Ohio University Board of Trustees has placed the  
authority for the administration and discipline of the University in the  
President pursuant to the By-Laws ARTICLE IV, Section 3,

WHEREAS, the Ohio University Board of Trustees further desires to  
establish certain delegations of authority to the officers of the University  
to assist the President in effectively governing the University,

NOW, THEREFORE, BE IT RESOLVED that the following officers of  
the University be delegated certain authority to fulfill their responsibilities:

- (1) The President. The President in conjunction with his responsibilities for the administration and discipline of the University shall execute legal instruments, contracts and other documents that are entered into by the Board of Trustees.
- (2) The Secretary of the Board. The Secretary of the Board in conjunction with his responsibilities under ARTICLE II, Section 4, of the By-Laws shall execute with the President legal instruments, contracts and other documents entered into by the Board of Trustees and may certify resolutions of the Board.
- (3) The Provost. The Provost shall be designated the contracting officer of the University and shall execute all agreements, contracts, leases and other instruments not requiring Board of Trustees approval and may delegate this authority to University Officers for such action in their individual areas of responsibility.
- (4) The Treasurer. The Treasurer shall be elected by the Board annually and shall have the following duties and responsibilities:
  - a. He shall be responsible for the receipt, custody and appropriate disbursement of all general and special income of the University from the state



government, student fees, the federal government or any other governmental agency. This includes appropriations, gifts, bequests, research grants, contracts, or any other income from these sources.

- b. He shall be responsible for the receipt, custody and appropriate disbursement of all collections from students and student organizations.
- c. He shall be responsible for the receipt, custody and appropriate disbursement of all funds from any source received by any University department including Regional Campuses, auxilliary income, including residence and dining hall receipts, Baker Center, Athletic Department, or any other departmental receipts.
- d. The Treasurer shall be responsible for investing all University excess working capital funds and may contract with investment firms and advisers for such purposes. In the case of endowment funds the Budget, Finance and Physical Plant Committee of the Board of Trustees is empowered to select and employ a professional investment firm to manage said endowment funds and to select and employ a bank to act as custodian of the endowment funds.
- e. The Treasurer shall be responsible for keeping all financial records of the University, the records will be kept in the generally accepted manner recommended by the Ohio Board of Regents and the Auditor of State. He shall be responsible to establish all practicable internal audit procedures and shall prepare, publish, and distribute all required reports, including an annual financial report in appropriate detail.

- (5) The Director of Personnel. The Director of Personnel shall be designated the appointing authority of the Board of Trustees for purposes of Chapter 124 of the Ohio Revised Code establishing the state civil service law.



#### 4. Determination of Stated Meeting Dates for 1976-77

Dr. Holzer moved approval of the resolution. Mrs. Johns seconded the motion. Approval was unanimous.

#### RESOLUTION 1976--304

RESOLVED that October 16, 1976, January 15, 1977, April 16, 1977, and June 25, 1977, be designated the stated meeting dates of the Board of Trustees for 1976-77, the understanding being that, if circumstances dictate a change in a stated meeting date, or the call of a special meeting, the Chairman will determine the date.

#### D. OTHER BUSINESS

Chairman Johnson asked the respective committee chairmen and the President whether they had further business to present. They did not, nor did other members.

#### VII. CONFIRMATION OF OCTOBER 16, 1976, MEETING DATE AND SITE

Chairman Johnson confirmed October 16 as the next regular meeting date and the site as Athens.

#### IX. GENERAL DISCUSSION--ROLL CALL OF MEMBERS

On roll call, Mrs. Johns stated her view that Deans Harter and Hartman had done a splendid job in developing the Student Code of Conduct. Likely eventualities are recorded and it states the University's responsibility and attitude. The Committee, she said, was pleased to have been able to present a code that was so well worked out.

#### X. ADJOURNMENT

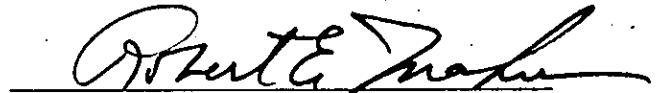
Chairman Johnson invited a motion for adjournment. Mrs. Phillips offered the motion, which Dr. Holzer seconded. Approval was unanimous. The time was 11:10 a.m.

XI. CERTIFICATION OF SECRETARY

Notice of this meeting and its conduct was in accordance with Resolution 1975--240 of the Board, which resolution was adopted in accordance with Section 121.22 (F) of the Ohio Revised Code and of the State Administrative Procedures Act.



Fred H. Johnson  
Chairman



Robert E. Mahn  
Secretary



PROJECTED ENROLLMENT AT OHIO UNIVERSITY  
MAIN CAMPUS

.TOTAL HEADCOUNT ENROLLMENT PROJECTION SHOWS MINOR INCREASE THROUGH  
1980.

.GRADUATE ENROLLMENT WILL INCREASE A MODEST AMOUNT WITH PRIMARY  
INCREASE DUE TO ADDITION OF MEDICAL SCHOOL ENROLLMENTS.

.UNDERGRADUATE ENROLLMENT BY FULL-TIME RESIDENT STUDENTS BEYOND FALL  
1976 INCREASES AS A FUNCTION OF INCREASES IN POOL OF RECENT  
HIGH SCHOOL GRADUATES.

.MAJOR PORTION OF ENROLLMENT GROWTH THROUGH 1980 DUE TO:

- GROWTH IN CONTINUING EDUCATION PROGRAMS
- GROWTH IN MEDICAL EDUCATION
- DEVELOPMENT OF 2+2 PROGRAMS

.STABLE COMPONENTS OF PROJECTED ENROLLMENT:

- NEW NON-RESIDENT FRESHMEN
- RETURNING FORMER STUDENTS
- STUDENTS RELOCATING FROM A REGIONAL CAMPUS TO THE  
ATHENS CAMPUS

.INCREASES IN PROJECTED SUBSIDY ELIGIBLE FTE SOMEWHAT MORE GRADUAL  
THAN HEADCOUNT INCREASES DUE TO GROWTH IN PART-TIME AND  
CONTINUING EDUCATION ENROLLMENTS.

1. The first part of the report is a general introduction to the subject.

2. The second part of the report is a detailed description of the methods used in the study.

3. The third part of the report is a discussion of the results of the study.

4. The fourth part of the report is a conclusion and a list of references.

5. The fifth part of the report is a list of references.

6. The sixth part of the report is a list of references.

7. The seventh part of the report is a list of references.

8. The eighth part of the report is a list of references.

9. The ninth part of the report is a list of references.

10. The tenth part of the report is a list of references.

11. The eleventh part of the report is a list of references.

12. The twelfth part of the report is a list of references.

13. The thirteenth part of the report is a list of references.

14. The fourteenth part of the report is a list of references.

15. The fifteenth part of the report is a list of references.

.PARTICIPATION RATES FOR RECENT OHIO HIGH SCHOOL GRADUATES HIGHLY  
VOLATILE IN SOME COUNTIES.

.OHIO UNIVERSITY SHOWS MARKED PROGRESS FOR FALL 1976 IN REGAINING  
PARTICIPATION BY RECENT HIGH SCHOOL GRADUATES LOST IN RECENT  
YEARS IN VARIOUS SECTORS OF STATE OF OHIO.

APPLICATION STATUS AS OF 5/14/76:

- NORTHEAST - UP 39% OVER FALL 1975
- NORTHWEST - UP 18% OVER FALL 1975
- CENTRAL - UP 49% OVER FALL 1975
- SOUTHEAST - UP 65% OVER FALL 1975
- SOUTHWEST - UP 21% OVER FALL 1975

.APPLICATIONS BY TRANSFER STUDENTS SHOW DRAMATIC INCREASES OVER  
FALL 1975.

- TWO YEAR COLLEGE TRANSFER APPLICATIONS - UP 46%
- FOUR YEAR COLLEGE TRANSFER APPLICATIONS - UP 6%
- FOREIGN UNIVERSITY TRANSFER APPLICATIONS - UP 26%
- TOTAL TRANSFER APPLICATIONS - UP 21%

.WHILE APPLICATIONS RECEIVED BY OHIO SECTOR HAVE FLUCTUATED MATRICU-  
LATION RATES HAVE BEEN RELATIVELY STABLE.

.NET ATTRITION AT OHIO UNIVERSITY (INCLUDING GRADUATION, DROP,  
INTERRUPT AND TRANSFER OUT) HAS SHOWN A RELATIVELY STABLE  
PATTERN SINCE 1972. MINOR CHANGES SEEM RELATED TO INCREASED  
ENROLLMENT BY NON-DEGREE STUDENTS.

.HOUSING CONTRACTS RECEIVED TO DATE CONFIRM OTHER EVIDENCE OF  
MARKED INCREASE FOR FALL 1976 BY NEW OHIO FRESHMEN.

.ENROLLING PART-TIME STUDENTS AS A GROUP HAVE SHOWN AN AVERAGE  
INCREASE IN AGE FROM 24 IN 1971 TO 27 IN 1975.

- THIS TREND IS SIGNIFICANTLY MORE PRONOUNCED FOR  
WOMEN.

.AGE DISTRIBUTION FOR FULL-TIME STUDENTS SHOWS ONLY A MINOR IN-  
CREASE IN AVERAGE AGE FROM 1971 TO 1975.

- MOST OF THAT CHANGE IS DUE TO PRESENCE OF MORE OLDER  
WOMEN FULL-TIME STUDENTS.

.GEOGRAPHIC DISTRIBUTION OF PART-TIME UNDERGRADUATE STUDENTS FOR  
FALL 1975 SOMEWHAT MORE DIVERSE THAN FOR FALL 1971

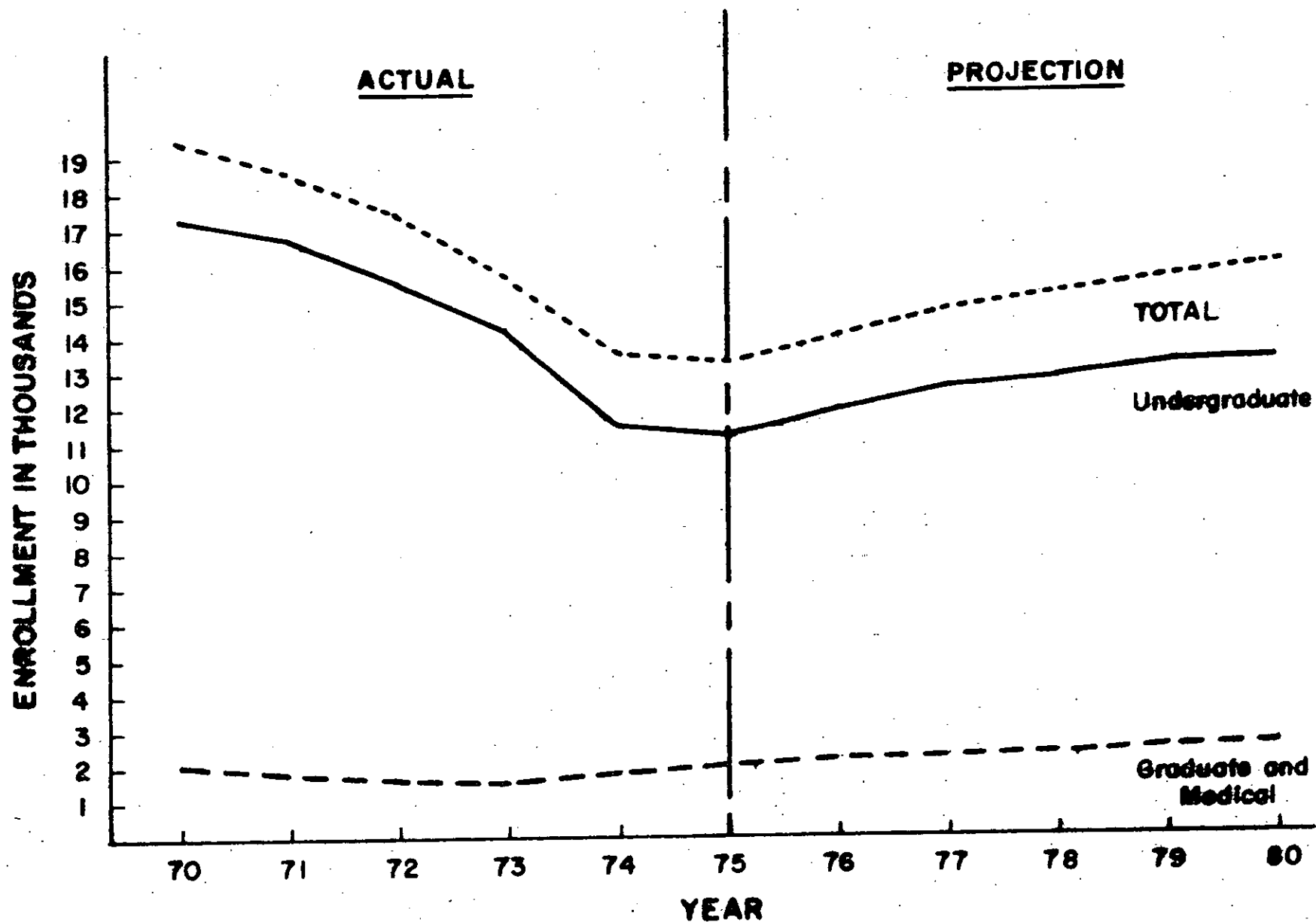
- 36% FROM ATHENS AND 41% FROM ATHENS AND FIVE  
ADJACENT COUNTIES IN 1971
- 26% FROM ATHENS AND 34% FROM ATHENS AND ADJACENT  
FIVE COUNTIES IN 1975.

.GEOGRAPHIC DISTRIBUTION OF PART-TIME GRADUATE STUDENTS WAS ALSO

SOMEWHAT MORE DIVERSE FOR FALL 1975 THAN FOR FALL 1971

- 34% FROM ATHENS AND 44% FROM ATHENS AND FIVE ADJACENT  
COUNTIES IN 1971
- 25% FROM ATHENS AND 44% FROM ATHENS AND FIVE ADJACENT  
COUNTIES IN 1975.

443



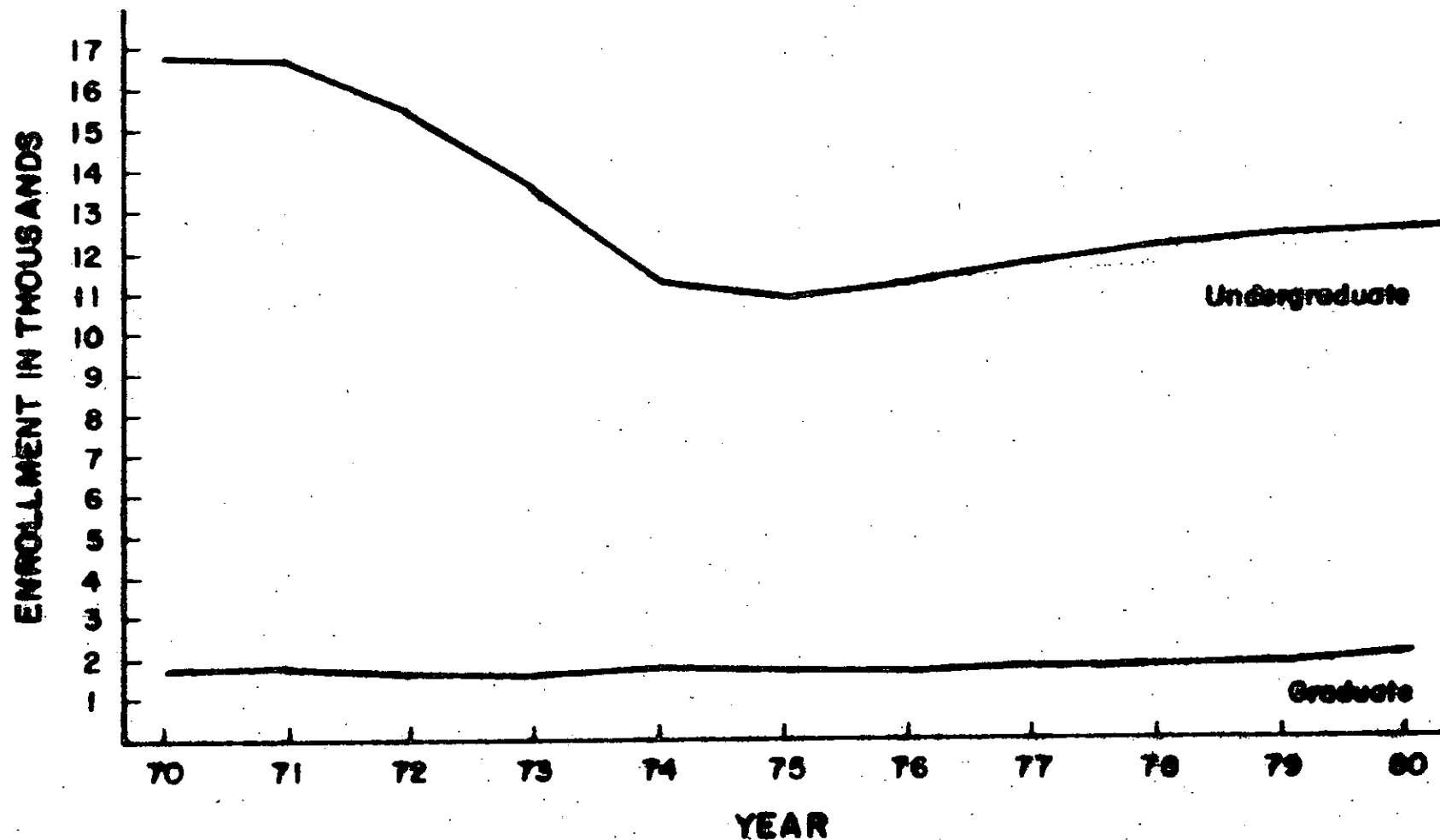
FALL HEADCOUNT ENROLLMENTS  
OHIO UNIVERSITY MAIN CAMPUS  
REGULAR AND CONTINUING EDUCATION

FALL HEADCOUNT ENROLLMENT PROJECTIONS  
OHIO UNIVERSITY--MAIN CAMPUS

	1975-76 Actual			1976-77			1977-78			1978-79		
	R	NR	TOTAL	R	NR	TOTAL	R	NR	TOTAL	R	NR	TOTAL
<u>REGULAR MAIN CAMPUS</u>												
Freshmen	2,991	612	3,603	3,716	736	4,452	3,781	748	4,529	3,807	765	4,572
Sophomore	1,763	329	2,092	1,731	346	2,077	1,983	356	2,341	2,070	366	2,436
Junior	2,082	340	2,422	1,982	325	2,307	2,071	357	2,428	2,234	369	2,603
Senior	2,000	326	2,326	1,928	314	2,242	1,838	302	2,140	1,894	301	2,195
Special	302	56	358	169	94	263	156	105	261	155	87	242
Total Undergraduate	9,138	1,663	10,801	9,526	1,815	11,341	9,829	1,870	11,699	10,160	1,888	12,048
Masters	867	577	1,444	871	579	1,450	895	595	1,490	920	610	1,530
Doctoral	188	177	365	185	175	360	190	180	370	198	182	380
Total Graduate	1,055	754	1,809	1,056	754	1,810	1,085	775	1,860	1,118	793	1,910
TOTAL REGULAR	10,193	2,417	12,610	10,582	2,569	13,151	10,914	2,645	13,559	10,277	2,681	13,958
<u>C.E.</u>												
Total Undergraduate	240	99	339	402	165	567	450	160	610	510	140	650
Total Graduate	164	27	191	262	43	305	295	45	340	300	50	350
TOTAL C.E.	404	126	530	664	208	872	745	205	950	810	190	1,000
<u>MEDICAL</u>												
	0	0	0	24	0	24	48	0	48	84	0	84
GRAND TOTAL	10,597	2,543	13,140	11,270	2,777	14,047	11,707	2,850	14,557	12,171	2,871	15,042

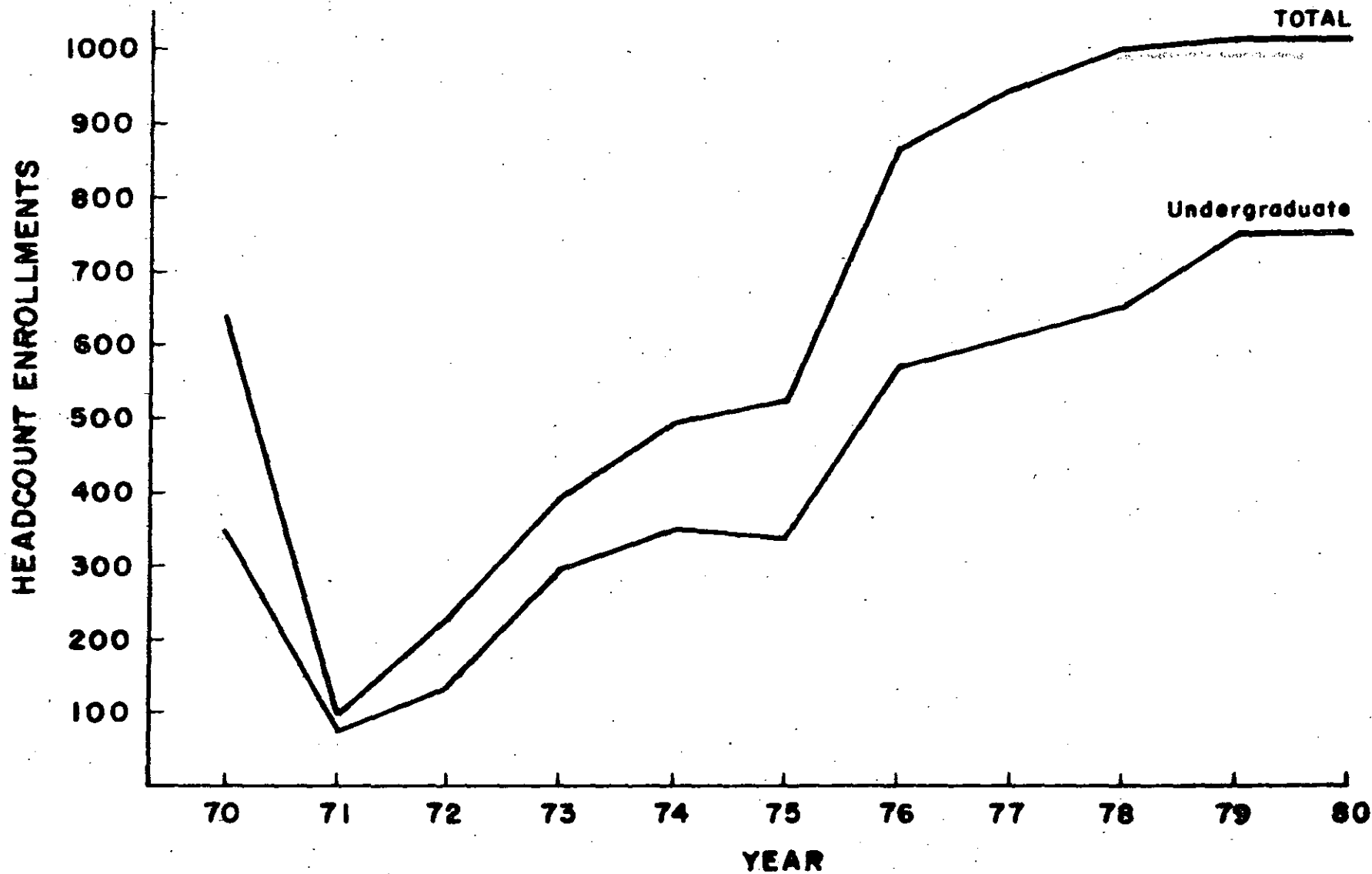
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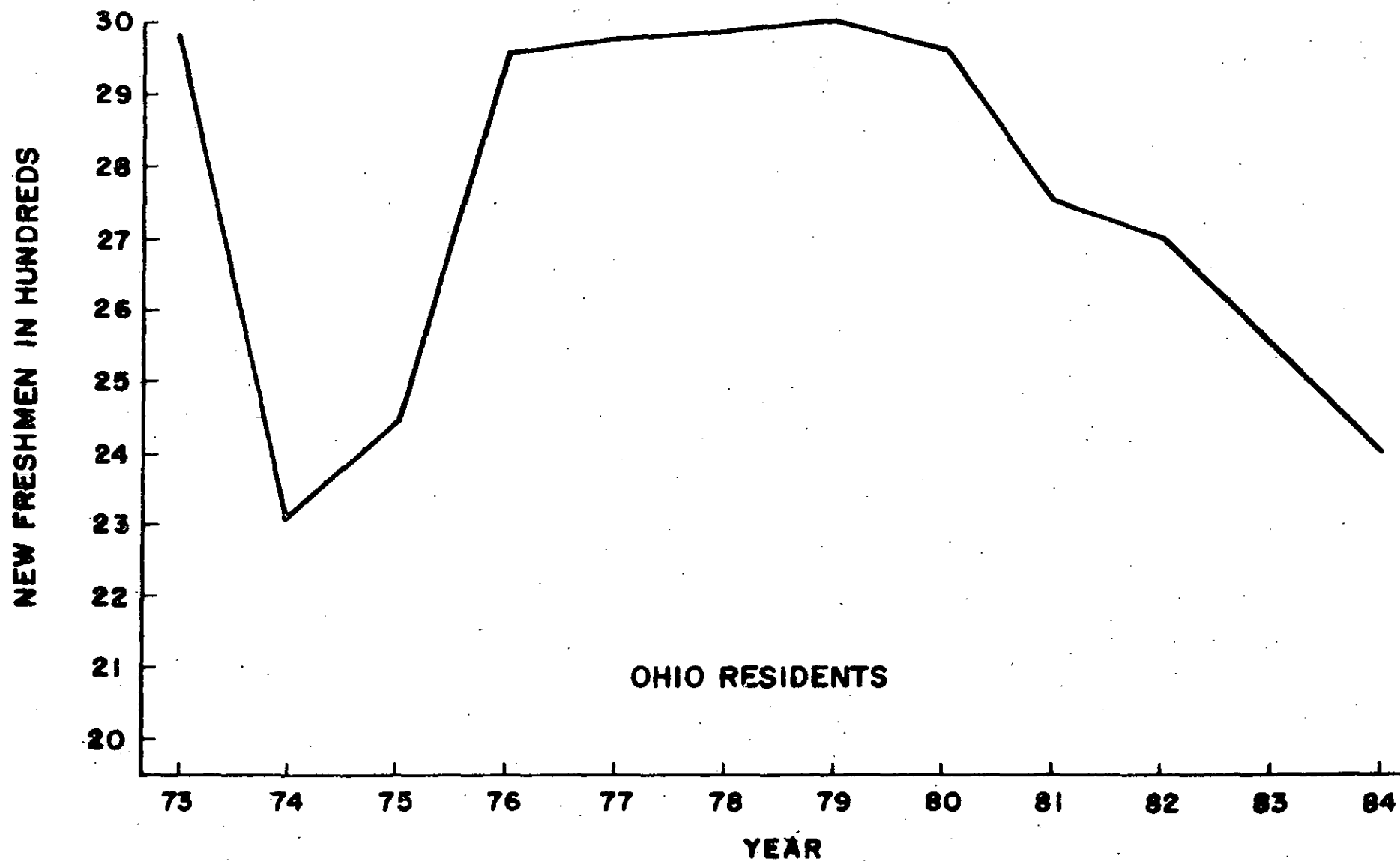
FALL HEADCOUNT ENROLLMENTS BY REGULAR MAIN CAMPUS STUDENTS  
OHIO UNIVERSITY MAIN CAMPUS

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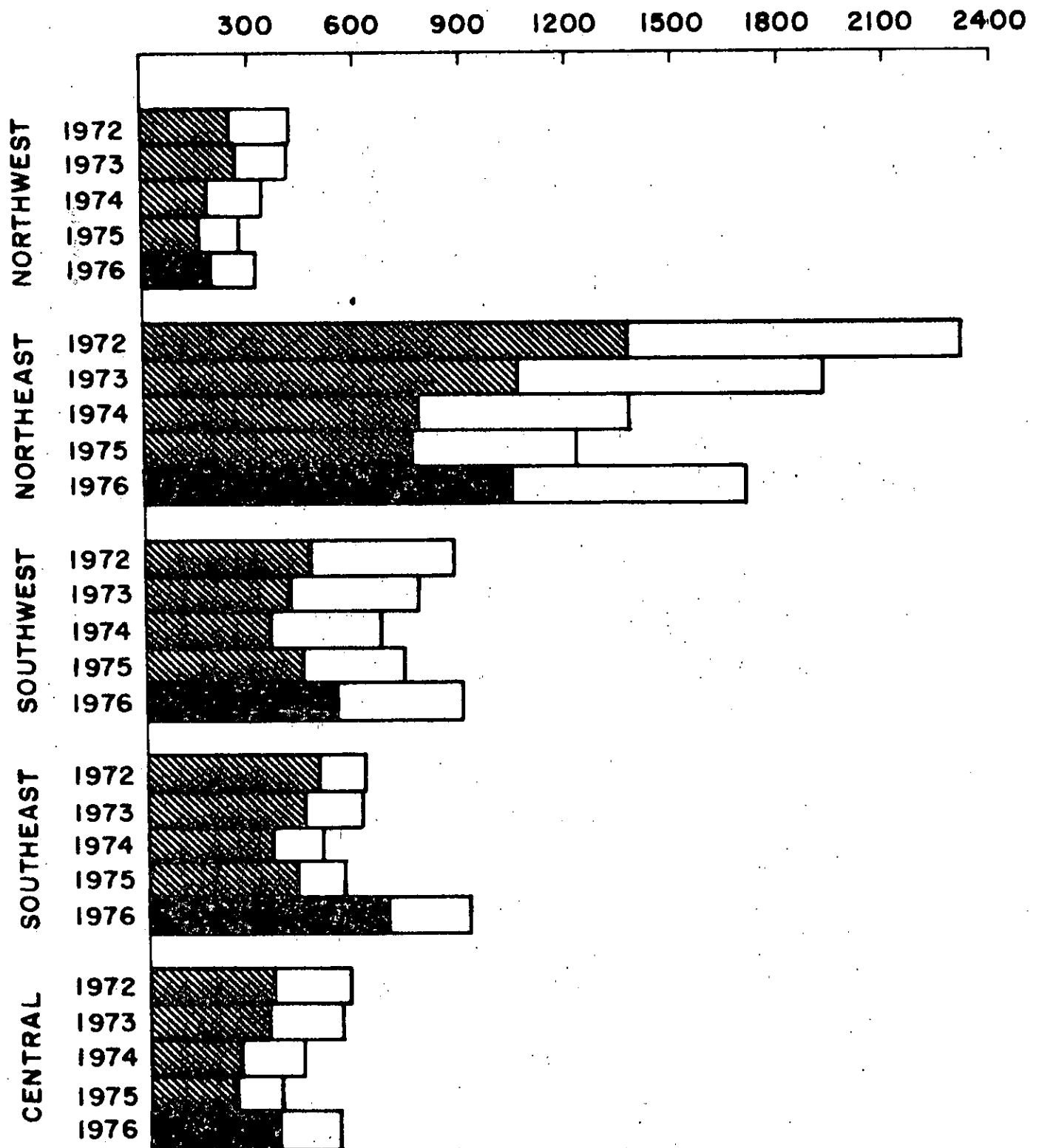
OHIO UNIVERSITY MAIN CAMPUS ENROLLMENTS  
IN CONTINUING EDUCATION





NEW FRESHMEN HEADCOUNT ENROLLMENTS  
OHIO UNIVERSITY MAIN CAMPUS

# COMPARISON OF APPLICATIONS AND ENROLLMENTS BY OHIO SECTOR 1972 - 1976





# TOTAL HOUSING CONTRACTS RECEIVED TO DATE

CONTRACT RECEIVED

7,000

6,000

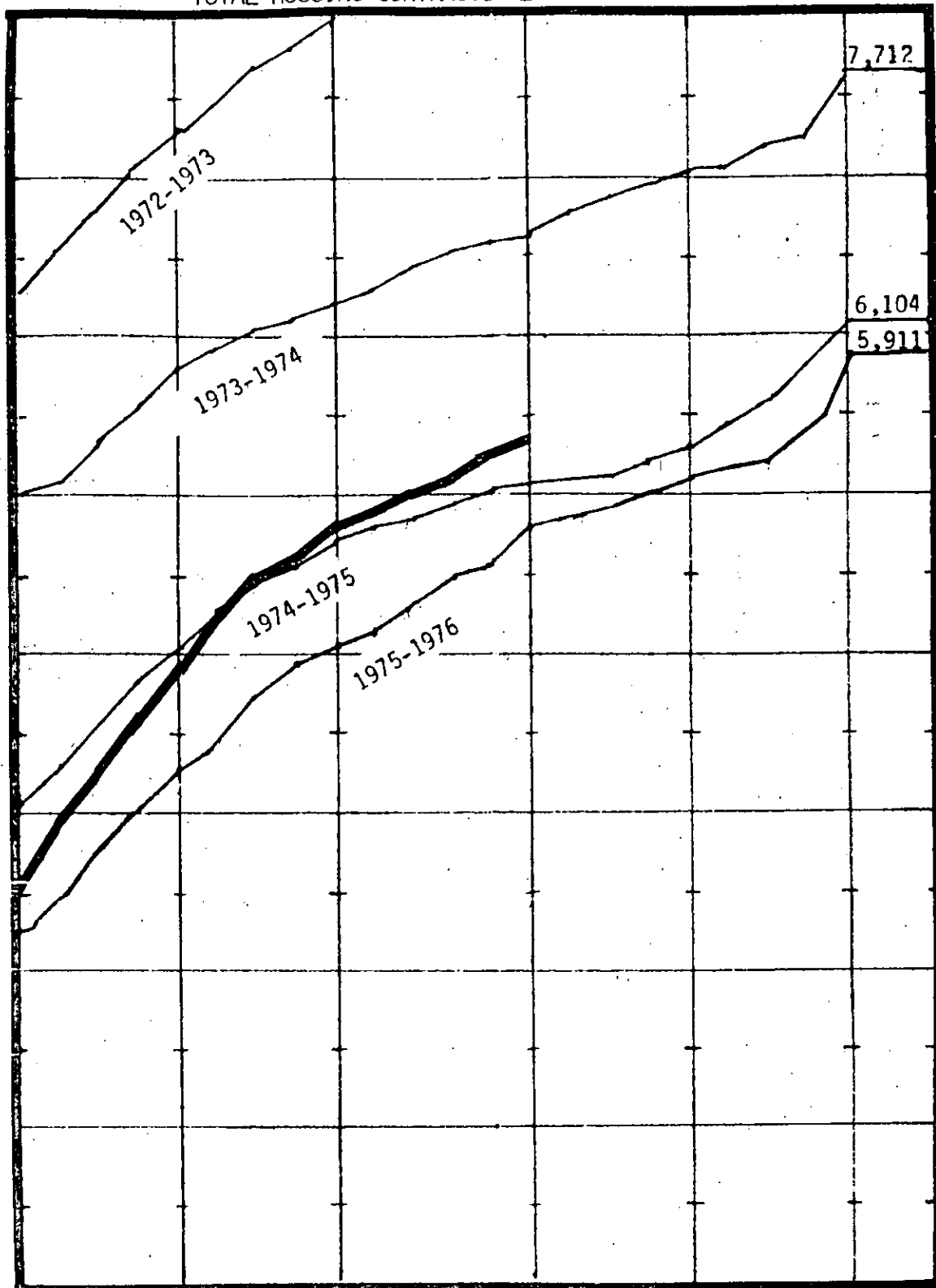
5,000

4,000

3,000

2,000

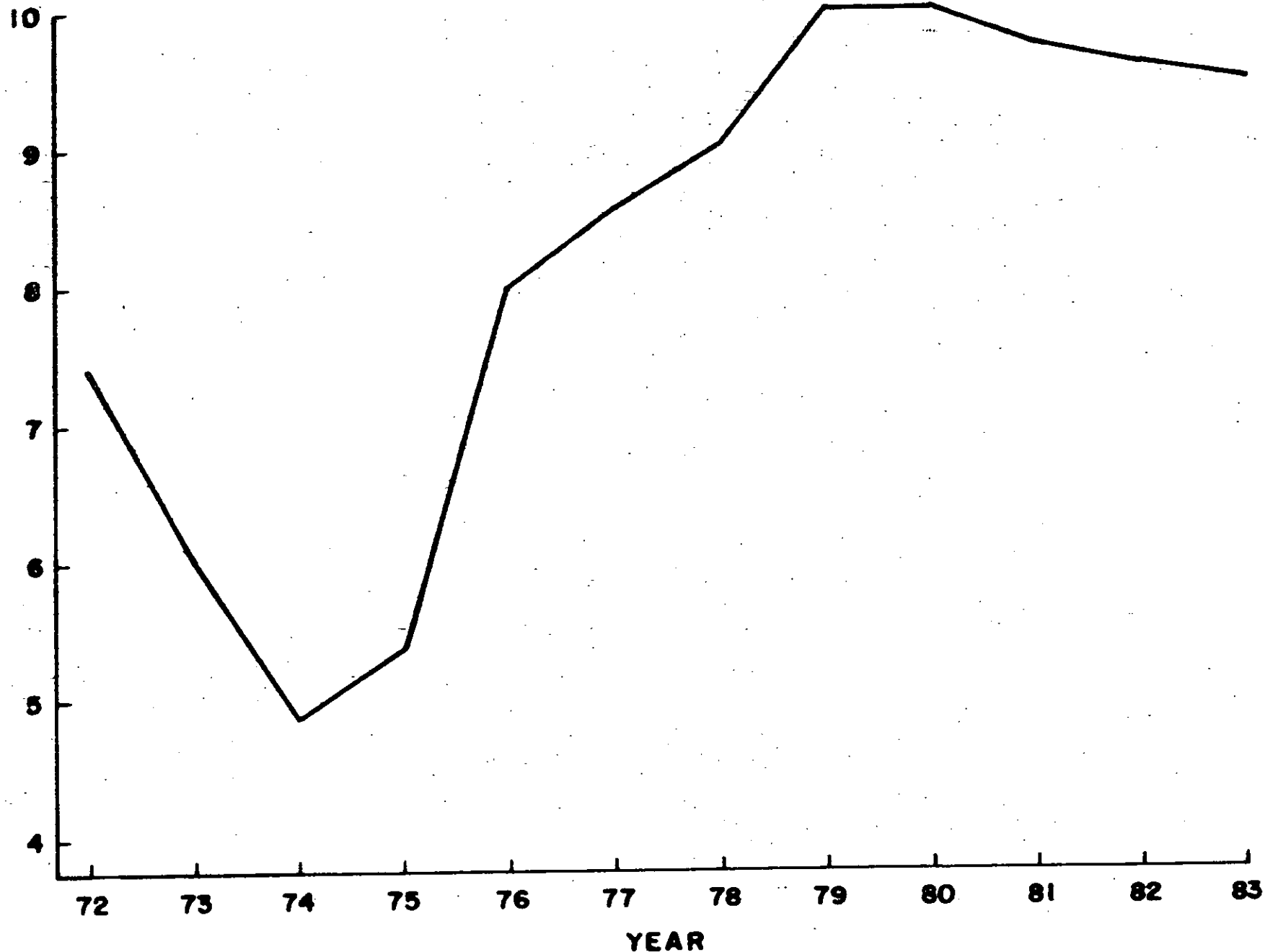
1,000



1 7 14 21 28 4 11 18 25 2 9 16 23 30 6 13 20 27 3 10 17 24  
MAY JUNE JULY AUGUST SEPTEMBER

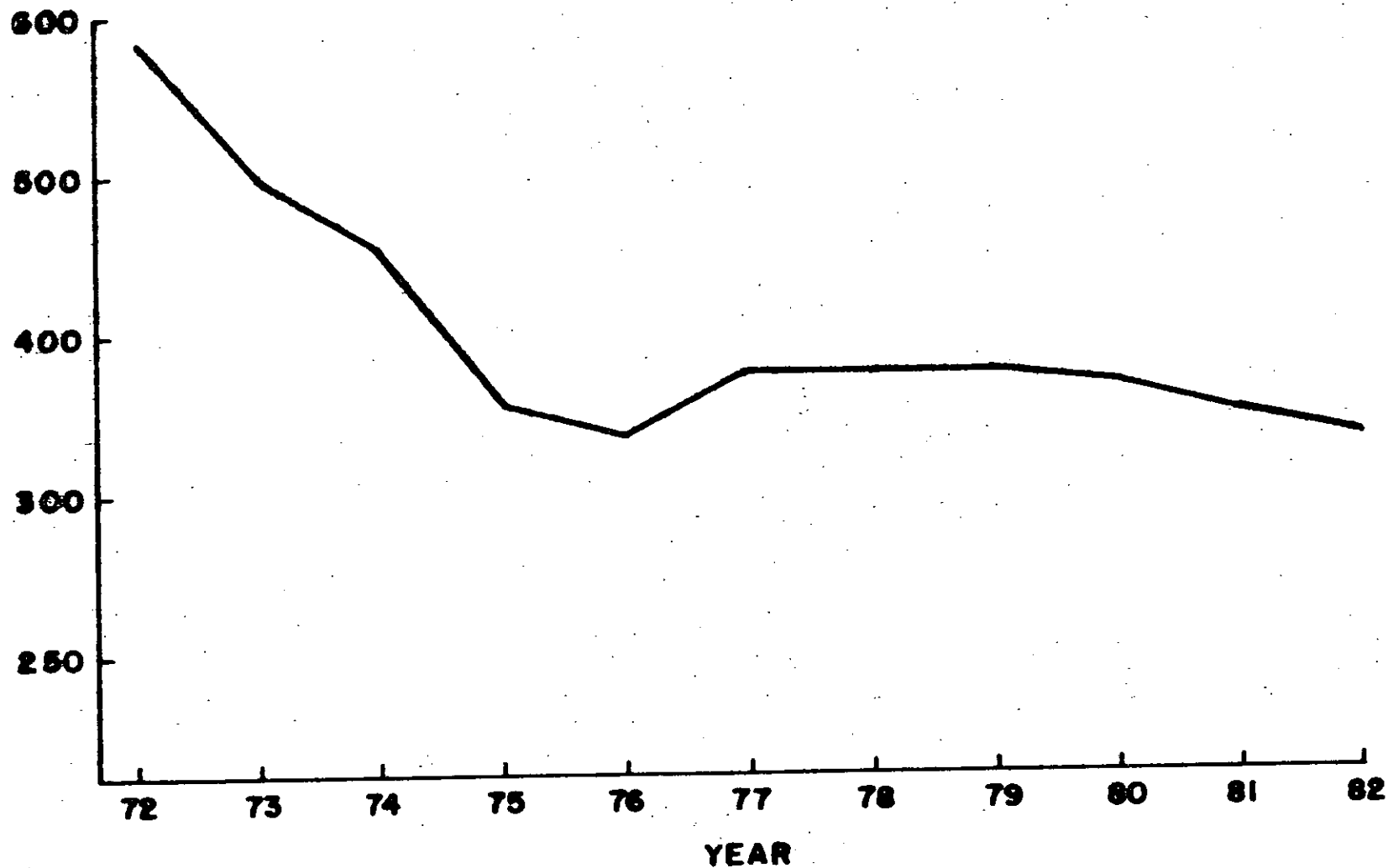
450

TRANSFER STUDENTS IN HUNDREDS



HEADCOUNT ENROLLMENT BY TRANSFER STUDENTS  
OHIO UNIVERSITY MAIN CAMPUS

HEADCOUNT ENROLLMENT BY RELOCATING STUDENTS



HEADCOUNT ENROLLMENT BY RELOCATING STUDENTS  
OHIO UNIVERSITY - MAIN CAMPUS

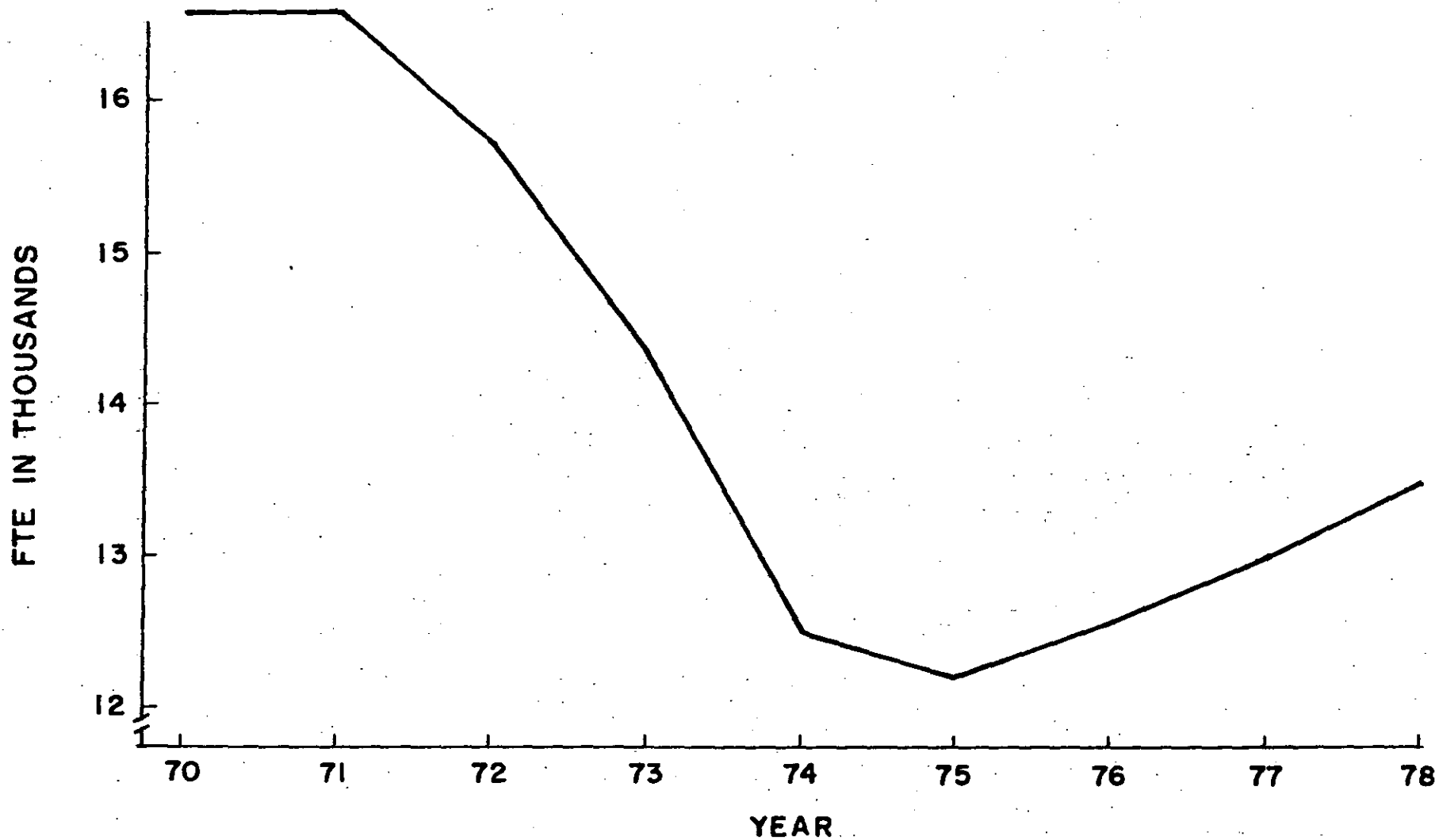
OHIO UNIVERSITY

SUBSIDY ELIGIBLE FTE FALL AND SUMMER FTE  
MAIN CAMPUS - RESIDENT CREDIT - CONTINUING EDUCATION

Year	General Study	Technical	Baccalaureate	Masters	Doctor	Medical	Total
1970-71	6,526	--	8,499	1,119	533	--	16,677
1971-72	5,046	--	9,978	1,208	450	--	16,681
1972-73	4,961	12	9,208	1,098	428	--	15,708
1973-74	4,555	--	8,333	1,138	331	--	14,356
1974-75	3,960	41	6,929	1,328	292	--	12,550
1975-76	3,893	68	6,603	1,395	288	--	12,247
1976-77	4,351	84	6,516	1,393	282	24	12,650
1977-78	4,513	88	6,677	1,426	288	72	13,064
1978-79	4,609	92	6,946	1,470	292	132	13,541

(CFH 6-4-76)

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FULL TIME EQUIVALENT ENROLLMENT  
MAIN CAMPUS - RESIDENCE CREDIT - CONTINUING EDUCATION  
OHIO UNIVERSITY



REGIONAL CAMPUS FALL HEAD COUNTS  
OHIO UNIVERSITY

BRANCHES	1974-75			1975-76			1976-77			1977-78		
	R	NR	TOTAL	R	NR	TOTAL	R	NR	TOTAL	R	NR	TOTAL
Belmont												
Undergraduate	589	5	594	789	18	807	750	10	760	726	10	736
Graduate	12	0	12	28	1	29	50	2	52	43	2	45
TOTAL	601	5	606	817	19	836	800	12	812	769	12	781
Chillicothe												
Undergraduate	603	7	610	833	8	841	875	8	883	919	8	927
Graduate	58	1	59	47	2	49	50	2	52	53	2	55
TOTAL	661	8	669	880	10	890	925	10	935	972	10	982
Ironton												
Undergraduate	268	1	269	324	--	324	400	--	400	425	--	425
Graduate	1	--	1	12	--	12	25	--	25	25	--	25
TOTAL	269	1	270	336	--	336	425	--	425	450	--	450
Lancaster												
Undergraduate	1092	7	1099	1102	9	1111	1190	5	1195	1200	7	1207
Graduate	78	5	83	93	4	97	95	4	99	96	4	100
TOTAL	1170	12	1182	1195	13	1208	1285	9	1294	1296	11	1307
Portsmouth												
Undergraduate	499	7	506	106	--	106	130	--	130	137	--	137
Graduate	19	0	19	65	1	66	45	--	45	47	--	47
TOTAL	518	7	525	171	1	172	175	--	175	184	--	184
Zanesville												
Undergraduate	715	5	720	797	3	800	836	3	839	868	3	871
Graduate	18	0	18	27	0	27	29	--	29	30	--	30
TOTAL	733	5	738	824	3	827	865	3	868	898	3	901
TOTAL Undergrad	3766	32	3798	3951	38	3989	4238	26	4264	4275	28	4303
Graduate	186	6	192	272	8	280	315	8	323	294	8	302
TOTAL	3952	38	3990	4223	46	4269	4553	34	4587	4569	36	4605

(CAW 6/10/76)

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# REGIONAL CAMP ENROLLMENTS

1970 - 1980

BELMONT Co.

CHILLICOTHE

IRON TON

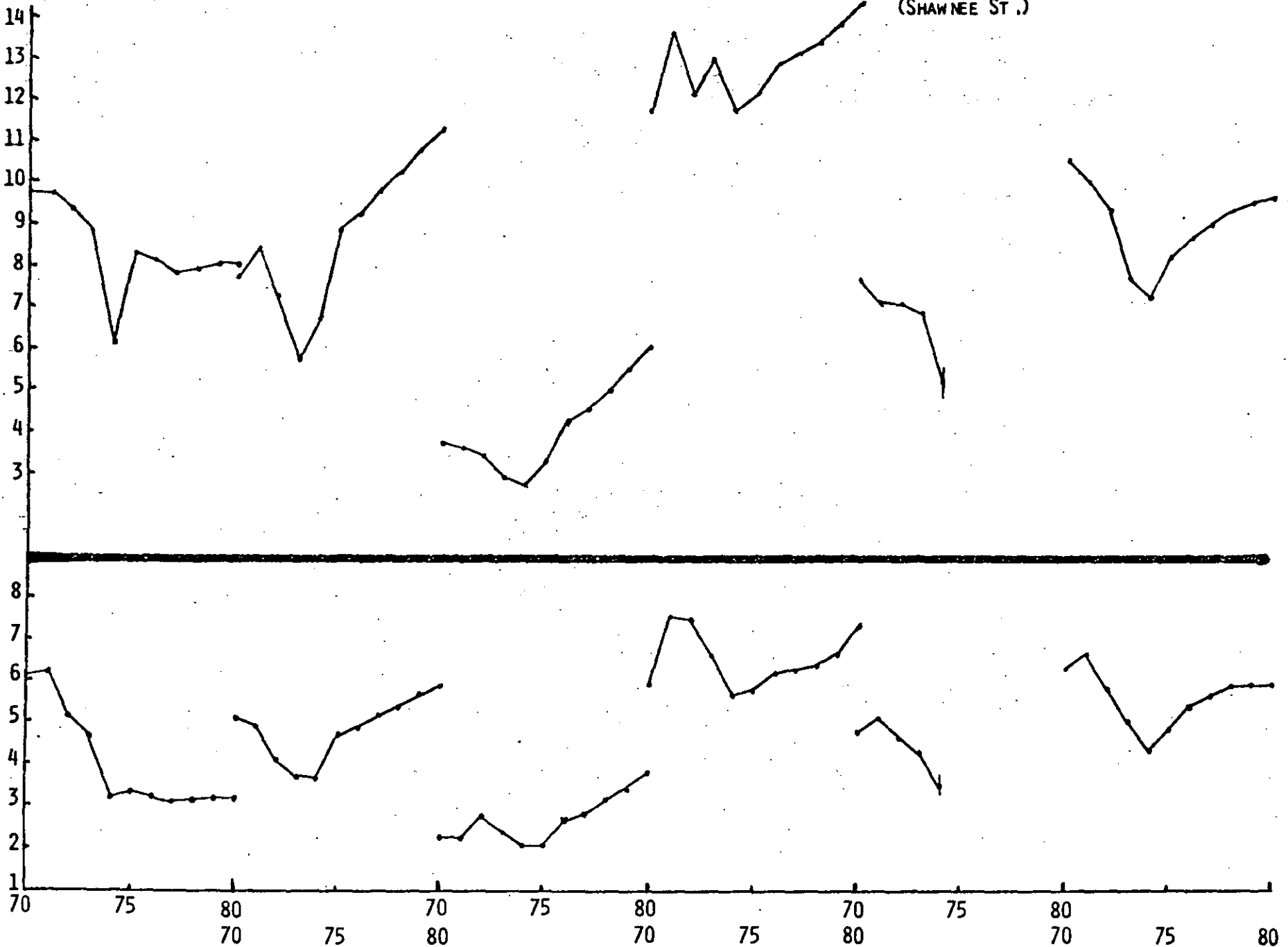
LANCASTER

PORTSMOUTH  
(SHAWNEE ST.)

ZANESVILLE

FALL QUARTER STUDENT  
HEAD COUNT  
(HUNDREDS)

FALL + SUMMER  
LOWER DIVISION & TECHNICAL FTE  
(HUNDREDS)



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## SUMMARY

## Salary Adjustment Pool

Amount

1.25% of the total raise pool (10%) was held in reserve for adjustments. This totaled \$134,573 for actual salaries and \$152,421 when benefits were included.

Priorities

1. Minorities and women were the highest priority category for review of possible inequities.
2. The next highest priority was given to those faculty within a year or two of mandatory retirement who were below the mean salary in their department or college by significant degrees given their total years of service to higher education and merit evaluations.
3. Any faculty member who was significantly below the mean given his total years of service to higher education was reviewed. Adjustments were made in the most extreme cases when it was clear the low rating was not the result of years of low merit ratings by his peers.

Technique

Various studies indicate length of service in higher education and merit judgments are major determinants of salary. Graphs were constructed for the faculty of each college which plotted years of service in higher education against salary. Minorities, women, and other individuals who fell significantly below the line produced by comparing salaries to length of service and who were not extremely below average in merit were reviewed for a possible adjustment.

Results

There were 242 requested adjustments.

	<u>number of adjustments</u>	<u>total dollars</u>	<u>average adjustment</u>
Priority Category 1	51	\$ 61,400.	\$ 1,204.
Priority Category 2	3	10,000.	3,333.
Priority Category 3	77	63,173.	820.
Totals	131	\$134,573.	\$ 1,027.



# REGIONAL HIGHER EDUCATION SALARY INVESTIGATION RELATING TO ATHENS CAMPUS AND OTHER TWO-YEAR CAMPUSES

In response to the charge of Dr. James Bryant, Vice Provost for Regional Higher Education at Ohio University, the committee composed of John Kelbley, Glenn Mackin, Dee Mowry, Henry Winkler, and Robert Bovenizer, Chairman, began deliberations at Ohio University-Zanesville on Tuesday, July 20, 1976.

Dr. Bryant explained the 1.25% salary adjustments for the 1976-77 year. The priorities were:

1. Minorities and women were the highest priority category for review of possible inequities.
2. The next priority was given to any faculty member who was significantly below the mean given his total years of service to higher education was reviewed. Adjustments were made in the most extreme cases when it was clear the low rating was not the result of years of low merit ratings by his peers.

No clear sound rationale exists for where Regional Higher Education salaries are.

The committee decided to analyze:

1. Where we are,
2. How we got there by establishing weighting factors.

After this phase is completed for current faculty members, a look at faculty salaries from other similar institutions and the Regional Higher Education mission should provide additional light upon the hiring criteria, salary merit determinants, etc.

After these analyses, the Salary Committee plans to consider rationale for guidelines for the future and recommendations for correcting inequities.

Dr. Henry Winkler, Assistant Professor of Psychology at the Belmont County campus, will run a computer analysis of the current faculty salary data to assess the existing weighting factors such as university experience, four-year college, two-year college, related out-of-classroom experience, high school teaching (degrees), hours in discipline, rank, sex, years in rank, and other identifiable factors.

Committee members will be involved in reviewing relevant data.

- Mowry - AAUP faculty salary data
- Bryant - Regents and Ohio University studies
- Mackin - Literature and campus visitation
- Kelbley - Chronicle faculty salary data
- Bovenizer - Questionnaire preparation with Winkler and two-year campus data through discussion with academic administrators.

Dr. Tucker and Mr. McDonald have agreed to provide consultation to the committee.



## SUMMARY

### R.H.E. Salary Adjustment Pool 1976-77

#### Amount

1.25% of the total raise pool (10%) was held in reserve for adjustments. This totaled \$14,816 for actual salaries and \$16,734 when benefits were included.

#### Priorities

1. Minorities and women were the highest priority category for review of possible inequities.
2. The next priority was given to any faculty member who was significantly below the mean given his total years of service to higher education was reviewed. Adjustments were made in the most extreme cases when it was clear the low rating was not the result of years of low merit ratings by his peers.

#### Technique

The guidelines for the main campus in distributing the 1.25% were followed by the regional campuses as closely as possible. Graphs were constructed by campus and for the system showing the distribution of salaries. Trends are not indicated because of the limited number of years of service for branch campus faculty. There is a problem with Regional Higher Education salaries because they do not reflect years of service other than for those individuals with previous experience on the Athens campus. Women and other individuals whose salaries fell below the average were reviewed for possible adjustment. In addition, to making as many adjustments as possible this year, a continuing study has been undertaken to investigate Regional Higher Education salaries and suggest recommendations to the President.

#### Results

	<u>number of adjustments</u>	<u>total dollars</u>	<u>average adjustment</u>
Priority Category 1	4	\$ 3,750.	\$ 937.
Priority Category 2	20	11,066.	553.
Totals	24	\$ 14,816.	\$ 617.





OHIO UNIVERSITY

JUL 16 1976

Inter-Office Communication

DATE July 15, 1976

TO Charles J. Ping, President  
FROM James C. Bryant, Vice Provost for Regional Higher Education  
SUBJECT Design Technology Program

In the spring of 1974, the Ohio Board of Regents gave the Lancaster Campus preliminary approval to prepare proposals to offer technology programs in the following four areas: Accounting, Electronics, Manufacturing (initially called Industrial), and Drafting and Design.

Following this approval, advisory committees of faculty and staff of Ohio University-Lancaster and area industrial representatives met to study the feasibility of offering the programs.

Based on inquiries from prospective students and area employers, and surveys of student interest and employment opportunities, it is recommended by the advisory committee that a two-year associate degree program in Design Technology be initiated at the Lancaster Campus.

Regional campuses exist to make educational opportunity available to all who can benefit, offer programs to satisfy a variety of interests, aptitudes, backgrounds and to supply a proportional part of the manpower needs of the area's business and industry.

The Lancaster Campus of Ohio University recognizes its responsibility to provide high quality, two-year career-oriented programs specifically related to the educational needs of the area.

According to an analysis of Ohio's higher education enrollment pattern published by the Ohio Board of Regents, Fairfield County rates "good in participation rates of Ohioans living in the county that attend a publicly supported two-year institution of higher education," but only fair in participation in public two-year technology programs. Also, Ross, Pickaway and Fairfield counties rank thirtieth out of thirty-five in technology enrollees (by planning district), in Ohio's public two-year colleges.

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The nature and extent of technical programs in the county or area influences the amount of participation. It appears that students do not travel outside the area for this type of educational opportunity.

The majority of the graduates of the Design Technology will find employment in production industries. The program is intended to give a broad coverage of the design skills to permit graduates to adapt to the diversified industrial needs of the Fairfield County area. It should be noted that the emphasis is on design, in contrast to drafting, and one objective is to give draftsmen the educational background to become designers. The graduate's background of general education plus specific skills and technical knowledge should permit rapid adaptation to the needs of the employer and to acquire, through on-the-job training, the requisite skills for advancement.

The graduate will be employed for various drafting and design positions such as design technician, product designer, engineering support and engineering-manufacturing liaison.

The implementation of the proposed two-year degree program in Design Technology will have one major expenditure, namely, instructional cost to handle the three new courses to be offered the first year and seven new courses the second year. Instruction for the first year will be provided by reallocation of faculty presently on the staff. However, a full-time faculty member will be needed for the second year.

Other expenditures will be primarily for instructional supplies, library books, and travel. The costs of the program as stated also include indirect costs which have been computed by dividing the number of incremental students enrolled because of this program into the budgets of the support areas of the campus.

Very little, if any, additional expenditure for equipment will be required for the program. Equipment required for specialized courses will be purchased from funds allocated for constructing and equipping the second building on the campus.

There are no "fixed" costs that would be attributable specifically to this program. Part-time faculty would not be hired, and full-time staff would teach in other programs if the pro-



Page 3  
IOC - C. Ping  
July 15, 1976

jected enrollment does not materialize. It is assumed, if enrollments materialize that fixed costs can, over a period of time, be reduced, because of a broader programming base. No new facilities or equipment are being ordered, and supplies could be used elsewhere.

The implications for the budget will be approximately \$1,000 for the first year and \$14,700 for the second year of the program.

The program was approved by the Ohio University Curriculum Council on May 25, 1976.

njr

PRESIDENTS OFFICE

JUL 9 1976

## OHIO UNIVERSITY

## Inter-Office Communication

DATE July 9, 1976TO The President and Board of TrusteesFROM John F. Burns, Director of Legal AffairsSUBJECT: The Ohio University Code of Conduct Revision

During the past academic year the Ohio University Code of Conduct and the policies and procedures involved in the Ohio University Judicial System underwent a thorough review and analysis to insure they were effective components in the effort to govern the University community.

This review was done under the auspicious of Dr. James Hartman, Associate Dean of Students, and involved input from a representative group of faculty, staff and students. The result of the review has been to recommend for your approval certain changes in the Code of Conduct and the Judiciary System. These changes primarily involve designating the new Code of Conduct as applicable only to students; clarifying the level of seriousness of violations set forth in the code; establishing guidelines for disciplinary sanctions and streamlining the judicial procedures in order that fair and timely disciplinary action may be exercised.

In making the recommendation that the revised Code of Conduct be applicable to students and not the entire University community, the Board of Trustees should rescind Resolution 68-6 that adopted the former Code of Conduct and made it applicable to all members of the University community. This action was taken at the September 19, 1968, meeting in response to Section 3345.21 of the Ohio Revised Code, which mandated the Board of Trustees of state universities to adopt such rules and regulations to preserve the good order on campus. In order to continue to comply with this statute, the Board of Trustees should adopt the new Ohio University Student Code of Conduct for students; and cite the provisions of Section II D 4a, of the Faculty Handbook, Section F of the Administrator's Handbook, and the state civil service law, Chapter 124 of the Ohio Revised Code, for classified employees, as the appropriate regulations and procedures affecting behavior matters of the faculty, staff and non academic employees.

It should be noted that all city, state and federal laws have and continue to be applicable to all members of the University community and are enforced by the law enforcement agencies in the community.

I have attached a resolution to approve these recommendations and if there are any questions regarding this matter, please contact me.

JFB:cb



OHIO UNIVERSITY  
Inter-Office Communication

DATE June 16, 1976

TO Charles J. Ping, President

FROM James S. Hartman, Assistant to the Vice President for Academic Services *James S. Hartman*

SUBJECT Proposed Code of Student Conduct and Judicial System

The attached document is the existing Code of Student Conduct and contains the description of the present judicial system. In this memorandum I have attempted to compare the new Code and the new system with what we presently have, insofar as it is possible. The Taskforce attempted to design a new approach to discipline rather than simply modifying the existing one.

*\* Only the proposed Code is attached.*

A. The Preamble

The new Code stresses to a greater extent the need for such a Code and emphasizes the responsibilities as well as rights of students. It also clarifies the issue of jurisdiction in that criminal offenses heard in local courts may also be reviewed by the Office of Judiciaries. In its emphasis on an educational approach to discipline, the two Codes are very similar.

B. Sanctions

The new Code defines sanctions prior to their actual use in defining offenses. The previous Code had defined such sanctions following a description of the offenses.

The new Code introduces an additional sanction (Conduct Probation) for particular use by the Residence and Administrative Judiciaries; this sanction is comparable to "probation" under the old Code. University disciplinary probation is a more serious sanction (comparable to "supervised disciplinary probation") and is imposed by the University Judiciaries. The proposed "official reprimand sanction" is comparable to the "disciplinary warning sanction" under the old Code. The "Other Sanctions" under the new Code limits use of fines and insures that room changes for disciplinary reasons are reviewed by the Director of Judiciaries. The new Code also formalizes the "Statement of Concern" and makes it part of the official judicial record.

C. Code Offenses

The new Code includes all previous offenses and categorizes them into Codes A, B, and C. It more clearly defines the suspendable offenses and limits the range of

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sanctions for such Code A violations from Expulsion to University Disciplinary Probation. The proposed Code A category reflects recent legal interpretations of menacing behavior and drug offenses.

An attempt was made to distinguish between felony and misdemeanor offenses and to have this reflected in the Code of Conduct. In the old Code no such attempt was made.

In Code C there are two new sections -- 1.) Violation of Rules Regarding Residence Halls and Dining Facilities and 2.) Unauthorized Use of Green Area.

Finally, the "Aiding and Abetting" offense is an addition under the new Code.

D. Statement on Emotional Stability Relating to Conduct

This is an entirely new section that has been needed, and in fact, it is currently being done in the absence of a policy.

E. Student Organizations Conduct

This is also a new section; at present, student organizations are subject to the provisions of the Code, but it is not so stated.

F. Amendments

This section is new and is designed to facilitate an ongoing review, evaluation, and change in the Code.

G. The Judicial System

1. The new system through the creation of a Residence Judiciary gives restricted authority to the three Green Coordinators to handle Code C offenses, which occur in the residence halls. It also clarifies the channel for off-campus offenders, namely through the Administrative Judiciary.
2. All suspendable offenses may be heard by the Director of Judiciaries or by a five person hearing board (3 students, 1 faculty member and 1 administrator). At present, the hearing board is composed of 5 students.
3. The University Appeals Board replaces the present appeal to the Vice President for Academic Services. In addition, the grounds for appeal are the same but there are specified conditions for ordering a new hearing.
4. Under the new system students are given three days notification in advance of the hearing. Under the present system, students are given five days.

5. At the Residence Judiciaries level, written or verbal notice can be given, and a hearing held within twenty-four hours of the notification.
6. Hearing policies and procedures remain basically the same.

There are other differences between the new and old Code and system, but they are not significant.

JSH/pr

Attachment

## OHIO UNIVERSITY STUDENT CODE OF CONDUCT

### PREAMBLE: STUDENT RIGHTS AND RESPONSIBILITIES ---

Ohio University, as an educational institution, has a special set of interests and purposes, the protection and promotion of which are essential to its effective functioning. These include a) the opportunity of students to attain their educational objectives, b) the creation and maintenance of an intellectual and educational atmosphere throughout the University, and c) the protection of the health, safety, welfare, property, and human rights of all members of the University and the safety and property of the University itself. The University has a clear responsibility in the area of student conduct to protect and promote the pursuit of its goals. The approach to conduct emphasizes the University's obligation to promote the personal freedom, maturity, and responsibility of students.

Students are expected to obey federal, state, and local laws and in addition, must abide by the rules and regulations of the University. The Ohio University Code of Conduct sets forth those acts which constitute unacceptable conduct for graduate and undergraduate students and visitors to the University. A student who violates the Code of Conduct will be referred to University Judiciaries. Any criminal offenses may result in criminal prosecution and referral to University Judiciaries.

Ohio University adheres to the concept of educational discipline. When a student is not a danger to the University community, and when a repetition of the misconduct is unlikely, the University will make every effort to educate the student through a sanction. But should a student demonstrate that he or she is unwilling to live in relative harmony with others, or is unwilling to obey the rules governing conduct, he or she will be treated the same as one who has failed academically, and will be separated from the University.

### SANCTIONS ---

A student admitted to Ohio University accepts the obligation to conform to such regulations that the University may promulgate. It is understood and agreed by such students that their failure to meet this obligation shall justify the imposition of such disciplinary sanctions as may be provided for, including, but not limited to expulsion, suspension, University disciplinary probation, conduct probation, disciplinary warning, or the imposition of reasonable fines. Although the University will make every reasonable effort to make the regulations available, the students are responsible for becoming familiar with such regulations, and acknowledge that they may be held accountable for misconduct even in the absence of such familiarity.

The various misconduct sanctions are defined as:

- A. Expulsion prohibits the student from ever attending and from being present without permission on the property of the main campus or any of the regional campuses of Ohio University.
- B. Suspension prohibits the student from attending and from being present without permission on the property of the main campus or any regional campus of Ohio University for the duration of the sanction, which shall not exceed a period of more than four full quarters following the effective date thereof. If required by the sanction, students who have been suspended must petition for reenrollment through the University Judiciaries.
- C. University Disciplinary Probation indicates to a student that his or her behavior has resulted in a sanction extremely close to suspension. It is imposed for a definite period of time. Any further misconduct on the student's part when on probation will in most cases result in suspension from the University.

University Disciplinary Probation also may place specific restriction and extra requirements on the student: These conditions will vary with each case. Extra requirements may include restriction from participation in intercollegiate athletics, extra-curricular, and residence life activities, or special requirements, not academically restrictive in nature, which are also intended to educate and contribute positively to a student's development. Generally, a student will be required to report periodically to a designated probation officer appointed by the Director of Judiciaries.

- D. Conduct Probation indicates to a student that his or her behavior has resulted in a sanction close to suspension, but that the student has demonstrated that he or she is unlikely to repeat the offense. It is imposed for a definite period of time. It is a written notice to the student with no specific restrictions or extra requirements placed on the student. Any further misconduct on the student's part when on probation may result in University Disciplinary Probation or Suspension from the University.
- E. Official Reprimand is an official notification to the student that his or her behavior has been unacceptable. Any further misconduct will result in the imposition of a greater sanction. This sanction is part of the official record, but is removed at the time the student completes the degree program in which he or she is enrolled.
- F. Other Sanctions: At the University Judiciary or Administrative Judiciary the hearing officer may impose appropriate sanctions, including but not limited to restrictions of student privileges, restrictions on right of access to campus facilities, work fines, reasonable monetary fines, and monetary payments for purposes of restitution due to damage or misappropriation of University or community members' property. At the Residence Judiciary the hearing officer may impose those fines set forth in Code C (7) offenses and may impose a disciplinary room change in consultation with the Director of Judiciaries.

- G. Statements of Concern: Resident Directors and other authorized officials of the Residence Life staff may issue statements of concern to a student for violation of Code C offenses, such statements shall be placed in the students' official disciplinary file and may be a basis for further disciplinary referrals. This sanction is subject to review by the Green Coordinator and the Director of Judiciaries. The statement of concern is removed at the time the student completes the degree program in which he or she is enrolled.
- H. Presidential Interim Suspension:
1. During a state of emergency declared by the President pursuant to his or her legislative authority under Section 3345.26 of the Revised Code, or at any time when the actions of a student violate University regulations to the extent that actual or threatened personal injury or property damage to the University community results, the Security Office will provide the President with information of (a) the events causing the emergency or threat to exist, (b) the names of students and their actions allegedly resulting in violations of University regulations and (c) a statement of the University regulations allegedly violated by the student or students.
  2. If the President determines from the information that there is sufficient probable cause that the student is a clear and present danger to the University community, he or she may interimly suspend the student, pursuant to Section 3345.24(b) of the Revised Code, pending a prompt hearing by the University Judiciary. The President shall also determine whether the interimly suspended student may or may not remain on University property pending his or her hearing.
  3. If the President interimly suspends a student, the Director of Judiciaries will immediately notify the student of his or her interim suspension and his or her hearing, according to the policies and procedures of the University Judiciary, including (a) a statement of the rules and/or regulations the student allegedly violated, (b) a specific statement of the operative facts constituting the alleged violation, (c) the time and place of the hearing and (d) a statement of the policies and procedures of the University Judiciary.
  4. A prompt hearing shall be held within at least one week (7 days) of the receipt of the interim suspension notice, with extensions granted by the Director of Judiciaries for "good cause." Unless excused, failure to appear may be considered grounds for suspension.
  5. If the University Judiciary decision is to suspend the student, the suspension takes effect from the time of the President's decision to interimly suspend him or her with the right to appeal as provided in the policies and procedures. If the University Judiciary decision is a lesser sanction, for purpose of the record the interim suspension shall be deemed not to have occurred.

## CODE OF CONDUCT

The following acts are defined by Ohio University to be unacceptable, with violations subject to disciplinary action as prescribed. The Director of Judiciaries will determine which judiciary body will have jurisdiction in hearing the case. The hearing officer shall have the authority to impose a sanction less than that specified for a given code category. A student who commits several related offenses at one time will have one hearing.

### CODE A OFFENSES:

A student found to have violated any of the following regulations shall be subject to the maximum sanction of EXPULSION, or any sanction not less than University Disciplinary Probation:

1. Academic Misconduct - Academic dishonesty, illustrated by but not limited to cases of cheating and plagiarism. Plagiarism can take many forms, but in essence it involves the presentation of some other person's work as if it were the work of the presenter. A faculty member has the authority to grant a failing grade in cases of academic misconduct as well as referring the case to the Office of Judiciaries.
2. Dishonesty - (a) Knowingly furnishing false information to the University by forgery, alteration or misuse of University documents or records with intention to deceive; (b) knowingly furnishing to a University office or to a University official a written or oral statement known to be false; (c) knowingly furnishing false identification to a University official.
3. Violation of Probation - Violation of the terms of probation while such probation is properly in effect.
4. Repeated Offenses - Violation of two or more Code B offenses or repetition of any offenses within three quarters included in the Code B.
5. Disruption/Obstruction - Knowingly and intentionally obstructing or interfering with the orderly conduct of University affairs including teaching, research, administration, disciplinary procedures or any University activities on University owned or controlled property; or intentionally obstructing the free flow of traffic, both pedestrian or vehicular on University owned or controlled property.
6. Intentional Bodily Harm; Menacing - (a) Intentionally inflicting bodily harm upon any person on University owned or controlled property; (b) intentionally taking any action for the purpose of inflicting bodily harm upon any person; (c) taking any action with reckless disregard that bodily harm could result upon any person; (d) threatening to use force to inflict bodily harm upon any person on University owned or controlled property; menacing a member of the University community (i.e., knowingly causing a person to believe that the offender will cause serious physical harm to one or one's property). Such behavior includes rape, sexual assault, or sexually threatening actions.

7. Intentional Destruction of Property - Intentionally damaging, destroying, or defacing University property or the property of any person while on University owned or controlled property.
8. Theft - Theft of property of the University, of a member of the University community, or of a visitor to the University, such act constituting a criminal felony.
9. Possession of Stolen Property - Knowingly possessing property that may be identified as being stolen from the University or from any other person or agency, such act constituting a criminal felony.
10. Forcible Entry - Forcible breaking or entering into any building, structure, or facility on University owned or controlled property.
11. Hazing - Hazing is defined as any act that causes, or is likely to cause, serious physical or mental harm or which tends to or actually injures, frightens, demeans, degrades, or disgraces any person.
12. False Report of Emergency - (a) Intentionally initiating or circulating a report or warning of an alleged or impending fire, explosion, crime, or other catastrophe. (b) Intentionally causing a false alarm of fire or any other emergency or causing the evacuation of any University building, knowing that the report or warning is false and likely to cause public inconvenience, alarm, or injury.
13. Misuse of Safety Equipment - Unauthorized use or alteration of fire fighting equipment, safety devices, or other emergency or safety equipment.
14. Interference with Emergency Evacuation Procedures - (a) Intentionally interfering with emergency evacuation procedures announced for any building on University owned or controlled property; (b) possession or keeping any dangerous chemicals or explosive devices of any description on University property.
15. Possession of Dangerous Weapons - (a) Unauthorized possession or keeping a firearm of any description, including such weapons as compressed airguns, pellet guns, illegal knives, or BB guns on University property (b) unauthorized possession or keeping any dangerous chemicals or explosive devices of any description on University property.
16. Manufacture, Distribution or Sale of Drugs, Narcotics, or Marijuana - The manufacture, distribution or sale of any illegal drug or narcotic, including but not limited to barbiturates, hallucinogens, amphetamines, cocaine, opium, heroin, or marijuana on University owned or controlled property.
17. Possession of Drugs, Narcotics, or Marijuana - Illegal possession or unauthorized use of drugs or use of any illegal drug or narcotic, including but not limited to barbiturates, hallucinogens, amphetamines, cocaine, opium, and heroin. Possession of marijuana and hashish when such possession would constitute a criminal felony and serious misdemeanors.

18. Violation of Federal or State or Local Laws - (a) Violating a city, state, or federal law which (1) demonstrates the student poses a potential threat or danger to the University or University community or in which (2) the violation was directed toward another member of the University community or the University itself; (b) conviction for either a felony or repeated criminal misdemeanors.
19. Discrimination - Intentional public discrimination against a person on the basis of race, handicap, sex, color, creed, religion, political persuasion, or nationality.
20. Violation of the University Parietal Policy - Furnishing false information, or deliberate violations of the published University parietal policy.
21. Trespassing - Unauthorized entry to or use of University buildings and grounds.
22. Aiding and Abetting - Conspiring with or knowingly helping, procuring or encouraging another person to engage in the violation of Code A.

#### CODE B OFFENSES:

A student found guilty of any of the following acts shall be subject to the maximum sanction of University disciplinary probation, or any sanction not less than Conduct Probation.

1. Negligent Bodily Harm - (a) Failure to exercise reasonable care, thereby causing bodily harm to any person; (b) failure to exercise reasonable care, thereby creating a substantial risk of serious bodily harm.
2. Negligent Destruction of Property - Failure to exercise reasonable care, thereby damaging, defacing, or destroying property of the University or of any person on University owned or controlled property.
3. Unauthorized Use of Property - The unauthorized use of property of the University, on University owned or controlled property.
4. Repeated Offenses - Violation of two or more related Code C offenses or repetition of any offense within three quarters included in Code C.
5. Theft - Such an act constitutes a criminal misdemeanor. Theft of property of the University, of a member of the University community, or of a visitor to the University, such act constituting a criminal misdemeanor.
6. Possession of Stolen Property - Knowingly being in possession of property stolen from the University or from any other person or agency that may be identified, such act constituting a misdemeanor in a criminal court as defined by Ohio law.
7. Disturbing the Peace - Disturbing the peace and good order of the University by quarreling, wrangling, being intoxicated in public, or fighting.



8. Failure to Comply or Identify - (a) Failure to comply with directions of University police or any other law enforcement officers acting in performance of their duties and to identify one's self to these officers when requested to do so; (b) Failure to comply with the directions of University officials acting in the performance of their duties, and to identify one's self to these officials when requested to do so.
9. Negligent Snowball or Water Fighting - Water or snowball fighting upon University owned or controlled property which results in bodily harm to any person or damage to University property.
10. Unauthorized Use of University Keys - (a) Unauthorized making or causing to be made any key or keys issued for any building, laboratory, facility or room on University owned or controlled property; (b) Unauthorized use or lending of any key or keys issued to any person not authorized to use the building, laboratory, facility or room on University premises.
11. Misuse of Identification - Transferring, lending, borrowing, altering or otherwise misusing a student ID card.
12. Misuse of University Telephone - (a) Charging any long-distance telephone call or telegraph message to any telephone on University premises without proper authorization.
13. Aiding and Abetting - Conspiring with, helping, procuring or encouraging another person to engage in the violation of Code B.

#### CODE C OFFENSES:

A student found guilty of any of the following acts shall be subject to the maximum sanction of Conduct Probation, or any lesser sanction.

1. Possession of Marijuana - Possession of marijuana when such possession would constitute a minor misdemeanor at law.
2. Unauthorized Use of Alcoholic Beverages - (a) Possession or consumption of alcoholic beverages in any form on University owned or controlled property, except where authorized by University officials; (b) Failure to comply with state and/or University regulations regarding the use or sale of beer and/or intoxicating liquors on University owned or controlled property; (c) Disorderly conduct resulting from the illegal use or the abuse of alcoholic beverages.
3. Possession and/or Use of Fireworks - Possession or use of fireworks of any description on University premises.
4. Willful Indecent Exposure - Willful indecent exposure, including but not limited to exhibitionism and streaking by a person in a place where there are other persons to be offended or likely to cause emotional harm.

5. Gambling/Scalping - Illegal gambling at any time in any form, or the reselling of tickets to a recognized University function for a price higher than the price listed on the ticket.
6. Sales/Solicitation - Unauthorized sales or solicitation anywhere on University premises.
7. Violation of Rules Regarding Residence Halls and Dining Facilities - Violation of the following policies, rules, or regulations adopted for use in governing and operating Residence Halls and Dining Facilities, including, but not limited to:
  - (a) Visitation Policy
  - (b) Quiet Hours Policy
  - (c) Rules and regulations set forth in the Residence Hall guide
  - (d) Rules and regulations set forth in the Dining Hall guide.
  - (e) Rules and regulations set forth in the residence hall and/or campus telephone directory
  - (f) Rules and regulations and policies set forth in the housing contract. Violations of the housing contract may result in a fine being imposed, as well as restitutions for any damage caused. Such violations are (1) failure to register guests, (2) failure to use bedboards, (3) housing animals, (4) failure to comply with rules governing room inspection.
8. Unauthorized Use of Green Area - Unauthorized use of a Green or adjacent area as defined by the Residence Life staff for purposes of engaging in any activity so prohibited by notice given by the Residence Life staff.
9. Aiding and Abetting - Conspiring with, helping, procuring or encouraging another person to engage in the violation of Code C.

#### STATEMENT ON EMOTIONAL STABILITY RELATING TO CONDUCT:

A student charged with violating university regulations and who is regarded as evidencing psychological instability that may pose a threat to him or herself or to others or that interferes with his or her normal functioning or that of others may be referred by the Director of Judiciaries for a psychological evaluation by a university psychologist in the Center for Counseling and Psychological Services (or other qualified and authorized university mental health professional). Recommendations for evaluation of psychological functioning will be made to assist in making judiciary decisions but will not be made as a condition for avoiding any sanctions. If the student accepts such a recommendation, he or she will be informed that a written or oral report will be made to the University Judiciaries only if a release of information is authorized in writing by the student. If the student refuses the referral, the judiciary process may continue without benefit of a psychological evaluation. The Director of Judiciaries may choose to consult with a university psychologist in the Center for Counseling and Psychological Services about the student and if the behaviors in question are sufficiently extreme and documented and the student is suspended, a "medical hold" may be placed on the student's academic registration.

In those instances where a student's emotional instability, as judged by a university psychologist or other qualified and authorized university mental health professional, was an important

contributing factor to the act(s) leading to a suspension, a "medical hold" will be placed on the student's academic registration and be regarded as independent of the suspension. The "medical hold" will be cleared upon the student demonstrating to a psychologist in the Center for Counseling and Psychological Services that the emotional instability has been sufficiently resolved and that he or she could be expected to function within acceptable and reasonable limits.

#### STUDENT ORGANIZATIONS CONDUCT:

Student organizations are subject to the provisions of the Code of Conduct. If a student organization is reported to have violated University policies and procedures, University rules and regulations promulgated in the Code of Conduct, and/or city, state, and federal law, the Director of Judiciaries will accept referrals and after consultation with the Coordinator of Student Organizations and Volunteer Programs, and the President of the Student Senate will refer the case to the University Judiciary.

Cases involving Greek organizations will initially be considered by the Director of Judiciaries after consultation with the Chairman of the Greek Life Committee and the President of the Student Senate to determine if the case should be handled by the Greek Life Committee or University Judiciaries for serious cases of misconduct. In all cases considered by the Greek Life Committee, the Greek Life Committee is empowered to recommend to the Director of Judiciaries (for review and approval) sanctions against the organization including warnings, censure, probation, denial of pledging rights and termination of recognition for fraternities and sororities. In the case of the latter, the national organization will be so notified of the sanction.

Student organizations in violation of a University policy shall be subject to the maximum sanction of termination of recognition from the University, or any lesser sanction including, but not limited to restriction or suspension of the use of facilities and services of the University, suspension of the privilege to sponsor fund raising events, the loss of all funds allocated by the University, and restitution for damage.

#### AMENDMENTS TO THE CODE OF CONDUCT:

Proposed amendments shall be reviewed by the Director of Judiciaries and then submitted to the Dean of Students who will coordinate an extensive review of the amendments. This review shall include, but not be limited to consultation with the Student Senate and other appropriate bodies. The Dean of Students shall submit amendments to the President for action.

## THE OHIO UNIVERSITY JUDICIAL SYSTEM

### Sec. 1 - Purpose

The primary purpose of the Ohio University Judicial System shall be to help govern the University community by regulating student conduct and enforcing the Code of Student Conduct (hereinafter referred to as the Code), consistent with the principles of due process of law applicable to state universities.

### Sec. 2 - Establishment of Judicial Bodies

The Office of Legal Affairs shall have the administrative responsibility for the Ohio University judicial system. This system shall consist of a University Judiciary, and a University Appeal Board, which shall hear appeals from the University Judiciary; an Administrative Judiciary and one or more Residence Judiciaries. The Director of Judiciaries will monitor decisions of the Administrative and Residence Judiciaries.

### Sec. 3 - Jurisdiction

- A. University Judiciary - The University Judiciary shall have jurisdiction over all violations of Code A of the Student Conduct Code. This shall include, among other things, review of cases of students arrested and/or convicted of criminal offenses, presidential interim suspension, matters of academic misconduct, and felonious thefts. The University Judiciary shall also have jurisdiction over organizational conduct. A student or student organization may choose either to have a hearing at the University Judiciary by a University Hearing Board or a designated hearing officer, usually the Director of Judiciaries.
- B. University Appeal Board - The University Appeal Board shall hear appeals of students from decisions of the University Judiciary.
- C. Administrative Judiciary - The Administrative Judiciary shall have jurisdiction over Code B offenses and all Code C offenses not handled by Residence Judiciaries. Upon request by the student (written appeal within seventy-two hours) decisions may be reviewed by the Director of Judiciaries. In cases where the Director served as the original hearing officer, appeals will go to the University Appeal Board.
- D. Residence Judiciaries - The Residence Judiciaries shall have jurisdiction over all violations of Code C except for those handled by Administrative Judiciaries. The violations arise out of the residence halls and may result in a sanction less than university disciplinary probation. Upon request by the student, (written appeal within seventy-two hours) decisions may be reviewed by the Director of Judiciaries.

Sec. 4 - Composition

- A. University Judiciary - The University Judiciary is composed of either the Director of Judiciaries or his designee who shall be the hearing officer at an administrative hearing or a five-person university hearing board comprised of three students and one faculty member and one administrator. The Director shall serve as a non-voting hearing officer for the hearing board. The Director shall appoint on a rotating and random basis, a hearing board from a pool of twenty-three board members, who shall be appointed by the Committee on Committees for a one year term (Judiciaries Committee). The pool shall consist of thirteen students (10 at the sophomore level and above and three students at the graduate level), five administrators and five faculty members. Members are eligible for reappointment. Once five acting board members have been selected in accordance with the above procedures, and have agreed to serve on a case, three of the five shall constitute a quorum, assuring two of the three as students.
- B. University Appeals Board - The University Appeals Board shall consist of three members, including one faculty member, one student and one administrator. These three members shall be selected from the Judiciaries Committee, and shall be appointed for each appeal on a random basis by the Director of Judiciaries. The Director of Judiciaries shall also appoint one of the selected members as Chairperson, who shall have a vote in all appeals. The Director of Judiciaries shall appoint a member of the Judiciaries Committee, in the event that a board member cannot be present at an appeals hearing.
- C. Administrative Judiciary - The Administrative Judiciary shall consist of a single hearing officer, who shall be the Director of Judiciaries or a staff member of the University Judiciaries.
- D. Residence Judiciaries - The Residence Judiciaries shall consist of the three Green Coordinators, each of whom shall have jurisdiction over violations which occur on their specific green.

Sec. 5 - Judicial ProceduresA. University Judiciary

- 1. A student appearing before the University Judiciaries shall be notified in writing of the hearing at least three days (72 hours) in advance. This notification shall include (a) a statement of the rule of regulation the student allegedly violated, (b) a specific statement of the operative facts constituting the alleged violation, (c) the time and place of the hearing and (d) a statement of the policies and procedures to be followed at the hearing. If the student fails to appear at a scheduled hearing, and his absence is not excused by the panel the hearing may proceed without him. Hearings shall be closed unless specifically requested to be open by the student. Hearings can be rescheduled with permission of the Director of Judiciaries.

2. When charged with a violation of University regulations before the University Judiciaries, a student shall have the right by request to have his hearing before the Director (hereinafter referred to as an administrative hearing) or before a hearing board (hereinafter referred to as a board hearing), with the Director as hearing officer, and comprised of three students and one administrator and one faculty member. The Director may not overrule a student's selection of a board hearing, and he must honor a student's request to have an administrative hearing.
3. A student may request that any member of the Ohio University community, or his or her parents or legal counsel assist in his or her defense. The counsel may assist the student in presenting a defense at the hearing, according to the University Judiciary's procedures. If a student wishes to have legal counsel present at the hearing, he or she must inform the Director at least two days (48 hours) in advance of the hearing.
4. In cases before the University Hearing Board a student may ask for the removal of any member of the hearing board, except for the hearing officer, by showing written or verbal evidence of bias on the part of the member against him. A student's charge of bias will be determined as valid or invalid by the hearing officer. If bias is shown, the member will be excused by the hearing officer. A charge of bias on the part of the hearing officer may be submitted to the Dean of Students for review.
5. The student has the right to speak or not to speak on his or her own behalf, and the right to remain silent when questioned. At no time will the student be forced to speak against himself or herself or the right to remain silent be used against him or her. The student has the right to present any evidence on his or her behalf, including witnesses and written or testimonial character references. All written evidence must be presented to the chairman for authentication before admission.
6. The Director shall be non-voting hearing officer in all cases before a Hearing Board. If the Director cannot serve, he shall appoint a substitute hearing officer.
7. Three members shall constitute a quorum, provided that at least two students and one faculty member or one administrator are present.
8. An official record of all proceedings shall be kept by the Office of Judiciaries. The record shall normally be a tape recording of the hearing.
9. Hearings are adversary in nature, but are not court proceedings. The hearing officer, acting in an official University capacity, shall determine an orderly hearing process, insuring that the policies protecting the student defendant and other parties are upheld. The general operating procedure of a board hearing is as follows:

- a. The hearing officer shall instruct the hearing board of its obligation to make a factual determination of whether the student's actions violated the charged University regulations and, if so, make a decision as to what sanction should be recommended. Also, the hearing officer shall insure the student understands the policies and procedures of the hearing.
  - b. The University and student shall present their evidence as to the alleged violation. The University's evidence shall be presented by a staff member in the University Judiciaries or other University official. The hearing board members and the hearing officer may examine all written evidence and question all witnesses.
  - c. The hearing officer has the responsibility to insure the orderly conduct of the hearing and development of the evidence. He shall rule on all procedural questions raised during the hearing and on the admissibility of all evidence to be presented so far as possible according to principles of the law of evidence.
  - d. The student and the University shall have the right to confront, examine and counter all the evidence, including the right to examine all written evidence and cross-examine all witnesses. The student has a right in the process of the actual hearing to request the names of all witnesses against him.
  - e. After the evidence has been presented, the University and the student, in this order, shall summarize their positions. The hearing officer shall instruct the hearing board of its responsibilities to determine by majority vote whether from the preponderance of the evidence there was a violation of University regulations and, if so, what sanction should be imposed. The board shall then go into closed session to make these determinations.
  - f. The majority of the hearing board shall determine whether the student committed the offense. In the case of a tie vote, the hearing officer casts the deciding vote. Afterwards they vote on the sanction to be recommended. The hearing board shall consider the following things in making its decision: (1) the evidence presented at the hearing; (2) the prior disciplinary record of the student; (3) disciplinary precedent and (4) the guidelines specified in the Code of Student Conduct as detailed by the hearing officer.
  - g. If the hearing board determines that a sanction is to be recommended, the board will inform the student and the hearing officer of its decision. Individual board members may speak to the recommended sanction. The hearing officer will have the responsibility to place a sanction on the student and will accept the board's recommendation if reasonable; but he may approve a different sanction as determined by the criteria specified in paragraph f.
10. At an administrative hearing the same procedures apply with the hearing officer determining whether a violation of the Code of Conduct occurred and the sanction to be imposed.

## B. University Appeal Board

1. The student has a right to file a written appeal within 72 hours after notification of sanction imposed by the University Judiciaries to the University Appeal Board on the grounds of (a) new evidence, (b) a defect in judicial procedures and (c) inappropriate sanction or unfairness. Appeal board members cannot be persons who served on the original hearing board. The Appeal Board shall, by majority vote, grant a hearing or deny the appeal. If the hearing is granted, the appeal may be (a) denied, upholding the sanction, (b) granted, and a new hearing ordered or (c) granted, and the sanction changed. The board will be limited to ordering a new hearing to the extent that in their judgment a defect in the original hearing is found which was sufficiently substantial to have changed the outcome in a significant manner. In considering the evidence presented at the hearing, it will order a new hearing on the merits only if a reasonable person could not have found as the hearing board did find on factual matters; and in considering the change of the sanction by reducing or increasing it, it will do so only in the clear abuse of discretion by the hearing officer, not as a matter of substituting the board's judgment for that of the hearing officer for that of the hearing officer.
2. If an appeal is granted by the Appeal Board, the procedures for the appeal shall be as follows:
  - a. Once the written appeal has been granted, an appeal hearing will be held within a reasonable time not to exceed 7 days convenient to both the student and the Appeal Board.
  - b. An official record of the appeal hearing shall be kept by the Office of Judiciaries.
  - c. The student may be represented by a member of the University community, his parents or by legal counsel.
  - d. The student may present all reasonable new evidence or arguments to show the merits of his appeal, but such evidence shall not be considered as requiring a new hearing unless it shows that the members of the hearing board or hearing officer were unreasonable in their judgment, as to procedural fairness or sanction imposed. In this event the University Appeal Board can grant a new hearing.
  - e. The Chairperson shall determine the procedures of the appeal hearing and preserve its orderly operation and request the Director of Judiciaries to provide all pertinent information requested.
  - f. The Appeal Board shall make the final determination by majority vote as to whether the appeal will be (1) denied, upholding the sanction; (2) granted, and a new hearing ordered, or (3) granted, and the sanction changed.



g. Once the Appeal Board has made the determination, the student and the Director of Judiciaries shall be notified in writing of the decision by the Chairperson within 3 days.

h. Normally the decision of the Appeal Board is final. However, written appeals under extremely unusual circumstances may be made to the Office of the President.

### C. Administrative Judiciaries

1. The student appearing before the Administrative Judiciary shall be notified in writing of the hearing at least three days (72 hours) in advance, except in case of violation of Code C which requires 24 hour notice. This notification shall include (a) a statement of the rule or regulation the student allegedly violated, (b) a specific statement of the operative facts constituting the alleged violation, (c) the time and place of the hearing, and (d) a statement of the policies and procedures to be followed at the hearing. However, a student may waive the three-day notice and a formal hearing at the Administrative Judiciary level. If the student fails to appear at a scheduled hearing, without being excused, the hearing may proceed without him.
2. Hearings are adversary in nature, but are not court proceedings. The hearing officer of the Administrative Judiciaries shall determine an orderly hearing process insuring that the policies protecting the student are upheld. The general operating procedure of a hearing is as follows: The hearing officer shall insure the student understands the policies and procedures of the hearing. The University and student shall present their evidence as to the alleged violation, in that order. The University's evidence shall be presented by the complainant, with the student having the opportunity to examine all written evidence and cross-examine all witnesses. The student shall present his evidence, including evidence contradictory to the University's with the University having the opportunity to examine all written evidence and cross-examine all witnesses. The hearing officer may examine all written evidence and question all witnesses.
3. The hearing officer shall have the responsibility to insure the proper development of the evidence. He shall rule on all procedural questions raised during the hearing and on the admissibility of all evidence to be presented.
4. After the evidence has been presented, the University and the student, in this order, shall summarize their positions. The hearing officer shall determine whether from the preponderance of the evidence there was a violation of University regulations, and if so, what sanction should be imposed.

5. If a violation of University regulations is found, the hearing officer shall then, after his study, impose an appropriate sanction as determined by him from (1) the evidence presented at the hearing, (2) the prior disciplinary record of the student, (3) disciplinary precedent and (4) the guidelines specified in the Code of Student Conduct, Codes B and C.
6. The hearing officer shall forward a written report of his decision to the student and to the Director of Judiciaries for placement in the student's disciplinary file. Decisions of the hearing officer are subject to review according to the appeal procedure.

D. Residence Judiciaries

1. A student referred to the Residence Judiciaries shall have the case heard by the appropriate Green Coordinator, who shall have jurisdiction over violations of Code C offenses, provided these occurred in or affected the residence hall system specifically on the Green for which the coordinator is responsible. The coordinator has the right to transfer jurisdiction in a case to the Administrative Judiciaries with permission of the Director of Judiciaries.
2. Once a Green Coordinator has received a referral, he shall serve written or verbal notice (24 hours) to the student to appear at a specified time and place. Once a meeting is definitely scheduled, the case may proceed without the student should he fail to appear without being excused.
3. The Green Coordinator shall be responsible for insuring the student has a chance to present his position. Following presentation of the evidence that there was a violation of university regulations, the student shall be entitled to present testimony or evidence in his own behalf.
4. Upon determining whether a student violated University regulations by a preponderance of evidence, the Green Coordinator shall impose a sanction in accordance with guidelines specified in the Student Code of Conduct, Code C.
5. The Green Coordinator shall forward a written report of his decision to the subject and to the Director of Judiciaries for placement in the student's disciplinary file. Official reprimands and statements of concern are removed from a student's file at the time of completion of the degree program. Decisions of the Coordinator are subject to review by the Director of Judiciaries according to the appeal procedure.



## SUBJECT

POLICY FOR THE CONDUCT OF MEMBERS OF THE OHIO  
UNIVERSITY COMMUNITY

PROCEDURE NO.

03.001

PAGE NO.

1 of 2

DATE ISSUED

10/31/69

DATE EFFECTIVE

10/31/69

ISSUED BY

T. Culbert

APPROVED BY

C.R. Sowle

Policy:

WHEREAS, the Board of Trustees of Ohio University is charged by law with the responsibility of making rules and regulations for the University, and establishing policy governing the conduct of the members of the University community and visitors to the University. Specifically, section 3345.21 of the Ohio Revised Code requires that the board of trustees of each college or university which receives any state funds for its support shall adopt regulations for the conduct of the students, faculty, administrative staff, non-academic employees and visitors; and

WHEREAS, the Board of Trustees of Ohio University, in complying with the mandate of the statute referred to above, wishes to recall for the members of the University community and its friends the strong tradition of belief existing at Ohio University that freedom of inquiry and discussion is essential in a university community; and

WHEREAS, the Board of Trustees has long recognized the right of the members of the University community to hear speakers of their choice, and to speak and write and assemble peaceably without fear of reprisal; and

WHEREAS, the statute requiring the creation of these regulations clearly states that such regulations shall not restrict freedom of speech nor the right of persons on the campus to assemble peacefully. These regulations are not intended in any way to discourage or restrict freedom of speech, including criticism, expression of grievances or petition for redress of wrongs, real or fancied, so long as rights of freedom of speech and assembly are exercised in a lawful and peaceful manner.

NOW, THEREFORE, BE IT RESOLVED that in consideration of its responsibilities, the mandate of the statute referred to above, and the principles stated, the Board of Trustees of Ohio University does declare that the practices enumerated below are unacceptable conduct for members of the University community and visitors to the University.

1. Obstruction or disruption of teaching, research, administration, disciplinary procedures, or other university activities, including the University's public service functions or of other authorized activities, on University owned or controlled property;
2. Obstruction of the free flow of traffic, both pedestrian and vehicular, on University-owned or controlled property;
3. Physical abuse or detention of any person on University-owned or -controlled property or at any University-sponsored or -supervised functions, or conduct which endangers the health or safety of any person;
4. Theft or damage to property of the University or of property of a member of the University community or the property of a visitor to the University;

Meeting of Sept. 19, 1968 482



SUBJECT

POLICY FOR THE CONDUCT OF MEMBERS OF THE OHIO  
UNIVERSITY COMMUNITY

PROCEDURE NO.	PAGE NO.
03.001	2 of 2
DATE ISSUED	DATE EFFECTIVE
10/31/09	10/31/09
ISSUED BY	APPROVED BY
T. Culbert	C. R. Now

5. Unauthorized entry to or use of University facilities, including both buildings and grounds;
6. Violation of University established policies or regulations, including regulations in "Information for Students," the "Faculty Handbook" and other publications pertaining to student organizations, student, faculty, administrative staff, non-academic employees and visitors conduct, the use of University facilities or procedures concerning the time, place and manner of public expression;
7. Violation of rules governing residence in University-owned or -controlled property;
8. Use, possession or distribution of narcotic or illegal drugs on University-owned or -controlled property, except as expressly permitted by law;
9. Failure to comply with directions of University police and any other law enforcement officers acting in performance of their duties and to identify one's self to these officers when requested to do so;
10. Illegal or unauthorized possession or use of firearms, explosives, dangerous chemicals or other weapons on University-owned or -controlled property;
11. Failure to comply with the direction of University officials acting in the performance of their duties;
12. Disorderly conduct, breach of the peace, and aiding, abetting or procuring another to breach the peace on Ohio University-owned or -controlled property or at University-sponsored or -supervised functions.

Persons who violate these regulations may be ejected from University property, and suspended or expelled from Ohio University, or liable to legal prosecution, as may be appropriate for any individual member of the University community or any visitor to the University. Any penalties assessed as a result of the violation of any of these regulations shall be imposed according to due process.

The administration of Ohio University shall provide for administering and enforcing these regulations. This resolution shall be published in a manner reasonably designed to come to the attention of and be available to all students, faculty, administrative staff, non-academic employees and visitors. The administration of Ohio University is also empowered to take such action as may be necessary and is authorized by Section 3345.21 of the Ohio Revised Code in order to preserve good order on campus and prevent disruption of the educational functions of the University.



AUG 7/13

117-1-2

JUL 13 1976

Attachment 6 (1 page)

OHIO UNIVERSITY  
Inter-Office CommunicationDATE July 13, 1976TO The President and Board of TrusteesFROM John F. Burns, Director of Legal Affairs *JFB*SUBJECT: Law Enforcement on the Regional Campuses

During the past few years the Regional Campuses of Ohio University have each faced unique and serious problems regarding law enforcement on their campuses.

One of the difficulties in solving these problems has been the lack of clear and defined lines of authority for establishing and enforcing rules and regulations on the Regional Campuses. In consultation with Vice Provost Bryant and his staff it was decided to request the Board of Trustees to establish by Resolution a clear delegation of authority through the Office of the President, Provost and Vice Provost for Regional Higher Education to the Deans of the Regional Campuses. This delegation of authority will meet the requirements of Section 3345.21 of the Ohio Revised Code; specifically applying the pertinent provisions of the Ohio University Student Code of Conduct to students of the Regional Campuses and empower Deans of each Campus with authority to establish and enforce rules and regulations on their campuses consistent with University policies.

Attached is a resolution to accomplish this purpose. If there are any questions regarding this matter, please contact Vice Provost Bryant or I.

JFB:cb



I.

Title of the Program: Master of Arts in Social Sciences

Degree to be conferred: Master of Arts in Social Sciences

Administrative unit proposing program: The College of Arts and Sciences  
Ohio University, Athens, Ohio

Date of Submission: May, 1976

Recommend for Approval:

Bruce E. Steiner 5-19-76

Bruce E. Steiner  
Chairman, Curriculum Committee,  
College of Arts and Sciences

John G. Jewett 5/21/76

John G. Jewett  
Dean, College of Arts and Sciences

Helen Worstell 6-2-76

Helen Worstell  
Chairman, New Program Sub-  
Committee, University  
Curriculum Council

Norman S. Cohn  
Dean, Graduate College and  
Chairman, Graduate Council

Approved:

Joseph B. Tucker  
Chairman, University Curriculum  
Council

Approved by  
Curriculum Council  
on July 21, 1976.

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## II. Introductory Descriptive Statement:

The Master of Arts in Social Sciences is designed to meet the needs of graduate students who are members of professional groups employed in social science areas and who wish to study two or more disciplines within the social sciences rather than to specialize in one department. People such as those employed in either state or federal civil service positions, or who are engaged in social-science oriented publishing and editing, or in museum and archival assignments whose work uses knowledge of two or more of the social science disciplines, or teachers who are teaching in two or more of the social science fields will be given an opportunity in this degree program to strengthen their knowledge of the disciplines important to their professional requirements.

Since the need for such a program was first identified among social studies teachers and since that group undoubtedly is made up of the most easily identifiable and most clearly organized profession which may be served by the Master of Arts in Social Sciences degree this proposal was created with the advice and enthusiastic participation of classroom teachers and administrators at every stage of its development.

Specific interest for such a plan has been demonstrated in the convincing, positive response to a poll conducted last fall by the Ohio Education Association among teachers in Southeast Ohio. 48% of the 797 teachers responding to the OEA questionnaire, including social science teachers, declared they were interested in a Master of Arts degree program of study limited to the disciplines they teach. Social science teachers responded with special favor to a program of "interdisciplinary study in the Social Science Disciplines Including Appalachian Studies." (An Appalachian studies option is a part of this proposal.) The poll not only indicates a strong interest in such a program but projects a current demand of between 100 and 400 students if the appropriate courses are offered at the Athens and Zanesville campuses.

The curriculum will require a candidate to complete at least ten graduate courses and earn a minimum of 45 quarter credits. Each candidate will study two or three of the

social science fields. The plan is flexible enough so that a student can include two professional education courses or other relevant graduate electives in his or her program. The Appalachian studies option will be available as either a major or minor. Each student must include in his or her program one graduate survey course especially designed to present candidates for this degree with a comprehensive survey of recent scholarship in a discipline or field.

Social science graduate courses currently offered to Master of Arts candidates including seminars, colloquia, studies courses and methods courses will be included in the curriculum. Special graduate survey courses in disciplines or fields will be created. Several courses on Appalachia exist currently and some of these offerings will be revised for graduate level study.

The program will be presented by the current faculty of the social science departments.

### III. Need for the Program

- a. What is the local, regional, and national demand for graduates of the proposed program? Any statistical documentation would be most helpful.

Since it is anticipated that most students in this program will be active teachers, the graduates already will be employed when they graduate. For those teachers and others not employed while in the program the demand at any local regional or national level will be the normal market for well trained and experienced social science teachers or other professionals.

- b. What other schools within Ohio offer the same or similar program?

To the best of our knowledge no other schools in Ohio offer the same program.

- c. What Ohio University program comes closest to duplicating the proposed program?

There are no Ohio University programs which come close to duplicating the proposed program and that is a major reason for its being needed.

None of the social science departmental Master of Arts degree requirements allow out-of-department optional study beyond one or two courses. A social science teacher

who feels a need to study two or even three academic disciplines at the graduate level currently can study only one in the established programs.

The current Master of Education programs require a minimum of 45 hours, which include 11 to 15 hours credit in two required courses and a seminar or thesis in education. Professional education requirements quite properly make up most of the rest of the hours a Master of Education candidate must undertake, which leaves at best from 3 to 10 credit hours for academic subjects or cognate fields.

The College of Education currently is reviewing the components of its Master of Education program and in the 48 quarter hour requirement may propose that at least 24 graduate credit hours be in "academic and professional concentrations." Such a program would properly appeal to the teacher who wishes to study both an academic discipline and a program of professional education courses in research, curriculum and instruction studies and other education graduate courses.

A social science teacher who feels the need to study in depth two or even three academic disciplines at the graduate level could not do so under either the presently published Master of Education program or under the proposed revisions to that program.

- d. List departments or other academic units at Ohio University and elsewhere that received this proposal or earlier versions of this proposal.

The proposal originated with the Dean of the College of Arts and Sciences. The first draft was worked out by a committee of one Government professor, two History faculty members and four public school teachers. The latter group was made up of Mr. Merle Houdascheldt, social science teacher at Alexander High School, Mr. Eugene Brundige, social science teacher at Athens Middle School, Mr. George Tipton, social science teacher and chairman at Logan Middle School, and Mr. Robert Shamp, social science teacher and principal at Athens High School. Mr. Ed Jarik of the Ohio Education Association attended one committee meeting. The Ohio Education

Association constructed and distributed a questionnaire designed to determine teacher interest in a Master of Arts in Social Sciences program with an Appalachian studies option.

The draft proposal has been discussed by Dean Jewett with the social sciences department chairmen. He also has consulted the Dean of the Graduate College and has held a conference with the Dean of the College of Education and several members of the faculty of the College of Education.

- e. From what geographic area do you anticipate that students to the program will be drawn?

The students will be drawn primarily from the 27 counties of southeast Ohio. If this program is the only one of its kind in the state, it is likely that some students will come from other regions of Ohio as well. The questionnaire administered by OEA, which was limited in its distribution to the Southeast Ohio counties, indicates that if the program can be staffed at Zanesville as well as in Athens the potential for strong enrollment from Southeast Ohio increases considerably.

- f. How many students do you anticipate will enroll in the program in each of its first four years?

By the end of the first year at least 100 students should be enrolled and the possibility of 150 or more students is high. The OEA survey identified from 105 to 123 teachers who expressed a positive interest in a Master of Arts in Social Science with an Appalachian Studies option. 797 teachers from a variety of disciplines responded to the OEA questionnaire, which is slightly less than a 20% return of the 4,600 copies distributed. Since it is probable that many social science teachers did not even receive the questionnaire from their administrators, we cannot speculate on how many more are seriously interested beyond the conservative estimate we are confident of attracting now. If the program is publicized by a carefully prepared prospectus sent directly to social science teachers in southeast Ohio the response could be even

stronger than anticipated. If the program earns a good reputation for quality, for meeting teachers' needs and the needs of others, for careful administration, and if course schedules are arranged to accommodate the students, enrollment should grow rapidly. In ten years time at least 500 people should have graduated from the program.

g. To what extent will students in the program come from students who would enroll at this university anyhow? To what extent is it anticipated that enrollment will represent "new" (incremental) students?

Answers to these questions are difficult to make since they deal with the intangible realm of individuals' intentions. The consensus of the teachers who helped draft the program is that a large number of their colleagues who are not now pursuing graduate studies would be encouraged to enroll in this program since it seems to serve their perceived needs in a positive fashion. Other teachers who have had the program described to them confirm this consensus. It seems likely that almost all of those who undertake the program will be new students who otherwise would not enroll in either the regular academic discipline programs or the Master of Education program. If the program is the only one of its kind in Ohio, some students will come to us who would otherwise have gone to other universities.

#### IV. Curriculum

- a. List all courses that will be required, electives permitted, "field" requirements, and describe similar matters of curriculum.

Graduate level course in the social sciences disciplines which are appropriate for present Master of Arts degree programs, including seminars, colloquia, studies courses and methods courses, may be selected for study by a candidate for the Master of Arts in Social Sciences degree. This provision follows the long-established practice of the social sciences disciplines of allowing graduate students to choose from a broad selection of courses presented by each department rather than prescribing a rigid program sequence such as is appropriate in many technical fields.

Each candidate will be required to complete at least one graduate survey course in his or her major discipline, which is especially designed to present a comprehensive survey of recent scholarship in a special field of the subject. Such courses will be created once the program is approved, and since they will be unique in content for each discipline, no attempt has been made to list their titles or content in this proposal. It is likely that some departments will develop more than one such course and it is probable that some such presentations will be two-quarter offerings.

Number of hours required for completion of the program; sequence of courses:

A candidate for the M.A. in Social Sciences degree must complete a minimum of 45 graduate credit hours and ten graduate courses.

Major and minor fields for teachers will be chosen from social science disciplines which are recognized for teacher certification in social science (History, Government, Economics, Sociology, Anthropology, and Geography). The same fields will service the needs of non-teacher candidates. A student with fewer than 30 quarter undergraduate credit hours or 20 semester undergraduate credit hours in a field chosen as a major may be required to undertake a minimum of seven courses and 28 graduate credit hours in his or her major.

Elective courses beyond the major or minor requirements may be selected from any graduate course offered by the University for which a student is academically qualified.

Courses and credit will be distributed as follows:

1. A major of from five to seven courses and a minimum of 20 graduate credit hours.
2. One minor of from three to five courses and a minimum of 12 graduate credit hours;

or

Two minors. Each minor in this option will consist of a minimum of two courses and 8 graduate credit hours.

3. Optional electives. One or two courses for a maximum of 10 graduate credit hours.

Program distribution possibilities under this proposal:

- |  |   |  |
|--|---|--|
| (A) 7 course major<br>3 course minor                       | (B) 7 course major<br>2 course minor<br>1 elective course                   | (C) 6 course major<br>4 course minor                       |
| (D) 6 course major<br>3 course minor<br>1 elective course  | (E) 6 course major<br>2 course minor<br>2 course minor                      | (F) 6 course major<br>2 course minor<br>2 elective courses |
| (G) 5 course major<br>5 course minor                       | (H) 5 course major<br>4 course minor<br>1 elective course                   | (I) 5 course major<br>3 course minor<br>2 course minor     |
| (J) 5 course major<br>3 course minor<br>2 elective courses | (K) 5 course major<br>2 course minor<br>2 course minor<br>1 elective course |  |



### Typical Student's career program:

Student situation I. A high school teacher in Cambridge with seven years of full time teaching experience who teaches five classes each day as follows: two classes of American history, two classes of Problems of Democracy and one class of Introduction to Sociology. She holds a Bachelor of Science in Education earned in 1968 at Ohio University in the former Social Studies Comprehensive Program. She feels that her undergraduate hours in history give her the depth she needs for teaching American history courses but feels a need for greater strength in government and sociology. She also wishes to know more about the region in which she teaches since her home was Cleveland. She selects government as her major, sociology as her first minor and Appalachian studies as her second minor. Her career program could be as follows:

#### Major - Government:

Government 595: Graduate Survey of American National Government for M.A. of S.S. candidates*	5 cr. hours
Government 505: American Political Parties	5 cr. hours
Government 517: Legislative Processes	5 cr. hours
Government 576A: American Political Thought	5 cr. hours
Government 515: The American Presidency	5 cr. hours

#### Minor - Sociology

Sociology 522: The American Family System	5 cr. hours
Sociology 524: Urban Sociology	5 cr. hours
Sociology 525: Rural Sociology	5 cr. hours

#### Minor - Appalachian Studies

Sociology 506: Sociology of Appalachia*	5 cr. hours
Geography 522: Geography of Appalachia*	5 cr. hours

Total of 10 courses and

50 cr. hours

\*These courses do not currently exist. In the case of the Government Graduate survey course it will be created as a new course. In the case of the two Appalachian courses, there are undergraduate courses with these titles which will be revised for graduate level presentation.

Student situation II. A seventh grade school teacher in Meigs County has two full years of teaching experience. He teaches five classes a day which, through the school year, include American history and government and Ohio history and government. He knows that in three years the high school teacher who teaches three classes in American history and two in world geography will be retiring. He would like to be prepared to move into that position. He received his Bachelor of Science in Education at Ohio University under the Social Studies Comprehensive Program, which includes 36 hours of history and 22 hours of geography. He has a strong interest in the use of audio-visual materials for improving his effectiveness as a teacher. He selects history as his major, geography as his minor, and elects two courses in educational media. His career program could be as follows:

Major - History:

History 501A:	Graduate Survey of American History to 1865 for M.A. of S.S. candidates*	5 cr. hours
History 502B:	Graduate Survey of American History since 1865 for M.A. of S.S. candidates*	5 cr. hours
History 516B:	History of U.S. Foreign Relations, 1898-1939	5 cr. hours
History 516C:	History of U.S. Foreign Relations since 1939	5 cr. hours
History 518A:	Westward Movement - from the Atlantic Seaboard to the Mississippi	5 cr. hours
History 591B:	English History since 1688	5 cr. hours

Minor - Geography:

Geography 525:	Principles of Political Geography	5 cr. hours
Geography 560:	Cartography and Graphics	5 cr. hours

Electives - Education

EDCI 596:	Introduction to Educational Media	4 cr. hours
EDCI 597:	Production of Instructional Materials	<u>4 cr. hours</u>

Total of ten courses and 48 cr. hours

\*These courses do not exist currently and will be created if this proposal is approved. They provide an example of a two-course survey sequence and both courses of such a presentation would be required.

Student situation III. A student with an undergraduate major in history has been employed as a field representative for the Ohio Historical Society and assigned to work with county and municipal officials on the matter of preserving historical records of these political divisions and the preparation of such records for archival deposit. This representative is assigned to work in an 14-county area of southeast Ohio. He feels the need for training in state and local governmental processes and a better understanding of the region in which he is working. He chooses government as his major and Appalachian studies and sociology as minors. His program could be as follows:

Major - Government:

Government 596:	Graduate Survey of State and Local Government for M.A. of S.S. students*	5 cr. hours
Government 511:	Public Administration	5 cr. hours
Government 514:	Public Administrative Organization and Behavior	5 cr. hours
Government 581:	Modern Political Analysis	5 cr. hours
Government 591:	Research in Government	5 cr. hours

Minor - Appalachian Studies

Geography 522:	Geography of Appalachia*	5 cr. hours
Sociology 506:	Sociology of Appalachia*	5 cr. hours
History 517C:	History of Appalachia*	5 cr. hours

Minor - Sociology

Sociology 525:	Rural Sociology	5 cr. hours
Sociology 524:	Urban Sociology	<u>5 cr. hours</u>

Total of 10 courses and 50 cr. hours

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\*These courses do not currently exist. The Government 596 and History 517C will be created as new courses. For Sociology 506 and Geography 522, see footnote page 9.

Policy proposed on accepting transfer of credit from other institutions or other programs at Ohio University.

No more than 12 graduate credit hours or three graduate courses passed with a grade of B or better will be accepted for this program from other colleges or universities. All credit earned from other programs at Ohio University which are appropriate for this program may be applied toward completion of the degree.

- b. Provide a brief description of all required courses or semi-required courses.

Each discipline which accepts majors under this program will have to design a course or courses which will allow candidates to fulfill the requirement that they must complete at least one graduate survey course in the major which is especially designed to present candidates for this degree a comprehensive survey of recent scholarship in a field of the discipline. A one-quarter course will be appropriate for some disciplines; a two-quarter sequence will be appropriate for others. Some disciplines may be satisfied with one such course; other disciplines may have two or three such courses or sequences. The professional judgment of the departmental graduate curriculum committees and the faculty presenting these courses in each department must be respected regarding the design and content of the offerings. Therefore, it is not possible to present anything more than this general statement here.

There are no semi-required courses.

- c. How does this curriculum compare with that offered at other institutions with similar programs. Specifically, list at least two curricula of other schools offering similar programs, indicating how they compare with Ohio University.

In planning this program we studied similar programs at Eastern Michigan University and the University of Illinois. Both programs are of long standing with fifteen or more years of operational experience.

The Eastern Michigan 30-semester-hour program requires 12 semester hours in a major (four courses), 12 semester hours (four courses) selected from two social science disciplines other than the major and 6 elective semester hours (two courses) in social science or other graduate courses. The program is somewhat less flexible than the one proposed for Ohio University. Our program allows the option of greater concentration in a major. Eastern Michigan does not provide for graduate survey courses in the major and does not have an Appalachian studies option. It is administered by one professor and it has a lower grade point average admission standard than does our proposed program.

The University of Illinois program requires a social sciences major of four units. (A unit at the University of Illinois is roughly four semester hours of credit and may be earned in one or two courses.) Illinois' program also provides for either a single or double minor with no minor being less than two units. The total program requires 8 units which is approximately equivalent to 32 semester hours. Admission requirements are almost identical to the ones proposed for the Ohio University program. A committee is formed for each student in the program with the representative of the major field serving as chairperson. This committee supervises the program of the student and the chairperson supervises the writing of an "essay" by the student. Credit for the "essay" is a part of the 4 units earned in the major field. Each student must pass an oral comprehensive examination given by his or her committee.

The Illinois program does not provide for electives outside of social sciences nor does it include any equivalent to the Ohio University program's graduate survey courses.

The Ohio University program planners selected what seemed to be the strongest points of both the Eastern Michigan and Illinois programs and adapted them to Ohio University's graduate educational practices.

d. Is there an accrediting agency that accredits such a program?

There is no accrediting agency which accredits such a program.

#### V. Faculty and Instruction

a. Will present faculty, new faculty, or a combination of both be used?

The present graduate faculty of social science departments involved will be the instructors in this program.

b. What are the minimal qualifications expected of instructors in the program?

The minimal qualifications expected of instructors in the program will be a master's degree in the discipline taught and membership in the graduate faculty for master's degree work.

c. What is the tenure status of any identifiable current Ohio University faculty who are probable program participants?

Since any social sciences faculty member currently teaching master's level work may be involved in this program, tenure status as it applies to this program is known to the appropriate department chairperson, the academic deans and the provost.

d. What is the contemplated teaching load of faculty members?

Courses taught in the Master of Social Sciences program will form a part of current faculty members' regularly scheduled teaching loads. Those loads in terms of numbers of courses taught will not be increased as a result of this program.

e. What is the projected ratio of FTE students to FTE faculty?

8.25:1 assuming instruction by 4 FTE faculty and 100 enrollments (33 FTE students) in each of the Fall, Winter, and Spring quarters of 1976-77. The Regents' model load for instruction at this level is 7.00:1.

f. How will new faculty for the program be selected?

There will be no need to select new faculty for the program.

- g. Once the program is ongoing, what mechanism will assure that the principle of faculty control of the curriculum will be maintained according to procedures outlined in the Faculty Handbook?

The steering committee which will direct the program will be composed of a majority of faculty members and may be made up entirely of faculty members. This committee will be charged with making certain that the principle of faculty control of the curriculum is maintained.

#### VI. Admission requirements

- a. What are the criteria for admission into the program?

Applicants for admission to this program must have a bachelors degree, one year of employment experience in a social science field, or its equivalent.

Applicants must have an undergraduate grade point average of 2.75 for unconditional admission. Prospective students with a undergraduate G.P.A. below 2.75 are encouraged to apply but they may be admitted conditionally or may be refused admission.

- b. If the number of students seeking admission exceeds budget projections the plan is to limit admission to the number of students who can be taught by accepting the applications with the strongest credentials.
- c. Who will make admission decisions?

The steering committee which will be appointed by the Dean of the Graduate College and the Dean of the College of Arts and Sciences to direct the program will make admission recommendations. If necessary, the committee will consult the Deans or appropriate departmental graduate committee chairpersons in cases in which specific professional judgments may be appropriate or necessary.

#### VII. Administration

- a. Who will administer the program?

Since this is an interdisciplinary program involving mainly the College of Arts and Sciences the Dean of that college will share responsibility for administering

the program with the Dean of the Graduate College. They will appoint a steering committee, the majority of whom will be faculty members from disciplines involved in the program. They have the option of including administrators from the College offices on the committee.

The committee will approve admissions and supervise other aspects of the program including policies developed by the committee in conjunction with the Deans. The committee will review on a continuing basis each student's progress and will certify to the Dean of Arts and Sciences and Dean of the Graduate College that each candidate for the degree has fulfilled all degree requirements.

b. What will be the title of the administrators?

The steering committee members will have no special titles. The chairperson of the steering committee will have no special title other than Chairperson, Steering Committee for the Master of Arts in Social Sciences Program.

c. Will those persons have academic rank?

Since most or all members of the steering committee will be faculty members they will already have faculty rank and status. Any administrator who is a member of the steering committee may have faculty rank, but such rank is not a qualification for membership and membership does not qualify him or her for faculty rank.

d. Who will choose the administrative officers?

The Dean of the College of Arts and Sciences and the Dean of the Graduate College.

#### VIII. Timing and Evaluation

a. Has any external publicity about this program already been generated? If so, by whom and why? Have applications for admission already been entertained?

The poll conducted by the O.E.A. to determine teacher interest in such a program was given wide distribution in the 27 counties in Southeast Ohio and 797 teachers returned questionnaires. The opening paragraph on that questionnaire stated, "The Arts and Sciences faculty at Ohio University is developing a master's program in Social



Studies for Secondary school teachers which would include, as one option, courses in Appalachian studies." Thus, the fact that such a program is under consideration is well known.

However, the questionnaire was not intended to advertise the program and no further information about it has been distributed.

No applications for admission have been entertained.

b. When do you want the program to start?

Fall quarter or winter quarter of 1976-77.

c. What procedures or plans are being made to evaluate the program once ongoing?

The Deans of the College of Arts and Sciences and the Graduate College plan to review the progress of the program with the steering committee at the end of each academic year for the first four years of its operation. After that the Deans will determine if annual formal review is necessary.

The annual program evaluation will include a review of experience with admissions criteria, the major and minor option mix, student and faculty judgments on graduate survey courses, and any unforeseen problems which arise during the process of getting the program underway. The evaluation of the program at the end of the third year will be particularly thorough since by that time enough students will be participating and enough should have finished so that we can take advantage of the perspective gained to make any adjustments which experience indicates will be wise and beneficial.

#### IX. Budget and Financial

Revenues 1976-77 assuming fall quarter implementation:

1. Tuition	\$ 58,500 <sup>1</sup>
2. Subsidy	<u>\$135,166<sup>1</sup></u>
	\$193,666

<sup>1</sup> 100 incremental students, each of whom is enrolled for one 5-hour Masters II course in each of Fall, Winter, and Spring quarters.



Expenditures 1976-77 assuming fall quarter implementation:A. Direct Instructional Costs

## 1. Start-up costs:

Printing, publicity, postage	\$1200
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Mileage and stipend for steering committee chairperson	\$1700
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## 2. Continuing costs:

Faculty mileage	<u>\$3600</u> <sup>2</sup>
	\$6500

## 3. Faculty Compensation Costs

As indicated in Section V, the equivalent of four full-time faculty will furnish instruction for students in this program with the courses taught constituting their regular load. Since we cannot predict which faculty members will be involved in any given quarter, a projection of faculty compensation costs (salary plus benefits) must be made using average compensation for all faculty in the Social Science areas. On this basis, the projected average cost of faculty compensation is \$82,065. It should be noted, however, that these costs would be incurred even if this new program did not exist. This is true because all new classes will be taught by currently employed faculty members and existing course offerings will be maintained. In other words, students in this program will be genuinely incremental, with their courses not detracting from enrollments or course offerings in existing programs. Furthermore, students in the program will only rarely take electives outside the Social Sciences. Therefore, costs of instruction outside the area should approximate zero.

B. Indirect Costs

Indirect costs (overhead) are transferred or allocated to all programs in the University. In the College of Arts and Sciences, the (average) ratio of fully allocated costs to direct instructional costs for 1974-75 was 2.109. If one applies this ratio to the incremental expenses associated with this new program, the fully allocated incremental costs are computed to be \$13,709. If one includes the faculty compensation

<sup>2</sup> Assuming a maximum of 4 courses taught Fall, Winter and Spring Quarters at Zanesville.

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may be missing  
in some sets.*

costs in the calculation, the fully allocated cost of the program in 1976-77 is computed to be \$186,791. Again it should be noted that the faculty compensation costs would be incurred in any event with attendant indirect costs.

The actual effect of a new program such as this is to reduce the overhead costs to all existing programs.

Revenues 1977-78

1. Tuition	\$87,750 <sup>3</sup>
2. Subsidy	\$203,499 <sup>3</sup>
	<u>\$291,249</u>

Expenditures 1977-78

A. Direct Instructional Costs

1. Continuing costs:

Faculty mileage      \$3600<sup>2</sup>

2. Faculty compensation costs:

See item 3 of Expenditures 1976-77.

B. Indirect Costs

See 1976-77 entry.

For the incremental nature of students enrolled, see also III. g. (p. 6). Since the results of the OEA questionnaire indicate that students are likely to enroll in but 3 of 4 quarters and that they prefer the Fall, Winter and Spring Quarters, Summer Quarter enrollments have not been included in the above calculations.

<sup>2</sup> Assuming a maximum of 4 courses taught Fall, Winter, and Spring Quarters at Zanesville.

<sup>3</sup> 150 incremental students, each of whom is enrolled for one 5-hour Masters II course in each of Fall, Winter, and Spring Quarters.

## OHIO UNIVERSITY

## Inter-Office Communication

DATE July 13, 1976TO Dr. Charles J. Ping, PresidentFROM Wm. Charles Culp *WCC*SUBJECT EASEMENT TO COLUMBUS AND SOUTHERN OHIO ELECTRIC COMPANY

On May 21, 1974, the Board of Trustees authorized the Secretary to the Board of Trustees to execute an easement document which granted the Columbus and Southern Ohio Electric Company permission to construct a power line across University owned property located on East State Street when certain conditions for granting the easement had been met. In return for said easement, an agreement was reached whereby the Electric Company would transfer metering equipment to Ohio University which would benefit the University in terms of reduced electric bills of approximately \$2,000 per month.

The University has had the advantage of this metering equipment since August of 1970, but the easement has not been granted to the Columbus and Southern Ohio Electric Company to date because they have been unable to comply with conditions established by the Board of Trustees.

Upon my recommendation, the Board required Columbus and Southern Ohio Electric to obtain all required right-of-ways within the corporate limits of the City of Athens and ninety percent of all required right-of-ways outside of the corporate limits before the University would execute its easement. To avoid a possible conflict with local property owners, I suggested the establishment of these conditions so that the granting of an easement by the University could not be used as a bargaining point to obtain easements from other property owners.

Mr. Ballentine, Right-of-Way Supervisor for Columbus and Southern Ohio Electric, by the attached letter dated June 4, 1976, has requested the University to relax its previously established conditions and to execute the desired easement to Columbus and Southern Ohio Electric. As of this date, Columbus and Southern Ohio Electric has acquired two of three right-of-ways within the corporate limits and ten of twelve right-of-ways outside the corporate limits. Of the three remaining right-of-ways, Columbus and Southern Electric may be forced to appropriate two.

Given my belief that Columbus and Southern Ohio Electric has made a good effort to acquire the required easements, and given the fact that Ohio University has received benefits from the use of certain Columbus and Southern Ohio Electric equipment since 1970, I recommend that the Board of Trustees authorize the execution of the requested easement from Ohio University.

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Dr. Charles J. Ping  
July 13, 1976  
Page Two

I have attached materials on this subject that were previously considered by the Board on May 21, 1974. I have also included a proposed resolution for consideration by the Board on July 31.

WCC:jy

xc: ~~Mr.~~ Robert A. Mahn, Secretary to the Board of Trustees



# Columbus Southern

COLUMBUS AND SOUTHERN OHIO ELECTRIC COMPANY  
215 N. FRONT ST., COLUMBUS, OHIO 43215

Address reply to:  
Right of Way Supervisor  
P. O. Box 767 -- Station A  
Chillicothe, Ohio 45601  
614-773-2688

June 4, 1976

Mr. William Charles Culp  
Vice President  
Administrative Services  
Ohio University  
Cutler Hall  
Athens, Ohio 45701

RECEIVED

JUN 07 1976

Administrative Services

Dear Mr. Culp:

In regards to a proposed easement for a transmission line crossing the Ohio University Airport, the Ohio University Board of Trustees passed a resolution, January 21, 1974, stating, at such time as the Columbus and Southern Ohio Electric Company could confirm necessary easements, they would grant to the Company an easement for an electric transmission line from Strouds Run Substation to Elliott Substation.

Confirmation of the necessity of the easements is as follows:

1. One Hundred Percent (100%) of that portion of the proposed right-of-way would be within the corporate limits of the City.
2. Ninety Percent (90%) of that portion of the total right-of-way. - *outside the corporate limits of the City of Athens.*

We have, as of this date, all easements in the corporate limits, except one; that being across a lot on the north side of East State Street (U. S. Route #50), which we feel we will need to appropriate.

Also, as of this date, we have twelve of the total of sixteen easements, not including the easement from Ohio University. Of the three remaining, again not including the Ohio University easement, we may be forced to appropriate two.

The Ohio University has taken over, and has been using, certain equipment since 1973, saving a considerable amount of money on their electric bills. However, we still own this equipment, are carrying it on our inventory, and paying taxes on same.

We would appreciate the Trustees of Ohio University relaxing their pre-

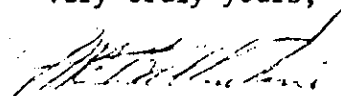
Mr. William Charles Culp  
Vice President, Administrative Services  
Ohio University

June 4, 1976

vious resolution, accepting our Bill of Sale, returning same to us, and granting us the easement. The Ohio University would then own the electric equipment, we could take said equipment off our inventory, and stop paying taxes on same. This procedure would thereby clarify the existing situation.

It is my sincere hope that you will find my request acceptable.

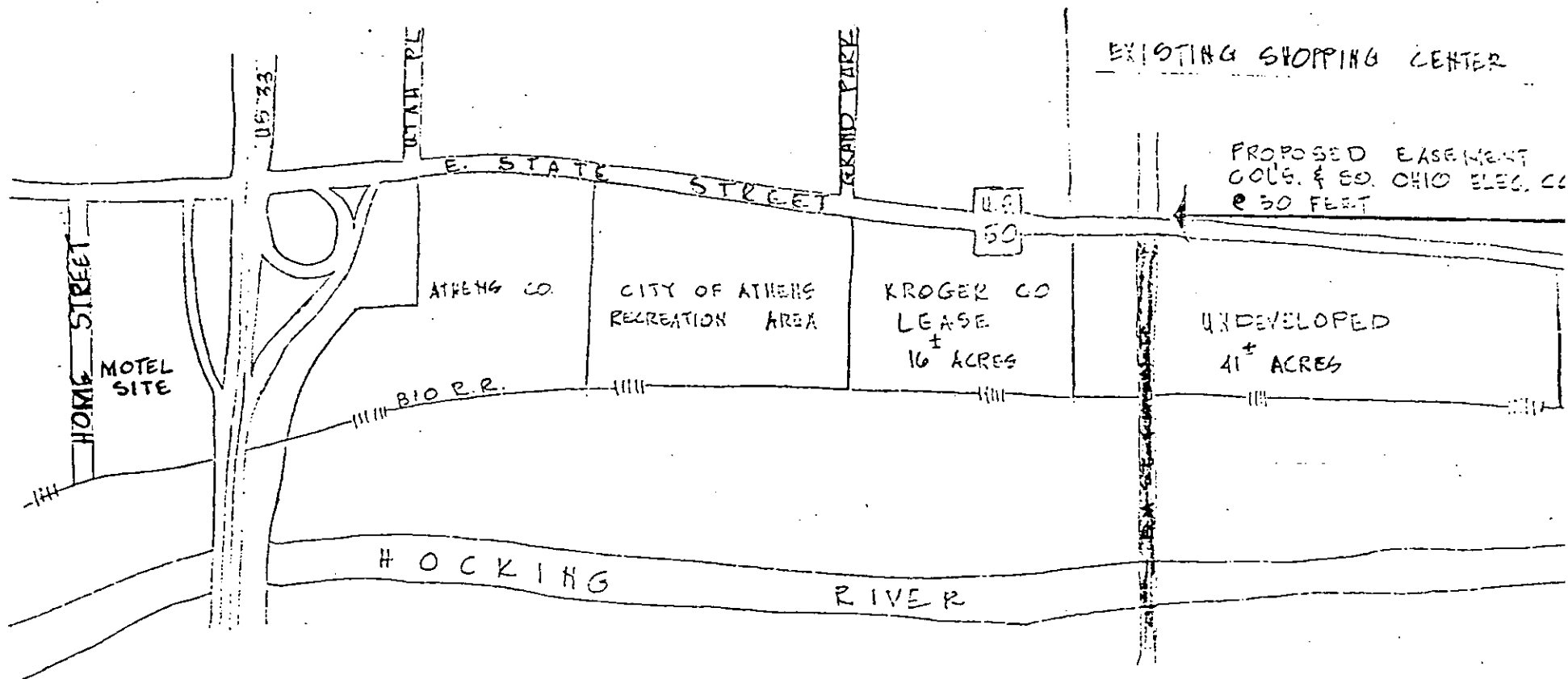
Very truly yours,



M. C. Ballentine  
Right-of-Way Supervisor

MCB/cek  
cc: R. A. Davis  
Mgr., Land





52

NO. 52118  
OFF. 117174

508

PRESIDENTS OFFICE

OHIO UNIVERSITY  
INTER-OFFICE COMMUNICATION

JUL 1 1976

C/1 7/1  
JUL 1 1976

DATE July 1, 1976

TO Charles J. Ping, President

FROM Alan H. Geiger, University Facilities Planner

Alan Geiger

SUBJECT: Extension of Joint Easement and Right of Way and Joint Access Road  
by and between Ohio University and Commissioners of Fairfield County  
on the Lancaster Campus.

On February 19, 1976, the Board of Trustees approved and authorized you to sign a Joint Easement and Right of Way for purpose of constructing an access road on the Lancaster Campus. The access road serves both the University, and the land and buildings owned by the Commissioners of Fairfield County. The Commissioners now wish to extend the present easement and roadway in order to serve a new facility which they plan to construct at the rear of their property. The Commissioners have agreed to pay the entire cost of providing a bridge, drainage facilities and some road improvements and will permit the University to use these improvements for access to the maintenance building and recreation land on the campus. The Lancaster Campus will through the use of state funds, when available, share the future costs with the County, additional roadway improvements as may be necessary.

I recommend the University approve this easement. I have enclosed an appropriate resolution, a copy of the proposed easement\* and a sketch showing the easement location for your and the Board of Trustees information. Would you please place this item on the Board's agenda for July 31, 1976.

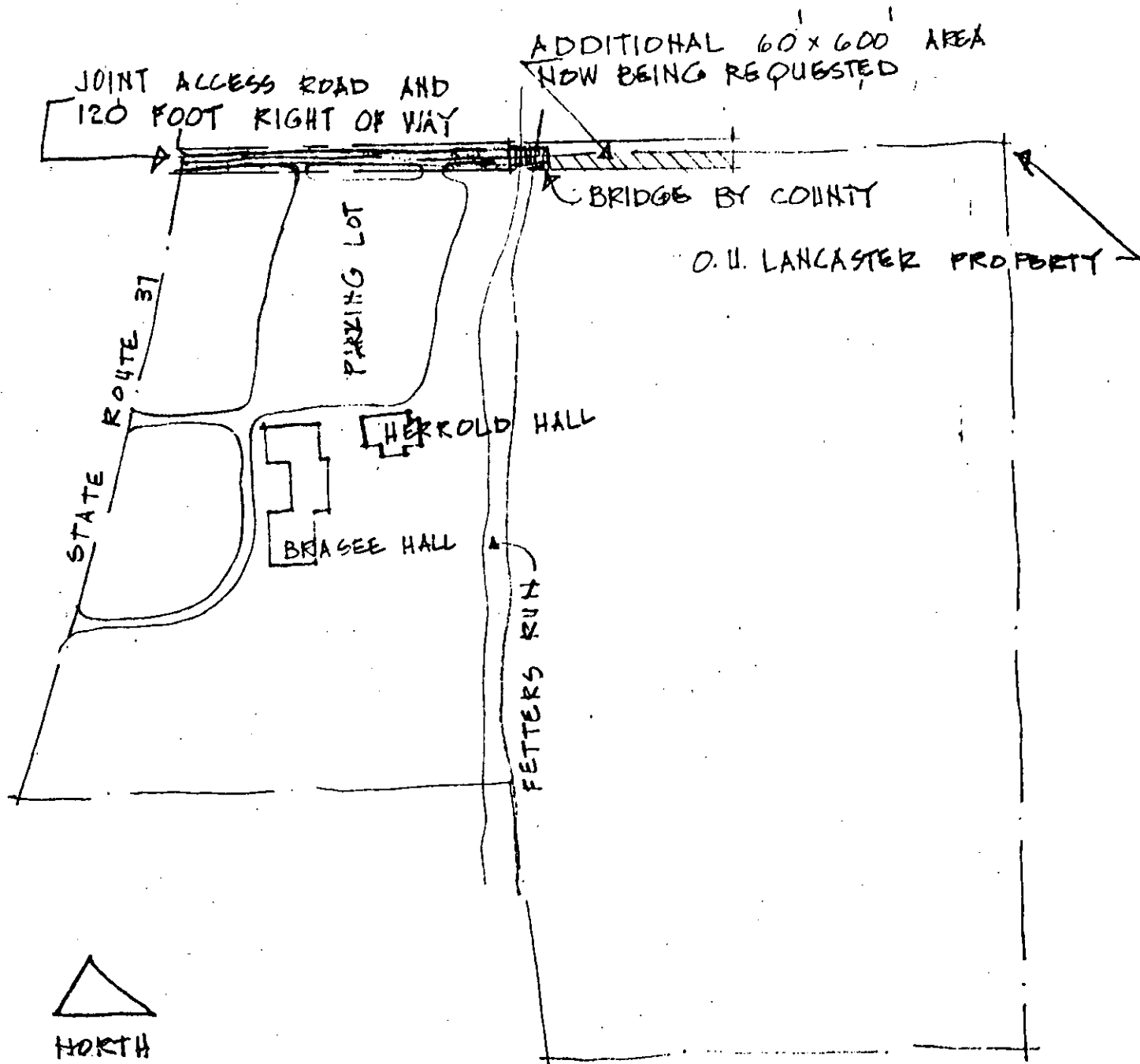
Thank you.

Enclosures

\* Secretary has this.

cc: James C. Bryant  
John F. Burns

509



SCALE 1" = ± 400'  
UNIVERSITY PARK, PL.

OHIO UNIVERSITY

Inter-Office Communication

DATE July 8, 1976

TO Charles J. Ping, President

FROM Alan H. Geiger, University Facilities Planner & Director of Construction

SUBJECT TWO EASEMENTS TO THE LE-AX WATER DISTRIBUTION CORPORATION

*A. Geiger*

For the past several months, I have been working with consulting engineers and attorneys regarding easements to the Le-Ax Water Distribution Corporation. I feel that I have now resolved all concerns to the University's best interests, and now recommend Board approval and your signature of the two enclosed easements.\*

Historically, the University has cooperated with Le-Ax in granting the necessary easements to provide water service not only to the Bush Airport, but also to the surrounding community. The two enclosed easements are a continuation of the cooperation. In addition, the Ohio University Fund Board will also be asked to consider additional easements to Le-Ax for property they own in the Airport area.

The advantage of having Le-Ax Water in the area makes both the University and the Ohio University Fund's property more valuable since water is a primary development consideration.

In summary, I recommend that the University approve these easements. Would you please place them as an item on the Board's agenda for July 31, 1976?

Thank you.

AHG:bkb  
Enclosures

\* Secretary has these.

PRESIDENTS OFFICE

## OHIO UNIVERSITY

Inter-Office Communication

JUL 1 1976

only  
(100)DATE June 28, 1976TO Charles J. Ping, PresidentFROM Alan H. Geiger, University Facilities Planner

Alan H. Geiger

SUBJECT APPROVAL OF PLANS AND SPECIFICATIONS AND RECOMMENDATION OF CONTRACT  
AWARD FOR THE COLLEGE OF OSTEOPATHIC MEDICINE, GROSVENOR HALL  
RENOVATION, PHASE II

Plans and specifications for the subject project will be completed by the time the Board of Trustees meets on July 31, 1976. The Grosvenor Hall Renovation, Phase II is on schedule and will complete the planned remodeling of the building. Phase II construction work will complete the renovation of the Basement and First Floor areas, and will completely renovate the Second, Third and Fourth Floors. Approval of the plans and specifications and the authorization to award contracts will permit Phase II construction to coincide with the completion of the Phase I Project.

I will have final plans and specifications available for review and comment by the Board at their July 31, 1976 meeting. Please be assured that any suggestions or requirements of the Board can be effected before final bids are received. The enclosed Resolution I have prepared has two purposes. First, it asks the Board to approve the Grosvenor Phase II plans and specifications; and, second, to empower the President, or his designee, to recommend the award of contracts once bids have been received if they are within available funds.

If you have any questions, I shall be happy to discuss them with you.

AHG:bkb  
Enclosure

512



JUL 1 1976

OHIO UNIVERSITY  
Inter-Office Communication

DATE June 28, 1976

TO Charles J. Ping, President

FROM Alan H. Geiger, University Facilities Planner

*Alan Geiger*

SUBJECT APPROVAL OF PLANS AND SPECIFICATIONS AND RECOMMENDATION OF CONTRACT  
AWARD FOR THE CENTRAL ENVIRONMENTAL CONTROL SYSTEM

Plans and specifications for the subject project will be completed by the time the Board of Trustees meet on July 31, 1976. The Project Consultants estimate that construction will begin September 1976, with parts of the system being completed, tested and operational July 1977. The full system will be in operation by the end of the calendar year 1977. The Consultants still feel, based upon their experience with comparable projects, that the system will pay for itself within a three-year period through managed utility savings.

I will have final plans and specifications available for review and comment by the Board at their July 31, 1976 meeting. Please be assured that any suggestions or requirements of the Board can be effected before final bids are received. The enclosed Resolution I have prepared has two purposes. First, it asks the Board to approve the Central Environmental Control System plans and specifications; and, second, to empower the President, or his designee, to recommend the award of contracts once bids have been received if they are within available funds.

If you have any questions, I shall be happy to discuss them with you.

AHG:bkb  
Enclosure

OHIO UNIVERSITY  
Inter-Office Communication

DATE June 28, 1976TO Taylor Culbert, ProvostFROM John F. Milar, Vice President and Treasurer *J.F. Milar*SUBJECT Charge for Extra Credits

Last spring the question of extra credit fees charged was raised by James Thompson. Bill Kennard provided me with the following information based upon raising the current schedule by two (2) credit hours for undergraduates and graduates:

		<u>Spring</u>	<u>Summer</u>	<u>Fall</u>	<u>Winter</u>	<u>Total</u>
Undergraduate	- R	\$8,300	\$1,700	\$8,200	\$9,200	\$27,400
	NR	3,100	1,000	3,600	3,200	<u>10,900</u>
						\$38,300
Graduate	- R	\$ 700	\$2,000	\$1,100	\$1,400	\$ 5,200
	NR	2,600	2,000	2,300	5,100	<u>12,000</u>
						\$17,200
					Total	\$55,500

The numbers would change slightly as our fees have changed, but I believe still reflect the magnitude of the proposals.

JFM:eb



May 18, 1976

Joe Tucker

Charles J. Ping

Recommendation on maximum credit hours covered by the uniform instructional charge

Your memo dated April 30 reported the recommendation from the University Curriculum Committee that the hours be raised from 18 to 20.

I assume that all changes that are uniformly placed on university students require Board action. The recommendation will be referred to the July 30 meeting. The changes will be supported with the provision that it become effective the start of the fall semester in 1977.

CJP:cs

✓cc: Robert Mahn

## OHIO UNIVERSITY

MAY 3 1976

## Inter-Office Communication

DATE April 30, 1976TO Dr. Charles J. Ping, PresidentFROM Joseph Tucker, Associate Dean of Faculties SUBJECT Maximum Credit Hours Taken by Students Without Payment of Additional Fees

At the February 10, 1976, meeting of the University Curriculum Council action was taken to amend the current policy requiring the payment of an extra fee for all undergraduate hours exceeding 18. The Council adopted a resolution calling for the payment of an additional fee on undergraduate hours exceeding 20.

The committee which reviewed the issue pointed out that the current mix of hours--3, 4, and 5 credits--often requires a student to take more than 18 hours in putting together his program. In fact, approximately 25% of the undergraduates last year carried more than 18 hours. The committee argued that students should not be required to pay extra when they might only be taking four courses. Raising the limit on the hours a student may take without extra payment also brings Ohio University more into conformity with policies at other state universities in Ohio. Several set 20 hours as the upper limit and three have no maximum.

A second reason the committee advanced for the change was that other students, rather than pay the added charges, often arrange with an individual professor to take a course for fewer hours than it is listed in the schedule. For example, a student might arrange to take professor x's five-hour course for three hours. Such arrangements are ultimately discovered, the student is billed for the extra hours, and considerable antagonism results.

The major argument against the change is a financial one. The Controller estimates that \$55,406 will be lost next year in fee income if the hour limit is raised. This income loss might be offset somewhat through increased FTE if the new limit encourages students to take more hours. Any such benefit, however, will not be reflected until the 1977-79 biennium.

I believe there are valid educational grounds for approving the proposed policy. In light of the tight budget situation for next year, however, you might approve the policy, but stipulate an effective date of September, 1977. This would allow us to reflect the loss in fees in our budget planning next year for fiscal 1977-78.

APPROVED/DISAPPROVED

Charles J. Ping, President

## OHIO UNIVERSITY POOLED ENDOWED ACCOUNTS

<u>Name</u>	<u>Principal Amount</u>	<u>% Market</u>	<u>Market Value</u>	<u>% Total</u>	<u>Units \$1.00</u>
O'Brieness			144,916	.080207	144,916
Wilson			137,716	.076222	137,716
Lillian Ramos			1,524	.000843	1,524
Bishop Loan Fund			118,882	.065799	118,882
Thomas E.-Edna L. Wolfe			306,882	.169851	306,882
Irred. Debt Fund	\$865,465.09	.886308	\$767,069	.424552	
General Endowment Fund	110,260.79				97,725
Alumni Honorary Life Membership Fund	61,175.00				54,220
Alumni Sesquicentennial Scholarship Fund	113,721.83				100,793
Anonymous Sesquicentennial Scholarship Fund	2,500.00				2,216
Armbruster Scholarship, Adolph Henry	2,500.00				2,216
Athens American Association of University Women, Edith A. Wray Scholarship	9,104.80				8,070
Athens B.P.O.E. No. 973 Sesquicentennial Scholar- ship Fund	3,150.00				2,792
Athens Chamber Music Society	350.00				310
Athens County Manasseh Cutler Sesquicentennial Scholarship Fund	3,500.00				3,102
Athens Rotary Club Sesqui- centennial Scholarship Fund	6,393.00				5,666
Atkinson Prize Fund, A.A.	535.00				474
Atkinson-Addicott Sesqui- centennial Scholarship Fund	2,500.00				2,216
Barker Memorial Scholarship Fund, The W. O.	2,500.00				2,216
Beckley Sesquicentennial Scholarship Fund, The John L. and Flora M.	2,500.00				2,216
Biddle Memorial Scholarship and Loan, Grace & Clinton P.	5,000.00				4,432
Biddle Sesquicentennial Mem. Scholarship Fund, Thomas R.	2,500.00				2,216
Bush Sesquicentennial Mem. Scholarship Fund, Frederick W.	2,500.00				2,216
Bush Memorial Scholarship Fund, Mary K.	4,650.00				4,121

<u>Name</u>	<u>Principal Amount</u>	<u>% Market</u>	<u>Market Value</u>	<u>% Total</u>	<u>Units \$1.00</u>
Irred. Debt Fund (continued)					
Case Scholarship Fund, John Gail & Celina H.	\$ 2,700.00				2,393
Chillicothe B.P.O.E. #52 Sesquicentennial Scholar- ship	2,500.00				2,216
Chillicothe Citizens National Bank Sesqui- centennial Scholarship	2,500.00				2,216
Chillicothe Cols. & So. Ohio Electric Co. Ses- quicentennial	2,500.00				2,216
Chillicothe Gazette Sesqui- centennial Scholarship Fund	2,500.00				2,216
Chillicothe Junior Chamber of Commerce	200.00				177
Chillicothe Paper Company Sesquicentennial Scholar- ship Fund	2,500.00				2,216
Chillicothe Telephone Co. Sesquicentennial Scholar- ship Fund	2,500.00				2,216
Copeland Sesquicentennial Memorial Scholarship Fund, Charles M.	2,500.00				2,216
Copeland Alpha Gamma Delta Scholarship Fund, Edna F.	15,000.00				13,295
Darst Memorial Art Fund, Rose Marie	3,011.40				2,669
Daugherty Scholarship, Ruth and Oral	5,000.00				4,432
Davis Sesquicentennial Memorial Scholarship Fund, Thomas J.	2,500.00				2,216
Davis Sesquicentennial Scholarship Fund, William J., Sr.	2,500.00				2,216
Dougan Varsity Baseball Scholarship Award	1,000.00				886
Dowling Scholarship Fund, Columbia	10,000.00				8,863
Elliott Sesquicentennial Scholarship, Dr. Rush	5,000.00				4,432
Ernerson Poem Prize Fund	1,000.00				886
Evans Latin Prize Fund, D.J.	625.00				554
Fenzel Sesquicentennial Scholarship Fund, William Henry	2,500.00				2,216

<u>Name</u>	<u>Principal Amount</u>	<u>% Market</u>	<u>Market Value</u>	<u>% Total</u>	<u>Units \$1.00</u>
Irred. Debt Fund (continued)					
Forbes Fund, The	\$ 8,000.00				7,090
Gamertsfelder Philosophy Prize, The Pearl Hahn	2,500.00				2,216
Gesling Sesquicentennial Scholarship Fund, The Sue	2,500.00				2,216
Gifford Memorial Scholar- ship Fund, Eleanor	5,000.00				4,432
Grove Sesquicentennial Scholarship Fund, Mr. & Mrs. Ora D.	2,500.00				2,216
Hall Scholarship, Lindley	3,910.00				3,465
Herrold Sesquicentennial Scholarship Fund, Russell P. and Wilma L.	2,750.00				2,437
Healop Sesquicentennial Scholarship Fund, The Ray William	2,500.00				2,216
Hixson Health Service Fund, Della N.	11,390.78				10,096
Hooe Scholarship Fund, Florence and Hunter	2,300.00				2,039
Horn Sesquicentennial Scholarship Fund, Clarence H.	2,500.00				2,216
Hughes Memorial Band Fund, Dick Delahaye	1,000.00				886
James Memorial Fund, Dr. Herman G.	24,248.88				21,492
Johnson Scholarship Fund, Fred and Elizabeth	2,500.00				2,216
Johnson, Elizabeth Zoller Memorial Scholarship Fund	3,285.00				2,912
Jones Sesquicentennial Scholarship Fund, Clarence Lindley	2,500.00				2,216
Kaye Sesquicentennial Scholarship Fund, Sammy	2,500.00				2,216
Kelly Memorial Sesqui- centennial Scholarship Fund, Patricia	3,000.00				2,659
Kennedy Sesquicentennial Scholarship, Edwin L. & Ruth Zimmerman	5,330.12				4,724
Krieger Memorial Scholarship, E. C. Irish	6,641.54				5,886

<u>Name</u>	<u>Principal Amount</u>	<u>% Market</u>	<u>Market Value</u>	<u>% Total</u>	<u>Units \$1.00</u>
Irred. Debt Fund (continued)					
Lewis Scholarship, Helen Elcholtz	\$ 2,500.00				2,216
Knowlton Sesquicentennial Scholarship Fund, The Clarence Luster	2,500.00				2,216
Knowlton Sesquicentennial Scholarship Fund, The Ventura C.	2,500.00				2,216
Knowlton Memorial Scholar- ship Fund, Virgil R.	10,000.00				8,863
Krecker Prize in Art, Margaret Margaret Brown	3,075.00				2,725
Latourrette Co-Recreation Phys. Educ. Scholarship, Charlotte	5,000.00				4,432
Latourrette Physical Educa. Scholarship Fund, Charlotte	5,000.00				4,432
Lasher Living Legacy, George Starr	6,352.48				5,630
Lawhead Press Sesquicenten- nial Scholarship Fund	2,500.00				2,216
Levion Memorial Scholarship Fund, The Marcel	7,000.00				6,204
Linke Scholarship Fund, Richard O.	25,945.21				22,995
Linke Scholarship Fund, Richard O.	4,557.27				4,039
Lipse Sesquicentennial Scholarship Fund, Dr. Raymond	2,500.00				2,216
Marshall Sesquicentennial Scholarship Fund, Homer H.	2,500.00				2,216
Maxwell Debate Award, The Frances McVicker	300.00				265
Mead Corporation of Chillicothe Sesquicentennial Scholarship Fund	2,500.00				2,216
McCracken Kappa Delta Pi Scholarship Fund, Thomas Cooke	5,300.00				4,697
McFarland Sesquicentennial Scholarship Fund, Heber	2,500.00				2,216
McKee Sesquicentennial Scholarship Fund, Grosvenor S. and Wilson H.	2,500.00				2,216
McLaughlin Scholarship Fund, George E.	7,967.03				7,061

Name	Principal Amount	% Market	Market Value	% Total	Units \$1.00
Irred. Debt Fund (continued)					
McVay Phi Beta Kappa Humanities Award in Greek, Anna P.	\$ 2,500.00				2,216
McVay Sesquicentennial Scholarship Fund, The Don	2,500.00				2,216
Morgan Memorial Scholarship Fund, Theron H.	6,000.00				5,318
Morton Sesquicentennial Scholarship Fund, The Joan Adams	5,000.00				4,432
Murphey Scholarship Fund, Emily C.	5,000.00				4,432
Murphey Scholarship Fund, Franklin C.	5,000.00				4,432
Murphey Scholarship Fund, Franklin R.	5,000.00				4,432
Musle Scholarship Fund	2,722.17				2,413
Myers Scholarship Fund, Feltie McGracken and J. Arthur	5,000.00				4,432
O'Brien's Sesquicentennial Scholarship Fund, Charles G.	2,500.00				2,216
Ohio University Campus Affairs Committee Sesqui- centennial Scholarship Fund	2,500.00				2,216
Ohio University Class of 1954 Sesquicentennial Scholarship Fund	2,500.00				2,216
Ohio University Class of 1962 Endowment Fund	2,000.00				1,773
Ohio University Men's Independent Assn. Sesqui- centennial Scholarship Fund	5,000.00				4,432
Ohio University Men's Faculty Club Sesquicentennial Scholarship Fund	2,500.00				2,216
Ohio University Mother's Club of Cleveland Sesqui- centennial Scholarship Fund	20,000.00				17,726
Ohio University Pan-Hellenic Council	1,551.46				1,375
Ohio University Women's League Sesquicentennial Scholarship Loan & Service Fund	2,500.00				2,216
Phillips Sesquicentennial Scholarship Fund, Fred M. and Josephine S.	2,500.00				2,216

<u>Name</u>	<u>Principal Amount</u>	<u>% Market</u>	<u>Market Value</u>	<u>% Total</u>	<u>Units \$1.00</u>
Irred. Debt Fund (continued)					
Potter, Tyler, Martin and Roth Sesquicentennial Scholarship Fund	\$ 2,500.00				2,216
Pullman Scholarship Fund, The Harriet Levion	7,000.00				6,204
Ray Scholarship, The E. E.	3,000.00				2,659
Riecker Sesquicentennial Scholarship Fund, William H. and Flora	7,004.00				6,208
Rouch Scholarship, H. Wescott	2,500.00				2,216
Rosenberg Scholarship Fund, Ben and Rose	1,017.50				902
Ross County Medical Society Fund	2,500.00				2,216
Schapter Sesquicentennial Scholarship, Walter J., Jr. Margaret and Fred	5,605.25				4,968
Schofer Scholarship Fund, Dean Paul C.	2,795.00				2,477
Boyd Hall Scholarship Fund	2,750.00				2,437
Shepard Memorial Sesqui- centennial Scholarship Fund, Grace G.	1,750.00				1,551
Shinnick Sesquicentennial Scholarship Fund, The Dr. Anna Hill	5,000.00				4,432
Shively Memorial Scholar- ship, Earl C.	5,000.00				4,432
Sidwell Prize in Fine Arts, Sina	500.00				443
Staats Forensics Award, The L. C.	1,011.67				897
Stocker Sesquicentennial Scholarship Fund, Paul and Beth	2,500.00				2,216
Super Creek Prize Fund, C. W.	2,500.00				2,216
Super Memorial Fund, Class of 1892	970.00				860
Alpha Tau of Theta Chi Fraternity Sesquicentennial Scholarship Fund	2,252.73				1,997
Tennan Scholarship Fund, Harriet E.	1,000.00				886
Thomas Pre-Medic Prize Fund, John Bayard	1,000.00				886



<u>Name</u>	<u>Principal Amount</u>	<u>% Market</u>	<u>Market Value</u>	<u>% Total</u>	<u>Units \$1.00</u>
Irred. Debt Fund (continued)					
Torch Circle of ODK Scholarship	\$ 5,959.09				5,282
Uilon Premedic Prize, Dr. Joseph Tucker	4,500.00				3,988
Vickers Sesquicentennial Scholarship Fund, Lt. Vernon Cope, Jr.	2,500.00				2,216
Voight Memorial Award of Sigma Kappa, Irma E.	500.00				443
Voight Sesquicentennial Scholarship Fund, Dean Irma E.	2,600.00				2,304
Wallace Scholarship Fund, the Myron Mills	62,987.51				55,826
Webb Sesquicentennial Scholarship Fund, The Mary Ellen Jane	2,500.00				2,216
Whitehouse Memorial Scholar- ship, Victor	3,000.00				2,659
Wilson Sesquicentennial Scholarship Fund, Hiram Roy	5,000.00				4,432
Wilson Sesquicentennial Scholarship Fund, Hiram Roy and Florence	2,500.00				2,216
Wisda Sesquicentennial Scholarship Fund, The James W.	2,500.00				2,216
Wiseman Scholarship, Gordon and Judy	2,500.00				2,216
Wolfe Sesquicentennial Scholarship Fund, The Jack	2,500.00				2,216
Wolfe Sesquicentennial Scholarship, Thomas M.	2,500.00				2,216
Wood Sesquicentennial Fund, The George E.	2,500.00				2,216
Wood Memorial Scholarship, Oliver L.	4,758.58				4,218
Zemmer Book Fund, Dr. Philip	10,000.00				8,848
Sub Total Irred. Debt Fund	\$865,465.09				767,069
Other Pooled	309,666.98	1.064957	329,782	.182526	
Bragma	20,086.75				21,392
Brown	2,008.68				2,139

<u>Name</u>	<u>Principal Amount</u>	<u>% Market</u>	<u>Market Value</u>	<u>% Total</u>	<u>Units \$1.00</u>
Other Pooled (continued)					
Carlson	\$ 67,949.38				72,363
Dunn	9,792.55				10,429
Farson	9,849.66				10,489
Fouts	20,241.05				21,556
Grant Charitable Trust	10,043.40				10,696
Green	5,021.70				5,348
Hillard	2,510.85				2,674
Jenkins	5,021.70				5,348
Johnston	6,665.33				7,098
Matthews	27,105.09				28,866
Powell	9,596.33				10,220
Schneffel	2,462.42				2,622
Starr	4,538.08				4,833
Trumbo	22,699.48				24,174
West	30,601.04				32,589
Ohio University Women's Club of Cleveland	6,364.68				6,778
Woy	41,621.31				44,327
Wright	<u>5,487.50</u>				<u>5,841</u>
Sub Total Other Pooled	\$309,666.98				329,782
TOTALS			\$1,806,771	100.	1,806,771