Faculty Senate Leader Survey

Preliminary Results of Doctoral Institutions

The Faculty Senate Leader Survey was developed by the Center for Higher Education at Ohio University in collaboration with the American Association of University Professors (AAUP).

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FACULTY SENATE LEADER SURVEY

Abstract

The National Study of Faculty Leadership is conducted by the Center for Higher Education at Ohio University. The purpose of the study is to investigate faculty’s role in shared governance and to collect information about faculty leaders generally. The inaugural survey of the study, the Faculty Senate Leader Survey (FSLS:09), targeted faculty senate chairs with endorsement from the American Association of University Professors in 2009. The FSLS:09 collected information from senate leaders regarding the characteristics of the faculty senate and critical issues in higher education from the perspective of the faculty senate leader. The FSLS:09 was sent to faculty senate leaders at doctoral and master institutions across the nation. Faculty senate leaders reported allocation of funds, fiscal constraints, erosion of public trust, enrollment, and retention to be the top critical issues in higher education. This report focuses on the responses from senate leaders at doctoral institutions.

Survey Overview

The National Study of Faculty Leadership

The National Study of Faculty Leadership investigates faculty’s role in shared governance and collects information about faculty leaders. Department chairs, program coordinators, senate leaders, and deans are examples of the faculty leaders that are being studied. The inaugural survey of the Study of Faculty Leadership is the Faculty Senate Leader Survey.

Description

The Faculty Senate Leader Survey collects information from senate leaders regarding critical issues of concern to faculty, and the characteristics of the faculty senate/assembly. The survey also provides senate leaders an opportunity to voice their concerns and opinions on the current state of higher education. The survey consists of 41 items across 9 sections: (a) demographics and background, (b) critical issues facing higher education, (c) public trust, (d) scope of responsibilities (e) budget, (f) policies, (g) faculty opinions, (h) leadership, and (i) final thoughts.
A list of master’s and doctoral institutions were extracted from the Carnegie Classification of Institutions of Higher Education using the National Center for Education Statistics Integrated Postsecondary Education Data System (IPEDS). Faculty senate leaders were identified at each institution and were contacted via email requesting their participation in this study. Participants were sent an electronic survey in which they could choose whether or not to participate in the study. The data were collected using a survey computer program.

The sample consisted of 434 faculty senate leaders. Approximately 207 senate leaders of master’s institutions and doctoral institutions responded to the survey yielding a 47% response rate. A total of 102 senate leaders of doctoral institutions completed the survey yielding a 45% (102/227) response rate for doctoral institutions. This report focuses on responses from faculty senate leaders at doctoral institutions.

Some of the major findings of the report include: 62% of faculty leaders believe the expectations for tenure and promotion are increasing; 60% of faculty senate leaders believe there is an erosion of public trust in U.S. higher education; and more than half of faculty senate leaders indicated contingent faculty are not eligible to serve on faculty senates/assemblies at their institutions.
Survey Results

1. Are you...

The majority of faculty senate leaders are male.
2. What is your age?

Only 10% of faculty senate leaders are under the age of 45 years old. A very small percentage (4%) of faculty leaders are above the age of 71.
3. What is your race/ethnicity?

The overwhelming majority of faculty senate leaders are white. Less than 10% were members of any minority.
4. How long have you been a faculty member at your institution?

Faculty senate leaders have substantial experience. The majority have been faculty members at their institutions for more than 20 years. Only 2% have been faculty for less than 5 years.
5. What is your academic discipline?

Faculty senate leaders represent a variety of disciplines. For example, 16% were from the humanities, 15% were from the social sciences, and 12% were from the physical and natural sciences. Law (1%), mathematics (2%), and religion/theology (2%) had the lowest representation among the responding faculty leaders.
6. The most critical issue in higher education today:

Faculty senate leaders rated fiscal constraints and lack of state support as the top 2 critical issues facing higher education today. Job security, consumerism, retention, and governing board relations were among the lowest-rated issues.
7. In your opinion, has there been an erosion of public trust in U.S. higher education?

The majority of faculty senate leaders at doctoral degree-granting institutions believe there is an erosion of public trust in U.S. higher education. The 60% that believed there was erosion in public trust were asked to identify the most likely cause of the erosion. Fifty percent believe that institutions operating more like a business is the cause for the erosion of public trust, 37% believe it is due to increasing tuition rates, 8% believe conducting research for private/commercial interests rather than the public's interests is the cause, and 4% believe making bachelor degrees necessary to obtain jobs has contributed to an erosion of public trust.
8. Does the administration at your institution provide the faculty senate with enough time to consider issues relevant to faculty as they arise?

When asked if the administration at their institution provided the faculty senate with enough time to consider issues relevant to faculty as they arise, 42% of the faculty leadership reported yes. However, almost 50% reported sometimes, and 9% reported no.
9. How influential is the faculty senate in selecting executive level leadership (e.g., president, chief academic officer, vice-presidents) at your institution?

The majority of faculty senate leaders reported that their senates’ were somewhat influential in selecting executive level leadership at their institutions. An additional 17% reported the senate was very influential. One-fifth of respondents reported their senate had no influence at all.
10. Faculty senate is composed of:

![Pie chart showing composition of faculty senate]

- Faculty only: 54%
- Faculty and administrators: 20%
- Faculty, administrators, and students: 13%
- Other: 13%

Senates at doctoral level institutions are split about half and half in terms of representation. About half (54%) of senates at doctoral institutions are composed of faculty only. About half (46%) are composed of a combination of faculty, administrators, and students.
11. The members of faculty senate/assembly are elected by:

- Departments: 20%
- Colleges/Schools: 17%
- All faculty members of the institution: 52%
- Other: 11%

About half of faculty senate leaders (52%) reported that senate representatives are elected by their colleges/schools. About 20% of senate leaders indicated that senate representatives are elected by their academic departments, and 11% by all faculty members at their institution.
12. Are contingent faculty eligible to serve on faculty senate at your institution?

Contingent faculty members are not eligible to serve on faculty senate in more than half of the institutions represented in the survey.
13. Does the faculty senate/assembly at your institution interact with the following organizations/groups?

<table>
<thead>
<tr>
<th>Organization</th>
<th>Never</th>
<th>Sometimes</th>
<th>Frequently</th>
</tr>
</thead>
<tbody>
<tr>
<td>Faculty Senate/Assembly at another institution</td>
<td>7</td>
<td>17</td>
<td>25</td>
</tr>
<tr>
<td>Student Senate/Council</td>
<td>16</td>
<td>36</td>
<td>45</td>
</tr>
<tr>
<td>State Legislators</td>
<td>7</td>
<td>44</td>
<td>44</td>
</tr>
<tr>
<td>Board of Trustees</td>
<td>7</td>
<td>38</td>
<td>52</td>
</tr>
<tr>
<td>Alumni Association</td>
<td>16</td>
<td>28</td>
<td>40</td>
</tr>
<tr>
<td>Administrative Senate/Assembly</td>
<td>7</td>
<td>18</td>
<td>51</td>
</tr>
<tr>
<td>AAUP, National Organization</td>
<td>3</td>
<td>33</td>
<td>51</td>
</tr>
<tr>
<td>AAUP, Chapter Organization</td>
<td>5</td>
<td>41</td>
<td>54</td>
</tr>
</tbody>
</table>

The majority of faculty senates at doctoral degree-granting institutions sometimes interact with the following organizations: student senate/council (52%), alumni associations (54%), and faculty senates at other institutions (56%). However, 63% of faculty senate leaders indicated that they never interact with the AAUP national organization, 52% never interact with their AAUP chapter, and 46% never interact with state legislators.
14. What is the length of term for senate/assembly members?

The most commonly reported length of term for faculty senate leaders is 2-3 years (95%). Only 5% reported 1 year as the length of term for faculty senate chair.
15. Are expectations for tenure and promotion increasing at your institution?

Nearly two-thirds (62%) of senate leaders believe that the expectations for tenure and promotion are increasing at their institution; 29% believe that expectations are not increasing, while 9% are unsure.
16. Are there enough tenure-track faculty to support academic programs at your institution?

Faculty senate leaders are evenly split on whether or not there are enough tenure-track faculty members to support academic programs at their institution.
17. Is there a university-level policy on faculty workload at your institution?

The majority (61%) of senate leaders indicated that there was not an institutional-level policy on faculty workload.
18. Are diversity and equity policies effectively implemented at your institution?

When asked if diversity and equity policies were effectively implemented at their institution, 65% said policies are effectively implemented. Seventeen percent said that polices are in place, but they are not implemented. Nine percent said that there were limited polices at their institutions, and 8% said that there were no diversity and equity policies at their institution.
19. How would you rate faculty understanding of the Family Educational Rights and Privacy Act (FERPA) at your institution?

When asked to rate the faculty’s understanding of the Family Educational Rights and Privacy Act (FERPA), 56% said somewhat knowledgeable, 27% said knowledgeable, and 17% said not at all knowledgeable.
20. To what degree do you think faculty at your institution are satisfied with the executive level administration’s performance in leading the institution?

When asked to rate how satisfied the faculty are with the executive level administration's performance in leading their institution, 19% were very satisfied, 45% were somewhat satisfied, 24% were somewhat dissatisfied, and 12% were very dissatisfied.
21. How would you rate overall faculty morale at your institution?

When asked to rate the morale at their institution, 1% said very high, 21% said high, 56% said morale is moderate, 16% said low, and 6% said very low.
22. How would you rate the amount of authority that faculty have in the assessment and evaluation of student learning at your institution?

Although the majority (71%) of faculty senate leaders believe faculty members have a lot of authority in the assessment and evaluation of student learning, 25% of senate leaders feel there is limited authority.
23. How involved are faculty members at your institution in student-affairs-sponsored activities?

When asked to rate faculty members’ involvement in student-affairs sponsored activities, 4% said very involved, 67% said somewhat involved, and 29% said rarely involved.
24. How long have you served as senate leader at your institution?

Most faculty senate leaders have served as senate chair for at least one year (37%), and 36% have served as senate chair for 2 to 3 years.
25. Would you consider serving another term as senate leader?

When asked if the faculty senate leaders would consider serving another term, 39% said yes; 30% said yes, but not right away; 24% said no; and 6% said they were unsure.
26. Did you feel prepared to handle the responsibilities and duties as leader of the senate at your institution?

- 56%: Yes, I was prepared
- 33%: I was somewhat prepared
- 11%: No, I was not at all prepared

Fifty-six percent felt prepared for the position, 33% felt somewhat prepared for the position, and 11% did not feel at all prepared.
27. What leadership positions have you held at your institution other than leader of faculty senate?

Faculty senate leaders have typically held other leadership positions such as senate representative (87%) and committee chairperson (74%).
28. Are you a member of the President’s Cabinet at your institution?

- Yes: 26%
- No: 74%

Approximately one-quarter (26%) of faculty senate leaders are members of the President’s cabinet.
29. How often do you meet with the president of your institution?

- Weekly: 8%
- Monthly: 23%
- Quarterly: 13%
- As needed: 6%
- Never: 50%

Nearly 8% meet with the president weekly, 50% meet monthly, 13% meet quarterly, 23% meet as needed, and 6% never meet with the president.
30. How often do you meet with the chief academic officer of your institution?

Nearly 22% meet with the chief academic officer weekly, 57% meet monthly, 3% meet quarterly, 17% as needed, and 1% never meet with the chief academic officer.
31. How often do you meet with the chief financial officer of your institution?

Three percent meet with the chief financial officer weekly, 17% meet monthly, 8% meet quarterly, 49% as needed, and 23% never meet with the chief financial officer at their institution.
Other than the senate/assembly, what other venues are available for faculty to voice their concerns and issues at:

**Response Text**

1. Many committees and through the Union.
2. We use the newspaper.
3. On one of the many university committees, working groups and task forces. The Administration really does try to take the "shared" part of shared governance seriously. That's why I enjoy my tenure as chair of the Senate so much.
4. AAUP, general faculty meetings
5. Faculty are represented on a range of committees. There are quarterly meetings with the Chancellor for all faculty.
6. None at present time.
7. Through administrative channels: programs, departments, and schools to Provost and President.
8. Walk in their office
9. Electronic "Suggestion Box"; Ombuds Office; Central Administration is relatively open to faculty interactions one on one
10. Faculty council (only faculty)
11. school/college/department meetings
12. None really. We underwent an overhaul of our system, under my leadership a few years ago. We created a system of committees under the Senate, with the compromise being the suspension of an independent (powerless but noisy) "Committee on Faculty". The new Provost has not been keen on sharing governance and there is much talk of needing to bring back the COF, sadly. So that outlet may return. Time will tell.
13. In the last two years, if faculty have a concern they can ask to be heard by the Provost; otherwise, senate is the venue by which faculty are expectation to bring issues forward.
14. Faculty participation on committees & tasks forces allow input at various levels Apr
15. University Review Committee, Faculty Review Committee, College Councils
   Academic Freedom, Ethics and Grievance Committee, Ombudsperson

16. University Standing committees and advisory councils

17. Faculty council; departments; email

18. Each school has its own Faculty Assembly

19. Faculty union (United Academics)--AAUP

20. Faculty Senate conducts a Confidence in Administration poll every spring.
   Several colleges have senates. There is a general faculty meeting each
   semester where faculty can interact with the president.

21. we have a faculty union

22. general faculty meetings; presidential forums; union

23. Reviews of Deans and Chairs, Grievance Committees

24. Faculty Assembly

25. Governing faculty meetings at the College and Department levels.

26. Standing committees of the faculty

27. We are engaged in a collective bargaining campaign through AAUP at our
   university.

28. Through various committees and of course the usual dysfunctional
   administrative structure.

29. Faculty Association...a quasi union

30. semi-annual faculty meetings; direct email; via department chairs; annual visits
   by President and provost to school faculty meetings

31. Departmental, school/college, and university-wide committee structure

32. Board of Governors

33. Campus Executive Faculties, School Executive Committees, general faculty
   meetings.

34. A newly elected faculty union. Also via regular administrative channels, upward
   from Dept. Chair to Dean to Provost.
35. on committees and with deans and department chairs

36. President occasionally has town hall meetings. Faculty contact local AAUP chapter.

37. College meetings, occasional university wide meetings, AAUP

38. AAUP

39. "Chain of command"

40. Committee on Governance, Academic Freedom and Tenure Committee

41. Direct conversation with Provost or President May

42. AAUP, Evaluations of Administrators

43. There are appeals boards, and the president and provost maintain open lines of communication
   May 1, 2009 9:51 PM

44. That's about it.

45. College governance bodies

46. President holds town halls. Faculty can directly contract president & provost via phone or email or through the senate. Occasionally the president communicates via university wide emails but prefers more direct contact.

47. Ombudsmen, Faculty Rights and Responsibilities (faculty and deans are elected by senate members)

48. Each of the schools, colleges, and the libraries have their own faculty assemblies or equivalent units. Plus there are lots of committees at all levels through which faculty have opportunities to contribute.

49. Two unions represent the faculty with regard to pay, benefits, workload structure, P/T requirements, and polices, grievances, etc.

50. Through their deans to the Provost's Council of Deans.

51. None

52. None

53. Broadcast emails are used somewhat frequently. Each college has a faculty council that can be v. effective within that college.
54. Annual evaluation of the president, development of strategic plan every three years Letters or e-mails to administration and board.

55. The only other I can think of is at the department level. Chairs can bring concerns to deans and deans to upper administration.

56. Through our faculty collective bargaining unit (COHE) and through our Department Head.

57. University-Wide Town Hall Meetings, College Assemblies

58. The general faculty meetings held at the beginning and end of the year.

59. Faculty Union

60. Colleges have their own assemblies and faculty can voice their concerns in these groups.

61. Issue forums

62. Union, student newspaper

63. Departmental meetings, Campus wide faculty meetings, E-mail president/provost

64. Emails to the President and Provost; President has also held university wide meetings for faculty to present concerns.

65. A UNIVERSITY WIDE "TOWN HALL MEETING" | TO WHICH ALL ARE INVITED AND A FRACTION CARE TO ATTEND

66. Faculty members go up the line to their supervisors and the supervisor's supervisor, but comments are ignored constantly.

67. Senate standing committees

68. University Grievance Committee for Faculty, Affirmative Action Officer Anonymous hot-line

69. Faculty have two seats on the board of trustees and representation in most Board committees

70. AAUP