

***Ohio University***  
***(A Component Unit***  
***of the State of Ohio)***

*Report on Federal Awards in Accordance*  
*With OMB Circular A-133*  
*for the Year Ended June 30, 2004*

**OHIO UNIVERSITY**  
**(A Component Unit of the State of Ohio)**

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# OHIO UNIVERSITY

(A Component Unit of the State of Ohio)

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS YEAR ENDED JUNE 30, 2004

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
<b>STUDENT AID CLUSTER</b>			
<b>DEPARTMENT OF EDUCATION</b>			
Supplemental Educational Opportunity Grant	84.007	P007A033342	\$ 1,189,695
Supplemental Educational Opportunity Grants (PR YF)	84.007	P007A023342	(741)
College Workstudy Program	84.033	P033A033342	1,003,497
College Workstudy Program (JLD)	84.033	P033A033342	49,415
Perkins (Capital Contribution)	84.038	P038A033342	144,621
Federal Direct Student Loan	84.268	N/A	101,983,848
Pell Grant Program	84.063	P063P030345	16,914,665
Pell Grant Program (PR YR)	84.063	P063P020345	(37,460)
<b>TOTAL DEPARTMENT OF EDUCATION</b>			<b>121,247,540</b>
<b>DEPARTMENT OF HEALTH &amp; HUMAN SERVICES</b>			
Primary Care Loan	93.342	N/A	462,199
Scholarships for Health Professions Students from Disadvantaged Background	93.925	6084209	287,282
<b>TOTAL DEPARTMENT OF HEALTH &amp; HUMAN SERVICES</b>			<b>749,481</b>
<b>TOTAL STUDENT FINANCIAL AID CLUSTER</b>			<b>121,997,021</b>
<b>RESEARCH AND DEVELOPMENT CLUSTER</b>			
<b>APPALACHIAN REGIONAL COMMISSION</b>			
Direct Programs			
Appalachian Area Development	23.002	CO-14649	27,006
<b>TOTAL APPALACHIAN REGIONAL COMMISSION</b>			<b>27,006</b>
<b>DEPARTMENT OF AGRICULTURE</b>			
<b>Direct Programs</b>			
Grants for Agricultural Research Competitive Research Grant	10.206	2002-35304-1233	16,409
Forestry Research	10.652	01-CA-11242343-09301-CA-11242343-02	2,946
Forestry Research	10.652	00-CA-11242343-024/01-004/03-030/04-02	40,399
Forestry Research	10.652	01-CA-11242342-030/02-04	5,227
Forestry Research	10.652	02-CA-11242343-08	3,137
Forestry Research	10.652	03-CA-11242343-00	7,296
Forestry Research	10.652	03-CA-11242343-034/04-11	10,922
Forestry Research	10.652	03-CA-11242343-07	177
Forestry Research	10.652	03-CA-11242343-10	10,891
Forestry Research	10.652	04-CA-11242343-00	12,073
Forestry Research	10.652	04-CA-11242343-03	115
Forestry Research	10.652	04-CA-11242343-01	312
Forestry Research	10.652	02-CA-11242343-05	82
Forestry Research	10.652	03-CA-11242343-04	8,878
Forestry Research	10.652	03-CR-11111125-04	24,999
<b>Subtotal Direct Program</b>			<b>127,454</b>
<b>Pass-Through Programs From</b>			
Ohio State University Research Foundation	10.200	RF00943874	131,350
Rural Action Inc	10.200	N/A	219
University of Nebraska Lincoln	10.200	25-6205-0034-03	33,606
<b>Subtotal Pass-Through Program</b>			<b>165,175</b>
<b>TOTAL DEPARTMENT OF AGRICULTURE</b>			<b>309,038</b>
<b>DEPARTMENT OF COMMERCE</b>			
<b>Direct Programs</b>			
National Institute of Standards & Technology			
Measurement and Engineering Research and Standard	11.609	70NANB2H013C	9,805
Measurement and Engineering Research and Standard	11.609	Award No. 60NANB3D112	17,433
<b>Subtotal Direct Program</b>			<b>27,238</b>

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
Pass-Through Programs From Ohio Aerospace Institut	11.612	FIPER R-300-100154-4010	\$ 204,960
Subtotal Pass-Through Program			<u>204,960</u>
DEPARTMENT OF COMMERCE TOTAL			232,198
DEPARTMENT OF DEFENSE			
Direct Programs			
AIR FORCE			
Basic, Applied, and Advanced Research in Science and Engineeri	12.630	F4-9620-03-1-028	121,480
Air Force Defense Research Sciences Progra	12.800	F49620-01-1-028	48,145
Air Force Defense Research Sciences Progra	12.800	F49620-03-1-000	151,454
Air Force Defense Research Sciences Progra	12.800	F29601-99-C-017	1,504
Air Force Defense Research Sciences Progra	12.800	F29601-00-C-021	53,236
Air Force Defense Research Sciences Progra	12.800	N/A	394
Air Force Defense Research Sciences Progra	12.800	F30602-03-M-R00	3,941
			<u>258,674</u>
U.S. ARMY			
U S Army Corp of Engineers - Signal Processir	12.XXX	DAAD13-00-C-003	24,970
U S Army Corp of Engineers - Long Term Diamondback Te	12.XXX	DACW31-03-P-0291	13,875
U S Army Medical Research Acquisition- Dietary Energy Requiremer	12.XXX	DAMD17-95-1-505	189,313
			<u>228,158</u>
U S Army Robert Morris Acquisition Cent	12.000	DAAD05-02-C-003	48,172
Military Medical Research and Developmer	12.420	DAMD17-99-1-933	5,538
OFFICE OF THE CHIEF OF NAVAL RESEARCH			
Marine Corps Warfighting Laboratory - Madras or TTI	12.XXX	M67854-04-M-901	13,244
Space and Naval Warfare Systems Center - Hybrid Resource M	12.XXX	N66001-02-C-806	34,450
			<u>47,694</u>
Subtotal Direct Program			<u>709,716</u>
Pass-Through Programs From			
Anteon Naval Post Graduate Scho	12.XXX	GS09K99BHD0001	16,509
GEO Centers Inc	12.XXX	PO 40675CM-GC-3173 and PO 42116R1	12,323
GEO Centers Inc	12.XXX	DAAD13-03-D-001	8,028
			<u>20,351</u>
Innovative Scientific Solution	12.XXX	PO2003254	49,081
Innovative Scientific Solution	12.XXX	F33615-03-D-282	34,699
			<u>83,780</u>
Materials and Electrochemical Research Corporatic	12.XXX	N/A	25,000
Nonohmics, Inc	12.XXX	N00178-03-C-314	35,000
Nonohmics, Inc	12.XXX	N00164-03-C-602	22,177
Nonohmics, Inc	12.XXX	M67854-04-C-100	7,496
			<u>64,673</u>
Raytheon Compan	12.XXX	NBCHC030123	9,020
Spectral Systems Inc	12.XXX	403604-OU-01	204,737
Systran Federal Cor	12.XXX	F33615-99-C-580	(13,918)
Thales ATM INC	12.XXX	PO T93821	12,232
Universal Technology Corporatio	12.XXX	F33615-03-D-5801	117,665
Washington Universit	12.XXX	F33615-03-C-4111	257,475
Defense Advanced Research Projec	12.910	F33615-01-C-183	68,912
Subtotal Pass-Through Program			<u>866,436</u>
TOTAL DEPARTMENT OF DEFENSE			1,576,152

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
<b>DEPARTMENT OF EDUCATION</b>			
Direct Programs			
Overseas Faculty Research Abroad	84.019	PO19A030057	\$ 26,253
Subtotal Direct Program			26,253
Pass-Through Programs From			
Community Action Commission of Belmont County	84.213	N/A	5,747
Coshocton City School	84.213	N/A	9,145
Harvard University	84.XXX	P017A030111	20,122
Lancaster Even Star	84.213	N/A	3,881
Logan Hocking School	84.338	N/A	291
University of Cincinnati	84.323	OSP# 03154 PATS#23758	14,000
University of Cincinnati	84.323	OSP# 04150 PATS#24121	13,368
Westate Inc	84.XXX	ED-99-CO-0105	3,752
Subtotal Pass-Through Program			70,306
<b>TOTAL DEPARTMENT OF EDUCATION</b>			<b>96,559</b>
<b>DEPARTMENT OF ENERGY</b>			
Direct Programs			
Intermediate Energy Nuclear Physics	81.XXX	DE-FG02-93ER40756	219,358
Semiconductor Quantum System	81.XXX	DE-FG02-87ER45334	21,476
Evaluation of Fine Particulate Matter	81.XXX	DE-FC26-03NT41723	415,681
Planar Solid Oxide Fuel Cell	81.XXX	DE-OFG36-03GO13059	887,536
Studies in Low Energy Nuclea	81.XXX	DE-FG52-03NA00074	459,465
			2,003,516
Office of Science Financial Assistance Program	81.049	DE-FG02-88ER40387	194,531
Office of Science Financial Assistance Program	81.049	DE-FG02-02ER46017	133,143
			327,674
Fossil Energy Research and Development	81.089	DE-FC26-00NT40932	228,653
University Reactor Infrastructure and Education Support	81.114	DE-FG02-02ER41218	74,253
Subtotal Direct Program			2,634,096
Pass-Through Programs From			
Advanced Technology System	81.XXX	DE-FC26-02NT41476	184,227
Brookhaven National Laboratory	81.XXX	DE-AC02-98CH10886	8,045
Carnegie Mellon University	81.XXX	DE-FC26-01NT41017 107000811448:	9,580
Croll Reynolds Clean Air	81.XXX	N/A	87,077
Idaho National Engineering and Environmental Laboratory	81.XXX	N/A	(268)
INEEL Academic Center for Excellence	81.XXX	N/A	2,000
Lawrence Livermore National Laboratory	81.XXX	W-7405-ENG-48	30,398
Lawrence Livermore National Laboratory	81.XXX	N/A	22,000
Los Alamos National Laboratory	81.XXX	W-7405-ENG-36	15,000
Massachusetts Institute of Technology	81.XXX	PO 5510024998	44,750
Ohio Department of Development	81.XXX	03-10	6,000
University of Michigan	81.049	F005182, Agreement No. 645582:	64,581
University of Nevada Reno	81.118	DE-FC26-01NT41164	48,742
Subtotal Pass-Through Program			522,132
<b>TOTAL DEPARTMENT OF ENERGY</b>			<b>3,156,228</b>
<b>DEPARTMENT OF HEALTH &amp; HUMAN SERVICES</b>			
Direct Programs			
National Institute of Health			
Research Related to Deafness and Communication Disorders	93.173	5 K23 DC00153	7,771
Research Related to Deafness and Communication Disorders	93.173	5 R01 DC005063	451,100
Research Related to Deafness and Communication Disorders	93.173	1 R21 DC05468	51,244
			510,115

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
Mental Health Research Grant	93.242	5 R01 MH057832	\$ 248,061
Alcohol Research Program	93.273	5 R01 AA14294-02	196,427
Drug Abuse Research Program	93.279	5 R01 DA013935	310,527
Adhesion To Endothelium	93.390	2 R15 GM057640-03A1	18,354
Cancer Cause and Prevention Research	93.393	7 R01 CA086928-02	186,209
Cancer Treatment Research	93.395	1 R15 CA098036-01A1	15,797
Cytoplasmic Dynein Light Chain RNA Antitermination	93.821 93.821	1 R15 GM60965 5 R01 GM61048-02	66,142 <u>227,714</u>
			293,856
Heart and Vascular Diseases Research	93.837	5 R01 HL64794	261,984
Kidney Diseases, Urology and Hematology Research	93.849	1 R15 DK61952	59,844
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	5 R01 NS32374	431,520
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	2 R01 NS022979-19A2	78,838
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	1 K01 NS046582-01	<u>68,439</u>
			578,797
Microbiology and Infectious Diseases Research	93.856	2 R15 AI47165	2,049
Biomedical Research and Research Training	93.859	5 R01 GM48858	206,975
Biomedical Research and Research Training	93.859	5 R01 GM050690	<u>232,348</u>
			439,323
Aging Research	93.866	5 R21 AG20332	217,959
Aging Research	93.866	1 R15 AG20530	<u>65,148</u>
			283,107
Medical Library Assistance	93.879	5 G13 LM007670	<u>68,223</u>
Subtotal Direct Program			<u>3,472,673</u>
Pass-Through Programs From			
Athens County Family and Children First Council	93.XXX	N/A	13,638
Baylor College of Medicine	93.173	5 R01 DC002290	26,244
Columbia University	93.838	HL55397	94,890
Duke University	93.XXX	Fund Code 303-1605	1,250
Group Health Cooperative of Puget Sound	93.242	5 R21 MH065858-02	24,650
Population Communications International	93.XXX	H75/CCH222210-01	13,949
Southern Illinois University	93.866	R01 AG19899-02	39,468
University of California Davis	93.856	99RA2742-1	4,619
University of Illinois at Chicago	93.396	1 R01-CA099904-01	13,052
University of Iowa	93.847	PO 4000089762	74,493
University of Iowa	93.847	P.O. 4000091922	55,938
University of North Carolina at Charlotte	93.838	R01 HL068706-01A2	25,445
University of Texas M D Anderson Cancer Center	93.395	5 R01 CA029020	87,181
Washington Co Mental Health and Recovery Board	93.XXX	N/A	<u>1,539</u>
Subtotal Pass-Through Program			<u>476,356</u>
TOTAL DEPARTMENT OF HEALTH & HUMAN SERVICES			3,949,029
DEPARTMENT OF HOMELAND SECURITY			
Direct Programs			
FEMA-Advanced Communication Technology	97.XXX	EMV-2002-SA-0080	<u>12,257</u>
TOTAL DEPARTMENT OF HOMELAND SECURITY			12,257

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
<b>DEPARTMENT OF JUSTICE</b>			
Direct Programs			
National Institute of Justice Research, Evaluation, and Development Project Grant	16.560	2002-MU-MU-K002	\$ 52,760
National Institute of Justice Research, Evaluation, and Development Project Grant	16.560	2002-WG-BX-0002	34,582
National Institute of Justice Research, Evaluation, and Development Project Grant	16.560	2002-IJ-CX-K007	<u>139,700</u>
			227,042
Criminal Justice Research and Development Graduate Research Fellowship	16.562	2003-IJ-CX-1027	<u>75,944</u>
Subtotal Direct Program			<u>302,986</u>
Pass-Through Programs From:			
Athens Hocking Vinton Counties TASC	16.585	N/A	4,703
Hocking County Juvenile Court	16.XXX	N/A	1,357
Jackson Police Department	16.710	99SBWX0016	<u>(568)</u>
Subtotal Pass-Through Program			<u>5,492</u>
<b>TOTAL DEPARTMENT OF JUSTICE</b>			<b>308,478</b>
<b>DEPARTMENT OF THE INTERIOR</b>			
Direct Programs			
U.S. Geological Survey Research and Data Collection	15.808	02CRGR0004	128,792
U.S. Geological Survey Research and Data Collection	15.808	03HQGR014C	74,877
U.S. Geological Survey Research and Data Collection	15.808	01CRAG0025	<u>5,339</u>
			209,008
US Fish and Wildlife Service	15.XXX	FWS 3-161-1	215
National Spatial Data Infrastructure Cooperative Agreements Program	15.809	02HQAG012C	<u>3,234</u>
Subtotal Direct Program			<u>212,457</u>
Pass-Through Programs From:			
Midwest Biodiversity Institute	15.XXX	N/A	<u>16,189</u>
Subtotal Pass-Through Program			<u>16,189</u>
<b>TOTAL DEPARTMENT OF THE INTERIOR</b>			<b>228,646</b>
<b>DEPARTMENT OF TRANSPORTATION</b>			
Direct Programs			
U.S. Department of Transportation			
Develop Nationwide Differential Global Positioning System	20.XXX	DTRS57-04-C-1002C	11,319
Federal Aviation Administration			
Integrated Avionics Technology	20.XXX	95-G-014	135,190
Local Area Augmentation System	20.XXX	98-G-002	1,744,363
DTFA02D9703C	20.XXX	DTFA-02-D-9703C	5,741
DTFA0197C00078	20.XXX	DTFA01-97-C-00078	<u>(3,200)</u>
Navigation Landing Analytical Model	20.XXX	DTFA01-01-C-00012	561,885
DTFA01-01-C-00071	20.XXX	DTFA01-01-C-00071	2,168,170
Technical Support Services Navigation & Landing	20.XXX	DTFAA-02-03-D-0039C	39,485
Glide Slope Study	20.XXX	DTFAAC-04-P-0668C	<u>9,105</u>
			4,660,739
Aviation Research Grant	20.108	2001-G-01C	<u>47,991</u>
Subtotal Direct Program			<u>4,720,049</u>
Pass-Through Programs From:			
Ohio Department of Transportation	20.XXX	14101(1)	17,585
Ohio Department of Transportation	20.205	8490	491
Ohio Department of Transportation	20.205	9251	2,756
Ohio Department of Transportation	20.205	9800	18,685
Ohio Department of Transportation	20.205	9794	<u>50,671</u>

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
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Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
Ohio Department of Transportatio	20.205	9991	\$ 121,156
Ohio Department of Transportatio	20.205	AC SPR-2(36)	88,060
Ohio Department of Transportatio	20.205	10209	172,562
Ohio Department of Transportatio	20.205	10210	5,741
Ohio Department of Transportatio	20.205	11241	31,545
Ohio Department of Transportatio	20.205	11251	30,925
Ohio Department of Transportatio	20.205	11252	32,423
Ohio Department of Transportatio	20.205	20119 A	47,384
Ohio Department of Transportatio	20.205	AC1SPR-2(37)	40,961
Ohio Department of Transportatio	20.205	20081	130,988
Ohio Department of Transportatio	20.205	11722C	403,108
Ohio Department of Transportatio	20.205	11910A	12,312
Ohio Department of Transportatio	20.205	11842	50,682
Ohio Department of Transportatio	20.205	20337	58,031
Ohio Department of Transportatio	20.205	E040(952)	555
Ohio Department of Transportatio	20.205	ODOT 11633	<u>16,930</u>
			1,333,551
Talbert and Bright In	20.XXX	3306-0101	10,734
The National Academic	20.XXX	DOT-6120-395	15,758
University of Akroi	20.205	11374	679
URS Corporatio	20.XXX	D100001119.01	631
West Virginia University	20.205	N/A	<u>3,212</u>
Subtotal Pass-Through Program			<u>1,364,565</u>
<b>TOTAL DEPARTMENT OF TRANSPORTATION</b>			<b>6,084,614</b>
<b>ENVIRONMENTAL PROTECTION AGENCY</b>			
Direct Programs			
Nonpoint Source Implementation Grant	66.460	R-82817101-C	<u>(1)</u>
Subtotal Direct Program			<u>(1)</u>
Pass-Through Programs From			
Center for Applied Bioassessment and Biocriteri	66.460	N/A	96,605
Ohio Environmental Protection Agenc	66.460	01(h)E-08	33,020
Ohio Environmental Protection Agenc	66.460	C997550003	<u>758</u>
			33,778
Rural Action Inc	66.460	N/A	<u>5,873</u>
Subtotal Pass-Through Program			<u>136,256</u>
<b>TOTAL ENVIRONMENTAL PROTECTION AGENCY</b>			<b>136,255</b>
<b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION</b>			
Direct Programs			
Ames Research Cente			
Molecular Cloning	43.XXX	NAG2-1608	186,407
George C Marshall Space Flight Cente			
Trajectory Linearization of Control Algorith	43.XXX	NNM04AB92P	20,203
Robust Integration Tech & Test Flight Suppo	43.XXX	NNM04AA33P	4,998
Integrated Guidance N Contrc	43.XXX	NAS8-01105	<u>200,563</u>
			225,764
Glenn Research Cente			
SATS Flight Demo of 3D Cockpit Displa	43.XXX	NAG3-2612	32,066
Airborne Internet Alternat	43.XXX	NAG3-2815	<u>32,543</u>
			64,609
Goddard Space Flight Cente			
Reexamination of Cooling Flow	43.XXX	NAG5-11025	98,934
Physics of AGN Quasar 3C 27	43.XXX	NAG5-13205	11,116

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## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS YEAR ENDED JUNE 30, 2004

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
Hard Xray Blazar 3C 27	43.XXX	NAG5-13684	\$ 1,289
Coordinated Broadband Monitc	43.XXX	NNG04GC65C	65
Mgt of Distributed Comj	43.XXX	NAS5-00185	<u>382</u>
			111,786
Langley Research Cente			
NASA Synthetic Visior	43.XXX	NCC-1-351 (9)	170,378
Haptics Augmented Science Edu	43.XXX	NAG1-01094	39,706
Synthetic Vision System	43.XXX	N/A	<u>94,358</u>
			<u>304,442</u>
Subtotal Direct Program			<u>893,008</u>
Pass-Through Programs From			
Lockheed Martir	43.XXX	GH3-216067	74,496
Morehouse College	43.XXX	NAG5-13633	95,380
Ohio Aerospace Institut	43.XXX	R-300-100275-4010-	69,443
Smithsonian Astrophysical Observator	43.XXX	GO1-2139X	(2,248)
Smithsonian Astrophysical Observator	43.XXX	AR2-3007X	36,114
Smithsonian Astrophysical Observator	43.XXX	N/A	3,932
Smithsonian Astrophysical Observator	43.XXX	GO2-3161X	16,237
Smithsonian Astrophysical Observator	43.XXX	G03-4176B	16,021
Smithsonian Astrophysical Observator	43.XXX	TM3-4005B	24,931
Smithsonian Astrophysical Observator	43.XXX	AR3-4011X	40,902
Smithsonian Astrophysical Observator	43.XXX	GO3-4165X	35,532
Smithsonian Astrophysical Observator	43.XXX	NAS8-0306C	453
Smithsonian Astrophysical Observator	43.XXX	NAS8-0306C	831
Smithsonian Astrophysical Observator	43.XXX	NAS8-0306C	<u>4,172</u>
			176,877
Space Telescope Science Institut	43.XXX	HST-GO-08589.02- <del>A</del>	8,723
Space Telescope Science Institut	43.XXX	HST-GO-09070-03- <del>A</del>	517
Space Telescope Science Institut	43.XXX	HST-GO-09143-02- <del>A</del>	8,868
Space Telescope Science Institut	43.XXX	HST-GO-09783.03- <del>A</del>	1,538
Space Telescope Science Institut	43.XXX	HST-GO-09859.01- <del>A</del>	<u>8,055</u>
			27,701
Virginia Satslab In	43.XXX	N/A	<u>90,488</u>
Subtotal Pass-Through Program			<u>534,385</u>
TOTAL NATIONAL AERONAUTICS AND SPACE ADMINISTRATION			1,427,393
NATIONAL ENDOWMENT FOR THE HUMANITIES			
Direct Programs			
Poland Ethnic Cleansing	45.XXX	FA-37916	40,000
Promotion of the Humanities Public Program	45.164	GP-50071-03	<u>4,681</u>
TOTAL NATIONAL ENDOWMENT FOR THE HUMANITIES			44,681
NATIONAL SCIENCE FOUNDATION			
Direct Programs			
Engineering Grant	47.041	ECS-0083412	41,087
Engineering Grant	47.041	ECS-0094668	2,183
Engineering Grant	47.041	BES-0096303	27,447
Engineering Grant	47.041	ECS-0210216	27,353
Engineering Grant	47.041	CMS-0343731	<u>13,831</u>
			111,901
Mathematical and Physical Science	47.049	AST-970303C	(1,356)
Mathematical and Physical Science	47.049	DMR-998381C	85,496
Mathematical and Physical Science	47.049	PHY-007222C	25,929
Mathematical and Physical Science	47.049	DMR-008100C	6,416

(Continued)

# OHIO UNIVERSITY

(A Component Unit of the State of Ohio)

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS YEAR ENDED JUNE 30, 2004

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
Mathematical and Physical Science	47.049	DMR-0094055	\$ 85,747
Mathematical and Physical Science	47.049	DMR-0103034	281,509
Mathematical and Physical Science	47.049	PHY-0244999	370,905
Mathematical and Physical Science	47.049	DMR-0336431	43,157
Mathematical and Physical Science	47.049	DMR-0304314	227,367
Mathematical and Physical Science	47.049	DMR-0310933	61,927
Mathematical and Physical Science	47.049	PHY-0320759	1,116
Mathematical and Physical Science	47.049	AST-0407152	2,707
Mathematical and Physical Science	47.049		<u>26,690</u>
			1,217,610
Geosciences	47.050	ATM-9905738	11,374
Geosciences	47.050	EAR-0074647	37,246
Geosciences	47.050	OCE-0117132	72,515
Geosciences	47.050	EAR-0125479	51,197
Geosciences	47.050	EAR-0207432	22,658
Geosciences	47.050	EAR-0210213	1,652
Geosciences	47.050	EAR-0228876	49,761
Geosciences	47.050	EAR-0308105	11,391
Geosciences	47.050	EAR-0308931	25,137
Geosciences	47.050	EAR-0350396	<u>9,758</u>
			292,689
Computer and Information Science and Engineerir	47.070	ANI-9981927	51,666
Computer and Information Science and Engineerir	47.070	ANI-0086642	137,519
Computer and Information Science and Engineerir	47.070	ANI-0113307	61,392
Computer and Information Science and Engineerir	47.070	EIA-0120056	<u>180,321</u>
			430,898
Biological Science:	47.074	MCB-9733210	55,140
Biological Science:	47.074	MCB-9874744	110,297
Biological Science:	47.074	DEB-9973958	2,700
Biological Science:	47.074	IBN-9904633	67,729
Biological Science:	47.074	IBN-9983561	79,761
Biological Science:	47.074	IBN-0078055	106,472
Biological Science:	47.074	IBN-0080158	52,948
Biological Science:	47.074	IBN-0090250	77,421
Biological Science:	47.074	DBI-0115848	2,840
Biological Science:	47.074	IBN-0110413	104,951
Biological Science:	47.074	IBN-0131077	102,366
Biological Science:	47.074	IBN-0131523	58,252
Biological Science:	47.074	DEB-0211054	51,655
Biological Science:	47.074	DEB-0235676	33,559
Biological Science:	47.074	DEB-0308806	11,269
Biological Science:	47.074	IBN-0309025	3,938
Biological Science:	47.074	IBN-0316687	118,085
Biological Science:	47.074	MCB-0333095	5,500
Biological Science:	47.074	IBN-0343744	35,021
Biological Science:	47.074	IBN-0345500	9,590
Biological Science:	47.074	IBN-0407735	<u>200</u>
			1,089,694
Education and Human Resource	47.076	DUE-0126739	(1,444)
Education and Human Resource	47.076	EEC-0227907	106,640
Education and Human Resource	47.076	REC 0335593	23,858
Education and Human Resource	47.076	DGE-0337438	39,388
Education and Human Resource	47.076	DUE-0350395	<u>34,738</u>
			203,180
Polar Program:	47.078	OPP-0135989	<u>16,617</u>
Subtotal Direct Program			<u>3,362,589</u>

(Continued)

# OHIO UNIVERSITY

(A Component Unit of the State of Ohio)

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
Pass-Through Programs From			
American Association for the Advancement of Science	47.XXX	N/A	\$ 799
American Association for the Advancement of Science	47.XXX	N/A	4,534
			<u>5,333</u>
Applied Sciences Inc	47.041	DMI 0110456	33,078
Institute of Ecosystem Studies	47.074	2775-200207	336
Lehigh University	47.049	539023-8000	44,770
National Research Council	47.075	N/A	2,873
University of Connecticut	47.XXX	2465	3,346
University of Illinois - Urbana	47.049	DMR-0205858	92,324
University of Tennessee	47.041	BES-0090009	19,238
			<u>201,298</u>
Subtotal Pass-Through Program			
TOTAL NATIONAL SCIENCE FOUNDATION			<u>3,563,887</u>
UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT			
Pass-Through Programs From			
Association Liaison Office	98.XXX	HNE-A-00-97-00059-00	41,426
TOTAL UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT			<u>41,426</u>
UNITED STATES INSTITUTE OF PEACE			
Direct Programs			
Solicited Grant Program - Beyond Violence Rational	91.002	13251	2,841
TOTAL UNITED STATES INSTITUTE OF PEACE			<u>2,841</u>
TOTAL RESEARCH AND DEVELOPMENT CLUSTER			<u>21,196,688</u>
OTHER PROGRAMS:			
APPALACHIAN REGIONAL COMMISSION			
Direct Programs			
Reinventing Community	23.XXX	OH-14463-I-2003-300	58,123
Whisman Scholar	23.XXX	CO-12600E	38,676
			<u>96,799</u>
Appalachian Regional Development - Technology Demonstration	23.001	CO-14309-00	43,012
Appalachian Regional Development - Launching Appalachia Region	23.001	OH-14444-00	42,165
			<u>85,177</u>
Subtotal Direct Program			<u>181,976</u>
TOTAL APPALACHIAN REGIONAL COMMISSION			<u>181,976</u>
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE			
Pass-Through Programs From			
Ohio Campus Compact	94.005	N/A	1,771
Governors Community Service Council	94.006	03AFH-K728-04-A120	159,736
Governors Community Service Council	94.006	00ASF-J021-03-A017	40,730
Governors Community Service Council	94.006	03AFH-K728-04-A017	296,192
			<u>496,658</u>
Jumpstart National	94.006	03ACH-K729-04-A147#40	21,345
Subtotal Pass-Through Program			<u>519,774</u>
TOTAL CORPORATION FOR NATIONAL AND COMMUNITY SERVICE			<u>519,774</u>
DEPARTMENT OF AGRICULTURE			
Direct Programs			
Rural Business Enterprise Grant	10.769	Innovation Center	198,375
Distance Learning and Telemedicine Loans and Grants	10.855	OHIO 704-A100	479,691
Subtotal Direct Program			<u>678,066</u>

(Continued)

**OHIO UNIVERSITY**  
**(A Component Unit of the State of Ohio)**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
Pass-Through Programs From Ohio Department of Education Ohio State University Research Foundation	10.559 10.XXX	N/A CA 370304	\$ 32,956 39
Subtotal Pass-Through Program			<u>32,995</u>
TOTAL DEPARTMENT OF AGRICULTURE			711,061
DEPARTMENT OF COMMERCE			
Direct Programs			
Economic Development Administration Grants for Public Works and Economic Development Facilities Grants for Public Works and Economic Development Facilities	11.300 11.300	06-01-04525 05-79-03506	32,638 48,066
			<u>80,704</u>
Public Telecommunications Facilities Planning and Construction	11.550	39-02-N03017	<u>814,589</u>
Subtotal Direct Program			<u>895,293</u>
Pass-Through Programs From Bowling Green State University	11.303	06-66-04858/06-66-04616/06-66-0474	<u>14,700</u>
Subtotal Pass-Through Program			<u>14,700</u>
TOTAL DEPARTMENT OF COMMERCE			909,993
DEPARTMENT OF DEFENSE			
Pass-Through Programs From Ohio Department of Development	12.002	MBDD 03-013/04-017	13,115
TOTAL DEPARTMENT OF DEFENSE			13,115
DEPARTMENT OF EDUCATION			
Direct Programs			
Nat'l Res Ctr & Fellowships Prog for Language, Area & Internat'l Studies Nat'l Res Ctr & Fellowships Prog for Language, Area & Internat'l Studies Nat'l Res Ctr & Fellowships Prog for Language, Area & Internat'l Studies	84.015A 84.015A 84.015B	P015A030005 P015A000161 P015B030005	226,530 54,998 166,884
			<u>448,412</u>
International Research and Studies	84.017A	P017A000011	56,163
Overseas Faculty Research Abroad	84.019A	P019A020007	6,284
TRIO Student Support Services	84.042A	P042A010213	306,262
TRIO Upward Bound TRIO Upward Bound	84.047A 84.047A	P047A990185 P047A030183	206,040 153,238
			<u>359,278</u>
Fund for the Improvement of Postsecondary Education	84.116J	P116J990004	31,080
TRIO_McNair Post-Baccalaureate Achiever	84.217A	P217A030004	101,132
Special Education_Technology and Media Services for Individuals with Disabilities	84.327A	H327A990023	24,253
Child Care Access Means Parents in Schools	84.335A	P335A010099-05	15,708
Preparing Tomorrow's Teachers to Use Technology	84.342A	P342A000235	<u>95,988</u>
Subtotal Direct Program			<u>1,444,560</u>
Pass-Through Programs From ATHENS CITY SCHOOL DISTRICT CHILLICOTHE BICENTENNIAL COMMISSION INC CLEVELAND STATE UNIVERSITY COLUMBUS STATE COMMUNITY COLLEGE	84.287C 84.215K 84.357A 84.243	043521-T151-03 U215K032283 S357A020036 VETP 2002-01-FB/VETP 2003-01-FE	466,405 45,407 75,789 106,273

(Continued)

# OHIO UNIVERSITY

(A Component Unit of the State of Ohio)

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
COMMUNITY ACTION COMMISSION OF BELMONT COUNTY	84.314	N/A	\$ 1,575
CORPORATION FOR PUBLIC BROADCASTING	84.XXX	7382	36,816
FEDERAL HOCKING LOCAL SCHOOL DISTRICT	84.287C	045914-T1S1-03	402,116
INSTITUTE OF SCHOOLS OF THE FUTURE	84.XXX	N/A	1,073
MIAMI UNIVERSITY	84.XXX	400180-160222-03413C-1/03413C-	25,321
OHIO BOARD OF REGENTS	84.XXX	02-34	72,419
OHIO BOARD OF REGENTS	84.XXX	02-35	40,013
OHIO BOARD OF REGENTS	84.334A	P334A990378-03	390,544
OHIO BOARD OF REGENTS	84.116	N/A	<u>12,406</u>
			515,382
OHIO DEPARTMENT OF EDUCATION	84.XXX	063024-ST-S1-02	43
OHIO DEPARTMENT OF EDUCATION	84.XXX	N/A	(50)
OHIO DEPARTMENT OF EDUCATION	84.002	063024-AB-SL-2002/2003/200	425,772
OHIO DEPARTMENT OF EDUCATION	84.323	063024-ST-S1-01 & 02	<u>15,114</u>
			440,879
PUBLIC BROADCASTING SERVICE	84.295A	R295A000002	27,720
WARREN LOCAL SCHOOL DISTRICT	84.XXX	N/A	7,001
WARREN WILSON COLLEGE	84.116	N/A	<u>(787)</u>
Subtotal Pass-Through Program			<u>2,150,970</u>
TOTAL DEPARTMENT OF EDUCATION			3,595,530
DEPARTMENT OF HEALTH AND HUMAN SERVICES			
Direct Programs			
Centers of Excellence	93.157	6 D34HP04027	312,178
Allied Health Special Project	93.191	1 D37 HP 00807-03 C	63,671
Health Careers Opportunity Program	93.822	5 D18 HP 02885	445,735
Rural Health Outreach and Rural Network Development Program	93.912	1 D1A RH 00109-01 C	297,620
Quentin N. Burdick Programs for Rural Interdisciplinary Training	93.192	5 D36 HP 00005	33,241
Medical Library Assistance	93.879	1 G08 LM008133	<u>26,933</u>
Subtotal Direct Program			<u>1,179,378</u>
Pass-Through Programs From			
Athens County Dept of Job Training	93.558	N/A	(17,264)
Belmont Department of Job Training	93.558	N/A	39
Case Western Reserve University	93.969	5 D31 HP-70113	35,205
Columbiana Co Department of Job Training	93.XXX	N/A	10,475
Corp for Ohio Appalachian Development	93.569	N/A	2,611
Corp for Ohio Appalachian Development	93.569	N/A	4,999
Corp for Ohio Appalachian Development	93.XXX	N/A	<u>25,632</u>
			33,242
Gallia Co Health Department	93.XXX	N/A	3,452
Gianfagna Inc	93.XXX	N/A	1,036
Lawrence Co Department of Job Training	93.XXX	N/A	67,690
Lawrence Co Department of Job Training	93.XXX	N/A	<u>136,707</u>
			204,397
Medical College of Ohio	93.107	5 U77 HP 03029-10-00	96,872
National Youth Sports Program	93.570	NYSPP 03-306	48,991
National Youth Sports Program	93.570	NYSPP 04-1122	16,484
National Youth Sports Program	93.570	NYSPP 03-308	<u>51,181</u>
			116,656

(Continued)

# OHIO UNIVERSITY

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
Ohio Department of Alcohol and Drug Addictic	93.959	05-00405-00-CPREV-P-02-994	\$ (9,324)
Ohio Department of Development	93.XXX	GOAR 04-012	40,553
Ohio Department of Health	93.994	K584	77,510
Ohio Department of Health	93.XXX	N/A	<u>15,766</u>
			93,276
Ohio Department of Human Service	93.XXX	C-02-04-0514	64,102
Ohio Department of Jobs and Family Service	93.XXX	GV-04-15-variou	43,982
Ohio State University Research Foundatio	93.110	5 T73 MC00049	22,607
Ohio State University Research Foundatio	93.181	5 D41HP20002-03	20,683
Ohio State University Research Foundatio	93.966	T01HP01416-01-00	<u>35,383</u>
			78,673
Southern Consortium for Childre	93.XXX	1 H2ATH00985-01-00	189,161
West Virginia Community Action Partnershi	93.XXX	N/A	<u>4,756</u>
Subtotal Pass-Through Program			<u>989,289</u>
TOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICE			2,168,667
DEPARTMENT OF HOMELAND SECURITY			
Pass-Through Programs From			
Ohio Emergency Management Agency - FEM	83.544	FEMA-1453-DR-000-UIZ, UD517, UIZ6	44,620
Ohio Emergency Management Agency - FEM	83.544	FEMA-1453-DR-000ULQHE	<u>8,762</u>
Subtotal Pass-Through Program			<u>53,382</u>
TOTAL DEPARTMENT OF HOMELAND SECURITY			53,382
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			
Pass-Through Programs From			
Huntington Ironton Empowerment Zon	14.244	N/A	<u>135,315</u>
TOTAL DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			135,315
DEPARTMENT OF JUSTICE			
Pass-Through Programs From			
Vinton Co Juvenile Drug Cour	16.XXX	N/A	<u>2,442</u>
TOTAL DEPARTMENT OF JUSTICE			2,442
DEPARTMENT OF STATE			
Direct Programs			
Joint Student Consulting	19.XXX	S-ECAPE-03-GR-044 (DD)	44,032
CELT	19.XXX	S-ECAPE-03-GR-155(JL)	191
Inter-religious Dialogu	19.XXX	S-ECAPE-03-GR-188(MA)	<u>216,098</u>
			260,321
Professional Exchanges Annual Open Gra	19.415	S-ESCAPE-02-GR-100(MA)	50,718
Educational Exchange Fulbright American Studies Institut	19.418	S-ECAAE-03-GR-091(JY)	<u>136,499</u>
Subtotal Direct Program			<u>447,538</u>
Pass-Through Programs From			
Institute for International Educatio	19.402	S-ECAAE-04-CA-019(PS)	<u>125,815</u>
Subtotal Pass-Through Program			<u>125,815</u>
TOTAL DEPARTMENT OF STATE			573,353
DEPARTMENT OF THE INTERIOR			
Direct Programs			
Native American Graves Protection and Repatriation A	15.922	39-02-GP-310	<u>29,093</u>
TOTAL DEPARTMENT OF THE INTERIOR			29,093

(Continued)

**OHIO UNIVERSITY**  
**(A Component Unit of the State of Ohio)**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
<b>DEPARTMENT OF TRANSPORTATION</b>			
Direct Programs			
Federal Aviation Administratio			
Airport Improvement Program	20.106	3-39-0006-0701	\$ 1,846
Airport Improvement Program	20.106	3-39-0006-0802	143,372
Airport Improvement Program	20.106	3-39-0006-0902	<u>5,587</u>
			150,805
Federal Highway Administratio			
Highway Planning and Constructio	20.205	AC SPR-2(37)	<u>13,625</u>
Subtotal Direct Program			<u>164,430</u>
Pass-Through Programs From			
City of Athen:	20.509	RPT-4005-023	17,225
Ohio Department of Transportatio	20.205	AC SPR-2(37)	<u>54,376</u>
Subtotal Pass-Through Program			<u>71,601</u>
<b>TOTAL DEPARTMENT OF TRANSPORTATION</b>			<b>236,031</b>
<b>ENVIRONMENTAL PROTECTION AGENCY</b>			
Pass-Through Programs From			
Midwest Biodiversity Institut	66.606	N/A	110,583
Ohio Department of Natural Resource	66.460	N/A	30,600
Ohio Department of Natural Resource	66.460	N/A	<u>3,064</u>
			33,664
Ohio Environmental Protection Agenc	66.460	02(h)EPA-04	72,834
Rural Action Inc	66.XXX	N/A	949
Rural Action Inc	66.XXX	N/A	3,294
Rural Action Inc	66.460	N/A	<u>9,093</u>
			<u>13,336</u>
Vinton Co Soil and Water Distric	66.460	N/A	4,817
Washington Co Soil and Water Conservation Distri	66.419	N/A	<u>4,200</u>
Subtotal Pass-Through Program			<u>239,434</u>
<b>TOTAL ENVIRONMENTAL PROTECTION AGENCY</b>			<b>239,434</b>
<b>NATIONAL ENDOWMENT FOR THE ARTS / HUMANITIES</b>			
Direct Programs			
National Endowment for the Art			
Promotion of the Arts Grants to Organizations and Individu:	45.024	02-7700-3005	17,807
Promotion of the Arts Grants to Organizations and Individu:	45.024	03-3400-5092	4,748
Promotion of the Arts Grants to Organizations and Individu:	45.024	04-3400-5054	<u>10,000</u>
			32,555
National Endowment for Humanitie			
Promotion of the Humanities Challenge Gran	45.130	CI-20004-02	<u>74,459</u>
Subtotal Direct Program			<u>107,014</u>
Pass-Through Programs From			
National College Choreography Initiativ	45.XXX	N/A	7,500
Ohio Arts Council	45.026	FY2002-2017	1,776
Ohio Humanities Council	45.129	OHC-03-054	<u>6,307</u>
Subtotal Pass-Through Program			<u>15,583</u>
<b>TOTAL NATIONAL ENDOWMENT FOR THE ARTS / HUMANITIES</b>			<b>122,597</b>

(Continued)

**OHIO UNIVERSITY**  
**(A Component Unit of the State of Ohio)**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**YEAR ENDED JUNE 30, 2004**

Federal Agency / Pass-Through Grantor	CFDA No.	Federal / Pass-Through Grant Number	Expenditures
NATIONAL SCIENCE FOUNDATION			
Direct Programs			
Mathematical and Physical Science	47.049	DMR-0336379	\$ 2,214
Subtotal Direct Program			<u>2,214</u>
Pass-Through Programs From:			
Kentucky Science and Technolog	47.076	ESR0086188	86,970
University of Tennessee	47.076	ESI-0119679	<u>469,749</u>
Subtotal Pass-Through Program:			<u>556,719</u>
TOTAL NATIONAL SCIENCE FOUNDATION			558,933
SMALL BUSINESS ADMINISTRATION			
Pass-Through Programs From:			
Adena Ventures	59.051	N/A	382
Adena Ventures	59.051	N/A	<u>192,906</u>
			193,288
Kent State University	59.037	ECDD 01-100	14,562
Ohio Department of Developmen	59.037	ECDD 04-101	<u>166,159</u>
Subtotal Pass-Through Program:			<u>374,009</u>
TOTAL SMALL BUSINESS ADMINISTRATION			374,009
SOCIAL SECURITY ADMINISTRATION			
Pass-Through Programs From:			
Ohio Healthcare Associatio	96.001	N/A	1,711
TOTAL SOCIAL SECURITY ADMINISTRATION			1,711
UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT			
Pass-Through Programs From:			
Association Liaison Offic	98.XXX	HNE-A-00-97-00059-00	<u>51,357</u>
TOTAL UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT			51,357
UNITED STATES INFORMATION AGENCY			
Direct Programs			
Schools in Democratic Societie	19.406	IA-ASLJ-G9190290	5,428
TOTAL UNITED STATES INFORMATION AGENCY			5,428
UNITED STATES PEACE CORPS			
Direct Programs			
Peace Corps Strategy Agreeemer	08.XXX	P.O. No. 03-161-2031-00	13,021
TOTAL UNITED STATES PEACE CORPS			<u>13,021</u>
TOTAL OTHER PROGRAMS			<u>10,496,222</u>
GRAND TOTAL - FEDERAL AWARDS			<u>\$ 153,689,931</u>

(Concluded)

**OHIO UNIVERSITY**  
**(A Component Unit of the State of Ohio)**

**NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**YEAR ENDED JUNE 30, 2004**

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**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Basis of Presentation**—The accompanying Schedule of Expenditures of Federal Awards (the “Schedule”) is presented using the cash basis of accounting in which expenditures are recognized when paid.

**Subrecipient**—Of the federal expenditures presented in the Schedule, the Ohio University (the “University”) provided federal awards to subrecipients as follows:

CFDA No.	Program Title	Expended
10.200	Grants for Agricultural Research, Special Research Grants	\$ 7,345
11.300	Grants for Public Works and Economic Development Facilities	9,307
15.808	U.S. Geological Survey Research and Data Collection	118,430
20.205	Highway Planning and Construction	49,517
47.049	Mathematical and Physical Sciences	50,924
47.050	Geosciences	5,000
47.070	Computer and Information Science and Engineering	66,416
47.074	Biological Sciences	42,989
59.037	Small Business Development Center	72,427
81.089	Fossil Energy Research and Development	89,091
93.173	Research Related to Deafness and Communication Disorders	174,003
93.273	Alcohol Research Programs	40,134
93.279	Drug Abuse Research Programs	252,230
93.837	Heart and Vascular Diseases Research	144,978
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	91,008
12.800	Air Force Defense Research Sciences Program	101,513
12.XXX	U S Army Medical Research Acquisition- Dietary Energy Requirements	3,675
20.XXX	FAA--Local Area Augmentation System	117,536
20.XXX	FAA--DTFA01-01-C-00071	41,281
43.XXX	Integrated Guidance and Control	93,006
81.XXX	Evaluation of Fine Particulate Matter	284,436
81.XXX	Planar Solid Oxide Fuel Cells	219,223
84.116J	Fund for the Improvement of Postsecondary Education	<u>31,080</u>
		<u>\$2,105,549</u>

**2. NONCASH FEDERAL AWARDS**

During the year ended June 30, 2004, the University did not receive any nonmonetary assistance.

**3. FEDERAL LOAN PROGRAMS ADMINISTERED**

The University had the following loan balances outstanding at June 30, 2004:

	<b>Year Ended June 30, 2004</b>
Perkins Loan Program	\$ 7,960,234
Disadvantaged Students Loan	509,704
Health Professional Student Loans (HPSL)	<u>2,613,400</u>
Total	<u>\$11,083,338</u>

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## **INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

Board of Trustees  
Ohio University  
Athens, Ohio

We have audited the financial statements of Ohio University (the "University"), as of and for the year ended June 30, 2004, and have issued our report thereon dated October 22, 2004. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Governmental Auditing Standards*, issued by the Comptroller General of the United States.

### **INTERNAL CONTROL OVER FINANCIAL REPORTING**

In planning and performing our audit, we considered the University's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a reportable condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements caused by error or fraud in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses. However, we noted other matters involving the internal control over financial reporting that we have reported to the management of the University in a separate letter dated October 22, 2004.

### **COMPLIANCE AND OTHER MATTERS**

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the Board of Trustees, management of the University, federal awarding agencies, state funding agencies, pass-through entities and the Auditor of the State of Ohio and is not intended to be and should not be used by anyone other than these specified parties.



October 22, 2004

## **INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS APPLICABLE TO EACH MAJOR FEDERAL AWARD PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133**

Board of Trustees  
Ohio University  
Athens, Ohio

### **Compliance**

We have audited the compliance of Ohio University (the "University") with the types of compliance requirements described in the *U.S. Office of Management and Budget ("OMB") Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2004. The University's major federal programs are identified in the summary of auditor's results section of the accompanying Schedule of Findings and Questioned Costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures, as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the University's compliance with those requirements.

In our opinion, the University complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2004.

### **Internal Control Over Compliance**

The management of the University is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with requirements that could have a direct and material effect on a major federal program in

order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on the internal control over compliance in accordance with OMB Circular A-133.

Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be material weakness. A material weakness is a reportable condition in which the design or operation of one ore more of the internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts, and grants caused by error or fraud that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over compliance and its operation that we consider to be material weaknesses.

### **Schedule of Expenditures of Federal Awards**

We have audited the financial statements of the University as of and for the year ended June 30, 2004, and have issued our report thereon dated October 22, 2004. Our audit was performed for the purpose of forming an opinion on the financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for the purpose of additional analysis as required by OMB Circular A-133 and is not a required part of the financial statements. This schedule is the responsibility of the management of the University. Such information has been subjected to the auditing procedures applied in our audit of the financial statements and, in our opinion, is fairly stated, in all material respects, when considered in relation to the financial statements taken as a whole.

This report is intended solely for the information and use of the Board of Trustees and management of the University, federal awarding agencies, state funding agencies, pass-through entities, and the Auditor of State of Ohio and is not intended to be and should not be used by anyone other than these specified parties.

*Deloitte + Touche LLP*

October 22, 2004

**OHIO UNIVERSITY**  
**(A Component Unit of the State of Ohio)**

**SCHEDULE OF FINDINGS AND QUESTIONED COSTS**  
**YEAR ENDED JUNE 30, 2004**

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**PART I—SUMMARY OF AUDITORS' RESULTS**

1. The independent auditors' report on the financial statements expressed an unqualified opinion.
2. Our audit of the financial statements did not disclose a reportable condition in internal controls.
3. No instances of noncompliance considered material to the financial statements were disclosed by the audit.
4. No reportable conditions in internal control over compliance with requirements applicable to major federal award programs were identified.
5. The independent auditors' report on compliance with requirements applicable to major federal award programs expressed an unqualified opinion.
6. The audit disclosed no findings which are required to be reported by OMB Circular A-133.
7. The major programs were the Student Financial Aid Cluster, and the Research and Development Cluster which are included in the Schedule of Expenditures of Federal Awards.
8. A threshold of \$1,551,182 was used to distinguish between Type A and Type B programs as those terms are defined in OMB Circular A-133.
9. The Auditee did qualify as a low risk auditee as that term is defined in OMB Circular A-133.

**PART II—FINANCIAL STATEMENT FINDINGS SECTION**

No matters are reportable.

**PART III—FEDERAL AWARD FINDINGS AND QUESTIONED COST SECTION**

No matters are reportable.

**PART IV—SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS**

No matters are reportable.